

**Color Legend**

**Case Name: INVESTOR V. BROKERAGE FIRM**

**Account Number**

**Account Name**

**Brokerage Firm**

**AB-12345**

**JOHN Q. INVESTOR**

**BROKERAGE FIRM**

**AB-12346**

**JOHN Q. INVESTOR**

**BROKERAGE FIRM**

**AB-12347**

**JOHN Q. INVESTOR**

**BROKERAGE FIRM**

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
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**EQUITIES**

1	AB-12347	12/01/99	B	10.000	24/7 MEDIA INC 199912 55 CALL	U	\$3.50	\$3,602.05			COMM CALC (Bonds @ 2.5%) OPEN
2	AB-12347	12/21/99	S		24/7 MEDIA INC 199912 55 CALL		\$5.75		\$5,532.73		COMM CALC (Bonds @ 2.5%) CLOSE
				10.000	10.000						\$1,930.68
										\$0.00	\$1,930.68
3	AB-12345	08/29/97	O	689.000	360 COMMUNICATIONS CO		\$18.38	\$12,660.37			VALUED PER 08/29/97 PORT
4	AB-12345	07/01/98	CR		ALLTEL CORP				\$39.18		CIL
5	AB-12345	07/01/98	NC	509.000	ALLTEL CORP						360 COMMUNICATIONS CO
6	AB-12345	07/01/98	NC		689.000 360 COMMUNICATIONS CO						ALLTEL CORP
7	AB-12345	10/05/98	DIV		ALLTEL CORP				\$147.61		DIVIDEND
8	AB-12345	01/04/99	DIV		ALLTEL CORP				\$155.25		DIVIDEND
9	AB-12345	04/05/99	DIV		ALLTEL CORP				\$155.25		DIVIDEND
10	AB-12345	07/06/99	DIV		ALLTEL CORP				\$155.25		DIVIDEND
11	AB-12345	10/04/99	DIV		ALLTEL CORP				\$155.25		DIVIDEND
12	AB-12345	01/03/00	DIV		ALLTEL CORP				\$162.88		DIVIDEND
13	AB-12345	03/29/00	D		509.000 ALLTEL CORP				\$32,703.25		VALUED PER STMT TRANS
14	AB-12345	04/03/00	DIV		ALLTEL CORP				\$162.88		DIVIDEND
15	AB-12345	05/05/00	R	509.000	ALLTEL CORP				\$33,053.44		VALUED PER STMT TRANS
16	AB-12345	05/05/00	R	196.000	ALLTEL CORP				\$12,727.84		VALUED PER STMT TRANS
17	AB-12345	07/03/00	DIV		ALLTEL CORP				\$225.60		DIVIDEND
18	AB-12345	10/03/00	DIV		ALLTEL CORP				\$225.60		DIVIDEND
19	AB-12345	01/03/01	DIV		ALLTEL CORP				\$232.65		DIVIDEND
20	AB-12345	04/03/01	DIV		ALLTEL CORP				\$232.65		DIVIDEND
21	AB-12345	07/03/01	DIV		ALLTEL CORP				\$232.65		DIVIDEND
22	AB-12345	10/03/01	DIV		ALLTEL CORP				\$232.65		DIVIDEND
23	AB-12345	01/03/02	DIV		ALLTEL CORP				\$239.70		DIVIDEND
24	AB-12345	04/03/02	DIV		ALLTEL CORP				\$239.70		DIVIDEND
25	AB-12345	04/30/02	I		705.000 ALLTEL CORP		\$49.50		\$34,897.50		VALUED PER 04/30/02 PORT

**Transaction Legend**

B - Bought    DIV - Dividen    R - Receive    O - Open    CR - Credit    NC - Name Chg / Exchang  
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01/08/03

Page: 1

## COLOR COMBINED ROI ANALYSIS

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		1,903.000	1,903.000						\$9,198.28	\$2,955.57	\$12,153.85
26	AB-12345	08/29/97	O	3,950.000	3COM CORP	\$49.94	\$197,255.10		VALUED PER 08/29/97 PORT		
27	AB-12345	11/17/97	B	200.000	3COM CORP	\$55.00	\$11,183.68		COMM CALC (Bonds @ 2.5%)		
28	AB-12345	11/17/97	B	400.000	3COM CORP	\$55.00	\$22,358.33		COMM CALC (Bonds @ 2.5%)		
29	AB-12345	11/18/97	B	2,500.000	3COM CORP	\$55.00	\$138,348.25		COMM CALC (Bonds @ 2.5%)		
30	AB-12345	12/15/97	S		3COM CORP	U \$35.63		\$106,116.99	COMM CALC (Bonds @ 2.5%)		
31	AB-12345	01/15/98	B	2,200.000	3COM CORP	\$55.00	\$121,769.69		COMM CALC (Bonds @ 2.5%)		
32	AB-12345	01/16/98	S		3COM CORP	\$31.00		\$36,794.13	COMM CALC (Bonds @ 2.5%)		
33	AB-12345	01/27/98	B	600.000	3COM CORP	\$55.00	\$33,353.88		COMM CALC (Bonds @ 2.5%)		
34	AB-12345	04/09/98	B	200.000	3COM CORP	\$55.00	\$11,226.24		COMM CALC (Bonds @ 2.5%)		
35	AB-12345	04/13/98	B	100.000	3COM CORP	\$55.00	\$5,615.37		COMM CALC (Bonds @ 2.5%)		
36	AB-12345	04/14/98	B	1,800.000	3COM CORP	\$55.00	\$99,563.34		COMM CALC (Bonds @ 2.5%)		
37	AB-12345	04/14/98	S		3COM CORP	U \$32.69		\$22,588.06	COMM CALC (Bonds @ 2.5%)		
38	AB-12345	09/03/98	S		3COM CORP	U \$23.88		\$63,884.65	COMM CALC (Bonds @ 2.5%)		
39	AB-12345	01/11/99	B	700.000	3COM CORP	\$47.38	\$33,524.44		COMM CALC (Bonds @ 2.5%)		
40	AB-12345	03/08/99	B	500.000	3COM CORP	\$47.13	\$23,848.72		COMM CALC (Bonds @ 2.5%)		
41	AB-12345	03/08/99	B	500.000	3COM CORP	\$47.13	\$23,778.30		COMM CALC (Bonds @ 2.5%)		
42	AB-12345	03/08/99	B	500.000	3COM CORP	\$47.13	\$23,778.33		COMM CALC (Bonds @ 2.5%)		
43	AB-12345	03/10/99	D		3COM CORP			\$36,937.50	VALUED PER STMT TRANS		
44	AB-12346	03/16/99	B	1,000.000	3COM CORP	U \$26.06	\$26,192.00		COMM CALC (Bonds @ 2.5%)		
45	AB-12345	06/21/99	B	5,000.000	3COM CORP	U \$26.88	\$134,879.50		COMM CALC (Bonds @ 2.5%)		
46	AB-12345	06/21/99	B	3,000.000	3COM CORP	U \$26.88	\$80,925.00		COMM CALC (Bonds @ 2.5%)		
47	AB-12345	07/23/99	S		3COM CORP	U \$26.88		\$133,616.02	COMM CALC (Bonds @ 2.5%)		
48	AB-12345	03/29/00	D		3COM CORP			\$508,663.40	VALUED PER STMT TRANS		
49	AB-12346	03/30/00	D		3COM CORP			\$58,813.00	VALUED PER STMT TRANS		
50	AB-12345	05/05/00	R	8,050.000	3COM CORP		\$347,156.25		VALUED PER STMT TRANS		
51	AB-12346	05/11/00	R	1,000.000	3COM CORP		\$42,688.00		VALUED PER STMT TRANS		
52	AB-12345	05/31/00	S		3COM CORP	U \$38.56		\$11,538.36	COMM CALC (Bonds @ 2.5%)		

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
53	AB-12345	05/31/00	S								
				2,950.000	3COM CORP	U	\$38.56	\$113,456.08	COMM CALC (Bonds @ 2.5%)		
54	AB-12345	05/31/00	S								
				1,000.000	3COM CORP	U	\$38.56	\$38,461.21	COMM CALC (Bonds @ 2.5%)		
55	AB-12345	05/31/00	S								
				200.000	3COM CORP	U	\$38.56	\$7,692.24	COMM CALC (Bonds @ 2.5%)		
56	AB-12345	05/31/00	S								
				100.000	3COM CORP	U	\$38.56	\$3,846.12	COMM CALC (Bonds @ 2.5%)		
57	AB-12345	05/31/00	S								
				500.000	3COM CORP	U	\$38.56	\$19,230.60	COMM CALC (Bonds @ 2.5%)		
58	AB-12345	05/31/00	S								
				2,500.000	3COM CORP	U	\$38.56	\$96,153.03	COMM CALC (Bonds @ 2.5%)		
59	AB-12345	05/31/00	S								
				500.000	3COM CORP	U	\$38.56	\$19,230.60	COMM CALC (Bonds @ 2.5%)		
60	AB-12346	07/28/00	DIV	1,483.000	PALM INC				STOCK DIVIDEND SPINOFF		
61	AB-12346	08/07/00	CR		PALM INC			\$8.09	CIL		
62	AB-12346	11/06/00	S								
				483.000	PALM INC	U	\$55.06	\$26,294.30	COMM CALC (Bonds @ 2.5%)		
63	AB-12346	11/09/00	S								
				500.000	PALM INC	U	\$63.25	\$31,444.44	COMM CALC (Bonds @ 2.5%)		
64	AB-12346	11/16/00	S								
				1,000.000	3COM CORP	U	\$14.81	\$14,570.20	COMM CALC (Bonds @ 2.5%)		
65	AB-12346	02/28/01	S								
				500.000	PALM INC	U	\$21.63	\$10,625.51	COMM CALC (Bonds @ 2.5%)		
				33,683.000					(\$17,479.89)	\$0.00	(\$17,479.89)
66	AB-12345	08/29/97	O								
				30.000	3COM CORP 199804 55 PUT		\$10.63	\$31,875.00	VALUED PER 08/29/97 PORT		
67	AB-12345	09/25/97	S								
				50.000	3COM CORP 199804 55 PUT	U	\$9.00	\$44,455.16	COMM CALC (Bonds @ 2.5%) OPEN		
68	AB-12345	11/13/97	B	2.000	3COM CORP 199804 55 PUT				ASSIGNED		
69	AB-12345	11/13/97	B	4.000	3COM CORP 199804 55 PUT				ASSIGNED		
70	AB-12345	11/14/97	B	25.000	3COM CORP 199804 55 PUT				ASSIGNED		
71	AB-12345	01/13/98	B	22.000	3COM CORP 199804 55 PUT				ASSIGNED		
72	AB-12345	01/23/98	B	6.000	3COM CORP 199804 55 PUT				ASSIGNED		
73	AB-12345	04/07/98	B	2.000	3COM CORP 199804 55 PUT				ASSIGNED		
74	AB-12345	04/08/98	B	1.000	3COM CORP 199804 55 PUT				ASSIGNED		
75	AB-12345	04/09/98	B	18.000	3COM CORP 199804 55 PUT				ASSIGNED		
				80.000					\$76,330.16	\$0.00	\$76,330.16
76	AB-12345	01/27/99	B	100.000	3COM CORP 199902 60 CALL		\$0.25	\$2,653.18	COMM CALC (Bonds @ 2.5%) OPEN		

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01/08/03

Page: 3

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77	AB-12345	01/27/99	B	100.000	3COM CORP 199902 60 CALL	\$0.25	\$2,648.68		COMM CALC (Bonds @ 2.5%) OPEN		
78	AB-12345	02/22/99	S		100.000 3COM CORP 199902 60 CALL				EXPIRED		
79	AB-12345	02/22/99	S		100.000 3COM CORP 199902 60 CALL				EXPIRED		
				200.000	200.000				(\$5,301.86)	\$0.00	(\$5,301.86)
80	AB-12345	01/27/99	B	100.000	3COM CORP 199903 55 CALL	\$1.75	\$18,095.00		COMM CALC (Bonds @ 2.5%) OPEN		
81	AB-12345	03/22/99	S		100.000 3COM CORP 199903 55 CALL				EXPIRED		
				100.000	100.000				(\$18,095.00)	\$0.00	(\$18,095.00)
82	AB-12347	09/05/00	R	800.000	ABGENIX INC		\$58,150.40		VALUED PER STMT TRANS; FM XY-98765		
83	AB-12346	09/14/00	R	800.000	ABGENIX INC		\$67,600.00		VALUED PER STMT TRANS		
84	AB-12347	09/22/00	S		300.000 ABGENIX INC	U \$79.81	\$23,702.25		COMM CALC (Bonds @ 2.5%)		
85	AB-12347	10/16/00	S		400.000 ABGENIX INC	U \$74.00	\$29,544.52		COMM CALC (Bonds @ 2.5%)		
86	AB-12346	11/16/00	S		300.000 ABGENIX INC	U \$67.81	\$20,278.57		COMM CALC (Bonds @ 2.5%)		
87	AB-12347	01/29/01	S		100.000 ABGENIX INC	U \$51.50	\$5,089.88		COMM CALC (Bonds @ 2.5%)		
88	AB-12346	01/31/02	S		100.000 ABGENIX INC	\$23.43	\$2,288.47		COMM CALC (Bonds @ 2.5%)		
89	AB-12346	03/01/02	S		50.000 ABGENIX INC	\$23.16	\$1,102.74		COMM CALC (Bonds @ 2.5%)		
90	AB-12346	03/11/02	S		100.000 ABGENIX INC	\$20.75	\$2,019.72		COMM CALC (Bonds @ 2.5%)		
91	AB-12346	04/09/02	S		100.000 ABGENIX INC	\$16.99	\$1,643.70		COMM CALC (Bonds @ 2.5%)		
92	AB-12346	04/17/02	S		50.000 ABGENIX INC	\$16.14	\$790.30		COMM CALC (Bonds @ 2.5%)		
93	AB-12346	04/17/02	S		100.000 ABGENIX INC	U \$16.05	\$1,566.38		COMM CALC (Bonds @ 2.5%)		
				1,600.000	1,600.000				(\$37,723.87)	\$0.00	(\$37,723.87)
94	AB-12346	11/03/00	S		5.000 ABGENIX INC 200101 100 CALL	U \$10.38	\$5,072.47		COMM CALC (Bonds @ 2.5%) OPEN		
95	AB-12346	11/24/00	B	5.000	ABGENIX INC 200101 100 CALL	U \$1.44	\$771.44		COMM CALC (Bonds @ 2.5%) CLOSE		
				5.000	5.000				\$4,301.03	\$0.00	\$4,301.03

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96	AB-12346	11/24/00	S	5.000 ABGENIX INC 200104 80 CALL	U	\$7.75	\$3,788.85		COMM CALC (Bonds @ 2.5%) OPEN		
97	AB-12346	03/15/01	B	5.000 ABGENIX INC 200104 80 CALL	U	\$0.06	\$46.57		COMM CALC (Bonds @ 2.5%) CLOSE		
			5.000	5.000					\$3,742.28	\$0.00	\$3,742.28
98	AB-12346	03/15/01	S	5.000 ABGENIX INC 200110 45 CALL	U	\$2.06	\$974.71		COMM CALC (Bonds @ 2.5%) OPEN		
99	AB-12346	10/22/01	B	5.000 ABGENIX INC 200110 45 CALL					EXPIRED		
			5.000	5.000					\$974.71	\$0.00	\$974.71
100	AB-12347	01/06/00	B	200.000 ACACIA RESH CORP	U	\$30.00	\$6,096.61		COMM CALC (Bonds @ 2.5%)		
101	AB-12347	01/25/00	B	100.000 ACACIA RESH CORP	U	\$50.94	\$5,153.69		COMM CALC (Bonds @ 2.5%)		
102	AB-12347	01/28/00	B	100.000 ACACIA RESH CORP	U	\$49.25	\$4,984.94		COMM CALC (Bonds @ 2.5%)		
103	AB-12347	02/02/00	B	100.000 ACACIA RESH CORP	U	\$47.00	\$4,765.48		COMM CALC (Bonds @ 2.5%)		
104	AB-12347	03/30/00	D	500.000 ACACIA RESH CORP			\$21,531.50		VALUED PER STMT TRANS		
			500.000	500.000					\$530.78	\$0.00	\$530.78
105	AB-12345	08/29/97	O	927.000 ALLSTATE CORP		\$73.06	\$67,729.40		VALUED PER 08/29/97 PORT		
106	AB-12345	10/01/97	DIV	ALLSTATE CORP			\$222.48		DIVIDEND		
107	AB-12345	01/02/98	DIV	ALLSTATE CORP			\$222.48		DIVIDEND		
108	AB-12345	04/01/98	DIV	ALLSTATE CORP			\$250.29		DIVIDEND		
109	AB-12345	07/01/98	DIV	ALLSTATE CORP			\$250.29		DIVIDEND		
110	AB-12345	07/02/98	DIV	927.000 ALLSTATE CORP					STOCK DIVIDEND		
111	AB-12345	10/01/98	DIV	ALLSTATE CORP			\$250.29		DIVIDEND		
112	AB-12345	01/04/99	DIV	ALLSTATE CORP			\$250.29		DIVIDEND		
113	AB-12345	04/01/99	DIV	ALLSTATE CORP			\$278.10		DIVIDEND		
114	AB-12345	05/05/99	B	3,200.000 ALLSTATE CORP		\$37.13	\$119,554.50		COMM CALC (Bonds @ 2.5%)		
115	AB-12345	07/01/99	DIV	ALLSTATE CORP			\$758.10		DIVIDEND		
116	AB-12345	10/01/99	DIV	ALLSTATE CORP			\$758.10		DIVIDEND		
117	AB-12345	01/03/00	DIV	ALLSTATE CORP			\$758.10		DIVIDEND		

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118	AB-12345	03/29/00	D	5,054.000	ALLSTATE CORP		\$114,346.75		VALUED PER STMT TRANS		
119	AB-12345	04/03/00	DIV		ALLSTATE CORP		\$859.18		DIVIDEND		
120	AB-12345	05/05/00	R	5,054.000	ALLSTATE CORP	\$115,610.25			VALUED PER STMT TRANS		
121	AB-12345	06/01/00	S	5,054.000	ALLSTATE CORP	U \$26.94	\$135,627.69		COMM CALC (Bonds @ 2.5%)		
122	AB-12345	07/03/00	DIV		ALLSTATE CORP		\$859.18		DIVIDEND		
				10,108.000	10,108.000				(\$52,919.71)	\$5,716.88	(\$47,202.83)
123	AB-12345	01/03/00	B	1,000.000	AMAZON.COM INC	\$84.69	\$84,842.00		COMM CALC (Bonds @ 2.5%)		
124	AB-12345	03/29/00	D	1,000.000	AMAZON.COM INC		\$66,250.00		VALUED PER STMT TRANS		
				1,000.000	1,000.000				(\$18,592.00)	\$0.00	(\$18,592.00)
125	AB-12347	03/26/02	B	40.000	AMDOCS LTD 200204 25 CALL	U \$1.70	\$7,067.19		COMM CALC (Bonds @ 2.5%) OPEN		
126	AB-12347	04/05/02	S	5.000	AMDOCS LTD 200204 25 CALL	\$0.65	\$320.62		CLOSE		
127	AB-12347	04/05/02	S	35.000	AMDOCS LTD 200204 25 CALL	\$0.90	\$3,114.03		COMM CALC (Bonds @ 2.5%) CLOSE		
				40.000	40.000				(\$3,632.54)	\$0.00	(\$3,632.54)
128	AB-12347	03/22/02	B	50.000	AMDOCS LTD 200204 30 CALL	U \$0.70	\$3,808.94		COMM CALC (Bonds @ 2.5%) OPEN		
129	AB-12347	04/04/02	S	40.000	AMDOCS LTD 200204 30 CALL	U \$0.10	\$362.22		COMM CALC (Bonds @ 2.5%) CLOSE		
130	AB-12347	04/04/02	S	10.000	AMDOCS LTD 200204 30 CALL	U \$0.05	\$35.30		COMM CALC (Bonds @ 2.5%) CLOSE		
				50.000	50.000				(\$3,411.42)	\$0.00	(\$3,411.42)
131	AB-12345	08/29/97	O	2,000.000	AMER ELECTRIC POWER CO	\$43.69	\$87,376.00		VALUED PER 08/29/97 PORT		
132	AB-12345	09/10/97	DIV		AMER ELECTRIC POWER CO		\$1,200.00		DIVIDEND		
133	AB-12345	12/10/97	DIV		AMER ELECTRIC POWER CO		\$1,200.00		DIVIDEND		
134	AB-12345	03/10/98	DIV		AMER ELECTRIC POWER CO		\$1,200.00		DIVIDEND		
135	AB-12345	06/10/98	DIV		AMER ELECTRIC POWER CO		\$1,200.00		DIVIDEND		
136	AB-12345	09/10/98	DIV		AMER ELECTRIC POWER CO		\$1,200.00		DIVIDEND		

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137	AB-12345	12/10/98	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
138	AB-12345	03/10/99	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
139	AB-12345	06/10/99	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
140	AB-12345	09/10/99	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
141	AB-12345	12/10/99	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
142	AB-12345	03/10/00	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
143	AB-12345	03/29/00	D	2,000.000 AMER ELECTRIC POWER CO			\$59,750.00		VALUED PER STMT TRANS		
144	AB-12345	05/05/00	R	2,000.000 AMER ELECTRIC POWER CO		\$69,250.00			VALUED PER STMT TRANS		
145	AB-12345	06/09/00	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
146	AB-12345	09/08/00	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
147	AB-12345	12/08/00	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
148	AB-12345	03/09/01	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
149	AB-12345	06/08/01	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
150	AB-12345	09/10/01	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
151	AB-12345	12/10/01	DIV	AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND		
152	AB-12345	02/08/02	S	2,000.000 AMER ELECTRIC POWER CO	U	\$41.37	\$82,132.81		COMM CALC (Bonds @ 2.5%)		
				4,000.000					(\$14,743.19)	\$21,600.00	\$6,856.81
				4,000.000							
153	AB-12345	08/29/97	O	5,000.000 AMER EXPRESS CO	\$77.75	\$388,750.00			VALUED PER 08/29/97 PORT		
154	AB-12345	11/10/97	DIV	AMER EXPRESS CO			\$1,125.00		DIVIDEND		
155	AB-12345	02/10/98	DIV	AMER EXPRESS CO			\$1,125.00		DIVIDEND		
156	AB-12345	05/08/98	DIV	AMER EXPRESS CO			\$1,125.00		DIVIDEND		
157	AB-12345	08/10/98	DIV	AMER EXPRESS CO			\$1,125.00		DIVIDEND		
158	AB-12345	11/10/98	DIV	AMER EXPRESS CO			\$1,125.00		DIVIDEND		
159	AB-12345	02/10/99	DIV	AMER EXPRESS CO			\$1,125.00		DIVIDEND		
160	AB-12345	05/10/99	DIV	AMER EXPRESS CO			\$1,125.00		DIVIDEND		
161	AB-12345	08/10/99	DIV	AMER EXPRESS CO			\$1,125.00		DIVIDEND		
162	AB-12345	11/10/99	DIV	AMER EXPRESS CO			\$1,125.00		DIVIDEND		
163	AB-12345	02/10/00	DIV	AMER EXPRESS CO			\$1,125.00		DIVIDEND		

**Transaction Legend**

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
164	AB-12345	03/29/00	D	5,000.000	AMER EXPRESS CO		\$758,125.00		VALUED PER STMT TRANS		
165	AB-12345	05/05/00	R	5,000.000	AMER EXPRESS CO	\$730,625.00			VALUED PER STMT TRANS		
166	AB-12345	05/12/00	R	10,000.000	AMER EXPRESS CO				NOT VALUED, STOCK SPLIT SHARES		
167	AB-12345	08/10/00	DIV		AMER EXPRESS CO		\$1,200.00		DIVIDEND		
168	AB-12345	11/10/00	DIV		AMER EXPRESS CO		\$1,200.00		DIVIDEND		
169	AB-12345	11/16/00	S	5,000.000	AMER EXPRESS CO	U \$57.50	\$286,735.91		COMM CALC (Bonds @ 2.5%)		
170	AB-12345	02/09/01	DIV		AMER EXPRESS CO		\$800.00		DIVIDEND		
171	AB-12345	03/23/01	S	5,000.000	AMER EXPRESS CO	U \$37.21	\$185,289.29		COMM CALC (Bonds @ 2.5%)		
172	AB-12345	05/10/01	DIV		AMER EXPRESS CO		\$400.00		DIVIDEND		
173	AB-12345	08/10/01	DIV		AMER EXPRESS CO		\$400.00		DIVIDEND		
174	AB-12345	08/24/01	S	2,500.000	AMER EXPRESS CO	U \$37.40	\$92,818.75		COMM CALC (Bonds @ 2.5%)		
175	AB-12345	09/12/01	S	2,500.000	AMER EXPRESS CO	U \$34.53	\$85,671.73		COMM CALC (Bonds @ 2.5%)		
				20,000.000	20,000.000				\$289,265.68	\$15,250.00	\$304,515.68
176	AB-12347	01/26/01	B	300.000	AMER SUPERCONDUCTOR CORP	U \$24.94	\$7,580.24		COMM CALC (Bonds @ 2.5%)		
177	AB-12347	02/14/01	S	300.000	AMER SUPERCONDUCTOR CORP	U \$16.75	\$4,941.70		COMM CALC (Bonds @ 2.5%)		
				300.000	300.000				(\$2,638.54)	\$0.00	(\$2,638.54)
178	AB-12347	02/02/01	S	10.000	AMER SUPERCONDUCTOR CORP 200103 17.5 PUT	U \$1.38	\$1,286.19		COMM CALC (Bonds @ 2.5%) OPEN		
179	AB-12347	02/12/01	B	10.000	AMER SUPERCONDUCTOR CORP 200103 17.5 PUT	U \$2.50	\$2,602.49		COMM CALC (Bonds @ 2.5%) CLOSE		
				10.000	10.000				(\$1,316.30)	\$0.00	(\$1,316.30)
180	AB-12347	02/12/01	S	10.000	AMER SUPERCONDUCTOR CORP 200107 15 PUT	U \$2.63	\$2,521.37		COMM CALC (Bonds @ 2.5%) OPEN		
181	AB-12347	04/04/01	B	10.000	AMER SUPERCONDUCTOR CORP 200107 15 PUT	U \$4.88	\$5,007.36		COMM CALC (Bonds @ 2.5%) CLOSE		
				10.000	10.000				(\$2,485.99)	\$0.00	(\$2,485.99)
182	AB-12345	05/05/00	R	1,000.000	AMEREN CORP		\$35,500.00		VALUED PER STMT TRANS		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
183	AB-12345	06/29/00	DIV	AMEREN CORP			\$635.00		DIVIDEND		
184	AB-12345	09/28/00	DIV	AMEREN CORP			\$635.00		DIVIDEND		
185	AB-12345	12/28/00	DIV	AMEREN CORP			\$635.00		DIVIDEND		
186	AB-12345	03/29/01	DIV	AMEREN CORP			\$635.00		DIVIDEND		
187	AB-12345	06/28/01	DIV	AMEREN CORP			\$635.00		DIVIDEND		
188	AB-12345	09/27/01	DIV	AMEREN CORP			\$635.00		DIVIDEND		
189	AB-12345	12/28/01	DIV	AMEREN CORP			\$635.00		DIVIDEND		
190	AB-12345	04/01/02	DIV	AMEREN CORP			\$635.00		DIVIDEND		
191	AB-12345	04/30/02	I	1,000.000 AMEREN CORP	\$41.76		\$41,760.00		VALUED PER 04/30/02 PORT		
			1,000.000	1,000.000					\$6,260.00	\$5,080.00	\$11,340.00
192	AB-12345	08/29/97	O	1,000.000 AMERICA ONLINE INC	\$64.50	\$64,500.00			VALUED PER 08/29/97 PORT		
193	AB-12345	03/17/98	DIV	1,000.000 AMERICA ONLINE INC					STOCK DIVIDEND		
194	AB-12345	06/12/98	DIV	AMERICA ONLINE INC			\$1.25		DIVIDEND		
195	AB-12345	11/18/98	DIV	2,000.000 AMERICA ONLINE INC					STOCK DIVIDEND		
196	AB-12345	02/23/99	DIV	4,000.000 AMERICA ONLINE INC					STOCK DIVIDEND		
197	AB-12346	05/07/99	B	200.000 AMERICA ONLINE INC	\$133.75	\$26,814.50			COMM CALC (Bonds @ 2.5%)		
198	AB-12345	06/17/99	B	2,000.000 AMERICA ONLINE INC	\$92.00	\$184,304.50			COMM CALC (Bonds @ 2.5%)		
199	AB-12345	07/30/99	S	2,000.000 AMERICA ONLINE INC	U \$98.06		\$195,363.96		COMM CALC (Bonds @ 2.5%)		
200	AB-12346	08/11/99	B	200.000 AMERICA ONLINE INC	U \$88.19	\$17,692.00			COMM CALC (Bonds @ 2.5%)		
201	AB-12345	09/24/99	B	2,000.000 AMERICA ONLINE INC	\$84.56	\$169,879.50			COMM CALC (Bonds @ 2.5%)		
202	AB-12345	11/23/99	DIV	10,000.000 AMERICA ONLINE INC					STOCK DIVIDEND		
203	AB-12346	11/23/99	DIV	400.000 AMERICA ONLINE INC					STOCK DIVIDEND		
204	AB-12345	03/29/00	D	20,000.000 AMERICA ONLINE INC			\$1,357,500.00		VALUED PER STMT TRANS		
205	AB-12346	03/30/00	D	800.000 AMERICA ONLINE INC			\$51,900.00		VALUED PER STMT TRANS		
206	AB-12345	05/05/00	R	4,000.000 AMERICA ONLINE INC		\$227,500.00			VALUED PER STMT TRANS		
207	AB-12345	05/05/00	R	20,000.000 AMERICA ONLINE INC		\$1,137,500.00			VALUED PER STMT TRANS		
208	AB-12346	05/11/00	R	800.000 AMERICA ONLINE INC		\$42,950.40			VALUED PER STMT TRANS		
209	AB-12345	05/31/00	S	4,000.000 AMERICA ONLINE INC	U \$49.69		\$198,338.87		COMM CALC (Bonds @ 2.5%)		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
210	AB-12345	06/01/00	S	10,000.000	AMERICA ONLINE INC	U	\$49.03	\$489,485.15	COMM CALC (Bonds @ 2.5%)		
211	AB-12345	11/15/00	S	10,000.000	AMERICA ONLINE INC	U	\$51.11	\$510,336.46	COMM CALC (Bonds @ 2.5%)		
212	AB-12346	12/05/00	S	300.000	AMERICA ONLINE INC	U	\$41.06	\$12,183.23	COMM CALC (Bonds @ 2.5%)		
213	AB-12346	01/16/01	NC	500.000	AOL TIME WARNER INC				AMERICA ONLINE INC		
214	AB-12346	01/16/01	NC	500.000	AMERICA ONLINE INC				AOL TIME WARNER		
215	AB-12346	04/06/01	S	200.000	AOL TIME WARNER INC		\$34.00	\$6,745.27	COMM CALC (Bonds @ 2.5%)		
216	AB-12346	06/22/01	S	300.000	AOL TIME WARNER INC		\$49.54	\$14,807.01	COMM CALC (Bonds @ 2.5%)		
217	AB-12345	04/16/02	B	4,000.000	AOL TIME WARNER INC	U	\$19.80	\$79,897.40	COMM CALC (Bonds @ 2.5%)		
218	AB-12345	04/30/02	I	4,000.000	AOL TIME WARNER INC		\$19.02	\$76,080.00	VALUED PER 04/30/02 PORT		
				<u>52,100.000</u>	<u>52,100.000</u>				<b>\$961,701.65</b>	<b>\$1.25</b>	<b>\$961,702.90</b>
219	AB-12345	08/29/97	O	50.000	AMERICA ONLINE INC DEL 199801 65 PUT		\$8.56	\$42,812.50	VALUED PER 08/29/97 PORT		
220	AB-12345	01/20/98	B	50.000	AMERICA ONLINE INC DEL 199801 65 PUT				EXPIRED		
				<u>50.000</u>	<u>50.000</u>				<b>\$42,812.50</b>	<b>\$0.00</b>	<b>\$42,812.50</b>
221	AB-12345	05/18/99	S	50.000	AMERICA ONLINE INC DEL 200101 100 PUT	U	\$25.00	\$124,290.03	COMM CALC (Bonds @ 2.5%) OPEN		
222	AB-12345	06/11/99	S	50.000	AMERICA ONLINE INC DEL 200101 100 PUT	U	\$30.25	\$150,453.27	COMM CALC (Bonds @ 2.5%) OPEN		
223	AB-12345	11/23/99	NC	100.000	AMERICA ONLINE INC DEL 200101 100 PUT				AMERICA ONLINE INC DEL 200101 50 PUT		
				<u>100.000</u>	<u>100.000</u>				<b>\$274,743.30</b>	<b>\$0.00</b>	<b>\$274,743.30</b>
224	AB-12345	03/25/99	S	100.000	AMERICA ONLINE INC DEL 200101 110 PUT		\$32.25	\$320,702.53	COMM CALC (Bonds @ 2.5%) OPEN		
225	AB-12345	11/23/99	NC	100.000	AMERICA ONLINE INC DEL 200101 110 PUT				AMERICA ONLINE INC DEL 200101 55 PUT		
226	AB-12345	11/23/99	NC	200.000	AMERICA ONLINE INC DEL 200101 55 PUT				AMERICA ONLINE INC DEL 200101 110 PUT		
227	AB-12345	03/29/00	D	200.000	AMERICA ONLINE INC DEL 200101 55 PUT			\$113,750.00	VALUED PER STMT TRANS		
228	AB-12345	05/05/00	R	200.000	AMERICA ONLINE INC DEL 200101 55 PUT			\$167,500.00	VALUED PER STMT TRANS		
229	AB-12345	05/23/00	B	200.000	AMERICA ONLINE INC DEL 200101 55 PUT	U	\$10.25	\$206,449.33	COMM CALC (Bonds @ 2.5%) CLOSE		

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01/08/03

Page: 10

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		500.000	500.000						\$168,003.20	\$0.00	\$168,003.20
230	AB-12345	11/23/99	NC	400.000 AMERICA ONLINE INC DEL 200101 25 PUT					AMERICA ONLINE INC DEL 200101 50 PUT		
231	AB-12345	03/29/00	D	400.000 AMERICA ONLINE INC DEL 200101 25 PUT		\$15,000.00			VALUED PER STMT TRANS		
		400.000	400.000						(\$15,000.00)	\$0.00	(\$15,000.00)
232	AB-12345	06/15/99	S	100.000 AMERICA ONLINE INC DEL 200101 60 PUT	U \$13.13		\$130,358.77		COMM CALC (Bonds @ 2.5%) OPEN		
233	AB-12345	06/29/99	S	10.000 AMERICA ONLINE INC DEL 200101 60 PUT	U \$9.75		\$9,596.38		COMM CALC (Bonds @ 2.5%) OPEN		
234	AB-12345	09/27/99	S	100.000 AMERICA ONLINE INC DEL 200101 60 PUT	U \$7.25		\$71,802.93		COMM CALC (Bonds @ 2.5%) OPEN		
235	AB-12345	11/23/99	NC	420.000 AMERICA ONLINE INC DEL 200101 30 PUT					AMERICA ONLINE INC DEL 200101 60 PUT		
236	AB-12345	11/23/99	NC	210.000 AMERICA ONLINE INC DEL 200101 60 PUT					AMERICA ONLINE INC DEL 200101 30 PUT		
237	AB-12345	03/29/00	D	420.000 AMERICA ONLINE INC DEL 200101 30 PUT		\$27,562.50			VALUED PER STMT TRANS		
		630.000	630.000						\$184,195.58	\$0.00	\$184,195.58
238	AB-12345	06/11/99	S	200.000 AMERICA ONLINE INC DEL 200101 80 PUT	\$20.08		\$399,413.95		COMM CALC (Bonds @ 2.5%) OPEN		
239	AB-12345	11/23/99	NC	200.000 AMERICA ONLINE INC DEL 200101 80 PUT					AMERICA ONLINE INC DEL 200101 40 PUT		
240	AB-12345	11/23/99	NC	400.000 AMERICA ONLINE INC DEL 200101 40 PUT					AMERICA ONLINE INC DEL 200101 80 PUT		
241	AB-12345	03/29/00	D	400.000 AMERICA ONLINE INC DEL 200101 40 PUT		\$73,750.00			VALUED PER STMT TRANS		
		600.000	600.000						\$325,663.95	\$0.00	\$325,663.95
242	AB-12345	09/14/99	S	200.000 AMERICA ONLINE INC DEL 200101 50 PUT	U \$5.50		\$108,857.79		COMM CALC (Bonds @ 2.5%) OPEN		
243	AB-12345	11/23/99	NC	200.000 AMERICA ONLINE INC DEL 200101 50 PUT	U				AMERICA ONLINE INC DEL 200101 25 PUT		
244	AB-12345	11/23/99	NC	200.000 AMERICA ONLINE INC DEL 200101 50 PUT					AMERICA ONLINE INC DEL 200101 100 PUT		
245	AB-12345	03/29/00	D	200.000 AMERICA ONLINE INC DEL 200101 50 PUT		\$83,750.00			VALUED PER STMT TRANS		
246	AB-12345	05/05/00	R	200.000 AMERICA ONLINE INC DEL 200101 50 PUT			\$118,750.00		VALUED PER STMT TRANS		
247	AB-12345	05/26/00	B	200.000 AMERICA ONLINE INC DEL 200101 50 PUT	U \$7.63	\$153,777.57			COMM CALC (Bonds @ 2.5%) CLOSE		

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		600.000	600.000						(\$9,919.78)	\$0.00	(\$9,919.78)
248	AB-12345	08/06/99	S	100.000 AMERICA ONLINE INC DEL 200201 55 PUT	U	\$11.63	\$115,408.34		COMM CALC (Bonds @ 2.5%) OPEN		
249	AB-12345	11/23/99	NC	200.000 AMERICA ONLINE INC DEL 200201 27.5 PUT					AMERICA ONLINE INC DEL 200201 55 PUT		
250	AB-12345	11/23/99	NC	100.000 AMERICA ONLINE INC DEL 200201 55 PUT					AMERICA ONLINE INC DEL 200201 27.5 PUT		
251	AB-12345	03/29/00	D	200.000 AMERICA ONLINE INC DEL 200201 27.5 PUT		\$30,000.00			VALUED PER STMT TRANS		
252	AB-12345	05/05/00	R	200.000 AMERICA ONLINE INC DEL 200201 27.5 PUT			\$37,500.00		VALUED PER STMT TRANS		
253	AB-12345	05/23/00	B	200.000 AMERICA ONLINE INC DEL 200201 27.5 PUT	U	\$2.44	\$49,692.24		COMM CALC (Bonds @ 2.5%) CLOSE		
		500.000	500.000						<b>\$73,216.10</b>	<b>\$0.00</b>	<b>\$73,216.10</b>
254	AB-12347	04/01/02	B	16.000 ASM LITHOGRAPHY 200204 25 CALL	U	\$1.30	\$2,275.06		COMM CALC (Bonds @ 2.5%) OPEN		
255	AB-12347	04/04/02	S	16.000 ASM LITHOGRAPHY 200204 25 CALL	U	\$0.75	\$1,087.36		COMM CALC (Bonds @ 2.5%) CLOSE		
		16.000	16.000						(\$1,187.70)	\$0.00	(\$1,187.70)
256	AB-12345	01/08/01	B	2,200.000 AT&T CORP		\$50.00	\$110,615.98		COMM CALC (Bonds @ 2.5%)		
257	AB-12345	02/08/01	B	2,500.000 AT&T CORP		\$50.00	\$125,738.73		COMM CALC (Bonds @ 2.5%)		
258	AB-12345	02/22/01	B	2,500.000 AT&T CORP		\$50.00	\$125,129.50		COMM CALC (Bonds @ 2.5%)		
259	AB-12345	02/27/01	S	7,200.000 AT&T CORP	U	\$20.30	\$145,070.62		COMM CALC (Bonds @ 2.5%)		
260	AB-12345	04/06/01	B	900.000 AT&T CORP		\$50.00	\$45,389.30		COMM CALC (Bonds @ 2.5%)		
261	AB-12345	05/31/01	B	1,200.000 AT&T CORP		\$50.00	\$60,484.63		COMM CALC (Bonds @ 2.5%)		
262	AB-12345	06/01/01	B	2,800.000 AT&T CORP		\$50.00	\$140,778.11		COMM CALC (Bonds @ 2.5%)		
263	AB-12345	06/01/01	B	2,800.000 AT&T CORP		\$50.00	\$140,773.64		COMM CALC (Bonds @ 2.5%)		
264	AB-12345	06/11/01	B	800.000 AT&T CORP		\$50.00	\$40,393.25		COMM CALC (Bonds @ 2.5%)		
265	AB-12345	06/15/01	B	900.000 AT&T CORP		\$50.00	\$45,421.36		COMM CALC (Bonds @ 2.5%)		
266	AB-12345	06/19/01	B	2,000.000 AT&T CORP		\$50.00	\$100,678.65		COMM CALC (Bonds @ 2.5%)		
267	AB-12345	06/19/01	B	1,000.000 AT&T CORP		\$50.00	\$50,436.13		COMM CALC (Bonds @ 2.5%)		
268	AB-12345	07/09/01	DIV	3,990.000 AT&T WIRELESS SERVICES INC					STOCK DIVIDEND		
269	AB-12345	07/16/01	CR	AT&T WIRELESS SERVICES INC			\$5.26		CIL		

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01/08/03

Page: 12

## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
270	AB-12345	08/01/01	B	400.000	AT&T CORP	\$39.97	\$16,318.04		COMM CALC (Bonds @ 2.5%)		
271	AB-12345	08/01/01	B	128.000	AT&T WIRELESS SERVICES INC	\$31.25	\$4,107.91		COMM CALC (Bonds @ 2.5%)		
272	AB-12345	08/01/01	DIV		AT&T CORP		\$465.00		DIVIDEND		
273	AB-12345	09/11/01	S	4,118.000	AT&T WIRELESS SERVICES INC	U \$13.37	\$54,447.59		COMM CALC (Bonds @ 2.5%)		
274	AB-12345	09/11/01	S	2,800.000	AT&T CORP	U \$18.35	\$50,848.24		COMM CALC (Bonds @ 2.5%)		
275	AB-12345	11/01/01	DIV		AT&T CORP		\$375.00		DIVIDEND		
276	AB-12345	02/01/02	DIV		AT&T CORP		\$375.00		DIVIDEND		
277	AB-12345	02/11/02	S	10,000.000	AT&T CORP	U \$15.45	\$153,258.47		COMM CALC (Bonds @ 2.5%)		
				24,118.000	24,118.000				(\$602,635.05)	\$1,215.00	(\$601,420.05)
278	AB-12345	11/23/99	S	200.000	AT&T CORP 200201 50 PUT	U \$7.38	\$146,233.86		COMM CALC (Bonds @ 2.5%) OPEN		
279	AB-12345	03/29/00	D	200.000	AT&T CORP 200201 50 PUT		\$112,500.00		VALUED PER STMT TRANS		
280	AB-12345	05/05/00	R	200.000	AT&T CORP 200201 50 PUT		\$285,000.00		VALUED PER STMT TRANS		
281	AB-12345	01/03/01	B	22.000	AT&T CORP 200201 50 PUT				ASSIGNED		
282	AB-12345	02/06/01	B	25.000	AT&T CORP 200201 50 PUT				ASSIGNED		
283	AB-12345	02/20/01	B	25.000	AT&T CORP 200201 50 PUT				ASSIGNED		
284	AB-12345	04/04/01	B	9.000	AT&T CORP 200201 50 PUT				ASSIGNED		
285	AB-12345	05/29/01	B	12.000	AT&T CORP 200201 50 PUT				ASSIGNED		
286	AB-12345	05/30/01	B	28.000	AT&T CORP 200201 50 PUT				ASSIGNED		
287	AB-12345	05/30/01	B	28.000	AT&T CORP 200201 50 PUT				ASSIGNED		
288	AB-12345	06/01/01	B	20.000	AT&T CORP 200201 50 PUT				ASSIGNED		
289	AB-12345	06/06/01	B	10.000	AT&T CORP 200201 50 PUT				ASSIGNED		
290	AB-12345	06/07/01	B	8.000	AT&T CORP 200201 50 PUT				ASSIGNED		
291	AB-12345	06/13/01	B	9.000	AT&T CORP 200201 50 PUT				ASSIGNED		
292	AB-12345	07/30/01	B	4.000	AT&T CORP 200201 50 PUT				ASSIGNED		
				400.000	400.000				\$318,733.86	\$0.00	\$318,733.86
293	AB-12347	09/22/00	B	300.000	ATRIX LABS INC	U \$14.06	\$4,282.56		COMM CALC (Bonds @ 2.5%)		

**Transaction Legend**

B - Bought    DIV - Dividen    R - Receive    O - Open    CR - Credit    NC - Name Chg / Exchang  
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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 13

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
294	AB-12347	09/22/00	B	700.000	ATRIX LABS INC	U	\$14.06	\$9,997.15	COMM CALC (Bonds @ 2.5%)		
295	AB-12347	12/29/00	S	500.000	ATRIX LABS INC	U	\$16.06	\$7,806.46	COMM CALC (Bonds @ 2.5%)		
296	AB-12347	03/01/01	S	500.000	ATRIX LABS INC	U	\$20.25	\$10,070.16	COMM CALC (Bonds @ 2.5%)		
				<u>1,000.000</u>	<u>1,000.000</u>				\$3,596.91	\$0.00	\$3,596.91
297	AB-12347	07/02/01	B	500.000	AVANEX CORP	U	\$7.69	\$3,961.54	COMM CALC (Bonds @ 2.5%)		
298	AB-12347	07/18/01	S	500.000	AVANEX CORP	U	\$7.50	\$3,585.31	COMM CALC (Bonds @ 2.5%)		
				<u>500.000</u>	<u>500.000</u>				(\$376.23)	\$0.00	(\$376.23)
299	AB-12345	05/05/00	R	15,000.000	BANK ONE CORP			\$435,945.00	VALUED PER STMT TRANS		
300	AB-12345	07/03/00	DIV		BANK ONE CORP			\$6,300.00	DIVIDEND		
301	AB-12345	10/02/00	DIV		BANK ONE CORP			\$3,150.00	DIVIDEND		
302	AB-12345	10/17/00	S	10,000.000	BANK ONE CORP	U	\$31.75	\$316,484.91	COMM CALC (Bonds @ 2.5%)		
303	AB-12345	10/18/00	S	5,000.000	BANK ONE CORP	U	\$32.88	\$163,615.02	COMM CALC (Bonds @ 2.5%)		
				<u>15,000.000</u>	<u>15,000.000</u>				\$44,154.93	\$9,450.00	\$53,604.93
304	AB-12345	05/05/00	R	200.000	BANK ONE CORP 200201 30 PUT			\$135,000.00	VALUED PER STMT TRANS		
305	AB-12345	05/30/00	B	200.000	BANK ONE CORP 200201 30 PUT	U	\$4.75	\$96,089.46	COMM CALC (Bonds @ 2.5%) CLOSE		
				<u>200.000</u>	<u>200.000</u>				\$38,910.54	\$0.00	\$38,910.54
306	AB-12347	12/13/99	B	200.000	BANYAN SYSTEMS INC	U	\$16.75	\$3,412.23	COMM CALC (Bonds @ 2.5%)		
307	AB-12347	01/25/00	S	200.000	BANYAN SYSTEMS INC	U	\$21.31	\$4,193.78	COMM CALC (Bonds @ 2.5%)		
				<u>200.000</u>	<u>200.000</u>				\$781.55	\$0.00	\$781.55
308	AB-12347	01/04/00	S	10.000	BANYAN SYSTEMS INC 200007 17.5 PUT	U	\$4.63	\$4,504.43	COMM CALC (Bonds @ 2.5%) OPEN		
309	AB-12347	01/21/00	B	10.000	BANYAN SYSTEMS INC 200007 17.5 PUT	U	\$3.75	\$3,844.94	COMM CALC (Bonds @ 2.5%) CLOSE		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		10.000	10.000						\$659.49	\$0.00	\$659.49
310	AB-12345	05/05/00	R	4,000.000							
				<b>BOSTON PROPERTIES INC</b>		\$144,500.00			VALUED PER STMT TRANS		
311	AB-12345	07/28/00	DIV				\$2,120.00		DIVIDEND		
312	AB-12345	10/17/00	S	4,000.000	U	\$39.75	\$158,220.44		COMM CALC (Bonds @ 2.5%)		
313	AB-12345	10/27/00	DIV				\$2,120.00		DIVIDEND		
				4,000.000					\$13,720.44	\$4,240.00	\$17,960.44
314	AB-12347	02/24/00	B	100.000	U	\$31.00	\$3,154.49		COMM CALC (Bonds @ 2.5%)		
315	AB-12347	03/01/00	B	100.000	U	\$30.13	\$3,067.56		COMM CALC (Bonds @ 2.5%)		
316	AB-12347	03/30/00	D	200.000			\$6,725.00		VALUED PER STMT TRANS		
				200.000					\$502.95	\$0.00	\$502.95
317	AB-12345	08/29/97	O	3,765.000					VALUED PER 08/29/97 PORT		
318	AB-12345	12/05/97	S	3,765.000	U	\$2.94	\$10,718.95		COMM CALC (Bonds @ 2.5%)		
				3,765.000					\$365.20	\$0.00	\$365.20
319	AB-12345	10/27/98	B	2,100.000					COMM CALC (Bonds @ 2.5%)		
320	AB-12345	01/22/99	B	7,900.000					COMM CALC (Bonds @ 2.5%)		
321	AB-12346	04/12/99	B	500.000					COMM CALC (Bonds @ 2.5%)		
322	AB-12345	03/29/00	D	10,000.000				\$591,250.00	VALUED PER STMT TRANS		
323	AB-12346	03/30/00	D	500.000				\$28,750.00	VALUED PER STMT TRANS		
324	AB-12345	05/05/00	R	2,000.000				\$116,874.00	VALUED PER STMT TRANS		
325	AB-12345	05/08/00	R	10,850.000				\$591,325.00	VALUED PER STMT TRANS		
326	AB-12345	05/10/00	NC	2,000.000					VIACOM INC B NON VTG		
327	AB-12345	05/10/00	NC	2,170.000					CBS CORP		
328	AB-12346	05/11/00	R	542.000				\$28,150.39	VALUED PER STMT TRANS		

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	S - Sold	D - Deliver	I - In Accoun	ROP - ROP/ROC	DR - Debit	

*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 15



## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
329	AB-12345	10/17/00	S								
				3,000.000	VIACOM INC B NON VTG	U	\$46.94	\$140,053.30	COMM CALC (Bonds @ 2.5%)		
330	AB-12346	11/20/00	S								
				242.000	VIACOM INC B NON VTG	U	\$55.06	\$13,151.63	COMM CALC (Bonds @ 2.5%)		
331	AB-12346	12/04/00	S								
				300.000	VIACOM INC B NON VTG	U	\$51.00	\$15,112.26	COMM CALC (Bonds @ 2.5%)		
332	AB-12345	12/04/00	S								
				5,000.000	VIACOM INC B NON VTG	U	\$50.94	\$253,924.51	COMM CALC (Bonds @ 2.5%)		
333	AB-12345	08/24/01	S								
				2,000.000	VIACOM INC B NON VTG	U	\$46.51	\$92,365.22	COMM CALC (Bonds @ 2.5%)		
334	AB-12345	09/12/01	S								
				1,000.000	VIACOM INC B NON VTG	U	\$37.87	\$37,471.11	COMM CALC (Bonds @ 2.5%)		
335	AB-12345	04/30/02	I								
				2,020.000	VIACOM INC B NON VTG		\$47.10	\$95,142.00	VALUED PER 04/30/02 PORT		
				<u>26,062.000</u>							
				26,062.000					\$157,721.77	\$0.00	\$157,721.77
336	AB-12345	01/26/98	S								
				50.000	CBS CORP 199807 30 PUT		\$3.13	\$15,236.93	COMM CALC (Bonds @ 2.5%) OPEN		
337	AB-12345	07/20/98	B								
				50.000	CBS CORP 199807 30 PUT				EXPIRED		
				<u>50.000</u>							
				50.000					\$15,236.93	\$0.00	\$15,236.93
338	AB-12345	03/05/98	S								
				100.000	CBS CORP 199901 30 PUT	U	\$2.25	\$21,866.47	COMM CALC (Bonds @ 2.5%) OPEN		
339	AB-12345	01/19/99	B								
				100.000	CBS CORP 199901 30 PUT				EXPIRED		
				<u>100.000</u>							
				100.000					\$21,866.47	\$0.00	\$21,866.47
340	AB-12345	03/26/98	S								
				60.000	CBS CORP 199901 35 PUT	U	\$4.00	\$23,508.88	COMM CALC (Bonds @ 2.5%) OPEN		
341	AB-12345	03/31/98	S								
				40.000	CBS CORP 199901 35 PUT		\$4.13	\$16,141.64	COMM CALC (Bonds @ 2.5%) OPEN		
342	AB-12345	10/22/98	B								
				21.000	CBS CORP 199901 35 PUT				ASSIGNED		
343	AB-12345	01/19/99	B								
				79.000	CBS CORP 199901 35 PUT				ASSIGNED		
				<u>100.000</u>							
				100.000					\$39,650.52	\$0.00	\$39,650.52
344	AB-12345	08/29/97	O	1,500.000	CHASE MANHATTAN CORP NEW		\$111.19	\$166,782.00	VALUED PER 08/29/97 PORT		
345	AB-12345	10/30/97	DIV		CHASE MANHATTAN CORP NEW			\$930.00	DIVIDEND		
346	AB-12345	02/02/98	DIV		CHASE MANHATTAN CORP NEW			\$930.00	DIVIDEND		
347	AB-12345	04/29/98	DIV		CHASE MANHATTAN CORP NEW			\$1,080.00	DIVIDEND		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 16

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
348	AB-12345	06/15/98	DIV	1,500.000	CHASE MANHATTAN CORP NEW				STOCK DIVIDEND		
349	AB-12345	07/30/98	DIV		CHASE MANHATTAN CORP NEW		\$1,080.00		DIVIDEND		
350	AB-12345	09/16/98	B	2,000.000	CHASE MANHATTAN CORP NEW	U \$45.00	\$90,639.99		COMM CALC (Bonds @ 2.5%)		
351	AB-12345	11/02/98	DIV		CHASE MANHATTAN CORP NEW		\$1,800.00		DIVIDEND		
352	AB-12345	02/01/99	DIV		CHASE MANHATTAN CORP NEW		\$1,800.00		DIVIDEND		
353	AB-12345	04/29/99	DIV		CHASE MANHATTAN CORP NEW		\$2,050.00		DIVIDEND		
354	AB-12345	08/02/99	DIV		CHASE MANHATTAN CORP NEW		\$2,050.00		DIVIDEND		
355	AB-12345	11/01/99	DIV		CHASE MANHATTAN CORP NEW		\$2,050.00		DIVIDEND		
356	AB-12345	01/28/00	DIV		CHASE MANHATTAN CORP NEW		\$2,050.00		DIVIDEND		
357	AB-12345	03/29/00	D	5,000.000	CHASE MANHATTAN CORP NEW		\$451,875.00		VALUED PER STMT TRANS		
358	AB-12345	05/05/00	R	5,000.000	CHASE MANHATTAN CORP NEW		\$360,940.00		VALUED PER STMT TRANS		
359	AB-12345	05/05/00	R	5,000.000	CHASE MANHATTAN CORP NEW		\$360,940.00		VALUED PER STMT TRANS		
360	AB-12345	06/12/00	DIV	5,000.000	CHASE MANHATTAN CORP NEW				STOCK DIVIDEND		
361	AB-12345	07/28/00	DIV		CHASE MANHATTAN CORP NEW		\$4,800.00		DIVIDEND		
362	AB-12345	10/16/00	S	5,000.000	CHASE MANHATTAN CORP NEW	U \$40.00	\$199,238.83		COMM CALC (Bonds @ 2.5%)		
363	AB-12345	10/30/00	DIV		CHASE MANHATTAN CORP NEW		\$4,800.00		DIVIDEND		
364	AB-12345	11/16/00	S	5,000.000	CHASE MANHATTAN CORP NEW	U \$41.81	\$208,301.03		COMM CALC (Bonds @ 2.5%)		
365	AB-12345	01/03/01	NC	5,000.000	JP MORGAN CHASE & CO				CHASE MANHATTAN CORP		
366	AB-12345	01/03/01	NC	5,000.000	CHASE MANHATTAN CORP NEW				JP MORGAN CHASE & CO		
367	AB-12345	01/31/01	DIV		JP MORGAN CHASE & CO		\$1,600.00		DIVIDEND		
368	AB-12345	04/27/01	DIV		JP MORGAN CHASE & CO		\$1,700.00		DIVIDEND		
369	AB-12345	07/30/01	DIV		JP MORGAN CHASE & CO		\$1,700.00		DIVIDEND		
370	AB-12345	08/24/01	S	2,000.000	JP MORGAN CHASE & CO	U \$41.10	\$81,587.43		COMM CALC (Bonds @ 2.5%)		
371	AB-12345	10/30/01	DIV		JP MORGAN CHASE & CO		\$1,020.00		DIVIDEND		
372	AB-12345	01/30/02	DIV		JP MORGAN CHASE & CO		\$1,020.00		DIVIDEND		
373	AB-12345	04/29/02	DIV		JP MORGAN CHASE & CO		\$1,020.00		DIVIDEND		
374	AB-12345	04/30/02	S	3,000.000	JP MORGAN CHASE & CO	U \$34.85	\$103,743.65		COMM CALC (Bonds @ 2.5%)		
				25,000.000	25,000.000				\$65,443.95	\$33,480.00	\$98,923.95

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 17

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
375	AB-12345	06/01/99	S	100.000	CHASE MANHATTAN CORP NEW 200101 60 PUT	\$7.75	\$76,786.41		COMM CALC (Bonds @ 2.5%) OPEN		
376	AB-12345	03/29/00	D	100.000	CHASE MANHATTAN CORP NEW 200101 60 PUT		\$20,625.00		VALUED PER STMT TRANS		
				100.000	100.000				\$56,161.41	\$0.00	\$56,161.41
377	AB-12345	05/27/99	S	100.000	CHASE MANHATTAN CORP NEW 200101 70 PUT	\$11.25	\$111,670.74		COMM CALC (Bonds @ 2.5%) OPEN		
378	AB-12345	03/29/00	D	100.000	CHASE MANHATTAN CORP NEW 200101 70 PUT		\$41,875.00		VALUED PER STMT TRANS		
				100.000	100.000				\$69,795.74	\$0.00	\$69,795.74
379	AB-12345	08/29/97	O	750.000	CINERGY CORP	\$33.06	\$24,797.25		VALUED PER 08/29/97 PORT		
380	AB-12345	11/17/97	DIV		CINERGY CORP		\$337.50		DIVIDEND		
381	AB-12345	02/17/98	DIV		CINERGY CORP		\$337.50		DIVIDEND		
382	AB-12345	05/15/98	DIV		CINERGY CORP		\$337.50		DIVIDEND		
383	AB-12345	08/17/98	DIV		CINERGY CORP		\$337.50		DIVIDEND		
384	AB-12345	11/16/98	DIV		CINERGY CORP		\$337.50		DIVIDEND		
385	AB-12345	02/16/99	DIV		CINERGY CORP		\$337.50		DIVIDEND		
386	AB-12345	05/17/99	DIV		CINERGY CORP		\$337.50		DIVIDEND		
387	AB-12345	08/16/99	DIV		CINERGY CORP		\$337.50		DIVIDEND		
388	AB-12345	11/15/99	DIV		CINERGY CORP		\$337.50		DIVIDEND		
389	AB-12345	02/15/00	DIV		CINERGY CORP		\$337.50		DIVIDEND		
390	AB-12345	03/29/00	D	750.000	CINERGY CORP		\$15,750.00		VALUED PER STMT TRANS		
391	AB-12345	05/05/00	R	750.000	CINERGY CORP		\$19,500.00		VALUED PER STMT TRANS		
392	AB-12345	08/15/00	DIV		CINERGY CORP		\$337.50		DIVIDEND		
393	AB-12345	11/15/00	DIV		CINERGY CORP		\$337.50		DIVIDEND		
394	AB-12345	02/15/01	DIV		CINERGY CORP		\$337.50		DIVIDEND		
395	AB-12345	05/15/01	DIV		CINERGY CORP		\$337.50		DIVIDEND		
396	AB-12345	08/15/01	DIV		CINERGY CORP		\$337.50		DIVIDEND		
397	AB-12345	11/15/01	DIV		CINERGY CORP		\$337.50		DIVIDEND		
398	AB-12345	02/15/02	DIV		CINERGY CORP		\$337.50		DIVIDEND		

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

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399	AB-12345	04/30/02	I	750.000 CINERGY CORP	\$35.53		\$26,647.50		VALUED PER 04/30/02 PORT		
				1,500.000 1,500.000					(\$1,899.75)	\$5,737.50	\$3,837.75
400	AB-12345	05/05/00	R	5,000.000 CISCO SYS INC		\$338,750.00			VALUED PER STMT TRANS		
401	AB-12345	05/31/00	S	5,000.000 CISCO SYS INC	U \$54.31		\$270,923.94		COMM CALC (Bonds @ 2.5%)		
				5,000.000 5,000.000					(\$67,826.06)	\$0.00	(\$67,826.06)
402	AB-12345	11/10/99	S	100.000 CISCO SYS INC 200201 55 PUT	U \$6.75		\$66,819.46		COMM CALC (Bonds @ 2.5%) OPEN		
403	AB-12345	03/23/00	NC	200.000 CISCO SYS INC 200201 27.5 PUT					CISCO SYS INC 200201 55 PUT		
404	AB-12345	03/23/00	NC	100.000 CISCO SYS INC 200201 55 PUT					CISCO SYS INC 200201 27.5 PUT		
405	AB-12345	03/29/00	D	200.000 CISCO SYS INC 200201 27.5 PUT		\$25,000.00			VALUED PER STMT TRANS		
				300.000 300.000					\$41,819.46	\$0.00	\$41,819.46
406	AB-12345	05/05/00	R	200.000 CISCO SYS INC 200201 50 PUT			\$165,000.00		VALUED PER STMT TRANS		
407	AB-12345	05/23/00	B	100.000 CISCO SYS INC 200201 50 PUT	U \$12.50	\$125,866.40			COMM CALC (Bonds @ 2.5%) CLOSE		
408	AB-12345	10/16/00	B	100.000 CISCO SYS INC 200201 50 PUT	U \$9.88	\$99,530.53			COMM CALC (Bonds @ 2.5%) CLOSE		
				200.000 200.000					(\$60,396.93)	\$0.00	(\$60,396.93)
409	AB-12345	05/05/00	R	100.000 CISCO SYS INC 200201 60 PUT			\$121,250.00		VALUED PER STMT TRANS		
410	AB-12345	05/30/00	B	100.000 CISCO SYS INC 200201 60 PUT	U \$16.50	\$165,997.26			COMM CALC (Bonds @ 2.5%) CLOSE		
				100.000 100.000					(\$44,747.26)	\$0.00	(\$44,747.26)
411	AB-12345	09/16/98	B	1,000.000 TRAVELERS GROUP INC	U \$39.88	\$40,139.89			COMM CALC (Bonds @ 2.5%)		
412	AB-12345	09/16/98	B	2,000.000 TRAVELERS GROUP INC	U \$39.31	\$79,159.50			COMM CALC (Bonds @ 2.5%)		
413	AB-12345	10/09/98	NC	3,000.000 CITIGROUP INC					TRAVELERS GROUP INC		
414	AB-12345	10/09/98	NC	3,000.000 TRAVELERS GROUP INC					CITIGROUP INC		
415	AB-12345	11/25/98	DIV	CITIGROUP INC			\$540.00		DIVIDEND		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
416	AB-12345	02/25/99	DIV	CITIGROUP INC			\$540.00		DIVIDEND		
417	AB-12346	03/30/99	R	400.000		\$25,650.00			VALUED PER STMT TRANS		
418	AB-12345	04/21/99	B	2,000.000	\$70.63	\$141,895.77			COMM CALC (Bonds @ 2.5%)		
419	AB-12345	05/27/99	DIV	CITIGROUP INC			\$1,050.00		DIVIDEND		
420	AB-12346	05/27/99	DIV	CITIGROUP INC			\$84.00		DIVIDEND		
421	AB-12345	06/01/99	DIV	2,500.000					STOCK DIVIDEND		
422	AB-12346	06/01/99	DIV	200.000					STOCK DIVIDEND		
423	AB-12345	06/29/99	B	2,500.000	U \$44.19	\$110,848.25			COMM CALC (Bonds @ 2.5%)		
424	AB-12345	08/27/99	DIV	CITIGROUP INC			\$1,400.00		DIVIDEND		
425	AB-12346	08/27/99	DIV	CITIGROUP INC			\$84.00		DIVIDEND		
426	AB-12345	11/24/99	DIV	CITIGROUP INC			\$1,400.00		DIVIDEND		
427	AB-12346	11/24/99	DIV	CITIGROUP INC			\$84.00		DIVIDEND		
428	AB-12345	02/25/00	DIV	CITIGROUP INC			\$1,600.00		DIVIDEND		
429	AB-12346	02/25/00	DIV	CITIGROUP INC			\$96.00		DIVIDEND		
430	AB-12345	03/29/00	D	10,000.000			\$607,500.00		VALUED PER STMT TRANS		
431	AB-12346	03/30/00	D	600.000			\$35,700.00		VALUED PER STMT TRANS		
432	AB-12345	05/05/00	R	10,500.000		\$625,411.50			VALUED PER STMT TRANS		
433	AB-12345	05/05/00	R	10,000.000		\$595,630.00			VALUED PER STMT TRANS		
434	AB-12346	05/11/00	R	600.000		\$35,362.80			VALUED PER STMT TRANS		
435	AB-12345	08/25/00	DIV	CITIGROUP INC			\$3,826.67		DIVIDEND		
436	AB-12346	08/25/00	DIV	CITIGROUP INC			\$112.00		DIVIDEND		
437	AB-12345	08/28/00	DIV	6,833.000					STOCK DIVIDEND		
438	AB-12346	08/28/00	DIV	200.000					STOCK DIVIDEND		
439	AB-12345	09/08/00	CR	CITIGROUP INC			\$18.44		CIL		
440	AB-12345	10/17/00	S	7,000.000	\$46.75		\$326,184.59		COMM CALC (Bonds @ 2.5%)		
441	AB-12346	11/22/00	DIV	CITIGROUP INC			\$112.00		DIVIDEND		
442	AB-12345	11/22/00	DIV	CITIGROUP INC			\$2,846.62		DIVIDEND		
443	AB-12345	12/04/00	S	5,000.000	U \$49.06		\$244,549.82		COMM CALC (Bonds @ 2.5%)		
444	AB-12346	02/23/01	DIV	CITIGROUP INC			\$112.00		DIVIDEND		

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01/08/03

Page: 20

## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
445	AB-12345	02/23/01	DIV	CITIGROUP INC			\$2,146.62		DIVIDEND		
446	AB-12346	02/28/01	S	300.000 CITIGROUP INC	U \$47.66		\$14,120.50		COMM CALC (Bonds @ 2.5%)		
447	AB-12345	03/15/01	S	5,000.000 CITIGROUP INC	U \$46.60		\$231,240.64		COMM CALC (Bonds @ 2.5%)		
448	AB-12346	03/23/01	S	100.000 CITIGROUP INC	U \$44.20		\$4,304.78		COMM CALC (Bonds @ 2.5%)		
449	AB-12345	03/23/01	S	5,000.000 CITIGROUP INC	U \$44.10		\$219,738.15		COMM CALC (Bonds @ 2.5%)		
450	AB-12346	04/06/01	S	300.000 CITIGROUP INC	U \$43.55		\$12,871.28		COMM CALC (Bonds @ 2.5%)		
451	AB-12346	04/06/01	S	100.000 CITIGROUP INC	U \$43.28		\$4,258.92		COMM CALC (Bonds @ 2.5%)		
452	AB-12345	05/25/01	DIV	CITIGROUP INC			\$746.62		DIVIDEND		
453	AB-12345	08/24/01	S	2,000.000 CITIGROUP INC	U \$47.70		\$94,735.95		COMM CALC (Bonds @ 2.5%)		
454	AB-12345	08/24/01	DIV	CITIGROUP INC			\$853.28		DIVIDEND		
455	AB-12345	09/26/01	S	3,333.000 CITIGROUP INC	U \$35.00		\$115,844.09		COMM CALC (Bonds @ 2.5%)		
				41,733.000					\$256,969.45	\$17,633.81	\$274,603.26
				41,733.000							
456	AB-12345	01/14/99	S	100.000 CITIGROUP INC 200101 40 PUT	\$5.50		\$54,245.69		COMM CALC (Bonds @ 2.5%) OPEN		
457	AB-12345	06/01/99	NC	100.000 CITIGROUP INC 200101 26.625 150 SHS PUT					CITIGROUP INC 200101 40 PUT		
458	AB-12345	06/01/99	NC	100.000 CITIGROUP INC 200101 40 PUT					CITIGROUP INC 200101 26.625 150 SHS PUT		
459	AB-12345	03/20/00	B	20.000 CITIGROUP INC 200101 26.625 150 SHS PUT	U \$0.25	\$789.49			COMM CALC (Bonds @ 2.5%) CLOSE		
460	AB-12345	03/29/00	D	80.000 CITIGROUP INC 200101 26.625 150 SHS PUT		\$1,500.00			VALUED PER STMT TRANS		
				200.000					\$51,956.20	\$0.00	\$51,956.20
				200.000							
461	AB-12345	05/18/99	S	100.000 CITIGROUP INC 200101 60 PUT	U \$7.38		\$73,048.80		COMM CALC (Bonds @ 2.5%) OPEN		
462	AB-12345	06/01/99	NC	100.000 CITIGROUP INC 200101 60 PUT					CITIGROUP INC 200101 40 150 SHS PUT		
463	AB-12345	06/01/99	NC	100.000 CITIGROUP INC 200101 40 150 SHS PUT					CITIGROUP INC 200101 60 PUT		
464	AB-12345	03/29/00	D	100.000 CITIGROUP INC 200101 40 150 SHS PUT		\$11,250.00			VALUED PER STMT TRANS		
				200.000					\$61,798.80	\$0.00	\$61,798.80
				200.000							
465	AB-12345	11/09/99	S	200.000 CITIGROUP INC 200201 45 PUT	U \$5.38		\$106,366.05		COMM CALC (Bonds @ 2.5%) OPEN		
466	AB-12345	03/29/00	D	200.000 CITIGROUP INC 200201 45 PUT		\$78,750.00			VALUED PER STMT TRANS		

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
			200,000	200,000					\$27,616.05	\$0.00	\$27,616.05
467	AB-12345	05/05/00	R	4,000,000	COLONIAL PROPERTIES TR		\$101,752.00		VALUED PER STMT TRANS		
468	AB-12345	08/04/00	DIV		COLONIAL PROPERTIES TR			\$2,400.00	DIVIDEND		
469	AB-12345	10/17/00	S	4,000,000	COLONIAL PROPERTIES TR	U	\$24.63	\$97,737.79	COMM CALC (Bonds @ 2.5%)		
				4,000,000	4,000,000				(\$4,014.21)	\$2,400.00	(\$1,614.21)
470	AB-12345	05/05/00	R	5,000,000	COMERICA INC		\$202,500.00		VALUED PER STMT TRANS		
471	AB-12345	07/03/00	DIV		COMERICA INC			\$2,000.00	DIVIDEND		
472	AB-12345	10/02/00	DIV		COMERICA INC			\$2,000.00	DIVIDEND		
473	AB-12345	11/24/00	S	5,000,000	COMERICA INC	U	\$48.68	\$242,612.38	COMM CALC (Bonds @ 2.5%)		
				5,000,000	5,000,000				\$40,112.38	\$4,000.00	\$44,112.38
474	AB-12345	04/21/99	B	10,000,000	COMPAQ COMPUTER CORP		\$23.94	\$240,129.50	COMM CALC (Bonds @ 2.5%)		
475	AB-12345	07/20/99	DIV		COMPAQ COMPUTER CORP			\$200.00	DIVIDEND		
476	AB-12345	10/20/99	DIV		COMPAQ COMPUTER CORP			\$200.00	DIVIDEND		
477	AB-12345	12/27/99	B	5,000,000	COMPAQ COMPUTER CORP	U	\$27.50	\$138,254.50	COMM CALC (Bonds @ 2.5%)		
478	AB-12345	12/28/99	B	5,000,000	COMPAQ COMPUTER CORP		\$28.25	\$142,004.50	COMM CALC (Bonds @ 2.5%)		
479	AB-12345	01/06/00	B	5,000,000	COMPAQ COMPUTER CORP		\$30.06	\$151,067.00	COMM CALC (Bonds @ 2.5%)		
480	AB-12345	01/20/00	DIV		COMPAQ COMPUTER CORP			\$500.00	DIVIDEND		
481	AB-12345	03/29/00	D	25,000,000	COMPAQ COMPUTER CORP			\$701,575.00	VALUED PER STMT TRANS		
				25,000,000	25,000,000				\$30,119.50	\$900.00	\$31,019.50
482	AB-12345	05/18/99	S		100,000 COMPAQ COMPUTER CORP 200101 25 PUT	U	\$5.50	\$54,360.76	COMM CALC (Bonds @ 2.5%) OPEN		
483	AB-12345	03/29/00	D	100,000	COMPAQ COMPUTER CORP 200101 25 PUT			\$35,000.00	VALUED PER STMT TRANS		
484	AB-12345	05/05/00	R		100,000 COMPAQ COMPUTER CORP 200101 25 PUT			\$32,500.00	VALUED PER STMT TRANS		
485	AB-12345	05/30/00	B	100,000	COMPAQ COMPUTER CORP 200101 25 PUT	U	\$3.50	\$35,594.86	COMM CALC (Bonds @ 2.5%) CLOSE		

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01/08/03

Page: 22

## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
			200.000	200.000					\$16,265.90	\$0.00	\$16,265.90
486	AB-12345	01/04/00	S								
				200.000	COMPAQ COMPUTER CORP 200201 30 PUT	U	\$7.38	\$146,233.86			COMM CALC (Bonds @ 2.5%) OPEN
487	AB-12345	03/29/00	D	200.000	COMPAQ COMPUTER CORP 200201 30 PUT			\$153,750.00			VALUED PER STMT TRANS
488	AB-12345	05/05/00	R	200.000	COMPAQ COMPUTER CORP 200201 30 PUT			\$156,250.00			VALUED PER STMT TRANS
489	AB-12345	05/30/00	B	200.000	COMPAQ COMPUTER CORP 200201 30 PUT	U	\$8.13	\$163,810.29			COMM CALC (Bonds @ 2.5%) CLOSE
				400.000					(\$15,076.43)	\$0.00	(\$15,076.43)
490	AB-12345	08/29/97	O	1,000.000	CONS EDISON		\$30.63	\$30,625.00			VALUED PER 08/29/97 PORT
491	AB-12345	09/15/97	DIV		CONS EDISON			\$525.00			DIVIDEND
492	AB-12345	12/15/97	DIV		CONS EDISON			\$525.00			DIVIDEND
493	AB-12345	01/12/98	NC	1,000.000	CONS EDISON CO						CONS EDISON
494	AB-12345	01/12/98	NC		1,000.000	CONS EDISON					CONS EDISON CO
495	AB-12345	03/16/98	DIV		CONS EDISON CO			\$530.00			DIVIDEND
496	AB-12345	06/15/98	DIV		CONS EDISON CO			\$530.00			DIVIDEND
497	AB-12345	09/15/98	DIV		CONS EDISON CO			\$530.00			DIVIDEND
498	AB-12345	12/15/98	DIV		CONS EDISON CO			\$530.00			DIVIDEND
499	AB-12345	03/15/99	DIV		CONS EDISON CO			\$535.00			DIVIDEND
500	AB-12345	06/15/99	DIV		CONS EDISON CO			\$535.00			DIVIDEND
501	AB-12345	09/15/99	DIV		CONS EDISON CO			\$535.00			DIVIDEND
502	AB-12345	12/15/99	DIV		CONS EDISON CO			\$535.00			DIVIDEND
503	AB-12345	03/15/00	DIV		CONS EDISON CO			\$545.00			DIVIDEND
504	AB-12345	03/29/00	D	1,000.000	CONS EDISON CO			\$29,000.00			VALUED PER STMT TRANS
505	AB-12345	05/05/00	R	1,000.000	CONS EDISON CO			\$34,000.00			VALUED PER STMT TRANS
506	AB-12345	06/15/00	DIV		CONS EDISON CO			\$545.00			DIVIDEND
507	AB-12345	09/15/00	DIV		CONS EDISON CO			\$545.00			DIVIDEND
508	AB-12345	12/15/00	DIV		CONS EDISON CO			\$545.00			DIVIDEND
509	AB-12345	03/15/01	DIV		CONS EDISON CO			\$550.00			DIVIDEND

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01/08/03

Page: 23



**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
510	AB-12345	06/15/01	DIV	CONS EDISON CO			\$550.00		DIVIDEND		
511	AB-12345	09/17/01	DIV	CONS EDISON CO			\$550.00		DIVIDEND		
512	AB-12345	12/17/01	DIV	CONS EDISON CO			\$550.00		DIVIDEND		
513	AB-12345	02/08/02	S	CONS EDISON CO	U \$40.91		\$40,467.72		COMM CALC (Bonds @ 2.5%)		
			3,000.000	3,000.000					\$4,842.72	\$9,690.00	\$14,532.72
514	AB-12345	05/05/00	R	CORUS BANKSHARES INC		\$75,189.00			VALUED PER STMT TRANS		
515	AB-12345	05/24/00	S	CORUS BANKSHARES INC	U \$25.13		\$64,606.18		COMM CALC (Bonds @ 2.5%)		
516	AB-12345	05/24/00	S	CORUS BANKSHARES INC	U \$25.38		\$2,510.03		COMM CALC (Bonds @ 2.5%)		
517	AB-12345	05/24/00	S	CORUS BANKSHARES INC	U \$25.25		\$4,995.05		COMM CALC (Bonds @ 2.5%)		
518	AB-12345	05/24/00	S	CORUS BANKSHARES INC	U \$25.13		\$2,485.02		COMM CALC (Bonds @ 2.5%)		
			3,000.000	3,000.000					(\$592.72)	\$0.00	(\$592.72)
519	AB-12347	01/12/00	B	CYCOMM INTL INC NEW	U \$1.19	\$2,450.75			COMM CALC (Bonds @ 2.5%)		
520	AB-12347	01/31/00	B	CYCOMM INTL INC NEW	U \$1.88	\$3,848.25			COMM CALC (Bonds @ 2.5%)		
521	AB-12347	03/06/00	B	CYCOMM INTL INC NEW	U \$1.88	\$1,929.50			COMM CALC (Bonds @ 2.5%)		
522	AB-12347	03/30/00	D	CYCOMM INTL INC NEW			\$11,875.00		VALUED PER STMT TRANS		
			5,000.000	5,000.000					\$3,646.50	\$0.00	\$3,646.50
523	AB-12347	02/25/00	B	DATA TRANSMISSION NETWORK	U \$22.06	\$4,488.89			COMM CALC (Bonds @ 2.5%)		
524	AB-12347	03/09/00	S	DATA TRANSMISSION NETWORK	U \$28.69		\$5,650.68		COMM CALC (Bonds @ 2.5%)		
			200.000	200.000					\$1,161.79	\$0.00	\$1,161.79
525	AB-12347	03/06/00	B	E DIGITAL CORP	U \$14.00	\$2,856.95			COMM CALC (Bonds @ 2.5%)		
526	AB-12347	03/09/00	B	E DIGITAL CORP	U \$14.75	\$1,529.49			COMM CALC (Bonds @ 2.5%)		
527	AB-12347	03/17/00	B	E DIGITAL CORP	U \$12.63	\$2,579.49			COMM CALC (Bonds @ 2.5%)		
528	AB-12347	03/30/00	D	E DIGITAL CORP			\$5,078.00		VALUED PER STMT TRANS		

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			500.000	500.000					(\$1,887.93)	\$0.00	(\$1,887.93)
529	AB-12345	02/08/02	R	1,000.000	E-LOAN INC		\$1,320.00		VALUED PER STMT TRANS		
530	AB-12345	04/30/02	I		1,000.000	E-LOAN INC	\$1.23	\$1,230.00	VALUED PER 04/30/02 PORT		
			1,000.000	1,000.000					(\$90.00)	\$0.00	(\$90.00)
531	AB-12345	11/27/98	B	100.000	E.SPIRE COMMUNICATIONS INC	\$7.63	\$775.80		COMM CALC (Bonds @ 2.5%)		
532	AB-12345	11/27/98	B	500.000	E.SPIRE COMMUNICATIONS INC	\$7.63	\$3,879.00		COMM CALC (Bonds @ 2.5%)		
533	AB-12345	11/27/98	B	2,000.000	E.SPIRE COMMUNICATIONS INC	\$7.63	\$15,520.58		COMM CALC (Bonds @ 2.5%)		
534	AB-12345	11/27/98	B	100.000	E.SPIRE COMMUNICATIONS INC	\$7.63	\$775.80		COMM CALC (Bonds @ 2.5%)		
535	AB-12345	11/27/98	B	600.000	E.SPIRE COMMUNICATIONS INC	\$7.63	\$4,654.80		COMM CALC (Bonds @ 2.5%)		
536	AB-12345	11/30/98	B	700.000	E.SPIRE COMMUNICATIONS INC	\$7.81	\$5,603.65		COMM CALC (Bonds @ 2.5%)		
537	AB-12345	02/03/99	B	1,000.000	E.SPIRE COMMUNICATIONS INC	\$5.00	\$5,104.60		COMM CALC (Bonds @ 2.5%)		
538	AB-12345	02/03/99	B	4,000.000	E.SPIRE COMMUNICATIONS INC	\$5.06	\$20,673.09		COMM CALC (Bonds @ 2.5%)		
539	AB-12345	02/22/99	B	500.000	E.SPIRE COMMUNICATIONS INC	\$5.31	\$2,709.40		COMM CALC (Bonds @ 2.5%)		
540	AB-12345	02/22/99	B	100.000	E.SPIRE COMMUNICATIONS INC	\$5.31	\$546.38		COMM CALC (Bonds @ 2.5%)		
541	AB-12345	02/22/99	B	500.000	E.SPIRE COMMUNICATIONS INC	\$5.31	\$2,709.40		COMM CALC (Bonds @ 2.5%)		
542	AB-12345	02/22/99	B	500.000	E.SPIRE COMMUNICATIONS INC	\$5.31	\$2,709.40		COMM CALC (Bonds @ 2.5%)		
543	AB-12345	02/22/99	B	500.000	E.SPIRE COMMUNICATIONS INC	\$5.31	\$2,709.40		COMM CALC (Bonds @ 2.5%)		
544	AB-12345	02/22/99	B	100.000	E.SPIRE COMMUNICATIONS INC	\$5.31	\$541.88		COMM CALC (Bonds @ 2.5%)		
545	AB-12345	02/22/99	B	1,000.000	E.SPIRE COMMUNICATIONS INC	\$5.31	\$5,418.80		COMM CALC (Bonds @ 2.5%)		
546	AB-12345	02/22/99	B	1,800.000	E.SPIRE COMMUNICATIONS INC	\$5.31	\$9,753.83		COMM CALC (Bonds @ 2.5%)		
547	AB-12345	02/25/99	B	25,000.000	E.SPIRE COMMUNICATIONS INC	\$4.85	\$122,710.00		COMM CALC (Bonds @ 2.5%)		
548	AB-12345	02/25/99	B	20,000.000	E.SPIRE COMMUNICATIONS INC	\$4.85	\$98,168.00		COMM CALC (Bonds @ 2.5%)		
549	AB-12345	02/25/99	B	50,000.000	E.SPIRE COMMUNICATIONS INC	\$4.85	\$245,420.00		COMM CALC (Bonds @ 2.5%)		
550	AB-12345	02/25/99	B	16,000.000	E.SPIRE COMMUNICATIONS INC	\$4.81	\$77,964.50		COMM CALC (Bonds @ 2.5%)		
551	AB-12345	03/16/99	S		25,000.000	E.SPIRE COMMUNICATIONS INC	U \$9.85	\$244,737.29	COMM CALC (Bonds @ 2.5%)		
552	AB-12345	03/29/00	D		100,000.000	E.SPIRE COMMUNICATIONS INC		\$1,043,800.00	VALUED PER STMT TRANS		

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553	AB-12345	05/05/00	R	100,000.000	E.SPIRE COMMUNICATIONS INC		\$493,800.00		VALUED PER STMT TRANS		
554	AB-12345	05/05/00	R	216,800.000	E.SPIRE COMMUNICATIONS INC		\$1,070,558.40		VALUED PER STMT TRANS		
555	AB-12345	05/31/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$367.48			
556	AB-12345	05/31/00	S	300.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$1,102.46	COMM CALC (Bonds @ 2.5%)		
557	AB-12345	05/31/00	S	200.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88	\$759.97	COMM CALC (Bonds @ 2.5%)		
558	AB-12345	05/31/00	S	400.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88	\$1,519.94	COMM CALC (Bonds @ 2.5%)		
559	AB-12345	05/31/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88	\$379.98			
560	AB-12345	05/31/00	S	2,900.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$10,657.13	COMM CALC (Bonds @ 2.5%)		
561	AB-12345	05/31/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$367.48			
562	AB-12345	05/31/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$3,674.87	COMM CALC (Bonds @ 2.5%)		
563	AB-12345	05/31/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$3,674.87	COMM CALC (Bonds @ 2.5%)		
564	AB-12345	05/31/00	S	300.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$1,102.46	COMM CALC (Bonds @ 2.5%)		
565	AB-12345	05/31/00	S	1,500.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88	\$5,699.80	COMM CALC (Bonds @ 2.5%)		
566	AB-12345	05/31/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$367.48			
567	AB-12345	05/31/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$367.48			
568	AB-12345	05/31/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$367.48			
569	AB-12345	05/31/00	S	500.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$1,837.43	COMM CALC (Bonds @ 2.5%)		
570	AB-12345	05/31/00	S	200.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$734.97	COMM CALC (Bonds @ 2.5%)		
571	AB-12345	05/31/00	S	500.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$1,837.43	COMM CALC (Bonds @ 2.5%)		
572	AB-12345	05/31/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$367.48			
573	AB-12345	05/31/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$3,674.87	COMM CALC (Bonds @ 2.5%)		
574	AB-12345	05/31/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$367.48			
575	AB-12345	05/31/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$367.48			
576	AB-12345	05/31/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$3,674.87	COMM CALC (Bonds @ 2.5%)		
577	AB-12345	05/31/00	S	400.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$1,469.95	COMM CALC (Bonds @ 2.5%)		
578	AB-12345	05/31/00	S	1,200.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$4,409.85	COMM CALC (Bonds @ 2.5%)		
579	AB-12345	05/31/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88	\$3,799.87	COMM CALC (Bonds @ 2.5%)		
580	AB-12345	05/31/00	S	3,800.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75	\$13,964.52	COMM CALC (Bonds @ 2.5%)		
581	AB-12345	05/31/00	S	1,500.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88	\$5,699.80	COMM CALC (Bonds @ 2.5%)		

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*Privileged/Confidential Attorney Work Product*

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
582	AB-12345	05/31/00	S	300.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88		\$1,139.96		COMM CALC (Bonds @ 2.5%)
583	AB-12345	05/31/00	S	1,500.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88		\$5,699.80		COMM CALC (Bonds @ 2.5%)
584	AB-12345	05/31/00	S	1,100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88		\$4,179.85		COMM CALC (Bonds @ 2.5%)
585	AB-12345	05/31/00	S	1,600.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88		\$6,079.79		COMM CALC (Bonds @ 2.5%)
586	AB-12345	05/31/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88		\$3,799.87		COMM CALC (Bonds @ 2.5%)
587	AB-12345	05/31/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75		\$367.48		
588	AB-12345	05/31/00	S	500.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75		\$1,832.93		COMM CALC (Bonds @ 2.5%)
589	AB-12345	05/31/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$3.75		\$3,674.87		COMM CALC (Bonds @ 2.5%)
590	AB-12345	05/31/00	S	4,700.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88		\$17,859.39		COMM CALC (Bonds @ 2.5%)
591	AB-12345	05/31/00	S	2,000.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88		\$7,599.74		COMM CALC (Bonds @ 2.5%)
592	AB-12345	05/31/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88		\$379.98		
593	AB-12345	05/31/00	S	1,700.000	E.SPIRE COMMUNICATIONS INC	U	\$3.88		\$6,459.78		COMM CALC (Bonds @ 2.5%)
594	AB-12345	09/28/00	S	500.000	E.SPIRE COMMUNICATIONS INC	U	\$3.22		\$1,555.07		COMM CALC (Bonds @ 2.5%)
595	AB-12345	09/28/00	S	8,500.000	E.SPIRE COMMUNICATIONS INC	U	\$3.22		\$26,431.38		COMM CALC (Bonds @ 2.5%)
596	AB-12345	09/28/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.22		\$311.01		COMM CALC (Bonds @ 2.5%)
597	AB-12345	09/28/00	S	2,100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.22		\$6,531.30		COMM CALC (Bonds @ 2.5%)
598	AB-12345	09/28/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$3.22		\$3,110.14		COMM CALC (Bonds @ 2.5%)
599	AB-12345	09/28/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.22		\$311.01		COMM CALC (Bonds @ 2.5%)
600	AB-12345	09/28/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.22		\$311.01		COMM CALC (Bonds @ 2.5%)
601	AB-12345	09/28/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$3.22		\$311.01		COMM CALC (Bonds @ 2.5%)
602	AB-12345	09/29/00	S	1,700.000	E.SPIRE COMMUNICATIONS INC	U	\$2.91		\$4,782.96		COMM CALC (Bonds @ 2.5%)
603	AB-12345	09/29/00	S	5,500.000	E.SPIRE COMMUNICATIONS INC	U	\$2.97		\$15,832.58		COMM CALC (Bonds @ 2.5%)
604	AB-12345	09/29/00	S	4,500.000	E.SPIRE COMMUNICATIONS INC	U	\$2.97		\$12,953.93		COMM CALC (Bonds @ 2.5%)
605	AB-12345	09/29/00	S	5,500.000	E.SPIRE COMMUNICATIONS INC	U	\$2.97		\$15,832.58		COMM CALC (Bonds @ 2.5%)
606	AB-12345	09/29/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.97		\$2,878.65		COMM CALC (Bonds @ 2.5%)
607	AB-12345	09/29/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.97		\$2,878.65		COMM CALC (Bonds @ 2.5%)
608	AB-12345	09/29/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$2.91		\$281.62		
609	AB-12345	09/29/00	S	700.000	E.SPIRE COMMUNICATIONS INC	U	\$2.94		\$1,993.18		COMM CALC (Bonds @ 2.5%)
610	AB-12345	10/16/00	S	5,100.000	E.SPIRE COMMUNICATIONS INC	U	\$2.31		\$11,435.04		COMM CALC (Bonds @ 2.5%)

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
611	AB-12345	10/17/00	S	44,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.38	\$102,921.21	COMM CALC (Bonds @ 2.5%)		
612	AB-12345	10/18/00	S	2,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.28	\$4,434.49	COMM CALC (Bonds @ 2.5%)		
613	AB-12345	10/18/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.13	\$2,060.82	COMM CALC (Bonds @ 2.5%)		
614	AB-12345	10/18/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.13	\$2,060.82	COMM CALC (Bonds @ 2.5%)		
615	AB-12345	10/18/00	S	1,400.000	E.SPIRE COMMUNICATIONS INC	U	\$2.22	\$3,016.40	COMM CALC (Bonds @ 2.5%)		
616	AB-12345	10/18/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.13	\$2,060.82	COMM CALC (Bonds @ 2.5%)		
617	AB-12345	10/18/00	S	4,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.25	\$8,743.30	COMM CALC (Bonds @ 2.5%)		
618	AB-12345	10/18/00	S	8,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.25	\$17,486.60	COMM CALC (Bonds @ 2.5%)		
619	AB-12345	10/18/00	S	4,400.000	E.SPIRE COMMUNICATIONS INC	U	\$2.13	\$9,063.14	COMM CALC (Bonds @ 2.5%)		
620	AB-12345	10/18/00	S	4,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.25	\$8,743.30	COMM CALC (Bonds @ 2.5%)		
621	AB-12345	10/18/00	S	200.000	E.SPIRE COMMUNICATIONS INC	U	\$2.19	\$424.66	COMM CALC (Bonds @ 2.5%)		
622	AB-12345	10/18/00	S	2,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.25	\$4,371.65	COMM CALC (Bonds @ 2.5%)		
623	AB-12345	10/18/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.22	\$2,154.57	COMM CALC (Bonds @ 2.5%)		
624	AB-12345	11/07/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.31	\$2,257.92	COMM CALC (Bonds @ 2.5%)		
625	AB-12345	11/07/00	S	2,800.000	E.SPIRE COMMUNICATIONS INC	U	\$2.38	\$6,492.67	COMM CALC (Bonds @ 2.5%)		
626	AB-12345	11/07/00	S	500.000	E.SPIRE COMMUNICATIONS INC	U	\$2.31	\$1,128.96	COMM CALC (Bonds @ 2.5%)		
627	AB-12345	11/07/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.41	\$2,350.67	COMM CALC (Bonds @ 2.5%)		
628	AB-12345	11/07/00	S	200.000	E.SPIRE COMMUNICATIONS INC	U	\$2.38	\$464.08	COMM CALC (Bonds @ 2.5%)		
629	AB-12345	11/07/00	S	4,200.000	E.SPIRE COMMUNICATIONS INC	U	\$2.16	\$8,827.04	COMM CALC (Bonds @ 2.5%)		
630	AB-12345	11/07/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.41	\$2,351.66	COMM CALC (Bonds @ 2.5%)		
631	AB-12345	11/07/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$2.41	\$235.17			
632	AB-12345	11/07/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.41	\$2,351.66	COMM CALC (Bonds @ 2.5%)		
633	AB-12345	11/07/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.41	\$2,351.66	COMM CALC (Bonds @ 2.5%)		
634	AB-12345	11/07/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.41	\$2,351.66	COMM CALC (Bonds @ 2.5%)		
635	AB-12345	11/07/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$2.41	\$235.17			
636	AB-12345	11/07/00	S	1,800.000	E.SPIRE COMMUNICATIONS INC	U	\$2.38	\$4,176.75	COMM CALC (Bonds @ 2.5%)		
637	AB-12345	11/07/00	S	1,700.000	E.SPIRE COMMUNICATIONS INC	U	\$2.28	\$3,785.35	COMM CALC (Bonds @ 2.5%)		
638	AB-12345	11/07/00	S	900.000	E.SPIRE COMMUNICATIONS INC	U	\$2.31	\$2,032.13	COMM CALC (Bonds @ 2.5%)		
639	AB-12345	11/07/00	S	4,600.000	E.SPIRE COMMUNICATIONS INC	U	\$2.31	\$10,386.44	COMM CALC (Bonds @ 2.5%)		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
640	AB-12345	11/07/00	S	1,600.000	E.SPIRE COMMUNICATIONS INC	U	\$2.28		\$3,562.67		COMM CALC (Bonds @ 2.5%)
641	AB-12345	11/07/00	S	2,500.000	E.SPIRE COMMUNICATIONS INC	U	\$2.25		\$5,488.56		COMM CALC (Bonds @ 2.5%)
642	AB-12345	11/07/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.31		\$2,257.92		COMM CALC (Bonds @ 2.5%)
643	AB-12345	11/07/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.31		\$2,257.92		COMM CALC (Bonds @ 2.5%)
644	AB-12345	11/07/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$2.31		\$2,257.92		COMM CALC (Bonds @ 2.5%)
645	AB-12345	11/14/00	S	500.000	E.SPIRE COMMUNICATIONS INC	U	\$1.75		\$859.97		COMM CALC (Bonds @ 2.5%)
646	AB-12345	11/14/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$1.88		\$184.49		
647	AB-12345	11/14/00	S	2,500.000	E.SPIRE COMMUNICATIONS INC	U	\$1.75		\$4,299.85		COMM CALC (Bonds @ 2.5%)
648	AB-12345	11/14/00	S	4,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.75		\$6,879.76		COMM CALC (Bonds @ 2.5%)
649	AB-12345	11/14/00	S	5,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.75		\$8,599.70		COMM CALC (Bonds @ 2.5%)
650	AB-12345	11/14/00	S	2,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.88		\$3,689.87		COMM CALC (Bonds @ 2.5%)
651	AB-12345	11/14/00	S	2,900.000	E.SPIRE COMMUNICATIONS INC	U	\$1.75		\$4,987.83		COMM CALC (Bonds @ 2.5%)
652	AB-12345	11/14/00	S	4,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.88		\$7,375.25		COMM CALC (Bonds @ 2.5%)
653	AB-12345	11/14/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.88		\$1,844.93		COMM CALC (Bonds @ 2.5%)
654	AB-12345	11/14/00	S	2,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.78		\$3,502.38		COMM CALC (Bonds @ 2.5%)
655	AB-12345	11/14/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$1.78		\$175.12		
656	AB-12345	11/14/00	S	5,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.88		\$9,224.68		COMM CALC (Bonds @ 2.5%)
657	AB-12345	11/14/00	S	6,100.000	E.SPIRE COMMUNICATIONS INC	U	\$1.75		\$10,491.64		COMM CALC (Bonds @ 2.5%)
658	AB-12345	11/14/00	S	3,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.75		\$5,159.82		COMM CALC (Bonds @ 2.5%)
659	AB-12345	11/14/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$1.75		\$171.99		
660	AB-12345	11/14/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$1.78		\$175.12		
661	AB-12345	11/14/00	S	1,500.000	E.SPIRE COMMUNICATIONS INC	U	\$1.78		\$2,626.79		COMM CALC (Bonds @ 2.5%)
662	AB-12345	11/14/00	S	100.000	E.SPIRE COMMUNICATIONS INC	U	\$1.78		\$175.12		
663	AB-12345	11/14/00	S	4,600.000	E.SPIRE COMMUNICATIONS INC	U	\$1.88		\$8,486.71		COMM CALC (Bonds @ 2.5%)
664	AB-12345	11/14/00	S	400.000	E.SPIRE COMMUNICATIONS INC	U	\$1.88		\$737.97		COMM CALC (Bonds @ 2.5%)
665	AB-12345	11/15/00	S	800.000	E.SPIRE COMMUNICATIONS INC	U	\$1.69		\$1,325.95		COMM CALC (Bonds @ 2.5%)
666	AB-12345	11/15/00	S	1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.69		\$1,657.44		COMM CALC (Bonds @ 2.5%)
667	AB-12345	11/15/00	S	5,500.000	E.SPIRE COMMUNICATIONS INC	U	\$1.66		\$8,944.07		COMM CALC (Bonds @ 2.5%)
668	AB-12345	11/15/00	S	2,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.66		\$3,252.38		COMM CALC (Bonds @ 2.5%)

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669	AB-12345	11/15/00	S								
				10,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.53		\$15,011.98		COMM CALC (Bonds @ 2.5%)
670	AB-12345	11/15/00	S								
				1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.70		\$1,668.57		COMM CALC (Bonds @ 2.5%)
671	AB-12345	11/15/00	S								
				2,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.66		\$3,252.38		COMM CALC (Bonds @ 2.5%)
672	AB-12345	11/15/00	S								
				100.000	E.SPIRE COMMUNICATIONS INC	U	\$1.69		\$165.74		
673	AB-12345	11/15/00	S								
				5,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.53		\$7,505.99		COMM CALC (Bonds @ 2.5%)
674	AB-12345	11/15/00	S								
				5,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.66		\$8,130.97		COMM CALC (Bonds @ 2.5%)
675	AB-12345	11/15/00	S								
				1,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.69		\$1,657.44		COMM CALC (Bonds @ 2.5%)
676	AB-12345	11/15/00	S								
				1,200.000	E.SPIRE COMMUNICATIONS INC	U	\$1.70		\$2,007.68		COMM CALC (Bonds @ 2.5%)
677	AB-12345	11/15/00	S								
				100.000	E.SPIRE COMMUNICATIONS INC	U	\$1.72		\$168.87		
678	AB-12345	11/15/00	S								
				100.000	E.SPIRE COMMUNICATIONS INC	U	\$1.72		\$168.87		
679	AB-12345	11/15/00	S								
				20,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.56		\$30,648.95		COMM CALC (Bonds @ 2.5%)
680	AB-12345	11/15/00	S								
				10,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.53		\$15,011.98		COMM CALC (Bonds @ 2.5%)
681	AB-12345	11/15/00	S								
				600.000	E.SPIRE COMMUNICATIONS INC	U	\$1.70		\$1,003.84		COMM CALC (Bonds @ 2.5%)
682	AB-12345	11/15/00	S								
				100.000	E.SPIRE COMMUNICATIONS INC	U	\$1.69		\$165.74		
683	AB-12345	11/15/00	S								
				2,000.000	E.SPIRE COMMUNICATIONS INC	U	\$1.69		\$3,314.88		COMM CALC (Bonds @ 2.5%)
684	AB-12345	11/15/00	S								
				2,500.000	E.SPIRE COMMUNICATIONS INC	U	\$1.66		\$4,065.49		COMM CALC (Bonds @ 2.5%)
685	AB-12345	04/30/02	I								
				25,000.000	E.SPIRE COMMUNICATIONS INC		\$0.00		\$25.00		VALUED PER 04/30/02 PORT
				<u>441,800.000</u>							
				<u>441,800.000</u>							
									<b>(\$240,791.02)</b>	<b>\$0.00</b>	<b>(\$240,791.02)</b>
686	AB-12345	05/05/99	B	50.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL		\$0.73	\$3,837.96			COMM CALC (Bonds @ 2.5%) OPEN
687	AB-12345	05/06/99	B	17.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	U	\$0.75	\$1,352.54			COMM CALC (Bonds @ 2.5%) OPEN
688	AB-12345	05/06/99	B	2.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	U	\$0.94	\$196.62			OPEN
689	AB-12345	05/06/99	B	50.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL		\$0.88	\$4,607.55			COMM CALC (Bonds @ 2.5%) OPEN
690	AB-12345	05/07/99	B	6.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL		\$1.13	\$711.65			COMM CALC (Bonds @ 2.5%) OPEN
691	AB-12345	05/07/99	B	25.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	U	\$1.19	\$3,102.72			COMM CALC (Bonds @ 2.5%) OPEN
692	AB-12345	05/13/99	S		50.000 E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	U	\$1.75		\$8,475.89		COMM CALC (Bonds @ 2.5%) CLOSE
693	AB-12345	05/20/99	S		25.000 E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	U	\$2.25		\$5,485.18		COMM CALC (Bonds @ 2.5%) CLOSE
694	AB-12345	05/20/99	S		25.000 E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	U	\$1.75		\$4,230.71		COMM CALC (Bonds @ 2.5%) CLOSE
695	AB-12345	05/21/99	S		25.000 E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	U	\$1.50		\$3,597.70		COMM CALC (Bonds @ 2.5%) CLOSE

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
696	AB-12345	05/24/99	S	25.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$0.38	\$805.95		COMM CALC (Bonds @ 2.5%) CLOSE		
				150.000	150.000				\$8,786.39	\$0.00	\$8,786.39
697	AB-12345	02/22/99	S	100.000	E.SPIRE COMMUNICATIONS INC 199909 5 PUT	\$1.63	\$15,666.22		COMM CALC (Bonds @ 2.5%) OPEN		
698	AB-12345	09/20/99	B	100.000	E.SPIRE COMMUNICATIONS INC 199909 5 PUT				EXPIRED		
				100.000	100.000				\$15,666.22	\$0.00	\$15,666.22
699	AB-12347	03/16/00	B	300.000	EAGLE WIRELESS INTL INC	\$17.00	\$5,183.78		COMM CALC (Bonds @ 2.5%)		
700	AB-12347	03/30/00	D	300.000	EAGLE WIRELESS INTL INC		\$3,543.90		VALUED PER STMT TRANS		
				300.000	300.000				(\$1,639.88)	\$0.00	(\$1,639.88)
701	AB-12345	08/29/97	O	1,000.000	EASTMAN KODAK CO	\$65.38	\$65,375.00		VALUED PER 08/29/97 PORT		
702	AB-12345	10/01/97	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
703	AB-12345	01/02/98	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
704	AB-12345	04/01/98	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
705	AB-12345	07/01/98	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
706	AB-12345	10/01/98	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
707	AB-12345	01/04/99	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
708	AB-12345	04/01/99	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
709	AB-12345	07/01/99	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
710	AB-12345	10/01/99	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
711	AB-12345	01/03/00	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
712	AB-12345	03/29/00	D	1,000.000	EASTMAN KODAK CO		\$54,813.00		VALUED PER STMT TRANS		
713	AB-12345	04/03/00	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
714	AB-12345	05/05/00	R	1,000.000	EASTMAN KODAK CO		\$54,563.00		VALUED PER STMT TRANS		
715	AB-12345	07/03/00	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		
716	AB-12345	10/02/00	S	1,000.000	EASTMAN KODAK CO	U \$40.94	\$40,831.63		COMM CALC (Bonds @ 2.5%)		
717	AB-12345	10/02/00	DIV		EASTMAN KODAK CO		\$440.00		DIVIDEND		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

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		2,000.000	2,000.000						(\$24,293.37)	\$5,720.00	(\$18,573.37)
718	AB-12347	02/01/00	B	200.000	ECI TELECOM LTD ISRAEL	U	\$28.94	\$5,867.06			COMM CALC (Bonds @ 2.5%)
719	AB-12347	03/02/00	DIV		ECI TELECOM LTD ISRAEL			\$7.50			DIVIDEND
720	AB-12347	03/30/00	D	200.000	ECI TELECOM LTD ISRAEL			\$6,212.60			VALUED PER STMT TRANS
		200.000	200.000						\$345.54	\$7.50	\$353.04
721	AB-12345	12/13/99	B	900.000	TECHNOLOGY SOLUTIONS CO	U	\$38.19	\$34,508.25			COMM CALC (Bonds @ 2.5%)
722	AB-12345	12/13/99	B	800.000	TECHNOLOGY SOLUTIONS CO	U	\$38.19	\$30,670.00			COMM CALC (Bonds @ 2.5%)
723	AB-12345	12/13/99	B	700.000	TECHNOLOGY SOLUTIONS CO	U	\$38.19	\$26,836.25			COMM CALC (Bonds @ 2.5%)
724	AB-12345	12/13/99	B	1,200.000	TECHNOLOGY SOLUTIONS CO	U	\$38.19	\$46,005.00			COMM CALC (Bonds @ 2.5%)
725	AB-12345	12/13/99	B	200.000	TECHNOLOGY SOLUTIONS CO	U	\$38.19	\$7,667.50			COMM CALC (Bonds @ 2.5%)
726	AB-12345	12/14/99	B	1,200.000	TECHNOLOGY SOLUTIONS CO	U	\$38.63	\$46,714.50			COMM CALC (Bonds @ 2.5%)
727	AB-12345	02/16/00	DIV	5,000.000	ELOYALTY CORP						STOCK DIVIDEND SPINOFF
728	AB-12345	03/29/00	D	5,000.000	ELOYALTY CORP			\$125,625.00			VALUED PER STMT TRANS
729	AB-12345	03/29/00	D	5,000.000	TECHNOLOGY SOLUTIONS CO			\$45,000.00			VALUED PER STMT TRANS
730	AB-12345	05/04/00	S	5,300.000	ELOYALTY CORP	U	\$13.38	\$70,350.63			COMM CALC (Bonds @ 2.5%)
731	AB-12345	05/04/00	S	5,000.000	ELOYALTY CORP	U	\$13.63	\$67,622.72			COMM CALC (Bonds @ 2.5%)
732	AB-12345	05/04/00	S	100.000	ELOYALTY CORP	U	\$13.63	\$1,352.45			COMM CALC (Bonds @ 2.5%)
733	AB-12345	05/04/00	S	100.000	ELOYALTY CORP	U	\$13.56	\$1,346.20			COMM CALC (Bonds @ 2.5%)
734	AB-12345	05/04/00	S	300.000	ELOYALTY CORP	U	\$13.50	\$4,019.86			COMM CALC (Bonds @ 2.5%)
735	AB-12345	05/04/00	S	3,500.000	ELOYALTY CORP	U	\$13.38	\$46,460.93			COMM CALC (Bonds @ 2.5%)
736	AB-12345	05/05/00	S	500.000	TECHNOLOGY SOLUTIONS CO	U	\$6.00	\$2,949.90			COMM CALC (Bonds @ 2.5%)
737	AB-12345	05/05/00	S	4,900.000	TECHNOLOGY SOLUTIONS CO	U	\$5.88	\$28,296.54			COMM CALC (Bonds @ 2.5%)
738	AB-12345	05/05/00	S	4,600.000	TECHNOLOGY SOLUTIONS CO	U	\$5.88	\$26,564.09			COMM CALC (Bonds @ 2.5%)
739	AB-12345	05/05/00	S	1,000.000	TECHNOLOGY SOLUTIONS CO	U	\$5.88	\$5,774.80			COMM CALC (Bonds @ 2.5%)
740	AB-12345	05/05/00	S	500.000	TECHNOLOGY SOLUTIONS CO	U	\$5.88	\$2,887.40			COMM CALC (Bonds @ 2.5%)
741	AB-12345	05/05/00	S	300.000	TECHNOLOGY SOLUTIONS CO	U	\$5.88	\$1,732.44			COMM CALC (Bonds @ 2.5%)

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI		
742	AB-12345	05/05/00	S	100.000	TECHNOLOGY SOLUTIONS CO	U	\$5.88		\$577.48		COMM CALC (Bonds @ 2.5%)		
743	AB-12345	05/05/00	S	100.000	TECHNOLOGY SOLUTIONS CO	U	\$5.88		\$577.48		COMM CALC (Bonds @ 2.5%)		
744	AB-12345	05/05/00	S	100.000	TECHNOLOGY SOLUTIONS CO	U	\$5.88		\$577.48		COMM CALC (Bonds @ 2.5%)		
745	AB-12345	05/05/00	S	100.000	TECHNOLOGY SOLUTIONS CO	U	\$6.00		\$589.98		COMM CALC (Bonds @ 2.5%)		
746	AB-12345	05/05/00	S	100.000	TECHNOLOGY SOLUTIONS CO	U	\$5.88		\$577.48		COMM CALC (Bonds @ 2.5%)		
747	AB-12345	05/05/00	S	900.000	TECHNOLOGY SOLUTIONS CO	U	\$6.00		\$5,309.82		COMM CALC (Bonds @ 2.5%)		
748	AB-12345	05/05/00	S	1,800.000	TECHNOLOGY SOLUTIONS CO	U	\$5.88		\$10,390.14		COMM CALC (Bonds @ 2.5%)		
749	AB-12345	05/05/00	R	4,300.000	ELOYALTY CORP			\$86,000.00			VALUED PER STMT TRANS		
750	AB-12345	05/05/00	R	5,000.000	TECHNOLOGY SOLUTIONS CO			\$29,065.00			VALUED PER STMT TRANS		
751	AB-12345	05/05/00	R	15,000.000	ELOYALTY CORP			\$300,000.00			VALUED PER STMT TRANS		
752	AB-12345	05/05/00	R	15,000.000	TECHNOLOGY SOLUTIONS CO			\$87,195.00			VALUED PER STMT TRANS		
753	AB-12345	05/31/00	S	300.000	ELOYALTY CORP	U	\$14.25		\$4,225.35		COMM CALC (Bonds @ 2.5%)		
754	AB-12345	05/31/00	S	100.000	ELOYALTY CORP	U	\$14.44		\$1,428.70		COMM CALC (Bonds @ 2.5%)		
755	AB-12345	06/01/00	S	100.000	ELOYALTY CORP	U	\$13.19		\$1,313.70				
756	AB-12345	06/01/00	S	300.000	ELOYALTY CORP	U	\$13.19		\$3,941.11		COMM CALC (Bonds @ 2.5%)		
757	AB-12345	06/01/00	S	100.000	ELOYALTY CORP	U	\$13.19		\$1,313.70				
758	AB-12345	06/01/00	S	100.000	ELOYALTY CORP	U	\$13.19		\$1,313.70				
759	AB-12345	06/01/00	S	100.000	ELOYALTY CORP	U	\$13.19		\$1,313.70				
760	AB-12345	06/01/00	S	100.000	ELOYALTY CORP	U	\$13.19		\$1,313.70				
761	AB-12345	06/01/00	S	500.000	ELOYALTY CORP	U	\$13.19		\$6,568.53		COMM CALC (Bonds @ 2.5%)		
762	AB-12345	06/01/00	S	1,600.000	ELOYALTY CORP	U	\$13.19		\$21,014.79		COMM CALC (Bonds @ 2.5%)		
763	AB-12345	06/01/00	S	1,100.000	ELOYALTY CORP	U	\$13.19		\$14,450.76		COMM CALC (Bonds @ 2.5%)		
764	AB-12345	06/01/00	S	600.000	ELOYALTY CORP	U	\$13.19		\$7,882.23		COMM CALC (Bonds @ 2.5%)		
765	AB-12345	10/18/00	S	500.000	TECHNOLOGY SOLUTIONS CO	U	\$2.19		\$1,058.16		COMM CALC (Bonds @ 2.5%)		
766	AB-12345	10/18/00	S	1,700.000	TECHNOLOGY SOLUTIONS CO	U	\$2.19		\$3,597.75		COMM CALC (Bonds @ 2.5%)		
767	AB-12345	10/18/00	S	2,700.000	TECHNOLOGY SOLUTIONS CO	U	\$2.19		\$5,709.61		COMM CALC (Bonds @ 2.5%)		
768	AB-12345	10/18/00	S	100.000	TECHNOLOGY SOLUTIONS CO	U	\$2.19		\$211.63				
				49,300.000	49,300.000						(\$169,421.56)	\$0.00	(\$169,421.56)

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

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769	AB-12347	10/16/00	B	300.000	ENERGY CONVERSION DEVICES	U	\$32.00	\$9,654.49				
770	AB-12347	02/14/01	S		300.000 ENERGY CONVERSION DEVICES	U	\$23.63		\$6,991.24			
				300.000	300.000							
									(\$2,663.25)	\$0.00	(\$2,663.25)	
771	AB-12345	10/20/97	B	3,000.000	ENZON INC		\$6.06	\$18,559.13				
772	AB-12345	10/22/97	B	2,000.000	ENZON INC	U	\$6.88	\$14,031.30				
773	AB-12345	03/15/99	B	5,000.000	ENZON INC	U	\$16.36	\$82,210.50				
774	AB-12345	03/29/00	D		10,000.000 ENZON INC				\$409,380.00			
775	AB-12345	05/05/00	R	10,000.000	ENZON INC			\$357,500.00				
776	AB-12345	05/05/00	R	4,000.000	ENZON INC			\$143,000.00				
777	AB-12345	11/07/00	S		100.000 ENZON INC	U	\$74.13		\$7,401.25			
778	AB-12345	11/07/00	S		1,000.000 ENZON INC	U	\$74.13		\$74,008.02			
779	AB-12345	11/07/00	S		500.000 ENZON INC	U	\$74.50		\$37,193.75			
780	AB-12345	11/07/00	S		2,000.000 ENZON INC	U	\$74.13		\$148,025.05			
781	AB-12345	11/07/00	S		100.000 ENZON INC	U	\$74.13		\$7,401.25			
782	AB-12345	11/07/00	S		300.000 ENZON INC	U	\$74.25		\$22,241.25			
783	AB-12345	11/07/00	S		1,000.000 ENZON INC	U	\$74.25		\$74,137.52			
784	AB-12345	11/07/00	S		1,000.000 ENZON INC	U	\$74.38		\$74,262.52			
785	AB-12345	11/07/00	S		1,000.000 ENZON INC	U	\$74.13		\$74,012.52			
786	AB-12345	11/24/00	S		100.000 ENZON INC	U	\$57.25		\$5,704.80			
787	AB-12345	11/24/00	S		500.000 ENZON INC	U	\$57.13		\$28,461.54			
788	AB-12345	11/24/00	S		200.000 ENZON INC	U	\$57.25		\$11,409.61			
789	AB-12345	11/24/00	S		300.000 ENZON INC	U	\$57.13		\$17,076.92			
790	AB-12345	11/24/00	S		100.000 ENZON INC	U	\$57.13		\$5,692.30			
791	AB-12345	11/24/00	S		1,500.000 ENZON INC	U	\$57.13		\$85,384.64			
792	AB-12345	11/24/00	S		500.000 ENZON INC	U	\$57.13		\$28,461.54			
793	AB-12345	11/24/00	S		800.000 ENZON INC	U	\$57.13		\$45,533.97			
794	AB-12345	04/30/02	I		3,000.000 ENZON INC		\$37.24		\$111,720.00			

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**Case Name: INVESTOR V. BROKERAGE FIRM**

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		24,000.000	24,000.000						\$652,207.52	\$0.00	\$652,207.52
795	AB-12345	05/05/00	R	200.000 ENZON INC 200011 35 PUT			\$192,500.00		VALUED PER STMT TRANS		
796	AB-12345	05/30/00	B	200.000 ENZON INC 200011 35 PUT	U	\$13.38	\$269,153.80		COMM CALC (Bonds @ 2.5%) CLOSE		
		200.000	200.000						(\$76,653.80)	\$0.00	(\$76,653.80)
797	AB-12347	02/22/99	B	2,000.000 ESPIRE COMMUNICATIONS INC		\$5.37	\$10,998.15		COMM CALC (Bonds @ 2.5%)		
798	AB-12347	03/12/99	S	2,000.000 ESPIRE COMMUNICATIONS INC	U	\$8.17	\$16,222.95		COMM CALC (Bonds @ 2.5%)		
		2,000.000	2,000.000						\$5,224.80	\$0.00	\$5,224.80
799	AB-12345	12/13/99	S	300.000 FEDERAL HOME LN MTG 200101 45 PUT	U	\$4.25	\$125,978.76		COMM CALC (Bonds @ 2.5%) OPEN		
800	AB-12345	03/29/00	D	300.000 FEDERAL HOME LN MTG 200101 45 PUT			\$166,875.00		VALUED PER STMT TRANS		
801	AB-12345	05/05/00	R	255.000 FEDERAL HOME LN MTG 200101 45 PUT			\$176,906.25		VALUED PER STMT TRANS		
802	AB-12345	05/23/00	B	255.000 FEDERAL HOME LN MTG 200101 45 PUT	U	\$5.46	\$140,723.58		COMM CALC (Bonds @ 2.5%) CLOSE		
		555.000	555.000						(\$4,713.57)	\$0.00	(\$4,713.57)
803	AB-12345	03/14/00	S	200.000 FEDERAL HOME LN MTG 200201 40 PUT	U	\$7.00	\$138,758.65		COMM CALC (Bonds @ 2.5%) OPEN		
804	AB-12345	03/29/00	D	200.000 FEDERAL HOME LN MTG 200201 40 PUT			\$101,250.00		VALUED PER STMT TRANS		
805	AB-12345	05/05/00	R	200.000 FEDERAL HOME LN MTG 200201 40 PUT			\$123,750.00		VALUED PER STMT TRANS		
806	AB-12345	05/26/00	B	200.000 FEDERAL HOME LN MTG 200201 40 PUT	U	\$5.25	\$106,122.18		COMM CALC (Bonds @ 2.5%) CLOSE		
		400.000	400.000						\$55,136.47	\$0.00	\$55,136.47
807	AB-12345	11/08/99	S	200.000 FEDERAL HOME LN MTG 200201 50 PUT	U	\$6.75	\$133,775.18		COMM CALC (Bonds @ 2.5%) OPEN		
808	AB-12345	03/29/00	D	200.000 FEDERAL HOME LN MTG 200201 50 PUT			\$198,750.00		VALUED PER STMT TRANS		
809	AB-12345	05/05/00	R	200.000 FEDERAL HOME LN MTG 200201 50 PUT			\$235,000.00		VALUED PER STMT TRANS		
810	AB-12345	05/26/00	B	200.000 FEDERAL HOME LN MTG 200201 50 PUT	U	\$10.19	\$205,195.24		COMM CALC (Bonds @ 2.5%) CLOSE		

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		400,000	400,000						(\$35,170.06)	\$0.00	(\$35,170.06)
811	AB-12345	08/29/97	O	5,000.000	FIRST PACIFIC NETWORKS INC	\$0.02	\$100.00		VALUED PER 08/29/97 PORT		
812	AB-12345	03/29/00	D		5,000.000	FIRST PACIFIC NETWORKS INC		\$15.00	VALUED PER STMT TRANS		
813	AB-12345	05/05/00	R	5,000.000	FIRST PACIFIC NETWORKS INC		\$20.00		VALUED PER STMT TRANS		
814	AB-12345	04/30/02	I		5,000.000	FIRST PACIFIC NETWORKS INC			NOT VALUED PER 04/30/02 PORT		
				10,000.000	10,000.000				(\$105.00)	\$0.00	(\$105.00)
815	AB-12345	05/05/00	R	5,000.000	FIRST UNION CORP		\$156,250.00		VALUED PER STMT TRANS		
816	AB-12345	06/15/00	DIV		FIRST UNION CORP		\$2,400.00		DIVIDEND		
817	AB-12345	09/15/00	DIV		FIRST UNION CORP		\$2,400.00		DIVIDEND		
818	AB-12345	11/15/00	S		5,000.000	FIRST UNION CORP	U \$30.44	\$151,427.92	COMM CALC (Bonds @ 2.5%)		
				5,000.000	5,000.000				(\$4,822.08)	\$4,800.00	(\$22.08)
819	AB-12345	05/05/00	R	524.000	FIRSTENERGY CORP		\$12,543.51		VALUED PER STMT TRANS		
820	AB-12345	06/01/00	DIV		FIRSTENERGY CORP		\$196.50		DIVIDEND		
821	AB-12345	09/01/00	DIV		FIRSTENERGY CORP		\$196.50		DIVIDEND		
822	AB-12345	12/01/00	DIV		FIRSTENERGY CORP		\$196.50		DIVIDEND		
823	AB-12345	03/01/01	DIV		FIRSTENERGY CORP		\$196.50		DIVIDEND		
824	AB-12345	06/01/01	DIV		FIRSTENERGY CORP		\$196.50		DIVIDEND		
825	AB-12345	09/04/01	DIV		FIRSTENERGY CORP		\$196.50		DIVIDEND		
826	AB-12345	12/03/01	DIV		FIRSTENERGY CORP		\$146.30		DIVIDEND		
827	AB-12345	12/07/01	DIV		FIRSTENERGY CORP		\$50.20		DIVIDEND		
828	AB-12345	03/01/02	DIV		FIRSTENERGY CORP		\$196.50		DIVIDEND		
829	AB-12345	04/30/02	I		524.000	FIRSTENERGY CORP	\$33.30	\$17,449.20	VALUED PER 04/30/02 PORT		
				524.000	524.000				\$4,905.69	\$1,572.00	\$6,477.69

<b>Transaction Legend</b>	B - Bought	DIV - Dividen	R - Receive	O - Open	CR - Credit	NC - Name Chg / Exchang
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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	
830	AB-12347	01/29/01	B	300.000	FOUNDRY NETWORKS INC	U	\$20.94	\$6,372.93				
831	AB-12347	02/14/01	S		300.000 FOUNDRY NETWORKS INC	U	\$16.06		\$4,737.24			
				300.000	300.000					(\$1,635.69)	\$0.00	(\$1,635.69)
832	AB-12347	02/01/01	S		10.000 FOUNDRY NETWORKS INC 200103 17.5 PUT	U	\$1.25		\$1,162.71			
833	AB-12347	02/12/01	B		10.000 FOUNDRY NETWORKS INC 200103 17.5 PUT	U	\$3.75	\$3,863.03				
				10.000	10.000					(\$2,700.32)	\$0.00	(\$2,700.32)
834	AB-12347	02/12/01	S		10.000 FOUNDRY NETWORKS INC 200109 15 PUT	U	\$4.75		\$4,628.38			
835	AB-12347	02/27/01	B		5.000 FOUNDRY NETWORKS INC 200109 15 PUT	U	\$5.63	\$2,937.92				
836	AB-12347	04/05/01	B		5.000 FOUNDRY NETWORKS INC 200109 15 PUT	U	\$9.25	\$4,724.67				
				10.000	10.000					(\$3,034.21)	\$0.00	(\$3,034.21)
837	AB-12346	04/12/99	B	500.000	FRONTIER CORP		\$47.31	\$23,878.07				
838	AB-12346	05/03/99	DIV		FRONTIER CORP				\$25.00			
839	AB-12346	08/02/99	DIV		FRONTIER CORP				\$25.00			
840	AB-12346	09/30/99	NC		500.000 FRONTIER CORP							
841	AB-12346	09/30/99	NC	1,025.000	GLOBAL CROSSING LTD							
842	AB-12345	10/04/99	B	2,000.000	GLOBAL CROSSING LTD		\$40.00	\$80,922.69				
843	AB-12345	10/19/99	B	3,000.000	GLOBAL CROSSING LTD		\$37.06	\$111,899.65				
844	AB-12345	11/18/99	B	2,000.000	GLOBAL CROSSING LTD	U	\$42.94	\$86,175.00				
845	AB-12345	11/18/99	B	3,000.000	GLOBAL CROSSING LTD	U	\$43.00	\$129,454.50				
846	AB-12345	03/29/00	D		10,000.000 GLOBAL CROSSING LTD				\$430,630.00			
847	AB-12346	03/30/00	D		1,025.000 GLOBAL CROSSING LTD				\$44,395.82			
848	AB-12345	05/05/00	R	20,000.000	GLOBAL CROSSING LTD			\$695,000.00				
849	AB-12345	05/05/00	R	10,250.000	GLOBAL CROSSING LTD			\$356,187.50				
850	AB-12346	05/11/00	R	1,025.000	GLOBAL CROSSING LTD			\$32,992.70				
851	AB-12345	05/24/00	S		6,200.000 GLOBAL CROSSING LTD	U	\$28.88		\$178,399.03			

**Transaction Legend**

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 37

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
852	AB-12345	05/24/00	S	3,800.000	GLOBAL CROSSING LTD	U	\$28.88		\$109,336.84		COMM CALC (Bonds @ 2.5%)
853	AB-12347	10/16/00	B	500.000	GLOBAL CROSSING LTD	U	\$21.63	\$10,867.00			COMM CALC (Bonds @ 2.5%)
854	AB-12345	10/20/00	S	20,250.000	GLOBAL CROSSING LTD	U	\$23.13		\$466,742.39		COMM CALC (Bonds @ 2.5%)
855	AB-12345	11/09/00	B	2,500.000	GLOBAL CROSSING LTD		\$40.00	\$100,129.50			COMM CALC (Bonds @ 2.5%)
856	AB-12345	11/15/00	S	2,500.000	GLOBAL CROSSING LTD	U	\$17.44		\$43,337.79		COMM CALC (Bonds @ 2.5%)
857	AB-12346	11/20/00	S	525.000	GLOBAL CROSSING LTD	U	\$15.88		\$8,183.60		COMM CALC (Bonds @ 2.5%)
858	AB-12345	12/01/00	B	200.000	GLOBAL CROSSING LTD		\$35.00	\$7,054.50			COMM CALC (Bonds @ 2.5%)
859	AB-12346	12/04/00	S	500.000	GLOBAL CROSSING LTD	U	\$12.44		\$6,097.71		COMM CALC (Bonds @ 2.5%)
860	AB-12345	12/05/00	B	2,400.000	GLOBAL CROSSING LTD		\$40.00	\$96,526.33			COMM CALC (Bonds @ 2.5%)
861	AB-12345	12/08/00	B	1,900.000	GLOBAL CROSSING LTD		\$40.00	\$76,289.50			COMM CALC (Bonds @ 2.5%)
862	AB-12345	12/12/00	B	100.000	GLOBAL CROSSING LTD		\$40.00	\$4,039.49			COMM CALC (Bonds @ 2.5%)
863	AB-12345	12/18/00	B	1,100.000	GLOBAL CROSSING LTD		\$40.00	\$44,299.76			COMM CALC (Bonds @ 2.5%)
864	AB-12345	12/18/00	B	500.000	GLOBAL CROSSING LTD		\$40.00	\$20,140.75			COMM CALC (Bonds @ 2.5%)
865	AB-12345	12/22/00	B	600.000	GLOBAL CROSSING LTD		\$40.00	\$24,453.32			COMM CALC (Bonds @ 2.5%)
866	AB-12345	12/26/00	S	6,200.000	GLOBAL CROSSING LTD	U	\$13.63		\$83,723.68		COMM CALC (Bonds @ 2.5%)
867	AB-12345	12/27/00	B	300.000	GLOBAL CROSSING LTD		\$40.00	\$12,259.73			COMM CALC (Bonds @ 2.5%)
868	AB-12345	01/02/01	B	600.000	GLOBAL CROSSING LTD		\$40.00	\$24,453.32			COMM CALC (Bonds @ 2.5%)
869	AB-12347	02/28/01	S	500.000	GLOBAL CROSSING LTD	U	\$15.15		\$7,442.37		COMM CALC (Bonds @ 2.5%)
870	AB-12345	03/01/01	B	2,300.000	GLOBAL CROSSING LTD		\$35.00	\$81,070.83			COMM CALC (Bonds @ 2.5%)
871	AB-12345	04/02/01	S	3,800.000	GLOBAL CROSSING LTD	U	\$13.97		\$52,543.11		COMM CALC (Bonds @ 2.5%)
872	AB-12346	04/09/01	B	2,200.000	GLOBAL CROSSING LTD		\$35.00	\$77,553.80			COMM CALC (Bonds @ 2.5%)
873	AB-12345	04/11/01	B	1,000.000	GLOBAL CROSSING LTD		\$35.00	\$35,356.20			COMM CALC (Bonds @ 2.5%)
874	AB-12346	04/11/01	S	1,000.000	GLOBAL CROSSING LTD	U	\$9.70		\$9,504.10		COMM CALC (Bonds @ 2.5%)
875	AB-12345	04/12/01	B	1,300.000	GLOBAL CROSSING LTD		\$35.00	\$46,181.52			COMM CALC (Bonds @ 2.5%)
876	AB-12345	05/14/01	B	800.000	GLOBAL CROSSING LTD		\$35.00	\$28,336.31			COMM CALC (Bonds @ 2.5%)
877	AB-12346	05/14/01	B	400.000	GLOBAL CROSSING LTD		\$35.00	\$14,196.41			COMM CALC (Bonds @ 2.5%)
878	AB-12345	05/18/01	B	1,000.000	GLOBAL CROSSING LTD		\$35.00	\$35,385.51			COMM CALC (Bonds @ 2.5%)
879	AB-12346	05/18/01	B	600.000	GLOBAL CROSSING LTD		\$35.00	\$21,332.41			COMM CALC (Bonds @ 2.5%)
880	AB-12345	06/08/01	B	900.000	GLOBAL CROSSING LTD		\$35.00	\$32,057.69			COMM CALC (Bonds @ 2.5%)

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
881	AB-12345	06/14/01	B	1,600.000	GLOBAL CROSSING LTD	\$35.00	\$56,488.85		COMM CALC (Bonds @ 2.5%)		
882	AB-12345	06/15/01	B	2,500.000	GLOBAL CROSSING LTD	\$35.00	\$88,154.93		COMM CALC (Bonds @ 2.5%)		
883	AB-12345	06/22/01	B	5,000.000	GLOBAL CROSSING LTD	\$35.00	\$176,030.74		COMM CALC (Bonds @ 2.5%)		
884	AB-12347	06/25/01	B	500.000	GLOBAL CROSSING LTD	U \$6.95	\$3,588.18		COMM CALC (Bonds @ 2.5%)		
885	AB-12345	06/29/01	B	2,500.000	GLOBAL CROSSING LTD	\$35.00	\$88,154.93		COMM CALC (Bonds @ 2.5%)		
886	AB-12345	07/20/01	B	400.000	GLOBAL CROSSING LTD	\$35.00	\$14,188.96		COMM CALC (Bonds @ 2.5%)		
887	AB-12345	07/20/01	B	100.000	GLOBAL CROSSING LTD	\$35.00	\$3,546.11		COMM CALC (Bonds @ 2.5%)		
888	AB-12345	07/23/01	B	400.000	GLOBAL CROSSING LTD	\$35.00	\$14,299.75		COMM CALC (Bonds @ 2.5%)		
889	AB-12346	04/09/02	S	2,200.000	GLOBAL CROSSING LTD	\$0.10		\$182.02	COMM CALC (Bonds @ 2.5%)		
890	AB-12347	04/10/02	S	500.000	GLOBAL CROSSING LTD	\$0.10		\$36.25	COMM CALC (Bonds @ 2.5%)		
891	AB-12345	04/30/02	I	17,500.000	GLOBAL CROSSING LTD	\$0.06		\$997.50	VALUED PER 04/30/02 PORT		
				<u>77,000.000</u>	<u>77,000.000</u>				<b>(\$1,311,394.23)</b>	<b>\$50.00</b>	<b>(\$1,311,344.23)</b>
892	AB-12347	03/07/01	S	5.000	GENESYS INC 200110 12.5 PUT	U \$1.88		\$882.10	COMM CALC (Bonds @ 2.5%) OPEN		
893	AB-12347	04/05/01	B	5.000	GENESYS INC 200110 12.5 PUT	U \$2.81	\$1,472.05		COMM CALC (Bonds @ 2.5%) CLOSE		
				<u>5.000</u>	<u>5.000</u>				<b>(\$589.95)</b>	<b>\$0.00</b>	<b>(\$589.95)</b>
894	AB-12345	04/22/99	S	100.000	GLOBAL CROSSING LTD 199910 40 PUT	U \$6.00		\$59,285.22	COMM CALC (Bonds @ 2.5%) OPEN		
895	AB-12345	09/30/99	B	20.000	GLOBAL CROSSING LTD 199910 40 PUT				ASSIGNED		
896	AB-12345	10/01/99	B	100.000	GLOBAL CROSSING LTD 199910 40 PUT	U \$14.25	\$143,507.21		COMM CALC (Bonds @ 2.5%) CLOSE		
897	AB-12345	10/05/99	S	20.000	GLOBAL CROSSING LTD 199910 40 PUT	U \$14.63		\$28,934.13	COMM CALC (BONDS @ 2.5%) OPEN		
				<u>120.000</u>	<u>120.000</u>				<b>(\$55,287.86)</b>	<b>\$0.00</b>	<b>(\$55,287.86)</b>
898	AB-12345	08/05/99	S	200.000	GLOBAL CROSSING LTD 200001 30 PUT	U \$5.00		\$98,890.84	COMM CALC (Bonds @ 2.5%) OPEN		
899	AB-12345	01/24/00	B	200.000	GLOBAL CROSSING LTD 200001 30 PUT				EXPIRED		
				<u>200.000</u>	<u>200.000</u>				<b>\$98,890.84</b>	<b>\$0.00</b>	<b>\$98,890.84</b>

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	
900	AB-12345	10/01/99	S	100.000	GLOBAL CROSSING LTD 200001 40 PUT	U	\$14.50		\$143,979.02			
									COMM CALC (Bonds @ 2.5%) OPEN			
901	AB-12345	01/24/00	B	100.000	GLOBAL CROSSING LTD 200001 40 PUT							
									EXPIRED			
				100.000	100.000				\$143,979.02	\$0.00	\$143,979.02	
902	AB-12345	10/04/99	S	100.000	GLOBAL CROSSING LTD 200004 25 PUT	U	\$4.25		\$41,821.49			
									COMM CALC (Bonds @ 2.5%) OPEN			
903	AB-12345	03/29/00	D	100.000	GLOBAL CROSSING LTD 200004 25 PUT			\$2,500.00				
									VALUED PER STMT TRANS			
				100.000	100.000				\$39,321.49	\$0.00	\$39,321.49	
904	AB-12345	09/15/99	S	100.000	GLOBAL CROSSING LTD 200004 30 PUT	U	\$9.03		\$89,494.27			
									COMM CALC (Bonds @ 2.5%) OPEN			
905	AB-12345	03/29/00	D	100.000	GLOBAL CROSSING LTD 200004 30 PUT			\$1,875.00				
									VALUED PER STMT TRANS			
				100.000	100.000				\$87,619.27	\$0.00	\$87,619.27	
906	AB-12345	10/15/99	S	100.000	GLOBAL CROSSING LTD 200101 40 PUT	U	\$10.75		\$106,687.26			
									COMM CALC (BONDS @ 2.5%) OPEN			
907	AB-12345	03/29/00	D	100.000	GLOBAL CROSSING LTD 200101 40 PUT			\$76,250.00				
									VALUED PER STMT TRANS			
908	AB-12345	05/05/00	R	100.000	GLOBAL CROSSING LTD 200101 40 PUT				\$116,250.00			
									VALUED PER STMT TRANS			
909	AB-12345	11/07/00	B	25.000	GLOBAL CROSSING LTD 200101 40 PUT							
									ASSIGNED			
910	AB-12345	12/01/00	B	24.000	GLOBAL CROSSING LTD 200101 40 PUT							
									ASSIGNED			
911	AB-12345	12/06/00	B	19.000	GLOBAL CROSSING LTD 200101 40 PUT							
									ASSIGNED			
912	AB-12345	12/08/00	B	1.000	GLOBAL CROSSING LTD 200101 40 PUT							
									ASSIGNED			
913	AB-12345	12/14/00	B	11.000	GLOBAL CROSSING LTD 200101 40 PUT							
									ASSIGNED			
914	AB-12345	12/14/00	B	5.000	GLOBAL CROSSING LTD 200101 40 PUT							
									ASSIGNED			
915	AB-12345	12/20/00	B	6.000	GLOBAL CROSSING LTD 200101 40 PUT							
									ASSIGNED			
916	AB-12345	12/22/00	B	3.000	GLOBAL CROSSING LTD 200101 40 PUT							
									ASSIGNED			
917	AB-12345	12/28/00	B	6.000	GLOBAL CROSSING LTD 200101 40 PUT							
									ASSIGNED			
				200.000	200.000				\$146,687.26	\$0.00	\$146,687.26	
918	AB-12347	02/27/01	S	10.000	GLOBAL CROSSING LTD 200201 10 PUT	U	\$1.55		\$1,459.05			
									COMM CALC (Bonds @ 2.5%) OPEN			

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
919	AB-12347	04/05/01	B	10.000	GLOBAL CROSSING LTD 200201 10 PUT	U	\$3.40	\$3,527.67	COMM CALC (Bonds @ 2.5%) CLOSE		
				10.000	10.000				(\$2,068.62)	\$0.00	(\$2,068.62)
920	AB-12345	11/12/99	S	200.000	GLOBAL CROSSING LTD 200201 30 PUT	U	\$7.13	\$141,250.39	COMM CALC (Bonds @ 2.5%) OPEN		
921	AB-12345	02/22/00	S	200.000	GLOBAL CROSSING LTD 200201 30 PUT	U	\$4.13	\$80,594.44	COMM CALC (Bonds @ 2.5%) OPEN		
922	AB-12345	02/23/00	S	200.000	GLOBAL CROSSING LTD 200201 30 PUT	U	\$4.50	\$88,923.89	COMM CALC (Bonds @ 2.5%) OPEN		
923	AB-12345	03/29/00	D	600.000	GLOBAL CROSSING LTD 200201 30 PUT			\$288,750.00	VALUED PER STMT TRANS		
924	AB-12345	05/05/00	R	600.000	GLOBAL CROSSING LTD 200201 30 PUT			\$510,000.00	VALUED PER STMT TRANS		
925	AB-12345	05/23/00	B	300.000	GLOBAL CROSSING LTD 200201 30 PUT	U	\$10.96	\$330,925.38	COMM CALC (Bonds @ 2.5%) CLOSE		
926	AB-12345	09/28/00	B	300.000	GLOBAL CROSSING LTD 200201 30 PUT	U	\$8.38	\$253,171.84	COMM CALC (Bonds @ 2.5%) CLOSE		
				1,200.000	1,200.000				(\$52,078.50)	\$0.00	(\$52,078.50)
927	AB-12345	02/22/00	S	200.000	GLOBAL CROSSING LTD 200201 35 PUT	U	\$6.00	\$118,824.75	COMM CALC (Bonds @ 2.5%) OPEN		
928	AB-12346	03/10/00	S	50.000	GLOBAL CROSSING LTD 200201 35 PUT	U	\$5.13	\$25,196.84	COMM CALC (Bonds @ 2.5%) OPEN		
929	AB-12345	03/29/00	D	200.000	GLOBAL CROSSING LTD 200201 35 PUT			\$140,000.00	VALUED PER STMT TRANS		
930	AB-12346	03/30/00	D	50.000	GLOBAL CROSSING LTD 200201 35 PUT			\$35,000.00	VALUED PER STMT TRANS		
931	AB-12345	05/05/00	R	200.000	GLOBAL CROSSING LTD 200201 35 PUT			\$227,500.00	VALUED PER STMT TRANS		
932	AB-12346	05/15/00	R	50.000	GLOBAL CROSSING LTD 200201 35 PUT			\$58,750.00	VALUED PER STMT TRANS		
933	AB-12345	11/29/00	B	2.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
934	AB-12346	12/05/00	B	15.000	GLOBAL CROSSING LTD 200201 35 PUT		\$22.88	\$34,889.52	COMM CALC (Bonds @ 2.5%) CLOSE		
935	AB-12345	02/27/01	B	23.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
936	AB-12346	04/05/01	B	22.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
937	AB-12345	04/09/01	B	10.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
938	AB-12345	04/10/01	B	13.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
939	AB-12345	05/10/01	B	8.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
940	AB-12346	05/10/01	B	4.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
941	AB-12346	05/16/01	B	6.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
942	AB-12345	05/16/01	B	10.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		

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 S - Sold    D - Deliver    I - In Accoun    ROP - ROP/ROC    DR - Debit

*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 41

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
943	AB-12345	06/06/01	B	9.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
944	AB-12345	06/12/01	B	16.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
945	AB-12345	06/13/01	B	25.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
946	AB-12345	06/20/01	B	50.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
947	AB-12346	06/20/01	B	3.000	GLOBAL CROSSING LTD 200201 35 PUT	\$28.40	\$8,559.49		COMM CALC (Bonds @ 2.5%) CLOSE		
948	AB-12345	06/27/01	B	25.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
949	AB-12345	07/18/01	B	1.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
950	AB-12345	07/18/01	B	4.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
951	AB-12345	07/19/01	B	4.000	GLOBAL CROSSING LTD 200201 35 PUT				ASSIGNED		
				<u>500.000</u>							
				500.000					\$211,822.58	\$0.00	\$211,822.58
952	AB-12345	02/15/00	S	200.000	GLOBAL CROSSING LTD 200201 40 PUT	U \$7.38	\$146,233.86		COMM CALC (Bonds @ 2.5%) OPEN		
953	AB-12345	02/16/00	S	100.000	GLOBAL CROSSING LTD 200201 40 PUT	U \$6.90	\$68,252.81		COMM CALC (Bonds @ 2.5%) OPEN		
954	AB-12345	03/29/00	D	300.000	GLOBAL CROSSING LTD 200201 40 PUT		\$288,750.00		VALUED PER STMT TRANS		
955	AB-12345	05/05/00	R	300.000	GLOBAL CROSSING LTD 200201 40 PUT		\$438,750.00		VALUED PER STMT TRANS		
956	AB-12345	09/28/00	B	300.000	GLOBAL CROSSING LTD 200201 40 PUT	U \$15.00	\$452,572.07		COMM CALC (Bonds @ 2.5%) CLOSE		
				<u>600.000</u>							
				600.000					(\$88,085.40)	\$0.00	(\$88,085.40)
957	AB-12345	01/10/00	B	2,500.000	GLOBALSTAR TELECOMM	\$39.00	\$98,129.50		COMM CALC (Bonds @ 2.5%)		
958	AB-12345	01/10/00	B	2,100.000	GLOBALSTAR TELECOMM	\$41.88	\$88,462.50		COMM CALC (Bonds @ 2.5%)		
959	AB-12345	01/10/00	B	200.000	GLOBALSTAR TELECOMM	\$39.00	\$7,850.00		COMM CALC (Bonds @ 2.5%)		
960	AB-12345	01/10/00	B	200.000	GLOBALSTAR TELECOMM	\$41.63	\$8,375.00		COMM CALC (Bonds @ 2.5%)		
961	AB-12345	02/25/00	B	500.000	GLOBALSTAR TELECOMM	U \$25.56	\$12,856.25		COMM CALC (Bonds @ 2.5%)		
962	AB-12345	02/25/00	B	3,500.000	GLOBALSTAR TELECOMM	U \$25.56	\$89,993.75		COMM CALC (Bonds @ 2.5%)		
963	AB-12345	02/25/00	B	500.000	GLOBALSTAR TELECOMM	U \$25.38	\$12,762.50		COMM CALC (Bonds @ 2.5%)		
964	AB-12345	02/25/00	B	500.000	GLOBALSTAR TELECOMM	U \$25.38	\$12,767.00		COMM CALC (Bonds @ 2.5%)		
965	AB-12347	03/14/00	B	200.000	GLOBALSTAR TELECOMM	U \$18.25	\$3,701.71		COMM CALC (Bonds @ 2.5%)		
966	AB-12347	03/14/00	B	300.000	GLOBALSTAR TELECOMM	U \$18.13	\$5,508.30		COMM CALC (Bonds @ 2.5%)		

<b>Transaction Legend</b>	B - Bought	DIV - Dividen	R - Receive	O - Open	CR - Credit	NC - Name Chg / Exchang
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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
967	AB-12345	03/15/00	B	4,500.000	GLOBALSTAR TELECOMM	U	\$16.25	\$73,800.00			COMM CALC (Bonds @ 2.5%)
968	AB-12345	03/15/00	B	500.000	GLOBALSTAR TELECOMM	U	\$16.13	\$8,142.00			COMM CALC (Bonds @ 2.5%)
969	AB-12345	03/29/00	D	15,000.000	GLOBALSTAR TELECOMM				\$208,125.00		VALUED PER STMT TRANS
970	AB-12347	03/30/00	D	500.000	GLOBALSTAR TELECOMM				\$6,875.00		VALUED PER STMT TRANS
971	AB-12345	05/05/00	R	30,000.000	GLOBALSTAR TELECOMM			\$301,890.00			VALUED PER STMT TRANS
972	AB-12345	05/05/00	R	20,000.000	GLOBALSTAR TELECOMM			\$201,260.00			VALUED PER STMT TRANS
973	AB-12345	06/01/00	S	1,000.000	GLOBALSTAR TELECOMM	U	\$8.81		\$8,737.20		COMM CALC (Bonds @ 2.5%)
974	AB-12345	06/01/00	S	523.000	GLOBALSTAR TELECOMM	U	\$9.38		\$4,859.23		COMM CALC (Bonds @ 2.5%)
975	AB-12345	06/01/00	S	1,000.000	GLOBALSTAR TELECOMM	U	\$9.38		\$9,299.68		COMM CALC (Bonds @ 2.5%)
976	AB-12345	06/01/00	S	1,000.000	GLOBALSTAR TELECOMM	U	\$9.38		\$9,299.68		COMM CALC (Bonds @ 2.5%)
977	AB-12345	06/01/00	S	4,000.000	GLOBALSTAR TELECOMM	U	\$9.38		\$37,198.75		COMM CALC (Bonds @ 2.5%)
978	AB-12345	06/01/00	S	200.000	GLOBALSTAR TELECOMM	U	\$9.00		\$1,784.94		COMM CALC (Bonds @ 2.5%)
979	AB-12345	06/01/00	S	677.000	GLOBALSTAR TELECOMM	U	\$8.81		\$5,915.08		COMM CALC (Bonds @ 2.5%)
980	AB-12345	06/01/00	S	600.000	GLOBALSTAR TELECOMM	U	\$9.38		\$5,579.81		COMM CALC (Bonds @ 2.5%)
981	AB-12345	06/01/00	S	1,000.000	GLOBALSTAR TELECOMM	U	\$9.00		\$8,924.70		COMM CALC (Bonds @ 2.5%)
982	AB-12345	10/02/00	S	30,000.000	GLOBALSTAR TELECOMM		\$9.11		\$271,648.90		COMM CALC (Bonds @ 2.5%)
983	AB-12345	11/06/00	B	9,100.000	GLOBALSTAR TELECOMM		\$30.00	\$273,819.00			COMM CALC (Bonds @ 2.5%)
984	AB-12345	11/06/00	B	700.000	GLOBALSTAR TELECOMM		\$30.00	\$21,067.50			COMM CALC (Bonds @ 2.5%)
985	AB-12345	11/08/00	B	2,500.000	GLOBALSTAR TELECOMM		\$25.00	\$62,629.50			COMM CALC (Bonds @ 2.5%)
986	AB-12345	11/14/00	B	2,500.000	GLOBALSTAR TELECOMM		\$30.00	\$75,129.50			COMM CALC (Bonds @ 2.5%)
987	AB-12345	11/15/00	B	6,400.000	GLOBALSTAR TELECOMM		\$25.00	\$160,772.50			COMM CALC (Bonds @ 2.5%)
988	AB-12345	11/15/00	B	4,200.000	GLOBALSTAR TELECOMM		\$30.00	\$126,420.00			COMM CALC (Bonds @ 2.5%)
989	AB-12345	11/15/00	S	1,000.000	GLOBALSTAR TELECOMM	U	\$2.28		\$2,246.67		COMM CALC (Bonds @ 2.5%)
990	AB-12345	11/15/00	S	21,300.000	GLOBALSTAR TELECOMM	U	\$2.25		\$47,284.40		COMM CALC (Bonds @ 2.5%)
991	AB-12345	11/16/00	B	1,500.000	GLOBALSTAR TELECOMM		\$35.00	\$52,862.88			COMM CALC (Bonds @ 2.5%)
992	AB-12345	12/15/00	B	1,600.000	GLOBALSTAR TELECOMM		\$15.00	\$24,325.06			COMM CALC (Bonds @ 2.5%)
993	AB-12345	01/12/01	B	3,200.000	GLOBALSTAR TELECOMM		\$35.00	\$112,664.16			COMM CALC (Bonds @ 2.5%)
994	AB-12345	01/19/01	B	18,600.000	GLOBALSTAR TELECOMM		\$25.00	\$466,860.00			COMM CALC (Bonds @ 2.5%)
995	AB-12345	01/19/01	B	5,000.000	GLOBALSTAR TELECOMM		\$25.00	\$125,504.50			COMM CALC (Bonds @ 2.5%)

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
996	AB-12345	01/22/01	B	10,500.000	GLOBALSTAR TELECOMM	\$30.00	\$316,050.00		COMM CALC (Bonds @ 2.5%)		
997	AB-12345	01/22/01	B	6,200.000	GLOBALSTAR TELECOMM	\$30.00	\$186,624.50		COMM CALC (Bonds @ 2.5%)		
998	AB-12345	01/24/01	B	200.000	GLOBALSTAR TELECOMM	\$30.00	\$6,081.26		COMM CALC (Bonds @ 2.5%)		
999	AB-12345	01/25/01	B	1,900.000	GLOBALSTAR TELECOMM	\$35.00	\$66,804.00		COMM CALC (Bonds @ 2.5%)		
1000	AB-12345	01/25/01	B	1,800.000	GLOBALSTAR TELECOMM	\$30.00	\$54,292.50		COMM CALC (Bonds @ 2.5%)		
1001	AB-12345	01/25/01	B	4,800.000	GLOBALSTAR TELECOMM	\$25.00	\$120,768.00		COMM CALC (Bonds @ 2.5%)		
1002	AB-12345	01/25/01	B	800.000	GLOBALSTAR TELECOMM	\$35.00	\$28,128.00		COMM CALC (Bonds @ 2.5%)		
1003	AB-12345	01/26/01	B	5,400.000	GLOBALSTAR TELECOMM	\$35.00	\$189,810.00		COMM CALC (Bonds @ 2.5%)		
1004	AB-12345	01/26/01	B	14,900.000	GLOBALSTAR TELECOMM	\$25.00	\$373,994.50		COMM CALC (Bonds @ 2.5%)		
1005	AB-12345	01/26/01	B	2,100.000	GLOBALSTAR TELECOMM	\$35.00	\$73,710.00		COMM CALC (Bonds @ 2.5%)		
1006	AB-12345	01/26/01	B	3,000.000	GLOBALSTAR TELECOMM	\$30.00	\$90,300.00		COMM CALC (Bonds @ 2.5%)		
1007	AB-12345	02/02/01	B	2,500.000	GLOBALSTAR TELECOMM	\$25.00	\$62,891.25		COMM CALC (Bonds @ 2.5%)		
1008	AB-12345	02/02/01	B	1,700.000	GLOBALSTAR TELECOMM	\$35.00	\$59,766.05		COMM CALC (Bonds @ 2.5%)		
1009	AB-12345	02/02/01	B	2,400.000	GLOBALSTAR TELECOMM	\$35.00	\$84,375.38		COMM CALC (Bonds @ 2.5%)		
1010	AB-12345	02/02/01	B	2,300.000	GLOBALSTAR TELECOMM	\$25.00	\$57,864.45		COMM CALC (Bonds @ 2.5%)		
1011	AB-12345	02/05/01	B	200.000	GLOBALSTAR TELECOMM	\$25.00	\$5,059.62		COMM CALC (Bonds @ 2.5%)		
1012	AB-12345	02/05/01	B	600.000	GLOBALSTAR TELECOMM	\$25.00	\$15,165.36		COMM CALC (Bonds @ 2.5%)		
1013	AB-12345	02/05/01	B	400.000	GLOBALSTAR TELECOMM	\$35.00	\$14,110.24		COMM CALC (Bonds @ 2.5%)		
1014	AB-12345	02/06/01	B	200.000	GLOBALSTAR TELECOMM	\$30.00	\$6,158.01		COMM CALC (Bonds @ 2.5%)		
1015	AB-12345	02/09/01	B	1,000.000	GLOBALSTAR TELECOMM	\$25.00	\$25,190.90		COMM CALC (Bonds @ 2.5%)		
1016	AB-12345	02/09/01	B	1,000.000	GLOBALSTAR TELECOMM	\$25.00	\$25,186.40		COMM CALC (Bonds @ 2.5%)		
1017	AB-12345	02/09/01	B	200.000	GLOBALSTAR TELECOMM	\$35.00	\$7,037.32		COMM CALC (Bonds @ 2.5%)		
1018	AB-12345	02/09/01	B	600.000	GLOBALSTAR TELECOMM	\$30.00	\$18,111.84		COMM CALC (Bonds @ 2.5%)		
1019	AB-12345	02/16/01	B	200.000	GLOBALSTAR TELECOMM	\$25.00	\$5,104.72		COMM CALC (Bonds @ 2.5%)		
1020	AB-12345	02/16/01	B	1,000.000	GLOBALSTAR TELECOMM	\$30.00	\$30,501.09		COMM CALC (Bonds @ 2.5%)		
1021	AB-12345	03/07/01	B	200.000	GLOBALSTAR TELECOMM	\$15.00	\$3,113.24		COMM CALC (Bonds @ 2.5%)		
1022	AB-12345	03/09/01	B	400.000	GLOBALSTAR TELECOMM	\$35.00	\$14,024.00		COMM CALC (Bonds @ 2.5%)		
1023	AB-12345	03/09/01	B	30,000.000	GLOBALSTAR TELECOMM	\$15.00	\$451,804.50		COMM CALC (Bonds @ 2.5%)		
1024	AB-12345	03/16/01	B	2,400.000	GLOBALSTAR TELECOMM	\$15.00	\$36,388.76		COMM CALC (Bonds @ 2.5%)		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1025	AB-12345	03/23/01	S	400.000	GLOBALSTAR TELECOMM	U	\$0.66		\$258.49		
1026	AB-12345	03/23/01	S	300.000	GLOBALSTAR TELECOMM	U	\$0.66		\$193.87		
1027	AB-12345	03/23/01	S	1,000.000	GLOBALSTAR TELECOMM	U	\$0.66		\$646.22		
1028	AB-12345	03/23/01	S	1,000.000	GLOBALSTAR TELECOMM	U	\$0.66		\$646.22		
1029	AB-12345	03/23/01	S	300.000	GLOBALSTAR TELECOMM	U	\$0.66		\$193.87		
1030	AB-12345	03/23/01	S	100.000	GLOBALSTAR TELECOMM	U	\$0.66		\$64.62		
1031	AB-12345	03/23/01	S	100.000	GLOBALSTAR TELECOMM	U	\$0.66		\$64.62		
1032	AB-12345	03/23/01	S	100.000	GLOBALSTAR TELECOMM	U	\$0.66		\$64.62		
1033	AB-12345	03/23/01	S	1,000.000	GLOBALSTAR TELECOMM	U	\$0.66		\$646.22		
1034	AB-12345	03/23/01	S	700.000	GLOBALSTAR TELECOMM	U	\$0.66		\$452.36		
1035	AB-12345	03/23/01	S	300.000	GLOBALSTAR TELECOMM	U	\$0.66		\$193.87		
1036	AB-12345	03/23/01	S	3,800.000	GLOBALSTAR TELECOMM	U	\$0.66		\$2,455.66		COMM CALC (Bonds @ 2.5%)
1037	AB-12345	03/23/01	S	6,000.000	GLOBALSTAR TELECOMM	U	\$0.66		\$3,877.36		COMM CALC (Bonds @ 2.5%)
1038	AB-12345	03/23/01	S	2,000.000	GLOBALSTAR TELECOMM	U	\$0.69		\$1,354.95		COMM CALC (Bonds @ 2.5%)
1039	AB-12345	03/23/01	S	2,000.000	GLOBALSTAR TELECOMM	U	\$0.69		\$1,350.45		COMM CALC (Bonds @ 2.5%)
1040	AB-12345	03/23/01	S	1,000.000	GLOBALSTAR TELECOMM	U	\$0.66		\$646.22		
1041	AB-12345	03/23/01	S	4,700.000	GLOBALSTAR TELECOMM	U	\$0.66		\$3,037.27		COMM CALC (Bonds @ 2.5%)
1042	AB-12345	03/23/01	S	1,100.000	GLOBALSTAR TELECOMM	U	\$0.66		\$710.85		COMM CALC (Bonds @ 2.5%)
1043	AB-12345	03/23/01	S	1,000.000	GLOBALSTAR TELECOMM	U	\$0.66		\$646.22		
1044	AB-12345	03/23/01	S	1,000.000	GLOBALSTAR TELECOMM	U	\$0.66		\$646.22		
1045	AB-12345	03/23/01	S	100.000	GLOBALSTAR TELECOMM	U	\$0.66		\$64.62		
1046	AB-12345	03/23/01	S	1,000.000	GLOBALSTAR TELECOMM	U	\$0.66		\$646.22		
1047	AB-12345	03/23/01	S	1,000.000	GLOBALSTAR TELECOMM	U	\$0.66		\$646.22		
1048	AB-12345	03/29/01	B	1,200.000	GLOBALSTAR TELECOMM		\$15.00	\$18,238.86			COMM CALC (Bonds @ 2.5%)
1049	AB-12345	03/30/01	B	2,500.000	GLOBALSTAR TELECOMM		\$15.00	\$37,934.07			COMM CALC (Bonds @ 2.5%)
1050	AB-12345	04/06/01	B	100.000	GLOBALSTAR TELECOMM		\$15.00	\$1,554.49			COMM CALC (Bonds @ 2.5%)
1051	AB-12345	04/09/01	B	500.000	GLOBALSTAR TELECOMM		\$15.00	\$7,621.08			COMM CALC (Bonds @ 2.5%)
1052	AB-12345	04/10/01	B	100.000	GLOBALSTAR TELECOMM		\$15.00	\$1,540.74			COMM CALC (Bonds @ 2.5%)
1053	AB-12345	04/10/01	B	500.000	GLOBALSTAR TELECOMM		\$15.00	\$7,708.18			COMM CALC (Bonds @ 2.5%)

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1054	AB-12345	04/17/01	B	900.000	GLOBALSTAR TELECOMM	\$15.00	\$13,842.47		COMM CALC (Bonds @ 2.5%)		
1055	AB-12345	06/28/01	S	17,000.000	GLOBALSTAR TELECOMM	U \$0.34	\$5,630.80		COMM CALC (Bonds @ 2.5%)		
1056	AB-12345	08/16/01	S	900.000	GLOBALSTAR TELECOMM	U \$0.33	\$287.45				
1057	AB-12345	08/16/01	S	10,000.000	GLOBALSTAR TELECOMM	U \$0.33	\$3,193.89		COMM CALC (Bonds @ 2.5%)		
1058	AB-12345	08/16/01	S	9,100.000	GLOBALSTAR TELECOMM	U \$0.31	\$2,724.44		COMM CALC (Bonds @ 2.5%)		
1059	AB-12345	08/16/01	S	10,000.000	GLOBALSTAR TELECOMM	U \$0.33	\$3,193.89		COMM CALC (Bonds @ 2.5%)		
1060	AB-12345	08/16/01	S	10,000.000	GLOBALSTAR TELECOMM	U \$0.33	\$3,190.30		COMM CALC (Bonds @ 2.5%)		
1061	AB-12345	02/08/02	S	60,700.000	GLOBALSTAR TELECOMM	U \$0.15	\$8,472.11		COMM CALC (Bonds @ 2.5%)		
1062	AB-12345	02/08/02	R	6,000.000	GLOBALSTAR TELECOMM		\$846.00		VALUED PER STMT TRANS		
1063	AB-12345	04/30/02	I	6,000.000	GLOBALSTAR TELECOMM	\$0.14	\$822.00		VALUED PER 04/30/02 PORT		
				231,500.000	231,500.000				(\$4,270,453.73)	\$0.00	(\$4,270,453.73)
1064	AB-12347	03/10/00	S	5.000	GLOBALSTAR TELECOMM 200201 10 PUT	\$3.25	\$1,571.07		COMM CALC (Bonds @ 2.5%) OPEN		
1065	AB-12347	03/30/00	D	5.000	GLOBALSTAR TELECOMM 200201 10 PUT		\$2,218.75		VALUED PER STMT TRANS		
				5.000	5.000				(\$647.68)	\$0.00	(\$647.68)
1066	AB-12345	03/08/00	S	400.000	GLOBALSTAR TELECOMM 200201 15 PUT	U \$5.50	\$217,851.84		COMM CALC (Bonds @ 2.5%) OPEN		
1067	AB-12345	03/29/00	D	400.000	GLOBALSTAR TELECOMM 200201 15 PUT		\$310,000.00		VALUED PER STMT TRANS		
1068	AB-12345	05/05/00	R	400.000	GLOBALSTAR TELECOMM 200201 15 PUT		\$385,000.00		VALUED PER STMT TRANS		
1069	AB-12345	12/13/00	B	16.000	GLOBALSTAR TELECOMM 200201 15 PUT				ASSIGNED		
1070	AB-12345	03/05/01	B	2.000	GLOBALSTAR TELECOMM 200201 15 PUT				ASSIGNED		
1071	AB-12345	03/07/01	B	300.000	GLOBALSTAR TELECOMM 200201 15 PUT				ASSIGNED		
1072	AB-12345	03/14/01	B	24.000	GLOBALSTAR TELECOMM 200201 15 PUT				ASSIGNED		
1073	AB-12345	03/27/01	B	12.000	GLOBALSTAR TELECOMM 200201 15 PUT				ASSIGNED		
1074	AB-12345	03/28/01	B	25.000	GLOBALSTAR TELECOMM 200201 15 PUT				ASSIGNED		
1075	AB-12345	04/04/01	B	1.000	GLOBALSTAR TELECOMM 200201 15 PUT				ASSIGNED		
1076	AB-12345	04/05/01	B	5.000	GLOBALSTAR TELECOMM 200201 15 PUT				ASSIGNED		
1077	AB-12345	04/06/01	B	5.000	GLOBALSTAR TELECOMM 200201 15 PUT				ASSIGNED		

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01/08/03

Page: 46

**COLOR COMBINED ROI ANALYSIS**

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1078	AB-12345	04/06/01	B	1.000	GLOBALSTAR TELECOMM 200201 15 PUT				ASSIGNED		
1079	AB-12345	04/12/01	B	9.000	GLOBALSTAR TELECOMM 200201 15 PUT				ASSIGNED		
				800.000					\$292,851.84	\$0.00	\$292,851.84
1080	AB-12345	01/06/00	S	200.000	GLOBALSTAR TELECOMM 200201 25 PUT	U	\$8.50	\$168,659.50	COMM CALC (Bonds @ 2.5%) OPEN		
1081	AB-12345	01/07/00	S	400.000	GLOBALSTAR TELECOMM 200201 25 PUT	U	\$8.77	\$348,045.15	COMM CALC (Bonds @ 2.5%) OPEN		
1082	AB-12345	03/29/00	D	600.000	GLOBALSTAR TELECOMM 200201 25 PUT			\$915,000.00	VALUED PER STMT TRANS		
1083	AB-12345	05/05/00	R	600.000	GLOBALSTAR TELECOMM 200201 25 PUT			\$1,095,000.00	VALUED PER STMT TRANS		
1084	AB-12345	11/06/00	B	25.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1085	AB-12345	11/13/00	B	64.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1086	AB-12345	01/17/01	B	186.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1087	AB-12345	01/17/01	B	50.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1088	AB-12345	01/23/01	B	48.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1089	AB-12345	01/24/01	B	149.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1090	AB-12345	01/31/01	B	23.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1091	AB-12345	01/31/01	B	25.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1092	AB-12345	02/01/01	B	2.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1093	AB-12345	02/01/01	B	6.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1094	AB-12345	02/07/01	B	10.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1095	AB-12345	02/07/01	B	10.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
1096	AB-12345	02/14/01	B	2.000	GLOBALSTAR TELECOMM 200201 25 PUT				ASSIGNED		
				1,200.000					\$696,704.65	\$0.00	\$696,704.65
1097	AB-12345	01/04/00	S	200.000	GLOBALSTAR TELECOMM 200201 30 PUT	U	\$10.88	\$216,002.53	COMM CALC (Bonds @ 2.5%) OPEN		
1098	AB-12345	01/06/00	S	200.000	GLOBALSTAR TELECOMM 200201 30 PUT	U	\$11.41	\$226,592.40	COMM CALC (Bonds @ 2.5%) OPEN		
1099	AB-12345	03/29/00	D	400.000	GLOBALSTAR TELECOMM 200201 30 PUT			\$775,000.00	VALUED PER STMT TRANS		
1100	AB-12345	05/05/00	R	400.000	GLOBALSTAR TELECOMM 200201 30 PUT			\$910,000.00	VALUED PER STMT TRANS		
1101	AB-12345	11/02/00	B	91.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1102	AB-12345	11/02/00	B	7.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		
1103	AB-12345	11/10/00	B	25.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		
1104	AB-12345	11/13/00	B	42.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		
1105	AB-12345	01/18/01	B	62.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		
1106	AB-12345	01/18/01	B	105.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		
1107	AB-12345	01/22/01	B	2.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		
1108	AB-12345	01/23/01	B	18.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		
1109	AB-12345	01/24/01	B	30.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		
1110	AB-12345	02/02/01	B	2.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		
1111	AB-12345	02/07/01	B	6.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		
1112	AB-12345	02/14/01	B	10.000	GLOBALSTAR TELECOMM 200201 30 PUT				ASSIGNED		
				800.000					\$577,594.93	\$0.00	\$577,594.93
1113	AB-12345	01/04/00	S	200.000	GLOBALSTAR TELECOMM 200201 35 PUT	U	\$13.88	\$275,804.23	COMM CALC (Bonds @ 2.5%) OPEN		
1114	AB-12345	03/29/00	D	200.000	GLOBALSTAR TELECOMM 200201 35 PUT			\$472,500.00	VALUED PER STMT TRANS		
1115	AB-12345	05/05/00	R	200.000	GLOBALSTAR TELECOMM 200201 35 PUT			\$545,000.00	VALUED PER STMT TRANS		
1116	AB-12345	11/14/00	B	15.000	GLOBALSTAR TELECOMM 200201 35 PUT				ASSIGNED		
1117	AB-12345	01/10/01	B	32.000	GLOBALSTAR TELECOMM 200201 35 PUT				ASSIGNED		
1118	AB-12345	01/23/01	B	19.000	GLOBALSTAR TELECOMM 200201 35 PUT				ASSIGNED		
1119	AB-12345	01/23/01	B	8.000	GLOBALSTAR TELECOMM 200201 35 PUT				ASSIGNED		
1120	AB-12345	01/24/01	B	54.000	GLOBALSTAR TELECOMM 200201 35 PUT				ASSIGNED		
1121	AB-12345	01/24/01	B	21.000	GLOBALSTAR TELECOMM 200201 35 PUT				ASSIGNED		
1122	AB-12345	01/31/01	B	17.000	GLOBALSTAR TELECOMM 200201 35 PUT				ASSIGNED		
1123	AB-12345	01/31/01	B	24.000	GLOBALSTAR TELECOMM 200201 35 PUT				ASSIGNED		
1124	AB-12345	02/01/01	B	4.000	GLOBALSTAR TELECOMM 200201 35 PUT				ASSIGNED		
1125	AB-12345	02/07/01	B	2.000	GLOBALSTAR TELECOMM 200201 35 PUT				ASSIGNED		
1126	AB-12345	03/07/01	B	4.000	GLOBALSTAR TELECOMM 200201 35 PUT				ASSIGNED		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 48

## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		400.000	400.000						\$348,304.23	\$0.00	\$348,304.23
1127	AB-12347	03/10/00	S	5.000 GLOBALSTAR TELECOMM 200201 40 CALL	\$6.00		\$2,933.62		COMM CALC (Bonds @ 2.5%) OPEN		
1128	AB-12347	03/30/00	D	5.000 GLOBALSTAR TELECOMM 200201 40 CALL		\$4,062.50			VALUED PER STMT TRANS		
				5.000 5.000					(\$1,128.88)	\$0.00	(\$1,128.88)
1129	AB-12347	07/01/99	B	20.000 HEALTH MGMT ASSN 199908 12.5 CALL	\$0.63	\$1,356.78			COMM CALC (Bonds @ 2.5%) OPEN		
1130	AB-12347	08/23/99	S	20.000 HEALTH MGMT ASSN 199908 12.5 CALL					EXPIRED		
				20.000 20.000					(\$1,356.78)	\$0.00	(\$1,356.78)
1131	AB-12347	07/06/99	B	100.000 HEALTH MGMT ASSN INC NEW CL A	U \$11.13	\$1,166.99			COMM CALC (Bonds @ 2.5%)		
1132	AB-12347	12/10/99	S	100.000 HEALTH MGMT ASSN INC NEW CL A	\$12.19		\$1,155.16		COMM CALC (Bonds @ 2.5%)		
				100.000 100.000					(\$11.83)	\$0.00	(\$11.83)
1133	AB-12345	08/29/97	O	11,100.000 HUMANA INC	\$23.56	\$261,549.30			VALUED PER 08/29/97 PORT		
1134	AB-12345	03/29/00	D	11,100.000 HUMANA INC			\$83,250.00		VALUED PER STMT TRANS		
1135	AB-12345	05/05/00	R	11,100.000 HUMANA INC		\$73,537.50			VALUED PER STMT TRANS		
1136	AB-12345	06/28/01	S	7,900.000 HUMANA INC	U \$9.70		\$75,911.94		COMM CALC (Bonds @ 2.5%)		
1137	AB-12345	06/28/01	S	3,200.000 HUMANA INC	U \$9.68		\$30,686.96		COMM CALC (Bonds @ 2.5%)		
				22,200.000 22,200.000					(\$145,237.90)	\$0.00	(\$145,237.90)
1138	AB-12347	10/30/00	B	500.000 INFOSPACE INC	U \$20.69	\$10,601.83			COMM CALC (Bonds @ 2.5%)		
1139	AB-12347	12/29/00	S	500.000 INFOSPACE INC	U \$6.56		\$3,125.17		COMM CALC (Bonds @ 2.5%)		
1140	AB-12347	05/23/01	B	500.000 INFOSPACE INC	U \$4.22	\$2,177.80			COMM CALC (Bonds @ 2.5%)		
1141	AB-12347	06/13/01	S	500.000 INFOSPACE INC	U \$2.83		\$1,805.43		COMM CALC (Bonds @ 2.5%)		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		1,000.000	1,000.000						(\$7,849.03)	\$0.00	(\$7,849.03)
1142	AB-12345	08/29/97	O	6,000.000	INTL BUSINESS MACH	\$101.38	\$608,250.00		VALUED PER 08/29/97 PORT		
1143	AB-12345	09/10/97	DIV		INTL BUSINESS MACH			\$1,200.00	DIVIDEND		
1144	AB-12345	12/10/97	DIV		INTL BUSINESS MACH			\$1,200.00	DIVIDEND		
1145	AB-12345	03/10/98	DIV		INTL BUSINESS MACH			\$1,200.00	DIVIDEND		
1146	AB-12345	06/10/98	DIV		INTL BUSINESS MACH			\$1,320.00	DIVIDEND		
1147	AB-12345	09/10/98	DIV		INTL BUSINESS MACH			\$1,320.00	DIVIDEND		
1148	AB-12345	12/10/98	DIV		INTL BUSINESS MACH			\$1,320.00	DIVIDEND		
1149	AB-12345	03/10/99	DIV		INTL BUSINESS MACH			\$1,320.00	DIVIDEND		
1150	AB-12345	05/27/99	DIV	6,000.000	INTL BUSINESS MACH				STOCK DIVIDEND		
1151	AB-12345	06/10/99	DIV		INTL BUSINESS MACH			\$1,440.00	DIVIDEND		
1152	AB-12345	09/10/99	DIV		INTL BUSINESS MACH			\$1,440.00	DIVIDEND		
1153	AB-12345	09/24/99	B	2,000.000	INTL BUSINESS MACH	\$126.69	\$253,519.50		COMM CALC (Bonds @ 2.5%)		
1154	AB-12345	09/24/99	S	2,000.000	INTL BUSINESS MACH	\$126.88		\$253,597.04	COMM CALC (Bonds @ 2.5%)		
1155	AB-12345	12/10/99	DIV		INTL BUSINESS MACH			\$1,440.00	DIVIDEND		
1156	AB-12345	12/14/99	B	3,000.000	INTL BUSINESS MACH	U \$113.75	\$342,004.50		COMM CALC (Bonds @ 2.5%)		
1157	AB-12345	03/10/00	DIV		INTL BUSINESS MACH			\$1,800.00	DIVIDEND		
1158	AB-12345	03/29/00	D	15,000.000	INTL BUSINESS MACH			\$1,792,500.00	VALUED PER STMT TRANS		
1159	AB-12345	05/05/00	R	15,000.000	INTL BUSINESS MACH			\$1,618,125.00	VALUED PER STMT TRANS		
1160	AB-12345	05/05/00	R	4,000.000	INTL BUSINESS MACH			\$431,500.00	VALUED PER STMT TRANS		
1161	AB-12345	05/24/00	S	3,000.000	INTL BUSINESS MACH	U \$106.25		\$318,284.87	COMM CALC (Bonds @ 2.5%)		
1162	AB-12345	06/12/00	DIV		INTL BUSINESS MACH			\$2,470.00	DIVIDEND		
1163	AB-12345	09/11/00	DIV		INTL BUSINESS MACH			\$2,080.00	DIVIDEND		
1164	AB-12345	10/17/00	S	3,000.000	INTL BUSINESS MACH	U \$104.50		\$312,735.05	COMM CALC (Bonds @ 2.5%)		
1165	AB-12345	12/11/00	DIV		INTL BUSINESS MACH			\$1,690.00	DIVIDEND		
1166	AB-12345	12/26/00	S	3,000.000	INTL BUSINESS MACH	U \$85.50		\$256,186.95	COMM CALC (Bonds @ 2.5%)		
1167	AB-12345	03/05/01	S	5,000.000	INTL BUSINESS MACH	U \$99.74		\$497,920.87	COMM CALC (Bonds @ 2.5%)		
1168	AB-12345	03/12/01	DIV		INTL BUSINESS MACH			\$1,300.00	DIVIDEND		

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1169	AB-12345	06/11/01	DIV	INTL BUSINESS MACH			\$700.00		DIVIDEND		
1170	AB-12345	09/10/01	DIV	INTL BUSINESS MACH			\$700.00		DIVIDEND		
1171	AB-12345	09/11/01	S	2,000.000 INTL BUSINESS MACH	U	\$98.30	\$195,541.30		COMM CALC (Bonds @ 2.5%)		
1172	AB-12345	09/26/01	S	2,000.000 INTL BUSINESS MACH		\$90.00	\$179,006.04		COMM CALC (Bonds @ 2.5%)		
1173	AB-12345	12/10/01	DIV	INTL BUSINESS MACH			\$140.00		DIVIDEND		
1174	AB-12345	03/11/02	DIV	INTL BUSINESS MACH			\$140.00		DIVIDEND		
1175	AB-12345	04/16/02	S	1,000.000 INTL BUSINESS MACH	U	\$83.60	\$83,030.69		COMM CALC (Bonds @ 2.5%)		
			<u>36,000.000</u>	<u>36,000.000</u>					\$635,403.81	\$24,220.00	\$659,623.81
1176	AB-12345	02/12/98	S	30.000 INTL BUSINESS MACH 199901 100 PUT	U	\$9.00	\$26,603.89		COMM CALC (Bonds @ 2.5%) OPEN		
1177	AB-12345	01/19/99	B	30.000 INTL BUSINESS MACH 199901 100 PUT					EXPIRED		
			<u>30.000</u>	<u>30.000</u>					\$26,603.89	\$0.00	\$26,603.89
1178	AB-12345	11/05/99	S	100.000 INTL BUSINESS MACH 200201 80 PUT	U	\$9.75	\$96,720.32		COMM CALC (Bonds @ 2.5%) OPEN		
1179	AB-12345	11/08/99	S	200.000 INTL BUSINESS MACH 200201 80 PUT	U	\$9.63	\$191,085.14		COMM CALC (Bonds @ 2.5%) OPEN		
1180	AB-12345	03/29/00	D	300.000 INTL BUSINESS MACH 200201 80 PUT			\$159,375.00		VALUED PER STMT TRANS		
1181	AB-12345	05/05/00	R	300.000 INTL BUSINESS MACH 200201 80 PUT			\$202,500.00		VALUED PER STMT TRANS		
1182	AB-12345	05/23/00	B	300.000 INTL BUSINESS MACH 200201 80 PUT	U	\$7.25	\$219,311.43		COMM CALC (Bonds @ 2.5%) CLOSE		
			<u>600.000</u>	<u>600.000</u>					\$111,619.03	\$0.00	\$111,619.03
1183	AB-12345	08/05/99	S	100.000 INTL BUSINESS MACH 200201 90 PUT	U	\$7.50	\$74,294.68		COMM CALC (Bonds @ 2.5%) OPEN		
1184	AB-12345	08/06/99	S	100.000 INTL BUSINESS MACH 200201 90 PUT	U	\$7.00	\$69,311.19		COMM CALC (Bonds @ 2.5%) OPEN		
1185	AB-12345	12/03/99	S	100.000 INTL BUSINESS MACH 200201 90 PUT	U	\$10.38	\$102,949.66		COMM CALC (Bonds @ 2.5%) OPEN		
1186	AB-12345	03/29/00	D	300.000 INTL BUSINESS MACH 200201 90 PUT			\$234,375.00		VALUED PER STMT TRANS		
1187	AB-12345	05/05/00	R	300.000 INTL BUSINESS MACH 200201 90 PUT			\$300,000.00		VALUED PER STMT TRANS		
1188	AB-12345	05/23/00	B	300.000 INTL BUSINESS MACH 200201 90 PUT	U	\$10.38	\$313,368.14		COMM CALC (Bonds @ 2.5%) CLOSE		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 51

## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		600.000	600.000						(\$1,187.61)	\$0.00	(\$1,187.61)
1189	AB-12345	10/16/00	S	130.000 INTL BUSINESS MACH 200301 160 CALL	U	\$13.13	\$169,406.60		COMM CALC (Bonds @ 2.5%) OPEN		
1190	AB-12345	12/21/00	B	30.000 INTL BUSINESS MACH 200301 160 CALL		\$6.00	\$18,308.64		COMM CALC (Bonds @ 2.5%) CLOSE		
1191	AB-12345	03/01/01	B	50.000 INTL BUSINESS MACH 200301 160 CALL	U	\$7.60	\$38,485.02		COMM CALC (Bonds @ 2.5%) CLOSE		
1192	AB-12345	09/07/01	B	20.000 INTL BUSINESS MACH 200301 160 CALL	U	\$1.50	\$3,176.06		COMM CALC (Bonds @ 2.5%) CLOSE		
1193	AB-12345	09/24/01	B	30.000 INTL BUSINESS MACH 200301 160 CALL		\$1.45	\$4,553.48		COMM CALC (Bonds @ 2.5%) CLOSE		
		130.000	130.000						\$104,883.40	\$0.00	\$104,883.40
1194	AB-12347	03/06/00	B	200.000 IRVINE SENSORS CORP	U	\$10.03	\$2,060.74		COMM CALC (Bonds @ 2.5%)		
1195	AB-12347	03/16/00	B	200.000 IRVINE SENSORS CORP	U	\$11.94	\$2,441.99		COMM CALC (Bonds @ 2.5%)		
1196	AB-12347	03/20/00	B	100.000 IRVINE SENSORS CORP	U	\$9.50	\$1,004.49		COMM CALC (Bonds @ 2.5%)		
1197	AB-12347	03/30/00	D	500.000 IRVINE SENSORS CORP			\$4,129.00		VALUED PER STMT TRANS		
		500.000	500.000						(\$1,378.22)	\$0.00	(\$1,378.22)
1198	AB-12345	04/24/01	B	2,000.000 JDS UNIPHASE CORP	U	\$25.18	\$50,846.73		COMM CALC (Bonds @ 2.5%)		
1199	AB-12345	02/08/02	S	1,100.000 JDS UNIPHASE CORP	U	\$6.15	\$6,604.38		COMM CALC (Bonds @ 2.5%)		
1200	AB-12345	02/08/02	S	900.000 JDS UNIPHASE CORP	U	\$6.14	\$5,398.20		COMM CALC (Bonds @ 2.5%)		
		2,000.000	2,000.000						(\$38,844.15)	\$0.00	(\$38,844.15)
1201	AB-12345	08/29/97	O	5,460.000 KIMBERLY CLARK CORP		\$47.44	\$259,011.48		VALUED PER 08/29/97 PORT		
1202	AB-12345	10/02/97	DIV	KIMBERLY CLARK CORP			\$1,310.40		DIVIDEND		
1203	AB-12345	01/05/98	DIV	KIMBERLY CLARK CORP			\$1,310.40		DIVIDEND		
1204	AB-12345	04/02/98	DIV	KIMBERLY CLARK CORP			\$1,365.00		DIVIDEND		
1205	AB-12345	07/02/98	DIV	KIMBERLY CLARK CORP			\$1,365.00		DIVIDEND		
1206	AB-12345	10/02/98	DIV	KIMBERLY CLARK CORP			\$1,365.00		DIVIDEND		
1207	AB-12345	01/05/99	DIV	KIMBERLY CLARK CORP			\$1,365.00		DIVIDEND		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 52

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1208	AB-12345	04/05/99	DIV	KIMBERLY CLARK CORP			\$1,419.60		DIVIDEND		
1209	AB-12345	07/02/99	DIV	KIMBERLY CLARK CORP			\$1,419.60		DIVIDEND		
1210	AB-12345	10/04/99	DIV	KIMBERLY CLARK CORP			\$1,419.60		DIVIDEND		
1211	AB-12345	01/04/00	DIV	KIMBERLY CLARK CORP			\$1,419.60		DIVIDEND		
1212	AB-12345	03/29/00	D	5,460.000 KIMBERLY CLARK CORP			\$290,062.50		VALUED PER STMT TRANS		
1213	AB-12345	04/04/00	DIV	KIMBERLY CLARK CORP			\$1,474.20		DIVIDEND		
1214	AB-12345	05/05/00	R	5,460.000 KIMBERLY CLARK CORP		\$321,801.48			VALUED PER STMT TRANS		
1215	AB-12345	07/06/00	DIV	KIMBERLY CLARK CORP			\$1,474.20		DIVIDEND		
1216	AB-12345	10/03/00	DIV	KIMBERLY CLARK CORP			\$1,474.20		DIVIDEND		
1217	AB-12345	01/03/01	DIV	KIMBERLY CLARK CORP			\$1,474.20		DIVIDEND		
1218	AB-12345	03/02/01	S	5,460.000 KIMBERLY CLARK CORP	U	\$71.00	\$386,823.57		COMM CALC (Bonds @ 2.5%)		
				10,920.000					\$96,073.11	\$19,656.00	\$115,729.11
1219	AB-12345	08/29/97	O	10,000.000 KMART CORP		\$13.88	\$138,750.00		VALUED PER 08/29/97 PORT		
1220	AB-12345	09/03/98	S	10,000.000 KMART CORP	U	\$12.56	\$124,449.41		COMM CALC (Bonds @ 2.5%)		
				10,000.000					(\$14,300.59)	\$0.00	(\$14,300.59)
1221	AB-12345	08/29/97	O	10,600.000 KROGER COMPANY		\$30.13	\$319,325.00		VALUED PER 08/29/97 PORT		
1222	AB-12345	06/29/99	DIV	10,600.000 KROGER COMPANY					STOCK DIVIDEND		
1223	AB-12345	12/09/99	B	10,000.000 KROGER COMPANY	U	\$15.50	\$155,754.50		COMM CALC (Bonds @ 2.5%)		
1224	AB-12345	03/29/00	D	31,200.000 KROGER COMPANY			\$551,865.60		VALUED PER STMT TRANS		
1225	AB-12345	05/05/00	R	31,200.000 KROGER COMPANY			\$610,365.60		VALUED PER STMT TRANS		
1226	AB-12345	10/16/00	S	10,000.000 KROGER COMPANY	U	\$21.44	\$213,363.35		COMM CALC (Bonds @ 2.5%)		
1227	AB-12345	10/17/00	S	20,000.000 KROGER COMPANY	U	\$20.06	\$399,732.12		COMM CALC (Bonds @ 2.5%)		
1228	AB-12345	10/18/00	S	1,200.000 KROGER COMPANY	U	\$21.25	\$25,434.65		COMM CALC (Bonds @ 2.5%)		
				62,400.000					\$104,950.62	\$0.00	\$104,950.62
1229	AB-12347	12/29/00	B	500.000 KVH INDUSTRIES INC	U	\$5.56	\$2,924.81		COMM CALC (Bonds @ 2.5%)		

<b>Transaction Legend</b>	B - Bought	DIV - Dividen	R - Receive	O - Open	CR - Credit	NC - Name Chg / Exchang
	S - Sold	D - Deliver	I - In Accoun	ROP - ROP/ROC	DR - Debit	

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# COLOR COMBINED ROI ANALYSIS

**Case Name:** INVESTOR V. BROKERAGE FIRM

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1230	AB-12346	01/19/01	B	500.000	KVH INDUSTRIES INC	U	\$9.13	\$4,677.37			
1231	AB-12347	02/15/01	S		500.000 KVH INDUSTRIES INC	U	\$7.75		\$3,820.37		
1232	AB-12346	04/11/01	S		500.000 KVH INDUSTRIES INC	U	\$6.53		\$3,162.76		
				1,000.000							
									(\$619.05)	\$0.00	(\$619.05)
1233	AB-12345	08/29/97	O	200.000	LEHMAN BROS HOLDINGS INC		\$43.75	\$8,750.00			VALUED PER 08/29/97 PORT
1234	AB-12345	11/26/97	DIV		LEHMAN BROS HOLDINGS INC				\$12.00		DIVIDEND
1235	AB-12345	02/26/98	DIV		LEHMAN BROS HOLDINGS INC				\$15.00		DIVIDEND
1236	AB-12345	05/28/98	DIV		LEHMAN BROS HOLDINGS INC				\$15.00		DIVIDEND
1237	AB-12345	08/28/98	DIV		LEHMAN BROS HOLDINGS INC				\$15.00		DIVIDEND
1238	AB-12345	11/27/98	DIV		LEHMAN BROS HOLDINGS INC				\$15.00		DIVIDEND
1239	AB-12345	02/25/99	DIV		LEHMAN BROS HOLDINGS INC				\$18.00		DIVIDEND
1240	AB-12345	06/01/99	DIV		LEHMAN BROS HOLDINGS INC				\$18.00		DIVIDEND
1241	AB-12345	08/30/99	DIV		LEHMAN BROS HOLDINGS INC				\$18.00		DIVIDEND
1242	AB-12345	11/29/99	DIV		LEHMAN BROS HOLDINGS INC				\$18.00		DIVIDEND
1243	AB-12345	02/28/00	DIV		LEHMAN BROS HOLDINGS INC				\$22.00		DIVIDEND
1244	AB-12345	03/29/00	D		200.000 LEHMAN BROS HOLDINGS INC			\$20,250.00			VALUED PER STMT TRANS
1245	AB-12345	05/05/00	R	200.000	LEHMAN BROS HOLDINGS INC			\$16,412.60			VALUED PER STMT TRANS
1246	AB-12345	05/30/00	DIV		LEHMAN BROS HOLDINGS INC				\$22.00		DIVIDEND
1247	AB-12345	08/30/00	DIV		LEHMAN BROS HOLDINGS INC				\$22.00		DIVIDEND
1248	AB-12345	10/23/00	DIV	200.000	LEHMAN BROS HOLDINGS INC						STOCK DIVIDEND
1249	AB-12345	11/29/00	DIV		LEHMAN BROS HOLDINGS INC				\$22.00		DIVIDEND
1250	AB-12345	02/27/01	DIV		LEHMAN BROS HOLDINGS INC				\$28.00		DIVIDEND
1251	AB-12345	05/30/01	DIV		LEHMAN BROS HOLDINGS INC				\$28.00		DIVIDEND
1252	AB-12345	08/30/01	DIV		LEHMAN BROS HOLDINGS INC				\$28.00		DIVIDEND
1253	AB-12345	11/29/01	DIV		LEHMAN BROS HOLDINGS INC				\$28.00		DIVIDEND
1254	AB-12345	02/27/02	DIV		LEHMAN BROS HOLDINGS INC				\$36.00		DIVIDEND
1255	AB-12345	04/30/02	S		400.000 LEHMAN BROS HOLDINGS INC	U	\$56.50		\$22,306.23		COMM CALC (Bonds @ 2.5%)

**Transaction Legend**

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01/08/03

Page: 54

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		600.000	600.000						\$17,393.63	\$380.00	\$17,773.63
1256	AB-12345	09/03/97	B	50.000	LERNOUT & HAUSPIE 199712 20 PUT	\$1.00	\$5,287.45		COMM CALC (Bonds @ 2.5%) OPEN		
1257	AB-12345	12/22/97	S	50.000	LERNOUT & HAUSPIE 199712 20 PUT				EXPIRED		
		50.000	50.000						(\$5,287.45)	\$0.00	(\$5,287.45)
1258	AB-12347	12/13/99	B	10.000	LEVEL 3 COMMUNICATIONS 200001 65 PUT	\$1.38	\$1,463.76		COMM CALC (Bonds @ 2.5%) OPEN		
1259	AB-12347	01/24/00	S	10.000	LEVEL 3 COMMUNICATIONS 200001 65 PUT				EXPIRED		
		10.000	10.000						(\$1,463.76)	\$0.00	(\$1,463.76)
1260	AB-12345	05/05/00	R	100.000	LEVEL 3 COMMUNICATIONS 200201 70 PUT			\$167,500.00	VALUED PER STMT TRANS		
1261	AB-12345	09/28/00	B	100.000	LEVEL 3 COMMUNICATIONS 200201 70 PUT	U \$15.63	\$157,306.29		COMM CALC (Bonds @ 2.5%) CLOSE		
		100.000	100.000						\$10,193.71	\$0.00	\$10,193.71
1262	AB-12347	04/20/01	B	500.000	LEXICON GENETICS INC	U \$7.25	\$3,724.40		COMM CALC (Bonds @ 2.5%)		
1263	AB-12347	05/23/01	S	500.000	LEXICON GENETICS INC			\$3,585.31	COMM CALC (Bonds @ 2.5%)		
		500.000	500.000						(\$139.09)	\$0.00	(\$139.09)
1264	AB-12347	04/23/01	S	5.000	LEXICON GENETICS INC 200105 7.5 CALL	U \$1.65		\$779.23	COMM CALC (Bonds @ 2.5%) OPEN		
1265	AB-12347	05/21/01	B	5.000	LEXICON GENETICS INC 200105 7.5 CALL				ASSIGNED		
		5.000	5.000						\$779.23	\$0.00	\$779.23
1266	AB-12345	01/26/00	B	3,000.000	LIGHTPATH TECHNOLOGIES INC CL A	U \$28.06	\$84,934.49		COMM CALC (Bonds @ 2.5%)		
1267	AB-12345	03/09/00	B	400.000	LIGHTPATH TECHNOLOGIES INC CL A	U \$59.50	\$23,926.56		COMM CALC (Bonds @ 2.5%)		
1268	AB-12345	03/09/00	B	200.000	LIGHTPATH TECHNOLOGIES INC CL A	U \$59.50	\$11,963.28		COMM CALC (Bonds @ 2.5%)		
1269	AB-12345	03/09/00	B	800.000	LIGHTPATH TECHNOLOGIES INC CL A	U \$59.50	\$47,853.03		COMM CALC (Bonds @ 2.5%)		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 55



## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1270	AB-12345	03/09/00	B	200.000	LIGHTPATH TECHNOLOGIES INC CL A	U	\$59.50	\$11,963.28			
1271	AB-12345	03/09/00	B	400.000	LIGHTPATH TECHNOLOGIES INC CL A	U	\$59.50	\$23,931.06			
1272	AB-12345	03/29/00	D	5,000.000	LIGHTPATH TECHNOLOGIES INC CL A			\$234,065.00			
1273	AB-12345	04/27/00	S	200.000	LIGHTPATH TECHNOLOGIES INC CL A	U	\$19.88	\$3,954.86			
1274	AB-12345	04/27/00	S	4,800.000	LIGHTPATH TECHNOLOGIES INC CL A	U	\$19.88	\$94,912.32			
1275	AB-12345	05/05/00	R	5,000.000	LIGHTPATH TECHNOLOGIES INC CL A			\$142,500.00			
				10,000.000	10,000.000						
									(\$14,139.52)	\$0.00	(\$14,139.52)
1276	AB-12346	01/22/01	B	500.000	LONDON PAC GROUP LTD SPON ADR	U	\$10.00	\$5,119.79			
1277	AB-12347	01/22/01	B	500.000	LONDON PAC GROUP LTD SPON ADR	U	\$10.00	\$5,119.79			
1278	AB-12347	02/15/01	S	500.000	LONDON PAC GROUP LTD SPON ADR	U	\$9.62	\$4,755.33			
1279	AB-12346	02/28/01	S	500.000	LONDON PAC GROUP LTD SPON ADR	U	\$7.85	\$3,808.32			
1280	AB-12347	07/25/01	B	500.000	LONDON PAC GROUP LTD SPON ADR	U	\$5.33	\$2,762.78			
1281	AB-12347	09/07/01	DIV		LONDON PAC GROUP LTD SPON ADR			\$44.00			
1282	AB-12347	09/27/01	S	500.000	LONDON PAC GROUP LTD SPON ADR	U	\$2.75	\$1,300.45			
				1,500.000	1,500.000						
									(\$3,138.26)	\$44.00	(\$3,094.26)
1283	AB-12345	01/26/00	S	200.000	LUCENT TECHNOLOGIES INC 200201 45 PUT	U	\$7.13	\$141,250.39			
1284	AB-12345	03/29/00	D	200.000	LUCENT TECHNOLOGIES INC 200201 45 PUT			\$101,250.00			
1285	AB-12345	05/05/00	R	200.000	LUCENT TECHNOLOGIES INC 200201 45 PUT			\$118,750.00			
1286	AB-12345	05/23/00	B	200.000	LUCENT TECHNOLOGIES INC 200201 45 PUT	U	\$6.63	\$133,712.15			
				400.000	400.000						
									\$25,038.24	\$0.00	\$25,038.24
1287	AB-12347	01/26/00	B	1,000.000	MAGAININ PHARMACEUTICALS INC	U	\$3.00	\$3,154.50			
1288	AB-12347	03/30/00	D	1,000.000	MAGAININ PHARMACEUTICALS INC			\$4,375.00			
				1,000.000	1,000.000						
									\$1,220.50	\$0.00	\$1,220.50

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01/08/03

Page: 56

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1289	AB-12345	07/08/99	B	3,000.000	MAIL.COM INC CL A	\$20.56	\$62,142.00		COMM CALC (Bonds @ 2.5%)		
1290	AB-12345	07/09/99	B	1,600.000	MAIL.COM INC CL A	\$22.13	\$35,644.50		COMM CALC (Bonds @ 2.5%)		
1291	AB-12345	07/09/99	B	200.000	MAIL.COM INC CL A	\$22.00	\$4,430.00		COMM CALC (Bonds @ 2.5%)		
1292	AB-12345	07/09/99	B	200.000	MAIL.COM INC CL A	\$22.13	\$4,455.00		COMM CALC (Bonds @ 2.5%)		
1293	AB-12345	07/16/99	B	2,000.000	MAIL.COM INC CL A	U \$25.00	\$50,304.50		COMM CALC (Bonds @ 2.5%)		
1294	AB-12345	07/16/99	B	1,000.000	MAIL.COM INC CL A	U \$24.50	\$24,625.00		COMM CALC (Bonds @ 2.5%)		
1295	AB-12345	03/29/00	D	8,000.000	MAIL.COM INC CL A			\$145,000.00	VALUED PER STMT TRANS		
1296	AB-12345	04/27/00	S	300.000	MAIL.COM INC CL A	U \$9.06		\$2,688.65	COMM CALC (Bonds @ 2.5%)		
1297	AB-12345	04/27/00	S	500.000	MAIL.COM INC CL A	U \$9.00		\$4,445.35	COMM CALC (Bonds @ 2.5%)		
1298	AB-12345	04/27/00	S	1,000.000	MAIL.COM INC CL A	U \$9.06		\$8,962.19	COMM CALC (Bonds @ 2.5%)		
1299	AB-12345	04/27/00	S	300.000	MAIL.COM INC CL A	U \$9.00		\$2,669.91	COMM CALC (Bonds @ 2.5%)		
1300	AB-12345	05/05/00	R	8,000.000	MAIL.COM INC CL A		\$55,752.00		VALUED PER STMT TRANS		
1301	AB-12345	05/24/00	S	700.000	MAIL.COM INC CL A	U \$6.75		\$4,640.84	COMM CALC (Bonds @ 2.5%)		
1302	AB-12345	05/24/00	S	100.000	MAIL.COM INC CL A	U \$6.75		\$658.47	COMM CALC (Bonds @ 2.5%)		
1303	AB-12345	05/24/00	S	1,000.000	MAIL.COM INC CL A	U \$6.75		\$6,629.77	COMM CALC (Bonds @ 2.5%)		
1304	AB-12345	05/24/00	S	1,000.000	MAIL.COM INC CL A	U \$6.75		\$6,629.77	COMM CALC (Bonds @ 2.5%)		
1305	AB-12345	05/24/00	S	1,500.000	MAIL.COM INC CL A	U \$6.75		\$9,944.66	COMM CALC (Bonds @ 2.5%)		
1306	AB-12345	05/24/00	S	500.000	MAIL.COM INC CL A	U \$6.75		\$3,314.88	COMM CALC (Bonds @ 2.5%)		
1307	AB-12345	05/31/00	S	1,100.000	MAIL.COM INC CL A	U \$5.56		\$6,059.04	COMM CALC (Bonds @ 2.5%)		
				16,000.000	16,000.000				(\$35,709.47)	\$0.00	(\$35,709.47)

1308	AB-12345	08/29/97	O	8,000.000	MANAGED MUNI PORTFOLIO II INC	\$11.69	\$93,504.00		VALUED PER 08/29/97 PORT		
1309	AB-12345	09/26/97	DIV		MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND		
1310	AB-12345	10/30/97	DIV		MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND		
1311	AB-12345	11/26/97	DIV		MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND		
1312	AB-12345	12/26/97	DIV		MANAGED MUNI PORTFOLIO II INC			\$1,280.00	DIVIDEND STG		
1313	AB-12345	12/26/97	DIV		MANAGED MUNI PORTFOLIO II INC			\$80.00	DIVIDEND LTG		
1314	AB-12345	01/29/98	DIV		MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND		
1315	AB-12345	02/26/98	DIV		MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1316	AB-12345	03/27/98	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1317	AB-12345	04/24/98	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1318	AB-12345	05/28/98	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1319	AB-12345	06/26/98	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1320	AB-12345	07/30/98	DIV	MANAGED MUNI PORTFOLIO II INC			\$384.00		DIVIDEND		
1321	AB-12345	08/28/98	DIV	MANAGED MUNI PORTFOLIO II INC			\$384.00		DIVIDEND		
1322	AB-12345	09/25/98	DIV	MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND		
1323	AB-12345	10/29/98	DIV	MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND		
1324	AB-12345	11/27/98	DIV	MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND		
1325	AB-12345	12/24/98	DIV	MANAGED MUNI PORTFOLIO II INC			\$1,416.00		DIVIDEND STG		
1326	AB-12345	01/28/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND		
1327	AB-12345	03/15/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND		
1328	AB-12345	03/26/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND		
1329	AB-12345	04/29/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND		
1330	AB-12345	05/27/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND		
1331	AB-12345	06/25/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1332	AB-12345	07/29/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1333	AB-12345	08/27/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1334	AB-12345	09/24/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1335	AB-12345	10/28/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1336	AB-12345	11/26/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1337	AB-12345	12/30/99	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1338	AB-12345	01/28/00	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1339	AB-12345	02/25/00	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1340	AB-12345	03/29/00	D	8,000.000 MANAGED MUNI PORTFOLIO II INC			\$74,000.00		VALUED PER STMT TRANS		
1341	AB-12345	03/30/00	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1342	AB-12345	05/05/00	R	8,000.000 MANAGED MUNI PORTFOLIO II INC		\$74,000.00			VALUED PER STMT TRANS		
1343	AB-12345	05/26/00	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1344	AB-12345	06/29/00	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		

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1345	AB-12345	07/28/00	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1346	AB-12345	08/25/00	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1347	AB-12345	09/28/00	DIV	MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND		
1348	AB-12345	10/18/00	S	MANAGED MUNI PORTFOLIO II INC	U \$9.81		\$77,692.88		COMM CALC (Bonds @ 2.5%)		
										16,000.000	16,000.000
1349	AB-12345	05/05/00	R	MANUFACTURED HOME COMMUNITIES INC		\$101,500.00			VALUED PER STMT TRANS		
1350	AB-12345	07/14/00	DIV	MANUFACTURED HOME COMMUNITIES INC			\$1,660.00		DIVIDEND		
1351	AB-12345	10/13/00	DIV	MANUFACTURED HOME COMMUNITIES INC			\$1,660.00		DIVIDEND		
1352	AB-12345	10/17/00	S	MANUFACTURED HOME COMMUNITIES INC	U \$24.75		\$98,223.49		COMM CALC (Bonds @ 2.5%)		
										4,000.000	4,000.000
1353	AB-12345	08/29/97	O	WORLDCOM INC	\$29.94	\$646,660.80			VALUED PER 08/29/97 PORT		
1354	AB-12345	09/24/97	B	WORLDCOM INC	\$22.50	\$68,102.21			COMM CALC (Bonds @ 2.5%)		
1355	AB-12345	06/09/98	B	WORLDCOM INC	\$44.75	\$45,176.64			COMM CALC (Bonds @ 2.5%)		
1356	AB-12345	09/16/98	B	WORLDCOM INC	U \$47.50	\$238,857.90			COMM CALC (Bonds @ 2.5%)		
1357	AB-12345	09/17/98	NC	WORLDCOM INC					MCI WORLDCOM INC		
1358	AB-12345	09/17/98	NC	MCI WORLDCOM INC					WORLDCOM INC		
1359	AB-12346	03/30/99	R	MCI WORLDCOM INC		\$184,250.00			VALUED PER STMT TRANS		
1360	AB-12345	12/06/99	B	MCI WORLDCOM INC	\$78.00	\$234,754.50			COMM CALC (Bonds @ 2.5%)		
1361	AB-12345	12/06/99	B	MCI WORLDCOM INC	\$78.00	\$156,200.00			COMM CALC (Bonds @ 2.5%)		
1362	AB-12345	12/31/99	DIV	MCI WORLDCOM INC					STOCK DIVIDEND		
1363	AB-12346	12/31/99	DIV	MCI WORLDCOM INC					STOCK DIVIDEND		
1364	AB-12345	03/29/00	D	MCI WORLDCOM INC			\$2,216,100.00		VALUED PER STMT TRANS		
1365	AB-12346	03/30/00	D	MCI WORLDCOM INC			\$127,689.00		VALUED PER STMT TRANS		
1366	AB-12345	05/05/00	R	MCI WORLDCOM INC		\$6,005,672.75			VALUED PER STMT TRANS		
1367	AB-12345	05/05/00	R	MCI WORLDCOM INC		\$2,289,525.00			VALUED PER STMT TRANS		
1368	AB-12345	05/08/00	NC	MCI WORLDCOM INC					WORLDCOM INC		

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**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1369	AB-12345	05/08/00	NC	193,474.000							MCI WORLDCOM INC
1370	AB-12346	05/11/00	R	3,000.000							VALUED PER STMT TRANS
1371	AB-12345	08/15/00	B	1,650.000							COMM CALC (Bonds @ 2.5%)
1372	AB-12345	08/16/00	B	300.000							COMM CALC (Bonds @ 2.5%)
1373	AB-12345	08/16/00	B	9,600.000							COMM CALC (Bonds @ 2.5%)
1374	AB-12345	08/17/00	B	900.000							COMM CALC (Bonds @ 2.5%)
1375	AB-12345	08/28/00	B	3,750.000							COMM CALC (Bonds @ 2.5%)
1376	AB-12345	08/31/00	B	750.000							COMM CALC (Bonds @ 2.5%)
1377	AB-12346	09/12/00	B	600.000							COMM CALC (Bonds @ 2.5%)
1378	AB-12345	09/15/00	B	2,850.000							COMM CALC (Bonds @ 2.5%)
1379	AB-12345	09/21/00	B	1,950.000							COMM CALC (Bonds @ 2.5%)
1380	AB-12345	09/26/00	B	3,150.000							COMM CALC (Bonds @ 2.5%)
1381	AB-12345	09/26/00	B	750.000							COMM CALC (Bonds @ 2.5%)
1382	AB-12345	09/29/00	B	1,950.000							COMM CALC (Bonds @ 2.5%)
1383	AB-12345	09/29/00	B	1,200.000							COMM CALC (Bonds @ 2.5%)
1384	AB-12345	10/12/00	B	150.000							COMM CALC (Bonds @ 2.5%)
1385	AB-12345	10/17/00	S		22,424.000						COMM CALC (Bonds @ 2.5%)
1386	AB-12346	10/20/00	B	900.000							COMM CALC (Bonds @ 2.5%)
1387	AB-12345	11/06/00	S		1,000.000						COMM CALC (Bonds @ 2.5%)
1388	AB-12345	11/06/00	S		2,000.000						COMM CALC (Bonds @ 2.5%)
1389	AB-12345	11/06/00	S		20,000.000						COMM CALC (Bonds @ 2.5%)
1390	AB-12345	11/06/00	S		1,000.000						COMM CALC (Bonds @ 2.5%)
1391	AB-12345	11/06/00	S		1,000.000						COMM CALC (Bonds @ 2.5%)
1392	AB-12346	11/06/00	S		4,500.000						COMM CALC (Bonds @ 2.5%)
1393	AB-12345	11/06/00	S		100.000						COMM CALC (Bonds @ 2.5%)
1394	AB-12345	11/06/00	S		13,900.000						COMM CALC (Bonds @ 2.5%)
1395	AB-12345	11/06/00	S		9,300.000						COMM CALC (Bonds @ 2.5%)
1396	AB-12345	11/06/00	S		500.000						COMM CALC (Bonds @ 2.5%)
1397	AB-12345	11/06/00	S		1,000.000						COMM CALC (Bonds @ 2.5%)

<b>Transaction Legend</b>	B - Bought	DIV - Dividen	R - Receive	O - Open	CR - Credit	NC - Name Chg / Exchang
	S - Sold	D - Deliver	I - In Accoun	ROP - ROP/ROC	DR - Debit	

*Privileged/Confidential Attorney Work Product*

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1398	AB-12345	11/06/00	S	200.000	WORLD COM INC	U	\$19.06		\$3,792.37		COMM CALC (Bonds @ 2.5%)
1399	AB-12345	11/10/00	B	4,650.000	WORLD COM INC		\$50.00	\$232,969.50			COMM CALC (Bonds @ 2.5%)
1400	AB-12345	11/13/00	B	6,600.000	WORLD COM INC		\$53.38	\$353,104.50			COMM CALC (Bonds @ 2.5%)
1401	AB-12346	11/14/00	B	3,000.000	WORLD COM INC		\$40.00	\$120,743.60			COMM CALC (Bonds @ 2.5%)
1402	AB-12345	11/14/00	S	600.000	WORLD COM INC	U	\$16.56		\$9,882.67		COMM CALC (Bonds @ 2.5%)
1403	AB-12345	11/17/00	B	1,500.000	WORLD COM INC		\$50.00	\$75,532.35			COMM CALC (Bonds @ 2.5%)
1404	AB-12345	11/17/00	B	300.000	WORLD COM INC		\$56.63	\$17,092.98			COMM CALC (Bonds @ 2.5%)
1405	AB-12345	11/20/00	B	7,350.000	WORLD COM INC		\$53.38	\$394,204.10			COMM CALC (Bonds @ 2.5%)
1406	AB-12345	11/20/00	B	450.000	WORLD COM INC		\$50.00	\$22,615.92			COMM CALC (Bonds @ 2.5%)
1407	AB-12346	11/20/00	S	1,000.000	WORLD COM INC	U	\$16.50		\$16,260.05		COMM CALC (Bonds @ 2.5%)
1408	AB-12346	11/21/00	S	2,000.000	WORLD COM INC	U	\$16.00		\$31,576.16		COMM CALC (Bonds @ 2.5%)
1409	AB-12345	11/22/00	B	600.000	WORLD COM INC		\$50.00	\$30,258.66			COMM CALC (Bonds @ 2.5%)
1410	AB-12345	11/24/00	S	9,000.000	WORLD COM INC	U	\$15.13		\$135,215.96		COMM CALC (Bonds @ 2.5%)
1411	AB-12345	11/24/00	S	1,000.000	WORLD COM INC	U	\$15.13		\$15,024.49		COMM CALC (Bonds @ 2.5%)
1412	AB-12345	11/29/00	S	10,000.000	WORLD COM INC		\$15.63		\$155,240.29		COMM CALC (Bonds @ 2.5%)
1413	AB-12346	12/04/00	B	1,500.000	WORLD COM INC		\$40.00	\$60,154.50			COMM CALC (Bonds @ 2.5%)
1414	AB-12345	12/04/00	S	2,000.000	WORLD COM INC	U	\$15.88		\$31,548.94		COMM CALC (Bonds @ 2.5%)
1415	AB-12345	12/04/00	S	10,000.000	WORLD COM INC	U	\$15.75		\$156,494.75		COMM CALC (Bonds @ 2.5%)
1416	AB-12345	12/04/00	S	1,500.000	WORLD COM INC	U	\$15.81		\$23,567.95		COMM CALC (Bonds @ 2.5%)
1417	AB-12345	12/04/00	S	1,500.000	WORLD COM INC	U	\$15.81		\$23,567.95		COMM CALC (Bonds @ 2.5%)
1418	AB-12345	12/04/00	S	200.000	WORLD COM INC	U	\$15.81		\$3,142.39		COMM CALC (Bonds @ 2.5%)
1419	AB-12345	12/04/00	S	500.000	WORLD COM INC	U	\$15.88		\$7,887.23		COMM CALC (Bonds @ 2.5%)
1420	AB-12345	12/04/00	S	3,600.000	WORLD COM INC	U	\$15.81		\$56,563.10		COMM CALC (Bonds @ 2.5%)
1421	AB-12345	12/04/00	S	700.000	WORLD COM INC	U	\$15.81		\$10,993.88		COMM CALC (Bonds @ 2.5%)
1422	AB-12346	12/05/00	S	1,500.000	WORLD COM INC	U	\$14.94		\$22,251.00		COMM CALC (Bonds @ 2.5%)
1423	AB-12346	12/08/00	R	2,000.000	WORLD COM INC			\$30,376.00			VALUED PER STMT TRANS; FM XY-98765
1424	AB-12345	12/12/00	B	6,450.000	WORLD COM INC		\$53.38	\$345,240.75			COMM CALC (Bonds @ 2.5%)
1425	AB-12345	12/27/00	S	200.000	WORLD COM INC	U	\$15.00		\$2,984.90		COMM CALC (Bonds @ 2.5%)
1426	AB-12345	12/27/00	S	100.000	WORLD COM INC	U	\$15.00		\$1,492.45		

**Transaction Legend**

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1427	AB-12345	12/27/00	S								
				10,000.000	WORLD COM INC	U	\$15.00		\$149,240.50		COMM CALC (Bonds @ 2.5%)
1428	AB-12345	12/27/00	S	600.000	WORLD COM INC	U	\$15.00		\$8,954.70		COMM CALC (Bonds @ 2.5%)
1429	AB-12345	01/17/01	B	900.000	WORLD COM INC		\$53.38	\$48,401.87			COMM CALC (Bonds @ 2.5%)
1430	AB-12345	01/24/01	B	300.000	WORLD COM INC		\$50.00	\$15,154.83			COMM CALC (Bonds @ 2.5%)
1431	AB-12345	02/16/01	B	1,950.000	WORLD COM INC		\$53.38	\$105,139.61			COMM CALC (Bonds @ 2.5%)
1432	AB-12345	02/27/01	S	1,000.000	WORLD COM INC	U	\$15.69		\$15,586.97		COMM CALC (Bonds @ 2.5%)
1433	AB-12345	02/27/01	S	1,000.000	WORLD COM INC	U	\$16.38		\$16,274.45		COMM CALC (Bonds @ 2.5%)
1434	AB-12345	02/27/01	S	1,000.000	WORLD COM INC	U	\$16.38		\$16,274.45		COMM CALC (Bonds @ 2.5%)
1435	AB-12345	02/27/01	S	1,000.000	WORLD COM INC	U	\$16.38		\$16,274.45		COMM CALC (Bonds @ 2.5%)
1436	AB-12345	02/27/01	S	1,000.000	WORLD COM INC	U	\$15.69		\$15,586.97		COMM CALC (Bonds @ 2.5%)
1437	AB-12345	02/27/01	S	1,000.000	WORLD COM INC	U	\$16.38		\$16,274.45		COMM CALC (Bonds @ 2.5%)
1438	AB-12345	02/27/01	S	1,000.000	WORLD COM INC	U	\$16.38		\$16,274.45		COMM CALC (Bonds @ 2.5%)
1439	AB-12345	02/27/01	S	1,000.000	WORLD COM INC	U	\$16.38		\$16,274.45		COMM CALC (Bonds @ 2.5%)
1440	AB-12345	02/27/01	S	5,350.000	WORLD COM INC	U	\$15.69		\$83,385.83		COMM CALC (Bonds @ 2.5%)
1441	AB-12345	02/27/01	S	600.000	WORLD COM INC	U	\$15.69		\$9,352.18		COMM CALC (Bonds @ 2.5%)
1442	AB-12345	02/27/01	S	600.000	WORLD COM INC	U	\$15.69		\$9,352.18		COMM CALC (Bonds @ 2.5%)
1443	AB-12345	02/27/01	S	1,000.000	WORLD COM INC	U	\$16.38		\$16,274.45		COMM CALC (Bonds @ 2.5%)
1444	AB-12345	02/27/01	S	2,000.000	WORLD COM INC	U	\$16.38		\$32,548.90		COMM CALC (Bonds @ 2.5%)
1445	AB-12345	02/27/01	S	1,000.000	WORLD COM INC	U	\$15.69		\$15,586.97		COMM CALC (Bonds @ 2.5%)
1446	AB-12345	02/27/01	S	1,000.000	WORLD COM INC		\$16.38		\$16,274.45		COMM CALC (Bonds @ 2.5%)
1447	AB-12346	02/28/01	S	1,000.000	WORLD COM INC	U	\$16.44		\$16,198.04		COMM CALC (Bonds @ 2.5%)
1448	AB-12345	03/06/01	S	2,000.000	WORLD COM INC	U	\$15.50		\$30,698.96		COMM CALC (Bonds @ 2.5%)
1449	AB-12345	03/06/01	S	300.000	WORLD COM INC	U	\$15.63		\$4,642.34		COMM CALC (Bonds @ 2.5%)
1450	AB-12345	03/06/01	S	1,000.000	WORLD COM INC	U	\$15.50		\$15,349.48		COMM CALC (Bonds @ 2.5%)
1451	AB-12345	03/06/01	S	1,000.000	WORLD COM INC	U	\$15.50		\$15,349.48		COMM CALC (Bonds @ 2.5%)
1452	AB-12345	03/06/01	S	1,000.000	WORLD COM INC	U	\$15.50		\$15,349.48		COMM CALC (Bonds @ 2.5%)
1453	AB-12345	03/06/01	S	900.000	WORLD COM INC	U	\$15.50		\$13,814.53		COMM CALC (Bonds @ 2.5%)
1454	AB-12345	03/06/01	S	1,000.000	WORLD COM INC	U	\$15.50		\$15,349.48		COMM CALC (Bonds @ 2.5%)
1455	AB-12345	03/06/01	S	400.000	WORLD COM INC	U	\$15.50		\$6,139.79		COMM CALC (Bonds @ 2.5%)

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1456	AB-12345	03/06/01	S								
				7,700.000	WORLD COM INC	U	\$15.50		\$118,191.02		COMM CALC (Bonds @ 2.5%)
1457	AB-12345	03/06/01	S								
				1,000.000	WORLD COM INC	U	\$15.50		\$15,349.48		COMM CALC (Bonds @ 2.5%)
1458	AB-12345	03/06/01	S								
				3,200.000	WORLD COM INC	U	\$15.50		\$49,118.34		COMM CALC (Bonds @ 2.5%)
1459	AB-12345	03/06/01	S								
				500.000	WORLD COM INC	U	\$15.50		\$7,670.24		COMM CALC (Bonds @ 2.5%)
1460	AB-12346	03/16/01	B	1,350.000	WORLD COM INC	U	\$43.38	\$59,003.34			COMM CALC (Bonds @ 2.5%)
1461	AB-12346	03/19/01	S								
				850.000	WORLD COM INC	U	\$16.50		\$13,806.38		COMM CALC (Bonds @ 2.5%)
1462	AB-12346	03/23/01	S								
				650.000	WORLD COM INC	U	\$17.94		\$11,484.76		COMM CALC (Bonds @ 2.5%)
1463	AB-12346	04/06/01	S								
				500.000	WORLD COM INC	U	\$17.44		\$8,552.66		COMM CALC (Bonds @ 2.5%)
1464	AB-12345	04/06/01	S								
				10,000.000	WORLD COM INC		\$17.44		\$173,564.68		COMM CALC (Bonds @ 2.5%)
1465	AB-12346	05/04/01	B	750.000	WORLD COM INC		\$43.38	\$33,079.50			COMM CALC (Bonds @ 2.5%)
1466	AB-12346	06/04/01	B	900.000	WORLD COM INC		\$43.38	\$39,463.86			COMM CALC (Bonds @ 2.5%)
1467	AB-12345	06/08/01	DIV	3,200.000	WORLD COM INC GA NEW MCI GROUP						STOCK DIVIDEND
1468	AB-12346	06/08/01	DIV	80.000	WORLD COM INC GA NEW MCI GROUP						STOCK DIVIDEND
1469	AB-12346	06/11/01	S								
				300.000	WORLD COM INC-WORLD COM GROUP	U	\$18.22		\$5,331.96		COMM CALC (Bonds @ 2.5%)
1470	AB-12346	06/11/01	DIV								
				12.000	WORLD COM INC GA NEW MCI GROUP						STOCK DIVIDEND
1471	AB-12346	06/22/01	S								
				1,200.000	WORLD COM INC-WORLD COM GROUP	U	\$15.01		\$17,708.51		COMM CALC (Bonds @ 2.5%)
1472	AB-12345	06/28/01	S								
				10,000.000	WORLD COM INC	U	\$14.15		\$140,590.78		COMM CALC (Bonds @ 2.5%)
1473	AB-12345	06/28/01	S								
				10,000.000	WORLD COM INC	U	\$14.01		\$139,195.33		COMM CALC (Bonds @ 2.5%)
1474	AB-12345	07/27/01	S								
				3,000.000	WORLD COM INC	U	\$13.46		\$39,928.65		COMM CALC (Bonds @ 2.5%)
1475	AB-12345	07/27/01	S								
				100.000	WORLD COM INC	U	\$13.46		\$1,330.95		COMM CALC (Bonds @ 2.5%)
1476	AB-12345	07/27/01	S								
				2,500.000	WORLD COM INC	U	\$13.46		\$33,273.87		COMM CALC (Bonds @ 2.5%)
1477	AB-12345	07/27/01	S								
				2,500.000	WORLD COM INC	U	\$13.46		\$33,273.87		COMM CALC (Bonds @ 2.5%)
1478	AB-12345	07/27/01	S								
				1,000.000	WORLD COM INC	U	\$13.46		\$13,309.55		COMM CALC (Bonds @ 2.5%)
1479	AB-12345	07/27/01	S								
				10,900.000	WORLD COM INC	U	\$13.46		\$145,069.60		COMM CALC (Bonds @ 2.5%)
1480	AB-12346	08/13/01	S								
				500.000	WORLD COM INC-WORLD COM GROUP	U	\$13.98		\$6,821.74		COMM CALC (Bonds @ 2.5%)
1481	AB-12346	08/13/01	S								
				68.000	WORLD COM INC GA NEW MCI GROUP	U	\$11.98		\$756.81		COMM CALC (Bonds @ 2.5%)
1482	AB-12345	08/24/01	S								
				1,000.000	WORLD COM INC	U	\$13.45		\$13,374.55		COMM CALC (Bonds @ 2.5%)
1483	AB-12345	08/24/01	S								
				9,000.000	WORLD COM INC	U	\$13.45		\$120,366.46		COMM CALC (Bonds @ 2.5%)
1484	AB-12345	10/15/01	DIV								
					WORLD COM INC GA NEW MCI GROUP				\$1,920.00		DIVIDEND

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01/08/03

Page: 63



**COLOR COMBINED ROI ANALYSIS**

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ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1485	AB-12345	01/15/02	DIV	WORLDCOM INC GA NEW MCI GROUP			\$1,920.00		DIVIDEND		
1486	AB-12345	02/08/02	S	100.000 WORLDCOM INC GA NEW MCI GROUP	U	\$11.01	\$1,078.80		COMM CALC (Bonds @ 2.5%)		
1487	AB-12345	02/08/02	S	1,500.000 WORLDCOM INC	U	\$7.02	\$10,351.79		COMM CALC (Bonds @ 2.5%)		
1488	AB-12345	02/08/02	S	1,000.000 WORLDCOM INC		\$7.00	\$6,881.19		COMM CALC (Bonds @ 2.5%)		
1489	AB-12345	02/08/02	S	500.000 WORLDCOM INC	U	\$7.02	\$3,450.59		COMM CALC (Bonds @ 2.5%)		
1490	AB-12345	02/08/02	S	1,000.000 WORLDCOM INC	U	\$7.08	\$6,956.05		COMM CALC (Bonds @ 2.5%)		
1491	AB-12345	02/08/02	S	1,000.000 WORLDCOM INC	U	\$7.00	\$6,881.19		COMM CALC (Bonds @ 2.5%)		
1492	AB-12345	02/08/02	S	1,000.000 WORLDCOM INC	U	\$7.00	\$6,881.19		COMM CALC (Bonds @ 2.5%)		
1493	AB-12345	02/08/02	S	500.000 WORLDCOM INC	U	\$7.00	\$3,440.59		COMM CALC (Bonds @ 2.5%)		
1494	AB-12345	02/08/02	S	1,000.000 WORLDCOM INC		\$7.00	\$6,881.19		COMM CALC (Bonds @ 2.5%)		
1495	AB-12345	02/08/02	S	1,000.000 WORLDCOM INC	U	\$7.00	\$6,881.19		COMM CALC (Bonds @ 2.5%)		
1496	AB-12345	02/08/02	S	800.000 WORLDCOM INC	U	\$7.00	\$5,504.95		COMM CALC (Bonds @ 2.5%)		
1497	AB-12345	02/08/02	S	4,600.000 WORLDCOM INC	U	\$7.00	\$31,653.49		COMM CALC (Bonds @ 2.5%)		
1498	AB-12345	02/08/02	S	1,300.000 WORLDCOM INC	U	\$7.00	\$8,945.55		COMM CALC (Bonds @ 2.5%)		
1499	AB-12345	02/08/02	S	1,000.000 WORLDCOM INC	U	\$7.00	\$6,881.19		COMM CALC (Bonds @ 2.5%)		
1500	AB-12345	02/08/02	S	100.000 WORLDCOM INC	U	\$7.00	\$688.11		COMM CALC (Bonds @ 2.5%)		
1501	AB-12345	02/08/02	S	100.000 WORLDCOM INC	U	\$7.00	\$688.11		COMM CALC (Bonds @ 2.5%)		
1502	AB-12345	02/08/02	S	500.000 WORLDCOM INC	U	\$7.00	\$3,440.59		COMM CALC (Bonds @ 2.5%)		
1503	AB-12345	02/08/02	S	1,700.000 WORLDCOM INC	U	\$7.00	\$11,698.03		COMM CALC (Bonds @ 2.5%)		
1504	AB-12345	02/08/02	S	500.000 WORLDCOM INC	U	\$7.00	\$3,440.59		COMM CALC (Bonds @ 2.5%)		
1505	AB-12345	02/08/02	S	3,100.000 WORLDCOM INC GA NEW MCI GROUP	U	\$11.01	\$33,582.49		COMM CALC (Bonds @ 2.5%)		
1506	AB-12345	02/08/02	S	400.000 WORLDCOM INC	U	\$7.00	\$2,752.47		COMM CALC (Bonds @ 2.5%)		
1507	AB-12345	02/08/02	S	500.000 WORLDCOM INC	U	\$7.00	\$3,440.59		COMM CALC (Bonds @ 2.5%)		
1508	AB-12345	02/08/02	R	400.000 WORLDCOM INC GA NEW MCI GROUP			\$3,176.00		VALUED PER STMT TRANS		
1509	AB-12345	02/08/02	R	10,000.000 WORLDCOM INC			\$81,800.00		VALUED PER STMT TRANS		
1510	AB-12345	04/15/02	DIV	WORLDCOM INC GA NEW MCI GROUP			\$240.00		DIVIDEND		
1511	AB-12345	04/30/02	I	400.000 WORLDCOM INC GA NEW MCI GROUP		\$3.75	\$1,500.40		VALUED PER 04/30/02 PORT		
1512	AB-12345	04/30/02	I	20,000.000 WORLDCOM INC		\$2.48	\$49,580.00		VALUED PER 04/30/02 PORT		

**Transaction Legend**

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		561,628.000	561,628.000						(\$7,164,161.28)	\$4,080.00	(\$7,160,081.28)
1513	AB-12345	12/17/98	S	100.000 MCI WORLDCOM INC 200101 50 PUT	\$4.50		\$44,265.36		COMM CALC (Bonds @ 2.5%) OPEN		
1514	AB-12345	12/31/99	NC	100.000 MCI WORLDCOM INC 200101 33.375 150 SHS PUT					MCI WORLDCOM INC 200101 50 PUT		
1515	AB-12345	12/31/99	NC	100.000 MCI WORLDCOM INC 200101 50 PUT					MCI WORLDCOM INC 200101 33.375 150 SHS PUT		
1516	AB-12345	03/29/00	D	100.000 MCI WORLDCOM INC 200101 33.375 150 SHS PUT		\$31,875.00			VALUED PER STMT TRANS		
1517	AB-12345	05/05/00	R	100.000 MCI WORLDCOM INC 200101 33.375 150 SHS PUT			\$25,000.00		VALUED PER STMT TRANS		
1518	AB-12345	05/30/00	B	100.000 MCI WORLDCOM INC 200101 33.375 150 SHS PUT	U \$3.38	\$51,248.09			COMM CALC (Bonds @ 2.5%) CLOSE		
		300.000	300.000						(\$13,857.73)	\$0.00	(\$13,857.73)
1519	AB-12345	03/25/99	S	100.000 MCI WORLDCOM INC 200101 60 PUT	\$4.63		\$45,515.31		COMM CALC (Bonds @ 2.5%) OPEN		
1520	AB-12345	07/01/99	S	100.000 MCI WORLDCOM INC 200101 60 PUT	U \$4.00		\$39,385.95		COMM CALC (Bonds @ 2.5%) OPEN		
1521	AB-12345	07/30/99	S	200.000 MCI WORLDCOM INC 200101 60 PUT	U \$3.88		\$76,465.20		COMM CALC (BONDS @ 2.5%) OPEN		
1522	AB-12347	08/03/99	S	10.000 MCI WORLDCOM INC 200101 60 PUT	U \$4.00		\$3,810.96		COMM CALC (Bonds @ 2.5%) OPEN		
1523	AB-12346	08/04/99	S	10.000 MCI WORLDCOM INC 200101 60 PUT	U \$4.00		\$3,810.96		COMM CALC (Bonds @ 2.5%) OPEN		
1524	AB-12345	08/10/99	S	200.000 MCI WORLDCOM INC 200101 60 PUT	U \$4.75		\$93,907.37		COMM CALC (Bonds @ 2.5%) OPEN		
1525	AB-12347	10/25/99	B	10.000 MCI WORLDCOM INC 200101 60 PUT	\$5.63	\$5,840.27			COMM CALC (Bonds @ 2.5%) CLOSE		
1526	AB-12345	12/31/99	NC	600.000 MCI WORLDCOM INC 200101 60 PUT					MCI WORLDCOM INC 200101 40 150 SHS PUT		
1527	AB-12345	12/31/99	NC	600.000 MCI WORLDCOM INC 200101 40 150 SHS PUT					MCI WORLDCOM INC 200101 60 PUT		
1528	AB-12346	12/31/99	NC	10.000 MCI WORLDCOM INC 200101 40 150 SHS PUT					MCI WORLDCOM INC 200101 60 PUT		
1529	AB-12346	12/31/99	NC	10.000 MCI WORLDCOM INC 200101 60 PUT					MCI WORLDCOM INC 200101 40 150 SHS PUT		
1530	AB-12345	03/29/00	D	600.000 MCI WORLDCOM INC 200101 40 150 SHS PUT		\$356,250.00			VALUED PER STMT TRANS		
1531	AB-12346	03/30/00	D	10.000 MCI WORLDCOM INC 200101 40 150 SHS PUT		\$5,937.50			VALUED PER STMT TRANS		
1532	AB-12346	05/12/00	R	10.000 MCI WORLDCOM INC 200101 40 150 SHS PUT					NOT VALUED PER STMT TRANS		
1533	AB-12346	11/30/00	B	10.000 WORLDCOM INC 200101 40 150 SHS PUT					ASSIGNED		
		1,240.000	1,240.000						(\$105,132.02)	\$0.00	(\$105,132.02)
1534	AB-12346	05/24/99	S	10.000 MCI WORLDCOM INC 200101 70 PUT	U \$6.75		\$6,518.21		COMM CALC (Bonds @ 2.5%) OPEN		

<b>Transaction Legend</b>	B - Bought	DIV - Dividen	R - Receive	O - Open	CR - Credit	NC - Name Chg / Exchang
	S - Sold	D - Deliver	I - In Accoun	ROP - ROP/ROC	DR - Debit	

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1535	AB-12347	05/24/99	S	10.000 MCI WORLDCOM INC 200101 70 PUT	U	\$6.75	\$6,518.21		COMM CALC (Bonds @ 2.5%) OPEN		
1536	AB-12345	07/01/99	S	200.000 MCI WORLDCOM INC 200101 70 PUT	U	\$6.38	\$126,342.93		COMM CALC (Bonds @ 2.5%) OPEN		
1537	AB-12345	07/01/99	S	100.000 MCI WORLDCOM INC 200101 70 PUT	U	\$6.63	\$65,666.88		COMM CALC (Bonds @ 2.5%) OPEN		
1538	AB-12347	10/01/99	B	10.000 MCI WORLDCOM INC 200101 70 PUT		\$10.25	\$10,254.50		CLOSE		
1539	AB-12345	12/31/99	NC	300.000 MCI WORLDCOM INC 200101 70 PUT					MCI WORLDCOM INC 200101 46.625 150 SHS PUT		
1540	AB-12345	12/31/99	NC	300.000 MCI WORLDCOM INC 200101 46.625 150 SHS PUT					MCI WORLDCOM INC 200101 70 PUT		
1541	AB-12346	12/31/99	NC	10.000 MCI WORLDCOM INC 200101 70 PUT					MCI WORLDCOM INC 200101 46.625 150 SHS PUT		
1542	AB-12346	12/31/99	NC	10.000 MCI WORLDCOM INC 200101 46.625 150 SHS PUT					MCI WORLDCOM INC 200101 70 PUT		
1543	AB-12345	03/29/00	D	300.000 MCI WORLDCOM INC 200101 46.625 150 SHS PUT		\$273,750.00			VALUED PER STMT TRANS		
1544	AB-12346	03/30/00	D	10.000 MCI WORLDCOM INC 200101 46.625 150 SHS PUT		\$9,125.00			VALUED PER STMT TRANS		
1545	AB-12345	05/05/00	R	280.000 MCI WORLDCOM INC 200101 46.625 150 SHS PUT			\$227,500.00		VALUED PER STMT TRANS		
1546	AB-12346	05/11/00	R	10.000 MCI WORLDCOM INC 200101 46.625 150 SHS PUT					NOT VALUED PER STMT TRANS		
1547	AB-12345	05/31/00	B	200.000 MCI WORLDCOM INC 200101 46.625 150 SHS PUT	U	\$10.50	\$316,778.58		COMM CALC (Bonds @ 2.5%) CLOSE		
1548	AB-12345	05/31/00	B	80.000 MCI WORLDCOM INC 200101 46.625 150 SHS PUT		\$10.75	\$129,709.62		COMM CALC (Bonds @ 2.5%) CLOSE		
1549	AB-12346	09/08/00	B	4.000 WORLDCOM INC 200101 46.625 150 SHS PUT					ASSIGNED		
1550	AB-12346	09/28/00	B	6.000 WORLDCOM INC 200101 46.625 150 SHS PUT					ASSIGNED		
				920.000					(\$307,071.47)	\$0.00	(\$307,071.47)
1551	AB-12345	04/09/99	S	100.000 MCI WORLDCOM INC 200101 75 PUT	U	\$9.25	\$91,668.14		COMM CALC (Bonds @ 2.5%) OPEN		
1552	AB-12345	12/31/99	NC	100.000 MCI WORLDCOM INC 200101 75 PUT					MCI WORLDCOM INC 200101 50 150 SHS PUT		
1553	AB-12345	12/31/99	NC	100.000 MCI WORLDCOM INC 200101 50 150 SHS PUT					MCI WORLDCOM INC 200101 75 PUT		
1554	AB-12345	03/29/00	D	100.000 MCI WORLDCOM INC 200101 50 150 SHS PUT		\$113,750.00			VALUED PER STMT TRANS		
1555	AB-12345	05/05/00	R	100.000 MCI WORLDCOM INC 200101 50 150 SHS PUT			\$105,000.00		VALUED PER STMT TRANS		
1556	AB-12345	08/24/00	B	25.000 MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED		
1557	AB-12345	09/13/00	B	19.000 MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED		
1558	AB-12345	09/22/00	B	5.000 MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED		
1559	AB-12345	10/10/00	B	1.000 MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED		
1560	AB-12345	11/08/00	B	31.000 MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED		
1561	AB-12345	11/15/00	B	10.000 MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED		

### Transaction Legend

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 66

## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1562	AB-12345	11/16/00	B	3.000	MCI WORLDCOM INC 200101 50 150 SHS PUT				ASSIGNED		
1563	AB-12345	11/20/00	B	4.000	MCI WORLDCOM INC 200101 50 150 SHS PUT				ASSIGNED		
1564	AB-12345	01/22/01	B	2.000	MCI WORLDCOM INC 200101 50 150 SHS PUT				ASSIGNED		
				300.000					\$82,918.14	\$0.00	\$82,918.14
1565	AB-12345	04/29/99	S		100.000 MCI WORLDCOM INC 200101 85 PUT	U	\$13.75	\$136,506.05	COMM CALC (Bonds @ 2.5%) OPEN		
1566	AB-12345	12/31/99	NC	100.000	MCI WORLDCOM INC 200101 85 PUT				MCI WORLDCOM INC 200101 56.625 150 SHS PUT		
1567	AB-12345	12/31/99	NC		100.000 MCI WORLDCOM INC 200101 56.625 150 SHS PUT				MCI WORLDCOM INC 200101 85 PUT		
1568	AB-12345	03/29/00	D	100.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT		\$163,750.00		VALUED PER STMT TRANS		
1569	AB-12345	05/05/00	R		100.000 MCI WORLDCOM INC 200101 56.625 150 SHS PUT			\$153,750.00	VALUED PER STMT TRANS		
1570	AB-12345	08/14/00	B	2.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT				ASSIGNED		
1571	AB-12345	08/14/00	B	64.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT				ASSIGNED		
1572	AB-12345	08/15/00	B	6.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT				ASSIGNED		
1573	AB-12345	08/28/00	B	5.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT				ASSIGNED		
1574	AB-12345	09/27/00	B	13.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT				ASSIGNED		
1575	AB-12345	09/27/00	B	8.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT				ASSIGNED		
1576	AB-12345	11/15/00	B	2.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT				ASSIGNED		
				300.000					\$126,506.05	\$0.00	\$126,506.05
1577	AB-12345	12/16/99	B	200.000	MCI WORLDCOM INC 200101 80 CALL	U	\$13.13	\$264,137.44	COMM CALC (Bonds @ 2.5%) OPEN		
1578	AB-12345	12/31/99	NC	200.000	MCI WRLDCM INC 200101 53.375 150 SHS CALL				MCI WORLDCOM INC 200101 80 CALL		
1579	AB-12345	12/31/99	NC		200.000 MCI WORLDCOM INC 200101 80 CALL				MCI WRLDCM INC 200101 53.375 150 SHS CALL		
1580	AB-12345	03/29/00	D		200.000 MCI WRLDCM INC 200101 53.375 150 SHS CALL			\$88,750.00	VALUED PER STMT TRANS		
1581	AB-12345	05/05/00	R	200.000	MCI WRLDCM INC 200101 53.375 150 SHS CALL		\$78,750.00		VALUED PER STMT TRANS		
1582	AB-12345	05/16/00	S		200.000 MCI WRLDCM INC 200101 53.375 150 SHS CALL	U	\$3.00	\$88,826.75	COMM CALC (Bonds @ 2.5%) CLOSE		
				600.000					(\$165,310.69)	\$0.00	(\$165,310.69)
1583	AB-12345	05/05/00	R		400.000 MCI WORLDCOM INC 200201 35 PUT			\$197,500.00	VALUED PER STMT TRANS		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 67

## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1584	AB-12345	05/30/00	B	400.000	MCI WORLDCOM INC 200201 35 PUT	U	\$5.88	\$237,189.89	COMM CALC (Bonds @ 2.5%) CLOSE		
				400.000	400.000				(\$39,689.89)	\$0.00	(\$39,689.89)
1585	AB-12345	08/31/99	S	100.000	MCI WORLDCOM INC 200201 60 PUT	U	\$7.13	\$70,494.69	COMM CALC (Bonds @ 2.5%) OPEN		
1586	AB-12345	10/04/99	S	200.000	MCI WORLDCOM INC 200201 60 PUT	U	\$8.13	\$161,184.29	COMM CALC (Bonds @ 2.5%) OPEN		
1587	AB-12345	10/06/99	S	100.000	MCI WORLDCOM INC 200201 60 PUT	U	\$9.25	\$91,736.83	COMM CALC (Bonds @ 2.5%) OPEN		
1588	AB-12345	12/01/99	S	100.000	MCI WORLDCOM INC 200201 60 PUT	U	\$5.38	\$53,114.90	COMM CALC (Bonds @ 2.5%) OPEN		
1589	AB-12345	12/02/99	S	200.000	MCI WORLDCOM INC 200201 60 PUT	U	\$6.38	\$126,362.15	COMM CALC (Bonds @ 2.5%) OPEN		
1590	AB-12345	12/02/99	S	200.000	MCI WORLDCOM INC 200201 60 PUT	U	\$6.63	\$131,357.50	COMM CALC (Bonds @ 2.5%) OPEN		
1591	AB-12345	12/08/99	S	300.000	MCI WORLDCOM INC 200201 60 PUT	U	\$6.50	\$193,255.68	COMM CALC (Bonds @ 2.5%) OPEN		
1592	AB-12345	12/13/99	S	300.000	MCI WORLDCOM INC 200201 60 PUT	U	\$6.75	\$200,730.89	COMM CALC (Bonds @ 2.5%) OPEN		
1593	AB-12345	12/31/99	NC	1,500.000	MCI WORLDCOM INC 200201 60 PUT				MCI WORLDCOM INC 200201 40 150 SHS PUT		
1594	AB-12345	12/31/99	NC	1,500.000	MCI WORLDCOM INC 200201 40 150 SHS PUT				MCI WORLDCOM INC 200201 60 PUT		
1595	AB-12345	01/12/00	S	100.000	MCI WORLDCOM INC 200201 40 150 SHS PUT	U	\$8.13	\$80,524.02	COMM CALC (Bonds @ 2.5%) OPEN		
1596	AB-12346	01/12/00	S	20.000	MCI WORLDCOM INC 200201 40 150 SHS PUT		\$7.38	\$21,822.30	COMM CALC (Bonds @ 2.5%) OPEN		
1597	AB-12345	03/29/00	D	1,500.000	MCI WORLDCOM INC 200201 40 150 SHS PUT			\$1,190,625.00	VALUED PER STMT TRANS		
1598	AB-12345	03/29/00	D	100.000	MCI WORLDCOM INC 200201 40 150 SHS PUT			\$78,750.00	VALUED PER STMT TRANS		
1599	AB-12346	03/30/00	D	20.000	MCI WORLDCOM INC 200201 40 150 SHS PUT			\$15,875.00	VALUED PER STMT TRANS		
1600	AB-12345	05/05/00	R	100.000	MCI WORLDCOM INC 200201 40 150 SHS PUT			\$69,375.00	VALUED PER STMT TRANS		
1601	AB-12345	05/30/00	B	100.000	MCI WORLDCOM INC 200201 40 150 SHS PUT	U	\$8.63	\$86,989.64	COMM CALC (Bonds @ 2.5%) CLOSE		
				3,220.000	3,220.000				(\$172,281.39)	\$0.00	(\$172,281.39)
1602	AB-12345	08/31/99	S	100.000	MCI WORLDCOM INC 200201 65 PUT	U	\$9.13	\$90,490.96	COMM CALC (Bonds @ 2.5%) OPEN		
1603	AB-12346	09/01/99	S	20.000	MCI WORLDCOM INC 200201 65 PUT	U	\$9.00	\$17,725.80	COMM CALC (Bonds @ 2.5%) OPEN		
1604	AB-12345	09/22/99	S	200.000	MCI WORLDCOM INC 200201 65 PUT	U	\$7.03	\$139,381.58	COMM CALC (Bonds @ 2.5%) OPEN		
1605	AB-12345	09/27/99	S	100.000	MCI WORLDCOM INC 200201 65 PUT	U	\$8.50	\$84,329.13	COMM CALC (Bonds @ 2.5%) OPEN		
1606	AB-12345	09/27/99	S	100.000	MCI WORLDCOM INC 200201 65 PUT	U	\$8.40	\$83,333.67	COMM CALC (Bonds @ 2.5%) OPEN		
1607	AB-12345	12/01/99	S	300.000	MCI WORLDCOM INC 200201 65 PUT	U	\$6.75	\$200,730.89	COMM CALC (Bonds @ 2.5%) OPEN		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 68

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1608	AB-12345	12/08/99	S	200.000 MCI WORLDCOM INC 200201 65 PUT	U	\$8.00	\$158,692.54		COMM CALC (Bonds @ 2.5%) OPEN		
1609	AB-12345	12/31/99	NC	1,000.000 MCI WORLDCOM INC 200201 65 PUT					MCI WORLDCOM INC 200201 43.375 150 SHS PUT		
1610	AB-12345	12/31/99	NC	1,000.000 MCI WORLDCOM INC 200201 43.375 150 SHS PUT					MCI WORLDCOM INC 200201 65 PUT		
1611	AB-12346	12/31/99	NC	20.000 MCI WORLDCOM INC 200201 43.375 150 SHS PUT					MCI WORLDCOM INC 200201 65 PUT		
1612	AB-12346	12/31/99	NC	20.000 MCI WORLDCOM INC 200201 65 PUT					MCI WORLDCOM INC 200201 43.375 150 SHS PUT		
1613	AB-12345	03/29/00	D	1,000.000 MCI WORLDCOM INC 200201 43.375 150 SHS PUT		\$975,000.00			VALUED PER STMT TRANS		
1614	AB-12346	03/30/00	D	20.000 MCI WORLDCOM INC 200201 43.375 150 SHS PUT		\$19,500.00			VALUED PER STMT TRANS		
1615	AB-12345	05/05/00	R	1,000.000 MCI WORLDCOM INC 200201 43.375 150 SHS PUT			\$875,000.00		VALUED PER STMT TRANS		
1616	AB-12345	05/05/00	D	1,000.000 MCI WORLDCOM INC 200201 43.375 150 SHS PUT		\$875,000.00			VALUED PER STMT TRANS		
1617	AB-12346	05/15/00	R	20.000 MCI WORLDCOM INC 200201 43.375 150 SHS PUT			\$18,250.00		VALUED PER STMT TRANS		
				3,040.000							
				3,060.000							
									(\$201,565.43)	\$0.00	(\$201,565.43)
1618	AB-12345	12/16/99	S	200.000 MCI WORLDCOM INC 200201 80 PUT	U	\$16.13	\$320,655.51		COMM CALC (Bonds @ 2.5%) OPEN		
1619	AB-12345	12/31/99	NC	200.000 MCI WORLDCOM INC 200201 80 PUT					MCI WORLDCOM INC 200201 53.375 150 SHS PUT		
1620	AB-12345	12/31/99	NC	200.000 MCI WORLDCOM INC 200201 53.375 150 SHS PUT					MCI WORLDCOM INC 200201 80 PUT		
1621	AB-12345	03/29/00	D	200.000 MCI WORLDCOM INC 200201 53.375 150 SHS PUT		\$325,000.00			VALUED PER STMT TRANS		
1622	AB-12345	05/05/00	R	200.000 MCI WORLDCOM INC 200201 53.375 150 SHS PUT			\$297,500.00		VALUED PER STMT TRANS		
1623	AB-12345	08/11/00	B	11.000 MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED		
1624	AB-12345	09/19/00	B	13.000 MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED		
1625	AB-12345	09/22/00	B	21.000 MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED		
1626	AB-12345	11/09/00	B	44.000 MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED		
1627	AB-12345	11/16/00	B	49.000 MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED		
1628	AB-12345	12/08/00	B	43.000 MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED		
1629	AB-12345	01/12/01	B	6.000 MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED		
1630	AB-12345	02/14/01	B	13.000 MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED		
				600.000							
				600.000							
									\$293,155.51	\$0.00	\$293,155.51
1631	AB-12347	01/04/00	B	100.000 MEDAREX INC	U	\$36.75	\$3,736.39		COMM CALC (Bonds @ 2.5%)		

<b>Transaction Legend</b>	B - Bought	DIV - Dividen	R - Receive	O - Open	CR - Credit	NC - Name Chg / Exchang
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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 69

## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

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1632	AB-12347	01/06/00	B	100.000	MEDAREX INC	U	\$33.63	\$3,436.06			COMM CALC (Bonds @ 2.5%)
1633	AB-12347	02/02/00	B	100.000	MEDAREX INC	U	\$57.63	\$5,827.98			COMM CALC (Bonds @ 2.5%)
1634	AB-12347	03/17/00	B	100.000	MEDAREX INC	U	\$97.06	\$9,766.19			COMM CALC (Bonds @ 2.5%)
1635	AB-12347	03/17/00	B	100.000	MEDAREX INC	U	\$102.94	\$10,349.18			COMM CALC (Bonds @ 2.5%)
1636	AB-12347	03/30/00	D		MEDAREX INC				\$22,125.00		VALUED PER STMT TRANS
				500.000							
				500.000							
									(\$10,990.80)	\$0.00	(\$10,990.80)
1637	AB-12345	08/29/97	O	3,000.000	MERCK & CO		\$91.81	\$275,439.00			VALUED PER 08/29/97 PORT
1638	AB-12345	10/01/97	DIV		MERCK & CO				\$1,350.00		DIVIDEND
1639	AB-12345	01/02/98	DIV		MERCK & CO				\$1,350.00		DIVIDEND
1640	AB-12345	04/01/98	DIV		MERCK & CO				\$1,350.00		DIVIDEND
1641	AB-12345	07/01/98	DIV		MERCK & CO				\$1,350.00		DIVIDEND
1642	AB-12345	10/01/98	DIV		MERCK & CO				\$1,620.00		DIVIDEND
1643	AB-12345	01/04/99	DIV		MERCK & CO				\$1,620.00		DIVIDEND
1644	AB-12345	02/17/99	DIV	3,000.000	MERCK & CO						STOCK DIVIDEND
1645	AB-12345	04/01/99	DIV		MERCK & CO				\$1,620.00		DIVIDEND
1646	AB-12345	07/01/99	DIV		MERCK & CO				\$1,620.00		DIVIDEND
1647	AB-12345	10/01/99	DIV		MERCK & CO				\$1,740.00		DIVIDEND
1648	AB-12345	01/03/00	DIV		MERCK & CO				\$1,740.00		DIVIDEND
1649	AB-12345	03/29/00	D		MERCK & CO				\$385,500.00		VALUED PER STMT TRANS
1650	AB-12345	04/03/00	DIV		MERCK & CO				\$1,740.00		DIVIDEND
1651	AB-12345	05/05/00	R	10,000.000	MERCK & CO				\$692,500.00		VALUED PER STMT TRANS
1652	AB-12345	07/03/00	DIV		MERCK & CO				\$2,900.00		DIVIDEND
1653	AB-12345	10/02/00	DIV		MERCK & CO				\$3,400.00		DIVIDEND
1654	AB-12345	11/15/00	S		MERCK & CO	U	\$92.06	\$367,433.22			COMM CALC (Bonds @ 2.5%)
1655	AB-12345	01/02/01	DIV		MERCK & CO				\$2,040.00		DIVIDEND
1656	AB-12345	01/04/01	S		MERCK & CO	U	\$93.50	\$560,076.80			COMM CALC (Bonds @ 2.5%)

**Transaction Legend**

B - Bought    DIV - Dividen    R - Receive    O - Open    CR - Credit    NC - Name Chg / Exchang  
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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		16,000.000	16,000.000						\$345,071.02	\$25,440.00	\$370,511.02
1657	AB-12345	08/29/97	O	20.000	MERCK & CO 199801 90 PUT	\$5.63	\$11,250.00		VALUED PER 08/29/97 PORT		
1658	AB-12345	01/20/98	B	20.000	MERCK & CO 199801 90 PUT				EXPIRED		
		20.000	20.000						\$11,250.00	\$0.00	\$11,250.00
1659	AB-12345	10/20/97	CXL	20.000	MERCK & CO 199804 105 PUT	U \$12.75			CXL		
1660	AB-12345	11/07/97	S	20.000	MERCK & CO 199804 105 PUT	U \$12.75	\$25,072.04		COMM CALC (Bonds @ 2.5%) OPEN		
1661	AB-12345	11/07/97	CXL	20.000	MERCK & CO 199804 105 PUT	\$12.75			CXL		
1662	AB-12345	04/20/98	B	20.000	MERCK & CO 199804 105 PUT				EXPIRED		
		40.000	40.000						\$25,072.04	\$0.00	\$25,072.04
1663	AB-12345	07/29/98	S	50.000	MERCK & CO 199901 120 PUT	U \$6.13	\$30,130.02		COMM CALC (Bonds @ 2.5%) OPEN		
1664	AB-12345	01/19/99	B	50.000	MERCK & CO 199901 120 PUT				EXPIRED		
		50.000	50.000						\$30,130.02	\$0.00	\$30,130.02
1665	AB-12347	12/13/99	B	1,000.000	METAL MANAGEMENT INC	U \$2.00	\$2,069.50		COMM CALC (Bonds @ 2.5%)		
1666	AB-12345	12/21/99	B	10,000.000	METAL MANAGEMENT INC	U \$3.81	\$38,837.91		COMM CALC (Bonds @ 2.5%)		
1667	AB-12347	02/24/00	S	1,000.000	METAL MANAGEMENT INC	U \$2.50	\$2,432.91		COMM CALC (Bonds @ 2.5%)		
1668	AB-12345	03/29/00	D	10,000.000	METAL MANAGEMENT INC			\$22,500.00	VALUED PER STMT TRANS		
1669	AB-12345	05/05/00	R	10,000.000	METAL MANAGEMENT INC		\$16,560.00		VALUED PER STMT TRANS		
1670	AB-12345	05/30/00	S	6,300.000	METAL MANAGEMENT INC	U \$1.31	\$7,948.97		COMM CALC (Bonds @ 2.5%)		
1671	AB-12345	05/30/00	S	2,500.000	METAL MANAGEMENT INC	U \$1.31	\$3,156.14		COMM CALC (Bonds @ 2.5%)		
1672	AB-12345	05/30/00	S	1,200.000	METAL MANAGEMENT INC	U \$1.31	\$1,514.94		COMM CALC (Bonds @ 2.5%)		
		21,000.000	21,000.000						(\$19,914.45)	\$0.00	(\$19,914.45)
1673	AB-12345	09/11/00	B	900.000	MICROSTRATEGY INC CL A	U \$33.50	\$30,350.88		COMM CALC (Bonds @ 2.5%)		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 71



**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1674	AB-12345	09/11/00	B	800.000	MICROSTRATEGY INC CL A	U	\$33.50	\$26,983.06			
1675	AB-12345	09/11/00	B	1,300.000	MICROSTRATEGY INC CL A	U	\$33.50	\$43,840.06			
1676	AB-12345	11/14/00	S		3,000.000	MICROSTRATEGY INC CL A	U	\$22.25	\$66,443.27		
				3,000.000	3,000.000						
									(\$34,730.73)	\$0.00	(\$34,730.73)
1677	AB-12345	08/29/97	O	5,000.000	MID-AMER WASTE SYS INC		\$0.01	\$50.00			
1678	AB-12345	03/29/00	D		5,000.000	MID-AMER WASTE SYS INC			\$5.00		
1679	AB-12345	05/05/00	R	5,000.000	MID-AMER WASTE SYS INC						
1680	AB-12345	04/30/02	I		5,000.000	MID-AMER WASTE SYS INC					
				10,000.000	10,000.000						
									(\$45.00)	\$0.00	(\$45.00)
1681	AB-12347	11/09/99	B	5,000.000	MSH ENTERTAINMENT CORP	U	\$0.25	\$1,319.50			
1682	AB-12347	03/30/00	D		5,000.000	MSH ENTERTAINMENT CORP			\$1,150.00		
1683	AB-12346	12/08/00	R	5,000.000	MSH ENTERTAINMENT CORP			\$125.00			
1684	AB-12346	04/30/02	I		5,000.000	MSH ENTERTAINMENT CORP		\$0.01	\$50.00		
				10,000.000	10,000.000						
									(\$244.50)	\$0.00	(\$244.50)
1685	AB-12345	08/29/97	O		50.000	NCR CORP NEW 199710 35 PUT		\$1.91	\$9,531.25		
1686	AB-12345	10/20/97	B	50.000	NCR CORP NEW 199710 35 PUT	U	\$2.00	\$10,334.55			
				50.000	50.000						
									(\$803.30)	\$0.00	(\$803.30)
1687	AB-12347	11/24/99	B	100.000	NET PERCEPTIONS INC	U	\$30.38	\$3,091.99			
1688	AB-12347	03/07/00	B	100.000	NET PERCEPTIONS INC	U	\$45.00	\$4,559.94			
1689	AB-12347	03/08/00	B	300.000	NET PERCEPTIONS INC	U	\$47.00	\$14,246.88			
1690	AB-12347	03/30/00	D		500.000	NET PERCEPTIONS INC			\$18,750.00		
				500.000	500.000						
									(\$3,148.81)	\$0.00	(\$3,148.81)

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1691	AB-12347	12/30/99	CXL	10.000	NET PERCEPTIONS INC 200008 25 PUT				CXL		
1692	AB-12347	01/04/00	S	10.000	NET PERCEPTIONS INC 200008 25 PUT	\$7.13	\$6,977.76		COMM CALC (Bonds @ 2.5%) OPEN		
1693	AB-12347	01/04/00	CXL	10.000	NET PERCEPTIONS INC 200008 25 PUT				CXL		
1694	AB-12347	03/30/00	D	10.000	NET PERCEPTIONS INC 200008 25 PUT		\$3,437.50		VALUED PER STMT TRANS		
				20.000	20.000				\$3,540.26	\$0.00	\$3,540.26
1695	AB-12347	03/06/00	S	5.000	NET PERCEPTIONS INC 200008 35 PUT	U \$6.25	\$3,057.72		COMM CALC (Bonds @ 2.5%) OPEN		
1696	AB-12347	03/30/00	D	5.000	NET PERCEPTIONS INC 200008 35 PUT		\$4,375.00		VALUED PER STMT TRANS		
				5.000	5.000				(\$1,317.28)	\$0.00	(\$1,317.28)
1697	AB-12347	03/06/00	S	5.000	NET PERCEPTIONS INC 200008 65 CALL	U \$8.38	\$4,112.73		COMM CALC (Bonds @ 2.5%) OPEN		
1698	AB-12347	03/30/00	D	5.000	NET PERCEPTIONS INC 200008 65 CALL		\$1,594.75		VALUED PER STMT TRANS		
				5.000	5.000				\$2,517.98	\$0.00	\$2,517.98
1699	AB-12347	11/24/99	B	100.000	NEW ERA OF NETWORKS INC	\$47.00	\$4,759.94		COMM CALC (Bonds @ 2.5%)		
1700	AB-12347	01/04/00	B	100.000	NEW ERA OF NETWORKS INC	U \$45.50	\$4,621.02		COMM CALC (Bonds @ 2.5%)		
1701	AB-12347	03/30/00	D	200.000	NEW ERA OF NETWORKS INC		\$7,800.00		VALUED PER STMT TRANS		
				200.000	200.000				(\$1,580.96)	\$0.00	(\$1,580.96)
1702	AB-12347	12/23/99	B	10.000	NEW ERA OF NETWORKS INC 200002 50 CALL	U \$6.25	\$6,474.32		COMM CALC (Bonds @ 2.5%) OPEN		
1703	AB-12347	01/20/00	S	5.000	NEW ERA OF NETWORKS INC 200002 50 CALL	U \$16.25	\$8,020.11		COMM CALC (Bonds @ 2.5%) CLOSE		
1704	AB-12347	02/15/00	S	5.000	NEW ERA OF NETWORKS INC 200002 50 CALL	U \$10.25	\$5,041.95		COMM CALC (Bonds @ 2.5%) CLOSE		
				10.000	10.000				\$6,587.74	\$0.00	\$6,587.74
1705	AB-12347	12/02/99	S	5.000	NEW ERA OF NETWORKS INC 200007 35 PUT	\$5.63	\$2,747.44		COMM CALC (Bonds @ 2.5%) OPEN		
1706	AB-12347	02/24/00	B	5.000	NEW ERA OF NETWORKS INC 200007 35 PUT	\$1.38	\$731.84		COMM CALC (Bonds @ 2.5%) CLOSE		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 73

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		5.000	5.000						\$2,015.60	\$0.00	\$2,015.60
1707	AB-12347	12/31/99	S	5.000 NEW ERA OF NETWORKS INC 200007 40 PUT	U \$8.13		\$4,002.46		COMM CALC (Bonds @ 2.5%) OPEN		
1708	AB-12347	03/30/00	D	5.000 NEW ERA OF NETWORKS INC 200007 40 PUT		\$4,750.00			VALUED PER STMT TRANS		
		5.000	5.000						(\$747.54)	\$0.00	(\$747.54)
1709	AB-12347	01/31/00	S	5.000 NEW ERA OF NETWORKS INC 200007 45 PUT	U \$8.13		\$3,988.61		COMM CALC (Bonds @ 2.5%) OPEN		
1710	AB-12347	03/30/00	D	5.000 NEW ERA OF NETWORKS INC 200007 45 PUT		\$6,250.00			VALUED PER STMT TRANS		
		5.000	5.000						(\$2,261.39)	\$0.00	(\$2,261.39)
1711	AB-12347	03/09/01	B	300.000 NEWFOCUS INC	U \$19.13	\$5,887.93			COMM CALC (Bonds @ 2.5%)		
1712	AB-12347	03/09/01	B	200.000 NEWFOCUS INC	U \$19.13	\$3,922.28			COMM CALC (Bonds @ 2.5%)		
1713	AB-12347	04/06/01	S	500.000 NEWFOCUS INC	U \$10.30		\$5,037.34		COMM CALC (Bonds @ 2.5%)		
		500.000	500.000						(\$4,772.87)	\$0.00	(\$4,772.87)
1714	AB-12347	03/08/01	S	5.000 NEWFOCUS INC 200110 20 CALL	U \$7.00		\$3,417.03		COMM CALC (Bonds @ 2.5%) OPEN		
1715	AB-12347	04/12/01	B	5.000 NEWFOCUS INC 200110 20 CALL	U \$1.95	\$1,065.02			COMM CALC (Bonds @ 2.5%) CLOSE		
		5.000	5.000						\$2,352.01	\$0.00	\$2,352.01
1716	AB-12347	02/28/00	B	500.000 NEXELL THERAPEUTICS INC	U \$6.50	\$3,337.48			COMM CALC (Bonds @ 2.5%)		
1717	AB-12347	03/30/00	D	500.000 NEXELL THERAPEUTICS INC			\$2,094.00		VALUED PER STMT TRANS		
		500.000	500.000						(\$1,243.48)	\$0.00	(\$1,243.48)
1718	AB-12345	05/05/00	S	1,500.000 NORTHEAST OPTIC NETWORK INC	U \$46.00		\$68,847.70		COMM CALC (Bonds @ 2.5%)		
1719	AB-12345	05/05/00	S	1,600.000 NORTHEAST OPTIC NETWORK INC	U \$46.00		\$73,437.54		COMM CALC (Bonds @ 2.5%)		
1720	AB-12345	05/05/00	S	700.000 NORTHEAST OPTIC NETWORK INC	U \$45.50		\$31,774.43		COMM CALC (Bonds @ 2.5%)		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1721	AB-12345	05/05/00	S	1,500.000	NORTHEAST OPTIC NETWORK INC	U	\$45.50	\$68,097.72	COMM CALC (Bonds @ 2.5%)		
1722	AB-12345	05/05/00	S	500.000	NORTHEAST OPTIC NETWORK INC	U	\$46.00	\$22,949.23	COMM CALC (Bonds @ 2.5%)		
1723	AB-12345	05/05/00	S	200.000	NORTHEAST OPTIC NETWORK INC	U	\$48.31	\$9,642.17	COMM CALC (Bonds @ 2.5%)		
1724	AB-12345	05/05/00	S	4,000.000	NORTHEAST OPTIC NETWORK INC	U	\$46.00	\$183,593.86	COMM CALC (Bonds @ 2.5%)		
1725	AB-12345	05/05/00	R	10,000.000	NORTHEAST OPTIC NETWORK INC			\$468,750.00	VALUED PER STMT TRANS		
				10,000.000	10,000.000						
									<b>(\$10,407.35)</b>	<b>\$0.00</b>	<b>(\$10,407.35)</b>
1726	AB-12345	01/07/00	B	900.000	NOVELL INC		\$34.75	\$31,410.00	COMM CALC (Bonds @ 2.5%)		
1727	AB-12345	01/07/00	B	3,700.000	NOVELL INC		\$34.75	\$129,134.50	COMM CALC (Bonds @ 2.5%)		
1728	AB-12345	01/07/00	B	400.000	NOVELL INC		\$34.75	\$13,960.00	COMM CALC (Bonds @ 2.5%)		
1729	AB-12345	03/29/00	D	5,000.000	NOVELL INC			\$144,375.00	VALUED PER STMT TRANS		
1730	AB-12345	05/05/00	R	5,000.000	NOVELL INC			\$55,000.00	VALUED PER STMT TRANS		
1731	AB-12345	05/05/00	R	10,000.000	NOVELL INC			\$110,000.00	VALUED PER STMT TRANS		
1732	AB-12345	05/31/00	S	300.000	NOVELL INC	U	\$8.22	\$2,447.54	COMM CALC (Bonds @ 2.5%)		
1733	AB-12345	05/31/00	S	500.000	NOVELL INC	U	\$8.19	\$4,063.61	COMM CALC (Bonds @ 2.5%)		
1734	AB-12345	05/31/00	S	200.000	NOVELL INC	U	\$8.22	\$1,631.69	COMM CALC (Bonds @ 2.5%)		
1735	AB-12345	05/31/00	S	200.000	NOVELL INC	U	\$8.19	\$1,625.44	COMM CALC (Bonds @ 2.5%)		
1736	AB-12345	05/31/00	S	100.000	NOVELL INC	U	\$8.22	\$815.85			
1737	AB-12345	05/31/00	S	1,000.000	NOVELL INC	U	\$8.22	\$8,158.47	COMM CALC (Bonds @ 2.5%)		
1738	AB-12345	05/31/00	S	1,000.000	NOVELL INC	U	\$8.19	\$8,127.22	COMM CALC (Bonds @ 2.5%)		
1739	AB-12345	05/31/00	S	10,900.000	NOVELL INC	U	\$8.19	\$88,582.27	COMM CALC (Bonds @ 2.5%)		
1740	AB-12345	05/31/00	S	500.000	NOVELL INC	U	\$8.19	\$4,063.61	COMM CALC (Bonds @ 2.5%)		
1741	AB-12345	05/31/00	S	300.000	NOVELL INC	U	\$8.19	\$2,438.16	COMM CALC (Bonds @ 2.5%)		
1742	AB-12345	08/28/00	B	7,800.000	NOVELL INC		\$30.00	\$236,277.81	COMM CALC (Bonds @ 2.5%)		
1743	AB-12345	10/13/00	B	2,500.000	NOVELL INC		\$30.00	\$75,560.29	COMM CALC (Bonds @ 2.5%)		
1744	AB-12345	10/16/00	S	2,500.000	NOVELL INC	U	\$8.06	\$19,901.07	COMM CALC (Bonds @ 2.5%)		
1745	AB-12345	10/18/00	S	7,800.000	NOVELL INC	U	\$7.97	\$61,369.67	COMM CALC (Bonds @ 2.5%)		
1746	AB-12345	11/06/00	B	300.000	NOVELL INC		\$30.00	\$9,039.49	COMM CALC (Bonds @ 2.5%)		
1747	AB-12345	11/22/00	B	200.000	NOVELL INC		\$30.00	\$6,030.00	COMM CALC (Bonds @ 2.5%)		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

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1748	AB-12345	11/22/00	B	5,300.000	NOVELL INC	\$30.00	\$159,799.50		COMM CALC (Bonds @ 2.5%)		
1749	AB-12345	12/05/00	B	700.000	NOVELL INC	\$30.00	\$21,213.77		COMM CALC (Bonds @ 2.5%)		
1750	AB-12345	12/20/00	B	2,000.000	NOVELL INC	\$30.00	\$60,484.04		COMM CALC (Bonds @ 2.5%)		
1751	AB-12345	01/03/01	B	500.000	NOVELL INC	\$30.00	\$15,326.79		COMM CALC (Bonds @ 2.5%)		
1752	AB-12345	01/12/01	B	200.000	NOVELL INC	\$30.00	\$6,088.93		COMM CALC (Bonds @ 2.5%)		
1753	AB-12345	02/22/01	B	500.000	NOVELL INC	\$30.00	\$15,181.76		COMM CALC (Bonds @ 2.5%)		
1754	AB-12345	08/16/01	S	200.000	NOVELL INC	U \$4.76		\$947.96			
1755	AB-12345	08/16/01	S	400.000	NOVELL INC	U \$4.76		\$1,895.93			
1756	AB-12345	08/16/01	S	1,000.000	NOVELL INC	U \$4.79		\$4,765.34	COMM CALC (Bonds @ 2.5%)		
1757	AB-12345	08/16/01	S	200.000	NOVELL INC	U \$4.76		\$947.96			
1758	AB-12345	08/16/01	S	1,000.000	NOVELL INC	U \$4.76		\$4,739.84	COMM CALC (Bonds @ 2.5%)		
1759	AB-12345	08/16/01	S	200.000	NOVELL INC	U \$4.76		\$947.96			
1760	AB-12345	08/16/01	S	2,000.000	NOVELL INC	U \$4.79		\$9,539.68	COMM CALC (Bonds @ 2.5%)		
1761	AB-12345	08/16/01	S	1,000.000	NOVELL INC	U \$4.79		\$4,769.84	COMM CALC (Bonds @ 2.5%)		
1762	AB-12345	08/16/01	S	3,700.000	NOVELL INC	U \$4.76		\$17,537.41	COMM CALC (Bonds @ 2.5%)		
				40,000.000	40,000.000				(\$550,815.36)	\$0.00	(\$550,815.36)
1763	AB-12345	01/05/00	S	200.000	NOVELL INC 200201 30 PUT	U \$7.00		\$138,758.65	COMM CALC (Bonds @ 2.5%) OPEN		
1764	AB-12345	03/29/00	D	200.000	NOVELL INC 200201 30 PUT		\$170,000.00		VALUED PER STMT TRANS		
1765	AB-12345	05/05/00	R	200.000	NOVELL INC 200201 30 PUT			\$392,500.00	VALUED PER STMT TRANS		
1766	AB-12345	08/24/00	B	78.000	NOVELL INC 200201 30 PUT				ASSIGNED		
1767	AB-12345	10/11/00	B	25.000	NOVELL INC 200201 30 PUT				ASSIGNED		
1768	AB-12345	11/02/00	B	3.000	NOVELL INC 200201 30 PUT				ASSIGNED		
1769	AB-12345	11/20/00	B	53.000	NOVELL INC 200201 30 PUT				ASSIGNED		
1770	AB-12345	11/20/00	B	2.000	NOVELL INC 200201 30 PUT				ASSIGNED		
1771	AB-12345	12/01/00	B	7.000	NOVELL INC 200201 30 PUT				ASSIGNED		
1772	AB-12345	12/18/00	B	20.000	NOVELL INC 200201 30 PUT				ASSIGNED		
1773	AB-12345	12/29/00	B	5.000	NOVELL INC 200201 30 PUT				ASSIGNED		
1774	AB-12345	01/10/01	B	2.000	NOVELL INC 200201 30 PUT				ASSIGNED		

<b>Transaction Legend</b>	B - Bought	DIV - Dividen	R - Receive	O - Open	CR - Credit	NC - Name Chg / Exchang
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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1775	AB-12345	02/20/01	B	5.000	NOVELL INC 200201 30 PUT				ASSIGNED		
				400.000	400.000				\$361,258.65	\$0.00	\$361,258.65
1776	AB-12345	06/10/98	B	3,000.000	OPEN MARKET INC	\$16.88	\$51,161.96		COMM CALC (Bonds @ 2.5%)		
1777	AB-12345	08/17/98	S	3,000.000	OPEN MARKET INC	U \$12.24		\$36,235.35	COMM CALC (Bonds @ 2.5%)		
				3,000.000	3,000.000				(\$14,926.61)	\$0.00	(\$14,926.61)
1778	AB-12345	09/04/97	B	2,000.000	ORACLE CORP	\$36.94	\$74,452.64		COMM CALC (Bonds @ 2.5%)		
1779	AB-12345	09/29/97	B	1,500.000	ORACLE CORP	\$38.50	\$58,240.70		COMM CALC (Bonds @ 2.5%)		
1780	AB-12345	10/22/97	B	700.000	ORACLE CORP	U \$33.00	\$23,821.41		COMM CALC (Bonds @ 2.5%)		
1781	AB-12345	10/22/97	B	100.000	ORACLE CORP	U \$33.75	\$3,402.43		COMM CALC (Bonds @ 2.5%)		
1782	AB-12345	10/22/97	B	400.000	ORACLE CORP	U \$33.75	\$13,609.72		COMM CALC (Bonds @ 2.5%)		
1783	AB-12345	10/22/97	B	300.000	ORACLE CORP	U \$33.75	\$10,207.29		COMM CALC (Bonds @ 2.5%)		
1784	AB-12345	10/22/97	B	400.000	ORACLE CORP	U \$33.75	\$13,609.72		COMM CALC (Bonds @ 2.5%)		
1785	AB-12345	10/22/97	B	100.000	ORACLE CORP	U \$33.75	\$3,402.43		COMM CALC (Bonds @ 2.5%)		
1786	AB-12345	12/12/97	B	500.000	ORACLE CORP	\$45.00	\$22,777.25		COMM CALC (Bonds @ 2.5%)		
1787	AB-12345	12/15/97	B	1,700.000	ORACLE CORP	\$45.00	\$77,073.03		COMM CALC (Bonds @ 2.5%)		
1788	AB-12345	12/22/97	B	2,800.000	ORACLE CORP	\$45.00	\$126,818.55		COMM CALC (Bonds @ 2.5%)		
1789	AB-12345	09/03/98	S	10,500.000	ORACLE CORP	\$20.19		\$210,431.84	COMM CALC (Bonds @ 2.5%)		
1790	AB-12345	06/18/99	B	400.000	ORACLE CORP	U \$25.13	\$10,110.00		COMM CALC (Bonds @ 2.5%)		
1791	AB-12345	06/18/99	B	1,000.000	ORACLE CORP	U \$25.13	\$25,275.00		COMM CALC (Bonds @ 2.5%)		
1792	AB-12345	06/18/99	B	1,000.000	ORACLE CORP	U \$25.15	\$25,275.00		COMM CALC (Bonds @ 2.5%)		
1793	AB-12345	06/18/99	B	100.000	ORACLE CORP	U \$25.13	\$2,532.00		COMM CALC (Bonds @ 2.5%)		
1794	AB-12345	06/18/99	B	2,500.000	ORACLE CORP	U \$25.13	\$63,187.50		COMM CALC (Bonds @ 2.5%)		
1795	AB-12345	01/19/00	DIV	5,000.000	ORACLE CORP				STOCK DIVIDEND		
1796	AB-12345	03/29/00	D	10,000.000	ORACLE CORP			\$825,000.00	VALUED PER STMT TRANS		
1797	AB-12345	05/05/00	R	10,000.000	ORACLE CORP		\$768,130.00		VALUED PER STMT TRANS		
1798	AB-12345	10/13/00	DIV	10,000.000	ORACLE CORP				STOCK DIVIDEND		

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1799	AB-12345	11/27/00	S	300.000	ORACLE CORP	U	\$23.81	\$7,113.51	COMM CALC (Bonds @ 2.5%)		
1800	AB-12345	11/27/00	S	1,400.000	ORACLE CORP	U	\$23.81	\$33,196.38	COMM CALC (Bonds @ 2.5%)		
1801	AB-12345	11/27/00	S	100.000	ORACLE CORP	U	\$23.81	\$2,371.17	COMM CALC (Bonds @ 2.5%)		
1802	AB-12345	11/27/00	S	100.000	ORACLE CORP	U	\$23.88	\$2,377.42	COMM CALC (Bonds @ 2.5%)		
1803	AB-12345	11/27/00	S	1,400.000	ORACLE CORP	U	\$23.81	\$33,196.38	COMM CALC (Bonds @ 2.5%)		
1804	AB-12345	11/27/00	S	400.000	ORACLE CORP	U	\$23.81	\$9,484.68	COMM CALC (Bonds @ 2.5%)		
1805	AB-12345	11/27/00	S	1,000.000	ORACLE CORP		\$23.81	\$23,711.70	COMM CALC (Bonds @ 2.5%)		
1806	AB-12345	11/27/00	S	700.000	ORACLE CORP	U	\$23.81	\$16,598.19	COMM CALC (Bonds @ 2.5%)		
1807	AB-12345	11/27/00	S	1,000.000	ORACLE CORP	U	\$23.81	\$23,711.70	COMM CALC (Bonds @ 2.5%)		
1808	AB-12345	11/27/00	S	3,300.000	ORACLE CORP	U	\$23.81	\$78,248.63	COMM CALC (Bonds @ 2.5%)		
1809	AB-12345	11/27/00	S	300.000	ORACLE CORP	U	\$23.81	\$7,109.01	COMM CALC (Bonds @ 2.5%)		
1810	AB-12345	12/08/00	B	1,400.000	ORACLE CORP	U	\$30.50	\$42,910.00	COMM CALC (Bonds @ 2.5%)		
1811	AB-12345	12/08/00	B	3,600.000	ORACLE CORP	U	\$30.56	\$110,569.50	COMM CALC (Bonds @ 2.5%)		
1812	AB-12345	12/26/00	S	5,000.000	ORACLE CORP	U	\$28.88	\$143,615.68	COMM CALC (Bonds @ 2.5%)		
1813	AB-12345	04/30/02	I	10,000.000	ORACLE CORP		\$10.04	\$100,400.00	VALUED PER 04/30/02 PORT		
				45,500.000	45,500.000				\$41,162.12	\$0.00	\$41,162.12
1814	AB-12345	09/25/97	S	50.000	ORACLE CORP 199803 45 PUT	U	\$7.75	\$38,220.48	COMM CALC (Bonds @ 2.5%) OPEN		
1815	AB-12345	12/10/97	B	5.000	ORACLE CORP 199803 45 PUT				ASSIGNED		
1816	AB-12345	12/11/97	B	17.000	ORACLE CORP 199803 45 PUT				ASSIGNED		
1817	AB-12345	12/18/97	B	28.000	ORACLE CORP 199803 45 PUT				ASSIGNED		
				50.000	50.000				\$38,220.48	\$0.00	\$38,220.48
1818	AB-12347	03/21/02	B	50.000	ORACLE SYSTEMS CORP 200204 12.5 CALL		\$1.05	\$5,694.18	COMM CALC (Bonds @ 2.5%) OPEN		
1819	AB-12347	03/22/02	B	50.000	ORACLE SYSTEMS CORP 200204 12.5 CALL	U	\$0.75	\$4,112.69	COMM CALC (Bonds @ 2.5%) OPEN		
1820	AB-12347	04/03/02	S	18.000	ORACLE SYSTEMS CORP 200204 12.5 CALL		\$0.55	\$871.31	COMM CALC (Bonds @ 2.5%) CLOSE		
1821	AB-12347	04/04/02	S	82.000	ORACLE SYSTEMS CORP 200204 12.5 CALL	U	\$0.55	\$4,211.83	COMM CALC (Bonds @ 2.5%) CLOSE		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 78

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		100.000	100.000						(\$4,723.73)	\$0.00	(\$4,723.73)
1822	AB-12347	01/14/00	B	200.000	PARADYNE NETWORKS INC	U	\$27.75	\$5,627.66	COMM CALC (Bonds @ 2.5%)		
1823	AB-12347	03/30/00	D		200.000	PARADYNE NETWORKS INC			\$5,575.00	VALUED PER STMT TRANS	
		200.000	200.000						(\$52.66)	\$0.00	(\$52.66)
1824	AB-12347	01/27/00	B	500.000	PHEONIX INTL LIFE SCIENCES	U	\$10.19	\$5,172.05	COMM CALC (Bonds @ 2.5%)		
1825	AB-12347	01/27/00	B	500.000	PHEONIX INTL LIFE SCIENCES	U	\$9.38	\$4,761.30	COMM CALC (Bonds @ 2.5%)		
1826	AB-12347	03/07/00	S		1,000.000	PHEONIX INTL LIFE SCIENCES	U	\$11.31	\$11,133.87	COMM CALC (Bonds @ 2.5%)	
		1,000.000	1,000.000						\$1,200.52	\$0.00	\$1,200.52
1827	AB-12345	08/29/97	O	12,000.000	PLATINUM TECHNOLOGY INC		\$23.75	\$285,000.00	VALUED PER 08/29/97 PORT		
1828	AB-12345	09/03/98	S		4,000.000	PLATINUM TECHNOLOGY INC		\$18.25	\$72,324.88	COMM CALC (Bonds @ 2.5%)	
1829	AB-12347	03/23/99	B	2,000.000	PLATINUM TECHNOLOGY INC		\$10.33	\$20,871.10	COMM CALC (Bonds @ 2.5%)		
1830	AB-12345	06/01/99	S		8,000.000	PLATINUM TECHNOLOGY INC			\$234,000.00	TENDERED	
1831	AB-12347	06/01/99	S		2,000.000	PLATINUM TECHNOLOGY INC			\$58,500.00	TENDERED	
		14,000.000	14,000.000						\$58,953.78	\$0.00	\$58,953.78
1832	AB-12345	02/23/99	B	100.000	PLATINUM TECHNOLOGY INC 199903 15 CALL		\$1.21	\$12,932.89	COMM CALC (Bonds @ 2.5%) OPEN		
1833	AB-12345	03/22/99	S		100.000	PLATINUM TECHNOLOGY INC 199903 15 CALL				EXPIRED	
		100.000	100.000						(\$12,932.89)	\$0.00	(\$12,932.89)
1834	AB-12347	02/02/01	S		10.000	POWER ONE INC 200103 35 PUT	U	\$2.00	\$1,903.55	COMM CALC (Bonds @ 2.5%) OPEN	
1835	AB-12347	02/13/01	B	5.000	POWER ONE INC 200103 35 PUT	U	\$10.25	\$5,223.54	COMM CALC (Bonds @ 2.5%) CLOSE		
1836	AB-12347	02/26/01	B	5.000	POWER ONE INC 200103 35 PUT	U	\$16.25	\$8,229.61	COMM CALC (Bonds @ 2.5%) CLOSE		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 79



## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		10.000	10.000						(\$11,549.60)	\$0.00	(\$11,549.60)
1837	AB-12345	08/29/97	O	2,000.000	PSINET INC	\$8.19	\$16,376.00		VALUED PER 08/29/97 PORT		
1838	AB-12345	09/11/98	B	1,000.000	PSINET INC	\$13.75	\$13,918.90		COMM CALC (Bonds @ 2.5%)		
1839	AB-12345	09/11/98	B	900.000	PSINET INC	\$13.88	\$12,644.01		COMM CALC (Bonds @ 2.5%)		
1840	AB-12345	09/11/98	B	1,000.000	PSINET INC	\$13.88	\$14,044.00		COMM CALC (Bonds @ 2.5%)		
1841	AB-12345	09/11/98	B	100.000	PSINET INC	\$13.75	\$1,391.89		COMM CALC (Bonds @ 2.5%)		
1842	AB-12347	12/31/98	CXL	1,000.000	PSINET INC				CXL		
1843	AB-12347	02/10/99	B	1,000.000	PSINET INC	U \$23.13	\$23,439.87		COMM CALC (Bonds @ 2.5%)		
1844	AB-12347	02/10/99	CXL		1,000.000	PSINET INC			CXL		
1845	AB-12345	06/29/99	B	700.000	PSINET INC	\$49.94	\$35,065.75		COMM CALC (Bonds @ 2.5%)		
1846	AB-12345	06/29/99	B	500.000	PSINET INC	\$49.88	\$25,012.50		COMM CALC (Bonds @ 2.5%)		
1847	AB-12345	06/29/99	B	300.000	PSINET INC	\$49.94	\$15,026.25		COMM CALC (Bonds @ 2.5%)		
1848	AB-12345	06/29/99	B	500.000	PSINET INC	\$49.88	\$25,012.50		COMM CALC (Bonds @ 2.5%)		
1849	AB-12345	07/01/99	B	300.000	PSINET INC	\$45.38	\$13,670.58		COMM CALC (Bonds @ 2.5%)		
1850	AB-12345	07/01/99	B	700.000	PSINET INC	\$45.94	\$32,281.27		COMM CALC (Bonds @ 2.5%)		
1851	AB-12345	07/01/99	B	800.000	PSINET INC	\$46.06	\$36,992.92		COMM CALC (Bonds @ 2.5%)		
1852	AB-12345	07/08/99	B	1,200.000	PSINET INC	\$45.13	\$54,334.50		COMM CALC (Bonds @ 2.5%)		
1853	AB-12345	02/14/00	DIV	10,000.000	PSINET INC				STOCK DIVIDEND		
1854	AB-12347	02/14/00	DIV	1,000.000	PSINET INC				STOCK DIVIDEND		
1855	AB-12345	03/29/00	D		20,000.000	PSINET INC		\$757,500.00	VALUED PER STMT TRANS		
1856	AB-12347	03/30/00	D		2,000.000	PSINET INC		\$68,126.00	VALUED PER STMT TRANS		
1857	AB-12345	05/05/00	R	20,000.000	PSINET INC		\$450,000.00		VALUED PER STMT TRANS		
1858	AB-12345	05/05/00	R	20,000.000	PSINET INC		\$450,000.00		VALUED PER STMT TRANS		
1859	AB-12345	05/31/00	S		2,100.000	PSINET INC	U \$24.25	\$50,761.30	COMM CALC (Bonds @ 2.5%)		
1860	AB-12345	05/31/00	S		1,500.000	PSINET INC	U \$24.25	\$36,261.28	COMM CALC (Bonds @ 2.5%)		
1861	AB-12345	05/31/00	S		1,000.000	PSINET INC	U \$24.31	\$24,236.68	COMM CALC (Bonds @ 2.5%)		
1862	AB-12346	08/17/00	B	1,000.000	PSINET INC	\$14.44	\$14,587.50		COMM CALC (Bonds @ 2.5%)		
1863	AB-12346	08/17/00	B	200.000	PSINET INC	\$14.44	\$2,922.00		COMM CALC (Bonds @ 2.5%)		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

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1864	AB-12347	09/20/00	B	500.000	PSINET INC	U	\$13.38	\$6,742.00			COMM CALC (Bonds @ 2.5%)		
1865	AB-12345	10/02/00	B	20,000.000	PSINET INC		\$40.00	\$801,204.50			COMM CALC (Bonds @ 2.5%)		
1866	AB-12347	10/10/00	B	500.000	PSINET INC	U	\$7.56	\$3,835.75			COMM CALC (Bonds @ 2.5%)		
1867	AB-12345	10/12/00	B	1,100.000	PSINET INC		\$35.00	\$38,614.50			COMM CALC (Bonds @ 2.5%)		
1868	AB-12345	11/03/00	B	10,800.000	PSINET INC		\$35.00	\$378,760.50			COMM CALC (Bonds @ 2.5%)		
1869	AB-12345	11/10/00	B	2,900.000	PSINET INC		\$35.00	\$101,649.50			COMM CALC (Bonds @ 2.5%)		
1870	AB-12345	11/13/00	B	3,500.000	PSINET INC		\$35.00	\$122,854.50			COMM CALC (Bonds @ 2.5%)		
1871	AB-12345	11/15/00	S	2,200.000	PSINET INC	U	\$1.75		\$3,783.87		COMM CALC (Bonds @ 2.5%)		
1872	AB-12345	11/15/00	S	8,800.000	PSINET INC	U	\$1.75		\$15,135.48		COMM CALC (Bonds @ 2.5%)		
1873	AB-12345	11/15/00	S	1,000.000	PSINET INC	U	\$1.75		\$1,719.94		COMM CALC (Bonds @ 2.5%)		
1874	AB-12345	11/15/00	S	500.000	PSINET INC	U	\$1.75		\$859.97		COMM CALC (Bonds @ 2.5%)		
1875	AB-12345	11/15/00	S	2,000.000	PSINET INC	U	\$1.75		\$3,439.88		COMM CALC (Bonds @ 2.5%)		
1876	AB-12345	11/15/00	S	1,400.000	PSINET INC	U	\$1.75		\$2,407.91		COMM CALC (Bonds @ 2.5%)		
1877	AB-12345	11/15/00	S	1,100.000	PSINET INC	U	\$1.75		\$1,887.43		COMM CALC (Bonds @ 2.5%)		
1878	AB-12345	11/15/00	S	8,000.000	PSINET INC	U	\$1.75		\$13,759.53		COMM CALC (Bonds @ 2.5%)		
1879	AB-12346	11/16/00	S	1,200.000	PSINET INC	U	\$1.72		\$1,990.90		COMM CALC (Bonds @ 2.5%)		
1880	AB-12345	11/20/00	B	1,000.000	PSINET INC		\$35.00	\$35,297.59			COMM CALC (Bonds @ 2.5%)		
1881	AB-12346	12/08/00	R	2,000.000	PSINET INC			\$1,938.00			VALUED PER STMT TRANS; FM XY-98765		
1882	AB-12345	01/12/01	B	700.000	PSINET INC		\$35.00	\$24,759.68			COMM CALC (Bonds @ 2.5%)		
1883	AB-12347	03/01/01	S	1,000.000	PSINET INC	U	\$1.47		\$1,374.20		COMM CALC (Bonds @ 2.5%)		
1884	AB-12346	03/23/01	S	2,000.000	PSINET INC	U	\$0.19		\$328.84		COMM CALC (Bonds @ 2.5%)		
1885	AB-12345	02/08/02	R	5,000.000	PSINET INC			\$5.00			VALUED PER STMT TRANS		
1886	AB-12345	04/30/02	I	55,400.000	PSINET INC		\$0.00		\$55.40		VALUED PER 04/30/02 PORT		
				112,200.000	112,200.000						(\$1,768,753.35)	\$0.00	(\$1,768,753.35)
1887	AB-12346	05/24/99	S	50.000	PSINET INC 199910 30 PUT		\$4.00		\$19,344.30		COMM CALC (Bonds @ 2.5%) OPEN		
1888	AB-12346	10/18/99	B	50.000	PSINET INC 199910 30 PUT						EXPIRED		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 81

## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		50.000	50.000						\$19,344.30	\$0.00	\$19,344.30
1889	AB-12345	03/29/99	S	100.000 PSINET INC 199910 35 PUT	\$5.75		\$56,794.23		COMM CALC (Bonds @ 2.5%) OPEN		
1890	AB-12345	10/06/99	B	100.000 PSINET INC 199910 35 PUT	U \$3.38	\$34,340.40			COMM CALC (Bonds @ 2.5%) CLOSE		
		100.000	100.000						\$22,453.83	\$0.00	\$22,453.83
1891	AB-12345	05/11/99	S	50.000 PSINET INC 199910 40 PUT	U \$7.75		\$38,387.29		COMM CALC (Bonds @ 2.5%) OPEN		
1892	AB-12345	05/11/99	S	100.000 PSINET INC 199910 40 PUT	U \$7.75		\$76,770.08		COMM CALC (Bonds @ 2.5%) OPEN		
1893	AB-12345	10/06/99	B	100.000 PSINET INC 199910 40 PUT	U \$7.38	\$74,448.74			COMM CALC (Bonds @ 2.5%) CLOSE		
1894	AB-12345	10/18/99	B	50.000 PSINET INC 199910 40 PUT	\$6.38	\$32,336.16			COMM CALC (Bonds @ 2.5%) CLOSE		
		150.000	150.000						\$8,372.47	\$0.00	\$8,372.47
1895	AB-12347	05/07/99	S	10.000 PSINET INC 199910 45 PUT	U \$9.16		\$9,007.38		COMM CALC (Bonds @ 2.5%) OPEN		
1896	AB-12347	10/01/99	B	10.000 PSINET INC 199910 45 PUT	U \$9.50	\$9,771.41			COMM CALC (Bonds @ 2.5%) CLOSE		
		10.000	10.000						(\$764.03)	\$0.00	(\$764.03)
1897	AB-12345	08/06/99	S	20.000 PSINET INC 200001 35 PUT	U \$6.00		\$11,796.25		COMM CALC (Bonds @ 2.5%) OPEN		
1898	AB-12345	01/24/00	B	20.000 PSINET INC 200001 35 PUT					EXPIRED		
		20.000	20.000						\$11,796.25	\$0.00	\$11,796.25
1899	AB-12345	05/26/99	S	100.000 PSINET INC 200001 45 PUT	U \$11.00		\$109,179.00		COMM CALC (Bonds @ 2.5%) OPEN		
1900	AB-12347	05/27/99	S	10.000 PSINET INC 200001 45 PUT	\$11.00		\$10,836.37		COMM CALC (Bonds @ 2.5%) OPEN		
1901	AB-12346	06/04/99	S	10.000 PSINET INC 200001 45 PUT	\$11.00		\$10,836.37		COMM CALC (Bonds @ 2.5%) OPEN		
1902	AB-12347	10/25/99	B	2.000 PSINET INC 200001 45 PUT	\$11.75	\$2,438.70			COMM CALC (Bonds @ 2.5%) CLOSE		
1903	AB-12345	01/24/00	B	100.000 PSINET INC 200001 45 PUT					EXPIRED		
1904	AB-12347	01/24/00	B	8.000 PSINET INC 200001 45 PUT					EXPIRED		
1905	AB-12346	01/24/00	B	10.000 PSINET INC 200001 45 PUT					EXPIRED		

**Transaction Legend**

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		120.000	120.000						\$128,413.04	\$0.00	\$128,413.04
1906	AB-12345	10/21/99	S	100.000 PSINET INC 200004 30 PUT	U	\$5.25	\$51,869.03		COMM CALC (Bonds @ 2.5%) OPEN		
1907	AB-12345	02/14/00	NC	200.000 PSINET INC 200004 15 PUT					PSINET INC 200004 30 PUT		
1908	AB-12345	02/14/00	NC	100.000 PSINET INC 200004 30 PUT					PSINET INC 200004 15 PUT		
1909	AB-12345	03/29/00	D	200.000 PSINET INC 200004 15 PUT			\$1,250.00		VALUED PER STMT TRANS		
		300.000	300.000						\$50,619.03	\$0.00	\$50,619.03
1910	AB-12345	10/08/99	S	100.000 PSINET INC 200004 35 PUT	U	\$8.44	\$83,638.68		COMM CALC (Bonds @ 2.5%) OPEN		
1911	AB-12345	02/14/00	NC	200.000 PSINET INC 200004 17.5 PUT					PSINET INC 200004 35 PUT		
1912	AB-12345	02/14/00	NC	100.000 PSINET INC 200004 35 PUT					PSINET INC 200004 17.5 PUT		
1913	AB-12345	03/29/00	D	200.000 PSINET INC 200004 17.5 PUT			\$5,000.00		VALUED PER STMT TRANS		
		300.000	300.000						\$78,638.68	\$0.00	\$78,638.68
1914	AB-12345	09/07/99	S	50.000 PSINET INC 200004 40 PUT	U	\$7.50	\$37,055.56		COMM CALC (Bonds @ 2.5%) OPEN		
1915	AB-12345	09/08/99	S	25.000 PSINET INC 200004 40 PUT	U	\$6.75	\$16,616.16		COMM CALC (Bonds @ 2.5%) OPEN		
1916	AB-12345	10/06/99	S	100.000 PSINET INC 200004 40 PUT	U	\$11.63	\$115,408.34		COMM CALC (Bonds @ 2.5%) OPEN		
1917	AB-12345	10/18/99	S	50.000 PSINET INC 200004 40 PUT			\$55,101.18		COMM CALC (Bonds @ 2.5%) OPEN		
1918	AB-12345	02/14/00	NC	450.000 PSINET INC 200004 20 PUT					PSINET INC 200004 40 PUT		
1919	AB-12345	02/14/00	NC	225.000 PSINET INC 200004 40 PUT					PSINET INC 200004 20 PUT		
1920	AB-12345	03/29/00	D	450.000 PSINET INC 200004 20 PUT			\$11,250.00		VALUED PER STMT TRANS		
		675.000	675.000						\$212,931.24	\$0.00	\$212,931.24
1921	AB-12347	01/14/00	S	5.000 PSINET INC 200007 100 CALL	U	\$8.00	\$3,926.55		COMM CALC (Bonds @ 2.5%) OPEN		
1922	AB-12347	02/14/00	NC	5.000 PSINET INC 200007 100 CALL					PSINET INC 200007 50 CALL		
		5.000	5.000						\$3,926.55	\$0.00	\$3,926.55

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01/08/03

Page: 83

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1923	AB-12347	02/14/00	NC	10.000 PSINET INC 200007 50 CALL					PSINET INC 200007 100 CALL		
1924	AB-12347	03/30/00	D	10.000 PSINET INC 200007 50 CALL		\$4,062.50			VALUED PER STMT TRANS		
				10.000 10.000					(\$4,062.50)	\$0.00	(\$4,062.50)
1925	AB-12345	05/05/00	R	400.000 PSINET INC 200201 30 PUT			\$550,000.00		VALUED PER STMT TRANS		
1926	AB-12345	05/30/00	B	400.000 PSINET INC 200201 30 PUT	U \$12.47	\$501,802.76			COMM CALC (Bonds @ 2.5%) CLOSE		
				400.000 400.000					\$48,197.24	\$0.00	\$48,197.24
1927	AB-12345	05/05/00	R	200.000 PSINET INC 200201 35 PUT			\$347,500.00		VALUED PER STMT TRANS		
1928	AB-12345	10/09/00	B	11.000 PSINET INC 200201 35 PUT					ASSIGNED		
1929	AB-12345	11/01/00	B	108.000 PSINET INC 200201 35 PUT					ASSIGNED		
1930	AB-12345	11/08/00	B	29.000 PSINET INC 200201 35 PUT					ASSIGNED		
1931	AB-12345	11/09/00	B	35.000 PSINET INC 200201 35 PUT					ASSIGNED		
1932	AB-12345	11/16/00	B	10.000 PSINET INC 200201 35 PUT					ASSIGNED		
1933	AB-12345	01/10/01	B	7.000 PSINET INC 200201 35 PUT					ASSIGNED		
				200.000 200.000					\$347,500.00	\$0.00	\$347,500.00
1934	AB-12345	05/05/00	R	200.000 PSINET INC 200201 40 PUT			\$425,000.00		VALUED PER STMT TRANS		
1935	AB-12345	09/28/00	B	200.000 PSINET INC 200201 40 PUT					ASSIGNED		
				200.000 200.000					\$425,000.00	\$0.00	\$425,000.00
1936	AB-12346	05/28/99	B	200.000 QUALCOMM INC	\$95.06	\$19,127.87			COMM CALC (Bonds @ 2.5%)		
1937	AB-12346	12/31/99	DIV	600.000 QUALCOMM INC					STOCK DIVIDEND		
1938	AB-12346	03/30/00	D	800.000 QUALCOMM INC			\$116,187.20		VALUED PER STMT TRANS		
1939	AB-12345	05/05/00	R	60,000.000 QUALCOMM INC		\$6,585,000.00			VALUED PER STMT TRANS		
1940	AB-12346	05/11/00	R	800.000 QUALCOMM INC		\$79,450.40			VALUED PER STMT TRANS		
1941	AB-12345	05/17/00	S	20,000.000 QUALCOMM INC	U \$98.69		\$1,971,679.70		COMM CALC (Bonds @ 2.5%)		

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1942	AB-12345	05/24/00	S	9,900.000	QUALCOMM INC	U	\$89.19	\$881,932.31	COMM CALC (Bonds @ 2.5%)		
1943	AB-12345	05/24/00	S	100.000	QUALCOMM INC	U	\$89.25	\$8,914.70	COMM CALC (Bonds @ 2.5%)		
1944	AB-12345	06/01/00	S	100.000	QUALCOMM INC	U	\$68.25	\$6,814.77	COMM CALC (Bonds @ 2.5%)		
1945	AB-12345	06/01/00	S	9,400.000	QUALCOMM INC	U	\$68.25	\$640,584.11	COMM CALC (Bonds @ 2.5%)		
1946	AB-12345	06/01/00	S	10,000.000	QUALCOMM INC	U	\$66.56	\$664,852.81	COMM CALC (Bonds @ 2.5%)		
1947	AB-12345	06/01/00	S	500.000	QUALCOMM INC	U	\$68.25	\$34,073.86	COMM CALC (Bonds @ 2.5%)		
1948	AB-12346	12/04/00	S	300.000	QUALCOMM INC	U	\$80.50	\$23,942.23	COMM CALC (Bonds @ 2.5%)		
1949	AB-12345	02/28/01	S	500.000	QUALCOMM INC	U	\$55.75	\$27,794.57	COMM CALC (Bonds @ 2.5%)		
1950	AB-12345	02/28/01	S	4,100.000	QUALCOMM INC	U	\$55.00	\$224,877.48	COMM CALC (Bonds @ 2.5%)		
1951	AB-12345	02/28/01	S	400.000	QUALCOMM INC	U	\$55.00	\$21,939.26	COMM CALC (Bonds @ 2.5%)		
1952	AB-12345	03/02/01	S	1,000.000	QUALCOMM INC	U	\$56.06	\$55,956.13	COMM CALC (Bonds @ 2.5%)		
1953	AB-12345	03/05/01	S	4,000.000	QUALCOMM INC	U	\$53.94	\$214,938.30	COMM CALC (Bonds @ 2.5%)		
1954	AB-12346	04/06/01	S	200.000	QUALCOMM INC	U	\$46.25	\$9,083.57	COMM CALC (Bonds @ 2.5%)		
1955	AB-12346	04/11/01	S	200.000	QUALCOMM INC	U	\$44.94	\$8,853.93	COMM CALC (Bonds @ 2.5%)		
1956	AB-12346	04/17/02	S	55.000	QUALCOMM INC		\$35.90	\$1,919.20	COMM CALC (Bonds @ 2.5%)		
1957	AB-12346	04/30/02	I	45.000	QUALCOMM INC		\$30.16	\$1,357.20	VALUED PER 4/30/02 PORT		
				61,600.000	61,600.000				(\$1,767,876.94)	\$0.00	(\$1,767,876.94)
1958	AB-12345	09/14/99	S	100.000	QUALCOMM INC 200101 90 PUT	U	\$7.25	\$71,802.93	COMM CALC (Bonds @ 2.5%) OPEN		
1959	AB-12345	12/31/99	NC	400.000	QUALCOMM INC 200101 22.5 PUT				QUALCOMM INC 200101 90 PUT		
1960	AB-12345	12/31/99	NC	100.000	QUALCOMM INC 200101 90 PUT				QUALCOMM INC 200101 22.5 PUT		
1961	AB-12345	03/29/00	D	400.000	QUALCOMM INC 200101 22.5 PUT			\$20,000.00	VALUED PER STMT TRANS		
				500.000	500.000				\$51,802.93	\$0.00	\$51,802.93
1962	AB-12345	01/27/00	S	100.000	QUALCOMM INC 200201 100 PUT	U	\$22.00	\$218,708.85	COMM CALC (Bonds @ 2.5%) OPEN		
1963	AB-12345	03/29/00	D	100.000	QUALCOMM INC 200201 100 PUT			\$160,000.00	VALUED PER STMT TRANS		
1964	AB-12345	05/05/00	R	100.000	QUALCOMM INC 200201 100 PUT			\$265,000.00	VALUED PER STMT TRANS		
1965	AB-12345	11/22/00	B	100.000	QUALCOMM INC 200201 100 PUT	U	\$29.25	\$293,914.39	COMM CALC (Bonds @ 2.5%) CLOSE		

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01/08/03

Page: 85

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		200.000	200.000						\$29,794.46	\$0.00	\$29,794.46
1966	AB-12346	11/24/00	S	5.000 QUALCOMM INC 200201 150 CALL	\$10.00		\$4,902.37		COMM CALC (Bonds @ 2.5%) OPEN		
1967	AB-12346	12/01/00	B	5.000 QUALCOMM INC 200201 150 CALL	\$10.25	\$5,223.54			COMM CALC (Bonds @ 2.5%) CLOSE		
		5.000	5.000						(\$321.17)	\$0.00	(\$321.17)
1968	AB-12345	05/05/00	R	600.000 QUALCOMM INC 200201 195 CALL			\$1,350,000.00		VALUED PER STMT TRANS		
1969	AB-12345	05/11/00	B	200.000 QUALCOMM INC 200201 195 CALL	U \$17.63	\$354,431.88			COMM CALC (Bonds @ 2.5%) CLOSE		
1970	AB-12345	05/22/00	B	100.000 QUALCOMM INC 200201 195 CALL	U \$13.38	\$134,725.98			COMM CALC (Bonds @ 2.5%) CLOSE		
1971	AB-12345	05/30/00	B	100.000 QUALCOMM INC 200201 195 CALL	U \$7.00	\$70,606.27			COMM CALC (Bonds @ 2.5%) CLOSE		
1972	AB-12345	05/30/00	B	100.000 QUALCOMM INC 200201 195 CALL	U \$6.13	\$61,851.78			COMM CALC (Bonds @ 2.5%) CLOSE		
1973	AB-12345	10/16/00	B	100.000 QUALCOMM INC 200201 195 CALL	U \$4.50	\$45,621.04			COMM CALC (Bonds @ 2.5%) CLOSE		
		600.000	600.000						\$682,763.05	\$0.00	\$682,763.05
1974	AB-12345	02/28/00	S	100.000 QUALCOMM INC 200201 75 PUT	U \$9.75		\$96,782.10		COMM CALC (Bonds @ 2.5%) OPEN		
1975	AB-12345	02/28/00	S	100.000 QUALCOMM INC 200201 75 PUT	U \$10.00		\$99,286.52		COMM CALC (Bonds @ 2.5%) OPEN		
1976	AB-12345	03/29/00	D	200.000 QUALCOMM INC 200201 75 PUT		\$147,500.00			VALUED PER STMT TRANS		
1977	AB-12345	05/05/00	R	200.000 QUALCOMM INC 200201 75 PUT			\$280,000.00		VALUED PER STMT TRANS		
1978	AB-12345	09/28/00	B	200.000 QUALCOMM INC 200201 75 PUT	U \$20.38	\$409,611.81			COMM CALC (Bonds @ 2.5%) CLOSE		
		400.000	400.000						(\$81,043.19)	\$0.00	(\$81,043.19)
1979	AB-12345	02/15/00	S	100.000 QUALCOMM INC 200201 80 PUT	U \$13.00		\$129,033.06		COMM CALC (Bonds @ 2.5%) OPEN		
1980	AB-12345	03/29/00	D	100.000 QUALCOMM INC 200201 80 PUT		\$90,000.00			VALUED PER STMT TRANS		
1981	AB-12345	05/05/00	R	100.000 QUALCOMM INC 200201 80 PUT			\$161,250.00		VALUED PER STMT TRANS		
1982	AB-12345	09/28/00	B	100.000 QUALCOMM INC 200201 80 PUT	U \$23.75	\$238,846.26			COMM CALC (Bonds @ 2.5%) CLOSE		
		200.000	200.000						(\$38,563.20)	\$0.00	(\$38,563.20)

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01/08/03

Page: 86

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
1983	AB-12345	10/16/00	S	100.000 QUALCOMM INC 200301 100 CALL	U	\$21.75	\$216,323.73		COMM CALC (Bonds @ 2.5%) OPEN		
1984	AB-12345	12/11/00	B	100.000 QUALCOMM INC 200301 100 CALL	U	\$44.13	\$443,323.44		COMM CALC (Bonds @ 2.5%) CLOSE		
			100.000	100.000					(\$226,999.71)	\$0.00	(\$226,999.71)
1985	AB-12345	12/11/00	S	100.000 QUALCOMM INC 200301 130 CALL	U	\$35.00	\$348,240.55		COMM CALC (Bonds @ 2.5%) OPEN		
1986	AB-12345	02/26/01	B	100.000 QUALCOMM INC 200301 130 CALL		\$8.00	\$80,784.16		COMM CALC (Bonds @ 2.5%) CLOSE		
			100.000	100.000					\$267,456.39	\$0.00	\$267,456.39
1987	AB-12346	12/01/00	S	5.000 QUALCOMM INC 200301 140 CALL		\$20.38	\$10,044.60		COMM CALC (Bonds @ 2.5%) OPEN		
1988	AB-12346	02/26/01	B	5.000 QUALCOMM INC 200301 140 CALL	U	\$6.50	\$3,343.46		COMM CALC (Bonds @ 2.5%) CLOSE		
			5.000	5.000					\$6,701.14	\$0.00	\$6,701.14
1989	AB-12345	08/29/97	O	1,000.000 US WEST COMMUNICATIONS GROUP		\$35.81	\$35,813.00		VALUED PER 08/29/97 PORT		
1990	AB-12345	11/03/97	DIV	US WEST COMMUNICATIONS GROUP			\$535.00		DIVIDEND		
1991	AB-12345	02/02/98	DIV	US WEST COMMUNICATIONS GROUP			\$535.00		DIVIDEND		
1992	AB-12345	05/01/98	DIV	US WEST COMMUNICATIONS GROUP			\$535.00		DIVIDEND		
1993	AB-12345	06/15/98	NC	1,000.000 US WEST COMMUNICATIONS GROUP					US WEST INC NEW		
1994	AB-12345	06/15/98	NC	1,000.000 US WEST INC NEW					US WEST COMMUNICATIONS GROUP		
1995	AB-12345	08/03/98	DIV	US WEST INC NEW			\$535.00		DIVIDEND		
1996	AB-12345	11/02/98	DIV	US WEST INC NEW			\$535.00		DIVIDEND		
1997	AB-12345	02/01/99	DIV	US WEST INC NEW			\$535.00		DIVIDEND		
1998	AB-12345	05/03/99	DIV	US WEST INC NEW			\$535.00		DIVIDEND		
1999	AB-12345	08/02/99	DIV	US WEST INC NEW			\$750.00		DIVIDEND		
2000	AB-12345	11/01/99	DIV	US WEST INC NEW			\$535.00		DIVIDEND		
2001	AB-12345	02/01/00	DIV	US WEST INC NEW			\$535.00		DIVIDEND		
2002	AB-12345	03/29/00	D	1,000.000 US WEST INC NEW			\$74,375.00		VALUED PER STMT TRANS		
2003	AB-12345	05/05/00	R	5,000.000 QWEST COMMUNICATIONS INTL INC		\$224,375.00			VALUED PER STMT TRANS		
2004	AB-12345	05/05/00	R	1,000.000 US WEST INC NEW		\$72,938.00			VALUED PER STMT TRANS		

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2005	AB-12345	07/05/00	CR	QWEST COMMUNICATIONS INTL INC			\$17.18		CIL		
2006	AB-12345	07/05/00	NC	1,729.000 QWEST COMMUNICATIONS INTL INC					US WEST INC NEW		
2007	AB-12345	07/05/00	NC	1,000.000 US WEST INC NEW					QWEST COMMUNICATIONS INTL INC		
2008	AB-12345	08/22/00	CR	US WEST COMMUNICATIONS GROUP			\$5.00		CIL		
2009	AB-12345	11/24/00	S	6,729.000 QWEST COMMUNICATIONS INTL INC	U	\$40.56	\$272,123.98		COMM CALC (Bonds @ 2.5%)		
				9,729.000					\$13,395.16	\$5,565.00	\$18,960.16
2010	AB-12345	10/16/00	S	67.000 QWEST COMMUNICATIONS INTL INC 200301 55 CA	U	\$10.63	\$70,548.06		COMM CALC (Bonds @ 2.5%) OPEN		
2011	AB-12345	11/22/00	B	67.000 QWEST COMMUNICATIONS INTL INC 200301 55 CA	U	\$8.25	\$55,855.28		COMM CALC (Bonds @ 2.5%) CLOSE		
				67.000					\$14,692.78	\$0.00	\$14,692.78
2012	AB-12345	09/02/97	B	1,000.000 REPUBLIC INDS INC	U	\$25.50	\$25,832.44		COMM CALC (Bonds @ 2.5%)		
2013	AB-12345	07/01/98	S	1,000.000 REPUBLIC INDS INC			\$23,305.12		COMM CALC (Bonds @ 2.5%)		
				1,000.000					(\$2,527.32)	\$0.00	(\$2,527.32)
2014	AB-12345	08/29/97	O	1,000.000 ROBERTS PHARMACEUTICAL CORP		\$11.19	\$11,188.00		VALUED PER 08/29/97 PORT		
2015	AB-12345	12/23/99	CR	SHIRE PHARMA GROUP PLC ADR			\$20.53		CIL		
2016	AB-12345	12/23/99	NC	1,000.000 ROBERTS PHARMACEUTICAL CORP					SHIRE PHARMA GROUP PLC ADR		
2017	AB-12345	12/23/99	NC	1,042.000 SHIRE PHARMA GROUP PLC ADR					ROBERTS PHARMACEUTICAL CORP		
2018	AB-12345	03/29/00	D	1,042.000 SHIRE PHARMA GROUP PLC ADR			\$52,100.00		VALUED PER STMT TRANS		
2019	AB-12345	05/05/00	R	1,042.000 SHIRE PHARMA GROUP PLC ADR			\$47,280.75		VALUED PER STMT TRANS		
2020	AB-12345	07/27/01	S	792.000 SHIRE PHARMA GROUP PLC ADR	U	\$49.50	\$38,865.49		COMM CALC (Bonds @ 2.5%)		
2021	AB-12345	07/27/01	S	250.000 SHIRE PHARMA GROUP PLC ADR	U	\$49.50	\$12,269.55		COMM CALC (Bonds @ 2.5%)		
				3,084.000					\$44,786.82	\$0.00	\$44,786.82
2022	AB-12345	08/29/97	O	600.000 UNR INDUSTRIES INC		\$4.63	\$2,775.00		VALUED PER 08/29/97 PORT		
2023	AB-12345	12/24/97	NC	600.000 ROHN INDUSTRIES INC					UNR INDUSTRIES INC		

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 88

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2024	AB-12345	12/24/97	NC	600.000 UNR INDUSTRIES INC					ROHN INDUSTRIES INC		
2025	AB-12345	12/29/97	DIV	UNR INDUSTRIES INC			\$60.00		DIVIDEND		
2026	AB-12345	03/29/00	D	600.000 ROHN INDUSTRIES INC			\$2,100.00		VALUED PER STMT TRANS		
2027	AB-12345	05/05/00	R	600.000 ROHN INDUSTRIES INC		\$2,062.80			VALUED PER STMT TRANS		
2028	AB-12345	04/30/02	I	600.000 ROHN INDUSTRIES INC	\$1.26		\$755.40		VALUED PER 04/30/02 PORT		
				<u>1,800.000</u> <u>1,800.000</u>					<b>(\$1,982.40)</b>	<b>\$60.00</b>	<b>(\$1,922.40)</b>
2029	AB-12345	12/01/98	B	1,000.000 S3 INC	\$5.75	\$5,859.10			COMM CALC (Bonds @ 2.5%)		
2030	AB-12345	12/01/98	B	1,800.000 S3 INC	\$5.75	\$10,550.71			COMM CALC (Bonds @ 2.5%)		
2031	AB-12345	12/01/98	B	500.000 S3 INC	\$5.75	\$2,929.55			COMM CALC (Bonds @ 2.5%)		
2032	AB-12345	12/01/98	B	1,700.000 S3 INC	\$5.75	\$9,960.47			COMM CALC (Bonds @ 2.5%)		
2033	AB-12345	04/08/99	S	3,000.000 S3 INC	\$7.53		\$22,142.99		COMM CALC (Bonds @ 2.5%)		
2034	AB-12345	04/08/99	S	2,000.000 S3 INC	\$7.50		\$14,695.00		COMM CALC (Bonds @ 2.5%)		
				<u>5,000.000</u> <u>5,000.000</u>					<b>\$7,538.16</b>	<b>\$0.00</b>	<b>\$7,538.16</b>
2035	AB-12345	08/29/97	O	1,000.000 SEARS ROEBUCK	\$56.75	\$56,750.00			VALUED PER 08/29/97 PORT		
2036	AB-12345	10/01/97	DIV	SEARS ROEBUCK			\$230.00		DIVIDEND		
2037	AB-12345	01/02/98	DIV	SEARS ROEBUCK			\$230.00		DIVIDEND		
2038	AB-12345	04/01/98	DIV	SEARS ROEBUCK			\$230.00		DIVIDEND		
2039	AB-12345	07/01/98	DIV	SEARS ROEBUCK			\$230.00		DIVIDEND		
2040	AB-12345	10/01/98	DIV	SEARS ROEBUCK			\$230.00		DIVIDEND		
2041	AB-12345	01/04/99	DIV	SEARS ROEBUCK			\$230.00		DIVIDEND		
2042	AB-12345	04/01/99	DIV	SEARS ROEBUCK			\$230.00		DIVIDEND		
2043	AB-12345	07/01/99	DIV	SEARS ROEBUCK			\$230.00		DIVIDEND		
2044	AB-12345	10/01/99	DIV	SEARS ROEBUCK			\$230.00		DIVIDEND		
2045	AB-12345	01/03/00	DIV	SEARS ROEBUCK			\$230.00		DIVIDEND		
2046	AB-12345	03/29/00	D	1,000.000 SEARS ROEBUCK			\$29,750.00		VALUED PER STMT TRANS		
2047	AB-12345	04/03/00	DIV	SEARS ROEBUCK			\$230.00		DIVIDEND		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2048	AB-12345	05/05/00	R	1,000.000	SEARS ROEBUCK		\$37,813.00		VALUED PER STMT TRANS		
2049	AB-12345	07/03/00	DIV		SEARS ROEBUCK		\$230.00		DIVIDEND		
2050	AB-12345	10/02/00	DIV		SEARS ROEBUCK		\$230.00		DIVIDEND		
2051	AB-12345	10/16/00	S	1,000.000	SEARS ROEBUCK	\$33.52	\$33,164.83		COMM CALC (Bonds @ 2.5%)		
				<u>2,000.000</u>					<b>(\$31,648.17)</b>	<b>\$2,990.00</b>	<b>(\$28,658.17)</b>
2052	AB-12345	05/05/00	R	4,000.000	SL GREEN REALTY CORP		\$104,000.00		VALUED PER STMT TRANS		
2053	AB-12345	07/17/00	DIV		SL GREEN REALTY CORP		\$1,450.00		DIVIDEND		
2054	AB-12345	10/16/00	DIV		SL GREEN REALTY CORP		\$1,450.00		DIVIDEND		
2055	AB-12345	10/17/00	S	4,000.000	SL GREEN REALTY CORP	U \$26.48	\$105,102.95		COMM CALC (Bonds @ 2.5%)		
				<u>4,000.000</u>					<b>\$1,102.95</b>	<b>\$2,900.00</b>	<b>\$4,002.95</b>
2056	AB-12345	08/29/97	O	2,070.000	SPRINT CORP	\$47.00	\$97,290.00		VALUED PER 08/29/97 PORT		
2057	AB-12345	09/29/97	DIV		SPRINT CORP		\$517.50		DIVIDEND		
2058	AB-12345	12/29/97	DIV		SPRINT CORP		\$517.50		DIVIDEND		
2059	AB-12345	03/30/98	DIV		SPRINT CORP		\$517.50		DIVIDEND		
2060	AB-12345	06/29/98	DIV		SPRINT CORP		\$517.50		DIVIDEND		
2061	AB-12345	09/29/98	DIV		SPRINT CORP		\$517.50		DIVIDEND		
2062	AB-12345	11/24/98	DIV	1,035.000	SPRINT CORP PCS GROUP				STOCK DIVIDEND		
2063	AB-12345	12/28/98	DIV		SPRINT CORP		\$517.50		DIVIDEND		
2064	AB-12345	03/30/99	DIV		SPRINT CORP		\$517.50		DIVIDEND		
2065	AB-12345	06/07/99	DIV	2,070.000	SPRINT CORP				STOCK DIVIDEND		
2066	AB-12345	06/29/99	DIV		SPRINT CORP		\$517.50		DIVIDEND		
2067	AB-12345	09/29/99	DIV		SPRINT CORP		\$517.50		DIVIDEND		
2068	AB-12345	12/29/99	DIV		SPRINT CORP		\$517.50		DIVIDEND		
2069	AB-12345	02/07/00	DIV	1,035.000	SPRINT CORP PCS GROUP				STOCK DIVIDEND		
2070	AB-12345	03/29/00	D	4,140.000	SPRINT CORP		\$246,330.00		VALUED PER STMT TRANS		
2071	AB-12345	03/29/00	D	2,070.000	SPRINT CORP PCS GROUP		\$134,291.25		VALUED PER STMT TRANS		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2072	AB-12345	03/30/00	DIV	SPRINT CORP			\$517.50		DIVIDEND		
2073	AB-12345	05/05/00	R	2,070.000	SPRINT CORP PCS GROUP	\$123,423.75			VALUED PER STMT TRANS		
2074	AB-12345	05/05/00	R	4,140.000	SPRINT CORP	\$250,730.82			VALUED PER STMT TRANS		
2075	AB-12345	06/29/00	DIV	SPRINT CORP			\$517.50		DIVIDEND		
2076	AB-12345	09/28/00	DIV	SPRINT CORP			\$517.50		DIVIDEND		
2077	AB-12345	12/28/00	DIV	SPRINT CORP			\$517.50		DIVIDEND		
2078	AB-12345	03/29/01	DIV	SPRINT CORP			\$517.50		DIVIDEND		
2079	AB-12345	06/28/01	DIV	SPRINT CORP			\$517.50		DIVIDEND		
2080	AB-12345	07/27/01	S	1,000.000	SPRINT CORP	U \$21.46	\$21,160.44		COMM CALC (Bonds @ 2.5%)		
2081	AB-12345	09/27/01	DIV	SPRINT CORP			\$392.50		DIVIDEND		
2082	AB-12345	12/28/01	DIV	SPRINT CORP			\$392.50		DIVIDEND		
2083	AB-12345	02/11/02	S	2,070.000	SPRINT CORP PCS GROUP	U \$9.98	\$20,315.02		COMM CALC (Bonds @ 2.5%)		
2084	AB-12345	02/11/02	S	3,140.000	SPRINT CORP	U \$12.69	\$39,336.71		COMM CALC (Bonds @ 2.5%)		
				12,420.000	12,420.000				(\$10,011.15)	\$9,065.00	(\$946.15)
2085	AB-12347	08/06/99	B	3,000.000	STAR TELECOMMUNICATIONS	U \$5.53	\$16,898.25		COMM CALC (Bonds @ 2.5%)		
2086	AB-12346	08/06/99	B	3,000.000	STAR TELECOMMUNICATIONS	U \$5.53	\$16,898.25		COMM CALC (Bonds @ 2.5%)		
2087	AB-12347	03/30/00	D	3,000.000	STAR TELECOMMUNICATIONS		\$17,952.00		VALUED PER STMT TRANS		
2088	AB-12346	03/30/00	D	3,000.000	STAR TELECOMMUNICATIONS		\$17,952.00		VALUED PER STMT TRANS		
2089	AB-12345	05/05/00	R	20,000.000	STAR TELECOMMUNICATIONS		\$88,760.00		VALUED PER STMT TRANS		
2090	AB-12346	05/11/00	R	3,000.000	STAR TELECOMMUNICATIONS		\$11,250.00		VALUED PER STMT TRANS		
2091	AB-12345	10/18/00	S	600.000	STAR TELECOMMUNICATIONS	U \$1.19	\$689.49		COMM CALC (Bonds @ 2.5%)		
2092	AB-12345	10/18/00	S	500.000	STAR TELECOMMUNICATIONS	U \$1.13	\$543.33		COMM CALC (Bonds @ 2.5%)		
2093	AB-12345	10/18/00	S	2,100.000	STAR TELECOMMUNICATIONS	U \$1.13	\$2,281.99		COMM CALC (Bonds @ 2.5%)		
2094	AB-12345	10/18/00	S	500.000	STAR TELECOMMUNICATIONS	U \$1.19	\$574.58		COMM CALC (Bonds @ 2.5%)		
2095	AB-12345	10/18/00	S	8,200.000	STAR TELECOMMUNICATIONS	U \$1.19	\$9,422.51		COMM CALC (Bonds @ 2.5%)		
2096	AB-12345	10/18/00	S	3,100.000	STAR TELECOMMUNICATIONS	U \$1.19	\$3,562.39		COMM CALC (Bonds @ 2.5%)		
2097	AB-12345	10/18/00	S	500.000	STAR TELECOMMUNICATIONS	U \$1.19	\$574.58		COMM CALC (Bonds @ 2.5%)		
2098	AB-12345	10/18/00	S	4,500.000	STAR TELECOMMUNICATIONS	U \$1.19	\$5,166.72		COMM CALC (Bonds @ 2.5%)		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2099	AB-12346	02/28/01	S	2,500.000	STAR TELECOMMUNICATIONS	U	\$0.31	\$718.37	COMM CALC (Bonds @ 2.5%)		
2100	AB-12346	02/28/01	S	500.000	STAR TELECOMMUNICATIONS	U	\$0.31	\$144.59	COMM CALC (Bonds @ 2.5%)		
				29,000.000					<b>(\$74,223.95)</b>	<b>\$0.00</b>	<b>(\$74,223.95)</b>
2101	AB-12346	07/21/99	B	25.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT		\$0.50	\$1,328.73	COMM CALC (Bonds @ 2.5%) OPEN		
2102	AB-12347	07/21/99	B	25.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT		\$0.50	\$1,328.73	COMM CALC (Bonds @ 2.5%) OPEN		
2103	AB-12347	07/21/99	B	25.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT		\$0.56	\$1,480.47	COMM CALC (Bonds @ 2.5%) OPEN		
2104	AB-12346	07/21/99	B	25.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT		\$0.56	\$1,480.47	COMM CALC (Bonds @ 2.5%) OPEN		
2105	AB-12346	07/28/99	B	10.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT	U	\$0.50	\$544.95	COMM CALC (Bonds @ 2.5%) OPEN		
2106	AB-12347	07/28/99	B	10.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT		\$0.50	\$544.95	COMM CALC (Bonds @ 2.5%) OPEN		
2107	AB-12346	07/29/99	S	30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT		\$1.81	\$5,273.63	COMM CALC (Bonds @ 2.5%) CLOSE		
2108	AB-12347	07/29/99	S	30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT		\$1.81	\$5,273.63	COMM CALC (Bonds @ 2.5%) CLOSE		
2109	AB-12347	07/29/99	S	30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT		\$1.81	\$5,278.12	COMM CALC (Bonds @ 2.5%) CLOSE		
2110	AB-12346	07/29/99	S	30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT		\$1.81	\$5,278.12	COMM CALC (Bonds @ 2.5%) CLOSE		
				120.000					<b>\$14,395.20</b>	<b>\$0.00</b>	<b>\$14,395.20</b>
2111	AB-12345	05/05/00	R	6,000.000	STARWOOD HOTELS & RESORTS WORLDWIDE INC			\$176,250.00	VALUED PER STMT TRANS		
2112	AB-12345	05/31/00	S	6,000.000	STARWOOD HOTELS & RESORTS WORLDWIDE INC		\$27.00	\$161,240.10	COMM CALC (Bonds @ 2.5%)		
				6,000.000					<b>(\$15,009.90)</b>	<b>\$0.00</b>	<b>(\$15,009.90)</b>
2113	AB-12345	04/24/01	B	2,000.000	SUN MICROSYSTEMS INC	U	\$19.94	\$40,335.31	COMM CALC (Bonds @ 2.5%)		
2114	AB-12345	04/30/02	I	2,000.000	SUN MICROSYSTEMS INC		\$8.18	\$16,360.00	VALUED PER 04/30/02 PORT		
				2,000.000					<b>(\$23,975.31)</b>	<b>\$0.00</b>	<b>(\$23,975.31)</b>
2115	AB-12345	08/29/97	O	4,000.000	SUNBEAM CORP		\$43.88	\$175,500.00	VALUED PER 08/29/97 PORT		
2116	AB-12345	09/15/97	DIV		SUNBEAM CORP			\$40.00	DIVIDEND		
2117	AB-12345	12/15/97	DIV		SUNBEAM CORP			\$40.00	DIVIDEND		

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	S - Sold	D - Deliver	I - In Accoun	ROP - ROP/ROC	DR - Debit	

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2118	AB-12345	03/16/98	DIV	SUNBEAM CORP			\$40.00		DIVIDEND		
2119	AB-12345	06/12/98	B	5,000.000	SUNBEAM CORP	\$35.00	\$176,116.26		COMM CALC (Bonds @ 2.5%)		
2120	AB-12345	06/16/98	S	5,000.000	SUNBEAM CORP	\$18.38	\$91,077.07		COMM CALC (Bonds @ 2.5%)		
2121	AB-12345	06/16/98	DIV	SUNBEAM CORP			\$40.00		DIVIDEND		
2122	AB-12345	06/19/98	S	4,000.000	SUNBEAM CORP	\$14.00	\$55,391.18		COMM CALC (Bonds @ 2.5%)		
				9,000.000	9,000.000				(\$205,148.01)	\$160.00	(\$204,988.01)
2123	AB-12345	04/07/98	S	100.000	SUNBEAM CORP 199810 30 PUT	\$3.00	\$28,953.55		COMM CALC (Bonds @ 2.5%) OPEN		
2124	AB-12345	04/15/98	S	100.000	SUNBEAM CORP 199810 30 PUT	U \$4.13	\$40,520.05		COMM CALC (Bonds @ 2.5%) OPEN		
2125	AB-12345	05/11/98	S	100.000	SUNBEAM CORP 199810 30 PUT	\$4.88	\$48,015.23		COMM CALC (Bonds @ 2.5%) OPEN		
2126	AB-12345	06/17/98	B	200.000	SUNBEAM CORP 199810 30 PUT	\$16.25	\$327,126.04		COMM CALC (Bonds @ 2.5%) CLOSE		
2127	AB-12345	06/17/98	B	100.000	SUNBEAM CORP 199810 30 PUT	U \$16.50	\$166,067.51		COMM CALC (Bonds @ 2.5%) CLOSE		
				300.000	300.000				(\$375,704.72)	\$0.00	(\$375,704.72)
2128	AB-12345	04/07/98	S	50.000	SUNBEAM CORP 199810 35 PUT	U \$5.38	\$26,403.74		COMM CALC (Bonds @ 2.5%) OPEN		
2129	AB-12345	06/10/98	B	50.000	SUNBEAM CORP 199810 35 PUT				ASSIGNED		
				50.000	50.000				\$26,403.74	\$0.00	\$26,403.74
2130	AB-12347	01/31/00	B	100.000	SYMYX TECHNOLOGIES INC	U \$42.75	\$4,333.55		COMM CALC (Bonds @ 2.5%)		
2131	AB-12345	03/17/00	B	500.000	SYMYX TECHNOLOGIES INC	U \$65.75	\$32,975.00		COMM CALC (Bonds @ 2.5%)		
2132	AB-12345	03/17/00	B	800.000	SYMYX TECHNOLOGIES INC	U \$65.75	\$52,700.00		COMM CALC (Bonds @ 2.5%)		
2133	AB-12345	03/17/00	B	670.000	SYMYX TECHNOLOGIES INC	U \$65.00	\$43,650.00		COMM CALC (Bonds @ 2.5%)		
2134	AB-12345	03/17/00	B	200.000	SYMYX TECHNOLOGIES INC	U \$65.00	\$13,100.00		COMM CALC (Bonds @ 2.5%)		
2135	AB-12345	03/17/00	B	1,130.000	SYMYX TECHNOLOGIES INC	U \$65.00	\$73,554.50		COMM CALC (Bonds @ 2.5%)		
2136	AB-12345	03/17/00	B	700.000	SYMYX TECHNOLOGIES INC	U \$65.75	\$46,125.00		COMM CALC (Bonds @ 2.5%)		
2137	AB-12345	03/29/00	D	4,000.000	SYMYX TECHNOLOGIES INC			\$162,000.00	VALUED PER STMT TRANS		
2138	AB-12347	03/30/00	D	100.000	SYMYX TECHNOLOGIES INC			\$4,387.50	VALUED PER STMT TRANS		
2139	AB-12345	05/05/00	R	4,000.000	SYMYX TECHNOLOGIES INC		\$112,500.00		VALUED PER STMT TRANS		

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 S - Sold    D - Deliver    I - In Accoun    ROP - ROP/ROC    DR - Debit

*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 93

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2140	AB-12345	05/31/00	S	1,000.000 SYMYX TECHNOLOGIES INC	U	\$26.75	\$26,594.60		COMM CALC (Bonds @ 2.5%)		
2141	AB-12345	05/31/00	S	1,000.000 SYMYX TECHNOLOGIES INC	U	\$26.75	\$26,599.10		COMM CALC (Bonds @ 2.5%)		
2142	AB-12345	05/31/00	S	2,000.000 SYMYX TECHNOLOGIES INC		\$26.75	\$53,198.21		COMM CALC (Bonds @ 2.5%)		
				<u>8,100.000</u>					<b>(\$106,158.64)</b>	<b>\$0.00</b>	<b>(\$106,158.64)</b>
2143	AB-12345	03/02/00	B	2,000.000 TEKELEC	U	\$50.25	\$101,024.57		COMM CALC (Bonds @ 2.5%)		
2144	AB-12345	03/17/00	B	3,000.000 TEKELEC	U	\$52.50	\$157,954.50		COMM CALC (Bonds @ 2.5%)		
2145	AB-12345	03/29/00	D	5,000.000 TEKELEC			\$222,190.00		VALUED PER STMT TRANS		
2146	AB-12345	04/27/00	S	500.000 TEKELEC	U	\$30.63	\$15,261.98		COMM CALC (Bonds @ 2.5%)		
2147	AB-12345	04/27/00	S	300.000 TEKELEC	U	\$30.25	\$9,044.69		COMM CALC (Bonds @ 2.5%)		
2148	AB-12345	04/27/00	S	100.000 TEKELEC	U	\$30.25	\$3,014.89		COMM CALC (Bonds @ 2.5%)		
2149	AB-12345	04/27/00	S	100.000 TEKELEC	U	\$30.00	\$2,989.90		COMM CALC (Bonds @ 2.5%)		
2150	AB-12345	04/27/00	S	100.000 TEKELEC	U	\$30.00	\$2,989.90		COMM CALC (Bonds @ 2.5%)		
2151	AB-12345	04/27/00	S	100.000 TEKELEC	U	\$30.63	\$3,052.39		COMM CALC (Bonds @ 2.5%)		
2152	AB-12345	04/27/00	S	600.000 TEKELEC	U	\$30.25	\$18,089.39		COMM CALC (Bonds @ 2.5%)		
2153	AB-12345	04/27/00	S	100.000 TEKELEC	U	\$30.00	\$2,989.90		COMM CALC (Bonds @ 2.5%)		
2154	AB-12345	04/27/00	S	100.000 TEKELEC	U	\$30.00	\$2,989.90		COMM CALC (Bonds @ 2.5%)		
2155	AB-12345	04/27/00	S	100.000 TEKELEC	U	\$30.00	\$2,989.90		COMM CALC (Bonds @ 2.5%)		
2156	AB-12345	04/27/00	S	900.000 TEKELEC	U	\$30.25	\$27,134.09		COMM CALC (Bonds @ 2.5%)		
2157	AB-12345	04/27/00	S	500.000 TEKELEC	U	\$30.25	\$15,069.99		COMM CALC (Bonds @ 2.5%)		
2158	AB-12345	04/27/00	S	300.000 TEKELEC	U	\$30.25	\$9,044.69		COMM CALC (Bonds @ 2.5%)		
2159	AB-12345	04/27/00	S	100.000 TEKELEC	U	\$30.25	\$3,014.89		COMM CALC (Bonds @ 2.5%)		
2160	AB-12345	04/27/00	S	800.000 TEKELEC	U	\$30.63	\$24,419.18		COMM CALC (Bonds @ 2.5%)		
2161	AB-12345	04/27/00	S	300.000 TEKELEC	U	\$30.25	\$9,044.69		COMM CALC (Bonds @ 2.5%)		
2162	AB-12345	05/05/00	R	5,000.000 TEKELEC			\$176,250.00		VALUED PER STMT TRANS		
				<u>10,000.000</u>					<b>(\$61,898.70)</b>	<b>\$0.00</b>	<b>(\$61,898.70)</b>
2163	AB-12347	10/20/00	S	5.000 TERAYON COMMUNICATIONS 200011 20 CALL	U	\$3.38	\$1,582.93		COMM CALC (Bonds @ 2.5%) OPEN		

**Transaction Legend**

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01/08/03

Page: 94

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2164	AB-12347	11/20/00	B	5.000	TERAYON COMMUNICATIONS 200011 20 CALL				EXPIRED		
				5.000	5.000				\$1,582.93	\$0.00	\$1,582.93
2165	AB-12347	10/24/00	B	400.000	TERAYON COMMUNICATIONS SYS	U	\$19.06	\$7,823.60	COMM CALC (Bonds @ 2.5%)		
2166	AB-12347	10/24/00	B	100.000	TERAYON COMMUNICATIONS SYS	U	\$19.00	\$1,948.53	COMM CALC (Bonds @ 2.5%)		
2167	AB-12347	12/29/00	S	500.000	TERAYON COMMUNICATIONS SYS	U	\$3.84	\$1,817.31	COMM CALC (Bonds @ 2.5%)		
				500.000	500.000				(\$7,954.82)	\$0.00	(\$7,954.82)
2168	AB-12347	01/06/00	B	500.000	TIER TECHNOLOGIES INC CL B	U	\$8.50	\$4,353.13	COMM CALC (Bonds @ 2.5%)		
2169	AB-12347	03/08/00	S	500.000	TIER TECHNOLOGIES INC CL B	U	\$6.88	\$3,347.89	COMM CALC (Bonds @ 2.5%)		
				500.000	500.000				(\$1,005.24)	\$0.00	(\$1,005.24)
2170	AB-12347	07/06/99	B	100.000	TRANS WORLD ENTMT CORP	U	\$10.56	\$1,110.74	COMM CALC (Bonds @ 2.5%)		
2171	AB-12347	12/10/99	S	100.000	TRANS WORLD ENTMT CORP		\$10.06	\$947.21	COMM CALC (Bonds @ 2.5%)		
				100.000	100.000				(\$163.53)	\$0.00	(\$163.53)
2172	AB-12345	09/11/00	B	900.000	VENTRO CORP	U	\$18.25	\$16,564.50	COMM CALC (Bonds @ 2.5%)		
2173	AB-12345	09/11/00	B	100.000	VENTRO CORP	U	\$18.25	\$1,840.00	COMM CALC (Bonds @ 2.5%)		
2174	AB-12345	09/11/00	B	100.000	VENTRO CORP	U	\$18.25	\$1,840.00	COMM CALC (Bonds @ 2.5%)		
2175	AB-12345	09/11/00	B	3,500.000	VENTRO CORP	U	\$19.00	\$67,025.00	COMM CALC (Bonds @ 2.5%)		
2176	AB-12345	09/11/00	B	100.000	VENTRO CORP	U	\$18.75	\$1,890.00	COMM CALC (Bonds @ 2.5%)		
2177	AB-12345	09/11/00	B	300.000	VENTRO CORP	U	\$18.19	\$5,501.25	COMM CALC (Bonds @ 2.5%)		
2178	AB-12345	09/29/00	S	100.000	VENTRO CORP	U	\$10.81	\$1,066.21	COMM CALC (Bonds @ 2.5%)		
2179	AB-12345	09/29/00	S	600.000	VENTRO CORP	U	\$10.81	\$6,392.78	COMM CALC (Bonds @ 2.5%)		
2180	AB-12345	09/29/00	S	1,000.000	VENTRO CORP	U	\$10.81	\$10,662.13	COMM CALC (Bonds @ 2.5%)		
2181	AB-12345	09/29/00	S	100.000	VENTRO CORP	U	\$10.81	\$1,066.21	COMM CALC (Bonds @ 2.5%)		
2182	AB-12345	09/29/00	S	100.000	VENTRO CORP	U	\$10.88	\$1,072.46	COMM CALC (Bonds @ 2.5%)		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2183	AB-12345	09/29/00	S	500.000 VENTRO CORP	U	\$10.88	\$5,362.31		COMM CALC (Bonds @ 2.5%)		
2184	AB-12345	09/29/00	S	1,000.000 VENTRO CORP	U	\$10.88	\$10,724.63		COMM CALC (Bonds @ 2.5%)		
2185	AB-12345	09/29/00	S	200.000 VENTRO CORP	U	\$10.88	\$2,144.92		COMM CALC (Bonds @ 2.5%)		
2186	AB-12345	09/29/00	S	100.000 VENTRO CORP	U	\$10.88	\$1,072.46		COMM CALC (Bonds @ 2.5%)		
2187	AB-12345	09/29/00	S	100.000 VENTRO CORP	U	\$10.81	\$1,066.21		COMM CALC (Bonds @ 2.5%)		
2188	AB-12345	09/29/00	S	100.000 VENTRO CORP	U	\$10.81	\$1,066.21		COMM CALC (Bonds @ 2.5%)		
2189	AB-12345	09/29/00	S	100.000 VENTRO CORP	U	\$10.88	\$1,072.46		COMM CALC (Bonds @ 2.5%)		
2190	AB-12345	09/29/00	S	500.000 VENTRO CORP	U	\$10.81	\$5,331.06		COMM CALC (Bonds @ 2.5%)		
2191	AB-12345	09/29/00	S	100.000 VENTRO CORP	U	\$10.81	\$1,066.21		COMM CALC (Bonds @ 2.5%)		
2192	AB-12345	09/29/00	S	100.000 VENTRO CORP	U	\$10.88	\$1,072.46		COMM CALC (Bonds @ 2.5%)		
2193	AB-12345	09/29/00	S	100.000 VENTRO CORP	U	\$10.88	\$1,072.46		COMM CALC (Bonds @ 2.5%)		
2194	AB-12345	09/29/00	S	100.000 VENTRO CORP	U	\$10.81	\$1,066.21		COMM CALC (Bonds @ 2.5%)		
2195	AB-12345	09/29/00	S	100.000 VENTRO CORP	U	\$10.88	\$1,072.46		COMM CALC (Bonds @ 2.5%)		
				5,000.000							
				5,000.000							
									(\$41,210.90)	\$0.00	(\$41,210.90)
2196	AB-12345	07/31/00	B	500.000 VERTICALNET INC	U	\$56.64	\$28,418.21		COMM CALC (Bonds @ 2.5%)		
2197	AB-12345	07/31/00	B	200.000 VERTICALNET INC	U	\$56.77	\$11,392.29		COMM CALC (Bonds @ 2.5%)		
2198	AB-12345	07/31/00	B	100.000 VERTICALNET INC	U	\$56.77	\$5,696.14		COMM CALC (Bonds @ 2.5%)		
2199	AB-12345	07/31/00	B	100.000 VERTICALNET INC	U	\$56.81	\$5,700.83		COMM CALC (Bonds @ 2.5%)		
2200	AB-12345	07/31/00	B	200.000 VERTICALNET INC	U	\$56.77	\$11,392.29		COMM CALC (Bonds @ 2.5%)		
2201	AB-12345	07/31/00	B	100.000 VERTICALNET INC	U	\$57.05	\$5,725.04		COMM CALC (Bonds @ 2.5%)		
2202	AB-12345	07/31/00	B	800.000 VERTICALNET INC	U	\$57.06	\$45,811.15		COMM CALC (Bonds @ 2.5%)		
2203	AB-12345	08/01/00	B	100.000 VERTICALNET INC	U	\$49.38	\$4,962.50		COMM CALC (Bonds @ 2.5%)		
2204	AB-12345	08/01/00	B	2,900.000 VERTICALNET INC	U	\$49.44	\$144,098.25		COMM CALC (Bonds @ 2.5%)		
2205	AB-12345	09/29/00	S	600.000 VERTICALNET INC	U	\$31.31	\$18,696.87		COMM CALC (Bonds @ 2.5%)		
2206	AB-12345	09/29/00	S	800.000 VERTICALNET INC	U	\$31.31	\$24,929.16		COMM CALC (Bonds @ 2.5%)		
2207	AB-12345	09/29/00	S	800.000 VERTICALNET INC	U	\$31.31	\$24,929.16		COMM CALC (Bonds @ 2.5%)		
2208	AB-12345	09/29/00	S	100.000 VERTICALNET INC	U	\$31.31	\$3,116.14		COMM CALC (Bonds @ 2.5%)		
2209	AB-12345	09/29/00	S	700.000 VERTICALNET INC	U	\$31.38	\$21,856.76		COMM CALC (Bonds @ 2.5%)		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2210	AB-12345	09/29/00	S	900.000	VERTICALNET INC	U	\$31.31	\$28,045.31	COMM CALC (Bonds @ 2.5%)		
2211	AB-12345	09/29/00	S	900.000	VERTICALNET INC	U	\$31.25	\$27,984.56	COMM CALC (Bonds @ 2.5%)		
2212	AB-12345	09/29/00	S	200.000	VERTICALNET INC	U	\$31.31	\$6,232.29	COMM CALC (Bonds @ 2.5%)		
				5,000.000	5,000.000				(\$107,406.45)	\$0.00	(\$107,406.45)
2213	AB-12345	08/29/97	O	1,000.000	WALT DISNEY CO		\$76.81	\$76,813.00	VALUED PER 08/29/97 PORT		
2214	AB-12345	11/21/97	DIV		WALT DISNEY CO			\$132.50	DIVIDEND		
2215	AB-12345	02/20/98	DIV		WALT DISNEY CO			\$132.50	DIVIDEND		
2216	AB-12345	05/22/98	DIV		WALT DISNEY CO			\$157.50	DIVIDEND		
2217	AB-12345	07/01/98	B	300.000	WALT DISNEY CO		\$112.19	\$33,880.08	COMM CALC (Bonds @ 2.5%)		
2218	AB-12345	07/13/98	DIV	2,600.000	WALT DISNEY CO				STOCK DIVIDEND		
2219	AB-12345	08/21/98	DIV		WALT DISNEY CO			\$204.75	DIVIDEND		
2220	AB-12345	11/20/98	DIV		WALT DISNEY CO			\$204.75	DIVIDEND		
2221	AB-12345	11/30/98	CXL	2,200.000	WALT DISNEY CO	U	\$29.69		CXL		
2222	AB-12345	12/04/98	CXL	2,200.000	WALT DISNEY CO		\$29.69		CXL		
2223	AB-12345	12/07/98	B	1,100.000	WALT DISNEY CO		\$29.69	\$33,038.89	COMM CALC (Bonds @ 2.5%)		
2224	AB-12345	06/24/99	B	5,000.000	WALT DISNEY CO		\$30.94	\$155,442.00	COMM CALC (Bonds @ 2.5%)		
2225	AB-12345	12/17/99	DIV		WALT DISNEY CO			\$2,100.00	DIVIDEND		
2226	AB-12345	03/29/00	D	10,000.000	WALT DISNEY CO			\$408,130.00	VALUED PER STMT TRANS		
2227	AB-12345	05/05/00	R	5,000.000	WALT DISNEY CO			\$197,815.00	VALUED PER STMT TRANS		
2228	AB-12345	05/05/00	R	10,000.000	WALT DISNEY CO			\$395,630.00	VALUED PER STMT TRANS		
2229	AB-12345	11/07/00	S	10,000.000	WALT DISNEY CO	U	\$36.75	\$366,483.25	COMM CALC (Bonds @ 2.5%)		
2230	AB-12345	11/16/00	S	5,000.000	WALT DISNEY CO	U	\$30.75	\$152,990.37	COMM CALC (Bonds @ 2.5%)		
2231	AB-12345	02/08/02	R	387.000	WALT DISNEY CO			\$9,063.54	VALUED PER STMT TRANS		
2232	AB-12345	04/30/02	I	387.000	WALT DISNEY CO		\$23.18	\$8,970.66	VALUED PER 04/30/02 PORT		
				27,587.000	27,587.000				\$34,891.77	\$2,932.00	\$37,823.77
2233	AB-12345	06/22/99	S	200.000	WALT DISNEY CO 200101 25 PUT	U	\$2.50	\$49,056.09	COMM CALC (Bonds @ 2.5%) OPEN		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2234	AB-12345	03/29/00	D	200.000	WALT DISNEY CO 200101 25 PUT		\$11,250.00		VALUED PER STMT TRANS		
				200.000	200.000				\$37,806.09	\$0.00	\$37,806.09
2235	AB-12345	01/08/99	S	100.000	WALT DISNEY CO 200101 30 PUT	\$4.88		\$48,015.23	COMM CALC (Bonds @ 2.5%) OPEN		
2236	AB-12345	03/29/00	D	100.000	WALT DISNEY CO 200101 30 PUT		\$11,250.00		VALUED PER STMT TRANS		
				100.000	100.000				\$36,765.23	\$0.00	\$36,765.23
2237	AB-12345	02/11/00	B	5,000.000	WALT DISNEY CO GO.COM	U \$29.06	\$146,067.00		COMM CALC (Bonds @ 2.5%)		
2238	AB-12345	02/14/00	B	5,000.000	WALT DISNEY CO GO.COM	U \$28.31	\$142,317.00		COMM CALC (Bonds @ 2.5%)		
2239	AB-12345	03/29/00	D	10,000.000	WALT DISNEY CO GO.COM			\$208,130.00	VALUED PER STMT TRANS		
2240	AB-12345	05/05/00	R	10,000.000	WALT DISNEY CO GO.COM		\$145,000.00		VALUED PER STMT TRANS		
2241	AB-12345	10/02/00	S	1,000.000	WALT DISNEY CO GO.COM	U \$10.50		\$10,485.15	COMM CALC (Bonds @ 2.5%)		
2242	AB-12345	10/02/00	S	9,000.000	WALT DISNEY CO GO.COM	U \$10.25		\$91,436.92	COMM CALC (Bonds @ 2.5%)		
				20,000.000	20,000.000				(\$123,331.93)	\$0.00	(\$123,331.93)
2243	AB-12346	03/30/99	R	500.000	WALT DISNEY CO HOLDING CO		\$16,406.50		VALUED PER STMT TRANS		
2244	AB-12346	12/17/99	DIV		WALT DISNEY CO HOLDING CO			\$105.00	DIVIDEND		
2245	AB-12346	03/30/00	D	500.000	WALT DISNEY CO HOLDING CO			\$20,125.00	VALUED PER STMT TRANS		
2246	AB-12346	05/11/00	R	500.000	WALT DISNEY CO HOLDING CO		\$20,562.50		VALUED PER STMT TRANS		
2247	AB-12346	11/16/00	S	500.000	WALT DISNEY CO HOLDING CO	U \$30.50		\$15,194.99	COMM CALC (Bonds @ 2.5%)		
				1,000.000	1,000.000				(\$1,649.01)	\$105.00	(\$1,544.01)
2248	AB-12345	08/29/97	O	1,200.000	WELLS FARGO & CO	\$254.25	\$305,100.00		VALUED PER 08/29/97 PORT		
2249	AB-12345	11/20/97	DIV		WELLS FARGO & CO			\$1,560.00	DIVIDEND		
2250	AB-12345	02/20/98	DIV		WELLS FARGO & CO			\$1,560.00	DIVIDEND		
2251	AB-12345	05/20/98	DIV		WELLS FARGO & CO			\$1,560.00	DIVIDEND		
2252	AB-12345	08/20/98	DIV		WELLS FARGO & CO			\$1,560.00	DIVIDEND		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2253	AB-12345	11/05/98	NC	12,000.000					WELLS FARGO & CO		
2254	AB-12345	11/05/98	NC		1,200.000				WELLS FARGO & CO NEW		
2255	AB-12345	11/20/98	DIV				\$1,560.00		DIVIDEND		
2256	AB-12345	03/01/99	DIV				\$2,220.00		DIVIDEND		
2257	AB-12345	06/01/99	DIV				\$2,400.00		DIVIDEND		
2258	AB-12345	09/02/99	DIV				\$2,400.00		DIVIDEND		
2259	AB-12345	12/01/99	DIV				\$2,400.00		DIVIDEND		
2260	AB-12345	03/01/00	DIV				\$2,640.00		DIVIDEND		
2261	AB-12345	03/29/00	D	12,000.000			\$473,256.00		VALUED PER STMT TRANS		
2262	AB-12345	05/05/00	R	12,000.000		\$478,500.00			VALUED PER STMT TRANS		
2263	AB-12345	06/01/00	DIV				\$2,640.00		DIVIDEND		
2264	AB-12345	09/01/00	DIV				\$2,640.00		DIVIDEND		
2265	AB-12345	12/01/00	DIV				\$2,880.00		DIVIDEND		
2266	AB-12345	03/01/01	DIV				\$2,880.00		DIVIDEND		
2267	AB-12345	04/09/01	S	4,000.000	U \$45.20		\$179,989.47		COMM CALC (Bonds @ 2.5%)		
2268	AB-12345	06/01/01	DIV				\$1,920.00		DIVIDEND		
2269	AB-12345	09/04/01	DIV				\$2,080.00		DIVIDEND		
2270	AB-12345	12/03/01	DIV				\$2,080.00		DIVIDEND		
2271	AB-12345	03/01/02	DIV				\$2,080.00		DIVIDEND		
2272	AB-12345	04/30/02	I	8,000.000	\$51.15		\$409,200.00		VALUED PER 04/30/02 PORT		
				25,200.000					\$278,845.47	\$39,060.00	\$317,905.47
2273	AB-12347	01/03/00	B	200.000	U \$36.88	\$7,483.92			COMM CALC (Bonds @ 2.5%)		
2274	AB-12347	01/07/00	B	100.000	U \$34.75	\$3,539.98			COMM CALC (Bonds @ 2.5%)		
2275	AB-12347	01/10/00	B	100.000	U \$31.06	\$3,166.98			COMM CALC (Bonds @ 2.5%)		
2276	AB-12347	01/28/00	B	100.000	U \$34.00	\$3,454.49			COMM CALC (Bonds @ 2.5%)		
2277	AB-12347	03/30/00	D	500.000			\$20,937.50		VALUED PER STMT TRANS		
2278	AB-12346	05/11/00	R	500.000		\$18,344.00			VALUED PER STMT TRANS		
2279	AB-12347	12/29/00	B	300.000	U \$34.25	\$10,510.49			COMM CALC (Bonds @ 2.5%)		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2280	AB-12347	02/28/01	S	300.000 WIND RIVER SYSTEM INC	U	\$25.63	\$7,572.28		COMM CALC (Bonds @ 2.5%)		
2281	AB-12346	02/28/01	S	300.000 WIND RIVER SYSTEM INC	U	\$25.88	\$7,653.33		COMM CALC (Bonds @ 2.5%)		
2282	AB-12346	02/28/01	S	200.000 WIND RIVER SYSTEM INC	U	\$25.88	\$5,105.20		COMM CALC (Bonds @ 2.5%)		
				<u>1,300.000</u> <u>1,300.000</u>					<b>(\$5,231.55)</b>	<b>\$0.00</b>	<b>(\$5,231.55)</b>
2283	AB-12347	01/06/00	B	10.000 WIND RIVER SYSTEM INC 200002 40 CALL	U	\$1.44	\$1,527.03		COMM CALC (Bonds @ 2.5%) OPEN		
2284	AB-12347	02/15/00	S	10.000 WIND RIVER SYSTEM INC 200002 40 CALL	U	\$2.88	\$2,786.10		COMM CALC (Bonds @ 2.5%) CLOSE		
				<u>10.000</u> <u>10.000</u>					<b>\$1,259.07</b>	<b>\$0.00</b>	<b>\$1,259.07</b>
2285	AB-12347	04/05/01	B	10.000 WIND RIVER SYSTEM INC 200104 20 CALL	U	\$1.00	\$1,090.82		COMM CALC (Bonds @ 2.5%) OPEN		
2286	AB-12347	04/12/01	S	10.000 WIND RIVER SYSTEM INC 200104 20 CALL	U	\$3.10	\$2,992.35		COMM CALC (Bonds @ 2.5%) CLOSE		
				<u>10.000</u> <u>10.000</u>					<b>\$1,901.53</b>	<b>\$0.00</b>	<b>\$1,901.53</b>
2287	AB-12345	05/05/00	R	10,000.000 WORLD ACCESS INC			\$161,880.00		VALUED PER STMT TRANS		
2288	AB-12345	10/18/00	S	10,000.000 WORLD ACCESS INC	U	\$3.84	\$37,657.32		COMM CALC (Bonds @ 2.5%)		
				<u>10,000.000</u> <u>10,000.000</u>					<b>(\$124,222.68)</b>	<b>\$0.00</b>	<b>(\$124,222.68)</b>
2289	AB-12347	07/06/99	B	1,000.000 WORLD WIDE WIRELESS COMM INC	U	\$1.76	\$1,854.50		COMM CALC (Bonds @ 2.5%)		
2290	AB-12347	03/30/00	D	1,000.000 WORLD WIDE WIRELESS COMM INC			\$4,844.00		VALUED PER STMT TRANS		
				<u>1,000.000</u> <u>1,000.000</u>					<b>\$2,989.50</b>	<b>\$0.00</b>	<b>\$2,989.50</b>
2291	AB-12345	08/29/97	O	30.000 WORLDCOM INC 199709 22.5 CALL		\$7.63	\$22,875.00		VALUED PER 08/29/97 PORT		
2292	AB-12345	09/19/97	S	30.000 WORLDCOM INC 199709 22.5 CALL					EXERCISED		
				<u>30.000</u> <u>30.000</u>					<b>(\$22,875.00)</b>	<b>\$0.00</b>	<b>(\$22,875.00)</b>
2293	AB-12345	10/21/97	B	40.000 WORLDCOM INC 199711 35 CALL		\$1.38	\$5,754.20		COMM CALC (Bonds @ 2.5%) OPEN		

<b>Transaction Legend</b>	B - Bought	DIV - Dividen	R - Receive	O - Open	CR - Credit	NC - Name Chg / Exchang
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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2294	AB-12345	10/29/97	B	60.000	WORLDCOM INC 199711 35 CALL	\$0.50	\$3,197.82		COMM CALC (Bonds @ 2.5%) OPEN		
2295	AB-12345	11/24/97	S	100.000	WORLDCOM INC 199711 35 CALL				EXPIRED		
				100.000	100.000				(\$8,952.02)	\$0.00	(\$8,952.02)
2296	AB-12345	09/02/97	S	100.000	WORLDCOM INC 199803 32.5 PUT	\$4.00	\$38,888.32		COMM CALC (Bonds @ 2.5%) OPEN		
2297	AB-12345	09/03/97	S	100.000	WORLDCOM INC 199803 32.5 PUT	U \$4.00	\$39,275.36		COMM CALC (Bonds @ 2.5%) OPEN		
2298	AB-12345	03/23/98	B	200.000	WORLDCOM INC 199803 32.5 PUT				EXPIRED		
				200.000	200.000				\$78,163.68	\$0.00	\$78,163.68
2299	AB-12345	09/12/97	S	50.000	WORLDCOM INC 199803 40 PUT	\$6.25	\$30,752.37		COMM CALC (Bonds @ 2.5%) OPEN		
2300	AB-12345	03/23/98	B	50.000	WORLDCOM INC 199803 40 PUT				EXPIRED		
				50.000	50.000				\$30,752.37	\$0.00	\$30,752.37
2301	AB-12345	11/12/97	S	200.000	WORLDCOM INC 199806 30 PUT	U \$3.25	\$63,827.09		COMM CALC (Bonds @ 2.5%) OPEN		
2302	AB-12345	11/13/97	S	100.000	WORLDCOM INC 199806 30 PUT	U \$4.25	\$41,765.44		COMM CALC (Bonds @ 2.5%) OPEN		
2303	AB-12345	06/22/98	B	300.000	WORLDCOM INC 199806 30 PUT				EXPIRED		
				300.000	300.000				\$105,592.53	\$0.00	\$105,592.53
2304	AB-12345	04/22/98	S	50.000	WORLDCOM INC 199901 45 PUT	\$4.25	\$20,884.97		COMM CALC (Bonds @ 2.5%) OPEN		
2305	AB-12345	04/22/98	S	50.000	WORLDCOM INC 199901 45 PUT	U \$4.25	\$20,880.47		COMM CALC (Bonds @ 2.5%) OPEN		
2306	AB-12345	05/29/98	S	100.000	WORLDCOM INC 199901 45 PUT	U \$3.13	\$30,562.56		COMM CALC (Bonds @ 2.5%) OPEN		
2307	AB-12345	01/19/99	B	200.000	WORLDCOM INC 199901 45 PUT				EXPIRED		
				200.000	200.000				\$72,328.00	\$0.00	\$72,328.00
2308	AB-12345	08/31/98	S	100.000	WORLDCOM INC 199903 45 PUT	U \$3.75	\$36,786.00		COMM CALC (Bonds @ 2.5%) OPEN		
2309	AB-12345	03/22/99	B	100.000	WORLDCOM INC 199903 45 PUT				EXPIRED		

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		100.000	100.000						\$36,786.00	\$0.00	\$36,786.00
2310	AB-12345	08/18/98	S								
				100.000	WORLD	\$4.63	\$45,515.31		COMM CALC (Bonds @ 2.5%) OPEN		
2311	AB-12345	03/22/99	B						EXPIRED		
		100.000	100.000						\$45,515.31	\$0.00	\$45,515.31
2312	AB-12345	07/24/98	S								
				100.000	WORLD	\$5.25	\$51,755.45		COMM CALC (Bonds @ 2.5%) OPEN		
2313	AB-12345	07/28/98	S		U	\$6.00	\$59,226.20		COMM CALC (Bonds @ 2.5%) OPEN		
2314	AB-12345	03/22/99	B						EXPIRED		
		200.000	200.000						\$110,981.65	\$0.00	\$110,981.65
2315	AB-12346	05/22/00	R								
				20.000	WORLD		\$16,750.00		VALUED PER STMT TRANS		
2316	AB-12346	11/10/00	B						ASSIGNED		
		20.000	20.000						\$16,750.00	\$0.00	\$16,750.00
2317	AB-12346	03/14/01	B						ASSIGNED		
		9.000									
2318	AB-12346	05/02/01	B						ASSIGNED		
		5.000									
2319	AB-12346	05/31/01	B						ASSIGNED		
		6.000									
		20.000							\$0.00	\$0.00	\$0.00
2320	AB-12346	01/31/02	B		U	\$0.50	\$2,710.42		COMM CALC (Bonds @ 2.5%) OPEN		
		50.000									
2321	AB-12346	02/19/02	S						EXPIRED		
				50.000	WORLD						
		50.000	50.000						(\$2,710.42)	\$0.00	(\$2,710.42)
2322	AB-12345	11/10/00	S		U	\$5.38	\$372,950.12		COMM CALC (Bonds @ 2.5%) OPEN		
				700.000	WORLD						
2323	AB-12345	01/11/01	B			\$8.63	\$260,696.39		COMM CALC (Bonds @ 2.5%) CLOSE		
		300.000									

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 102

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2324	AB-12345	01/12/01	B	400.000	WORLDCOM INC 200301 20 CALL	U	\$9.25	\$372,631.55			
				700.000	700.000				COMM CALC (Bonds @ 2.5%) CLOSE		
									(\$260,377.82)	\$0.00	(\$260,377.82)
2325	AB-12345	10/16/00	S		1,000.000	WORLDCOM INC 200301 25 CALL	U	\$8.25	\$818,925.17		
2326	AB-12345	10/17/00	B	300.000	WORLDCOM INC 200301 25 CALL	U	\$9.63	\$290,254.50			
2327	AB-12345	10/20/00	B	400.000	WORLDCOM INC 200301 25 CALL	U	\$11.50	\$463,191.57			
2328	AB-12345	11/03/00	S		500.000	WORLDCOM INC 200301 25 CALL	U	\$5.00	\$247,663.82		
2329	AB-12345	11/14/00	B	600.000	WORLDCOM INC 200301 25 CALL	U	\$3.63	\$220,023.18			
2330	AB-12345	04/04/01	B	200.000	WORLDCOM INC 200301 25 CALL	U	\$3.75	\$76,024.03			
				1,500.000	1,500.000				\$17,095.71	\$0.00	\$17,095.71
2331	AB-12345	01/11/01	S		300.000	WORLDCOM INC 200301 35 CALL	U	\$4.50	\$133,453.97		
2332	AB-12345	01/12/01	S		400.000	WORLDCOM INC 200301 35 CALL	U	\$5.00	\$197,917.94		
2333	AB-12345	06/26/01	B	100.000	WORLDCOM INC 200301 35 CALL	U	\$0.40	\$4,249.84			
2334	AB-12345	07/25/01	B	200.000	WORLDCOM INC 200301 35 CALL	U	\$0.35	\$7,375.02			
2335	AB-12345	08/22/01	B	100.000	WORLDCOM INC 200301 35 CALL	U	\$0.30	\$3,197.82			
2336	AB-12345	01/23/02	B	300.000	WORLDCOM INC 200301 35 CALL			\$0.05	\$1,673.00		
				700.000	700.000				\$314,876.23	\$0.00	\$314,876.23
2337	AB-12345	10/17/00	S		300.000	WORLDCOM INC 200301 50 CALL	U	\$3.88	\$114,741.62		
2338	AB-12345	10/20/00	S		400.000	WORLDCOM INC 200301 50 CALL			\$5.00	\$197,729.68	
2339	AB-12345	11/10/00	B	700.000	WORLDCOM INC 200301 50 CALL	U	\$1.38	\$98,704.58			
				700.000	700.000				\$213,766.72	\$0.00	\$213,766.72
2340	AB-12345	06/18/99	B	5,000.000	WORLDPOR COMMUNICATIONS INC	U	\$9.88	\$50,129.50			
2341	AB-12347	06/18/99	B	1,000.000	WORLDPOR COMMUNICATIONS INC	U	\$9.75	\$9,854.50			
2342	AB-12346	06/18/99	B	900.000	WORLDPOR COMMUNICATIONS INC	U	\$9.75	\$8,869.50			

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*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 103



**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2343	AB-12345	06/21/99	B	500.000	WORLDPORT COMMUNICATIONS INC	U	\$10.13	\$5,142.00			COMM CALC (Bonds @ 2.5%)
2344	AB-12347	06/21/99	B	1,000.000	WORLDPORT COMMUNICATIONS INC	U	\$10.00	\$10,104.50			COMM CALC (Bonds @ 2.5%)
2345	AB-12346	06/21/99	B	1,100.000	WORLDPORT COMMUNICATIONS INC	U	\$10.00	\$11,114.50			COMM CALC (Bonds @ 2.5%)
2346	AB-12345	06/22/99	B	3,500.000	WORLDPORT COMMUNICATIONS INC	U	\$10.25	\$36,404.50			COMM CALC (Bonds @ 2.5%)
2347	AB-12345	06/22/99	B	1,000.000	WORLDPORT COMMUNICATIONS INC	U	\$10.25	\$10,400.00			COMM CALC (Bonds @ 2.5%)
2348	AB-12345	06/24/99	B	5,000.000	WORLDPORT COMMUNICATIONS INC		\$10.88	\$55,129.50			COMM CALC (Bonds @ 2.5%)
2349	AB-12345	06/24/99	B	500.000	WORLDPORT COMMUNICATIONS INC	U	\$10.88	\$5,512.50			COMM CALC (Bonds @ 2.5%)
2350	AB-12345	06/24/99	B	1,000.000	WORLDPORT COMMUNICATIONS INC	U	\$10.88	\$11,025.00			COMM CALC (Bonds @ 2.5%)
2351	AB-12345	06/29/99	B	500.000	WORLDPORT COMMUNICATIONS INC	U	\$9.38	\$4,737.50			COMM CALC (Bonds @ 2.5%)
2352	AB-12345	06/29/99	B	800.000	WORLDPORT COMMUNICATIONS INC	U	\$9.38	\$7,580.00			COMM CALC (Bonds @ 2.5%)
2353	AB-12345	06/29/99	B	3,500.000	WORLDPORT COMMUNICATIONS INC	U	\$9.38	\$33,167.00			COMM CALC (Bonds @ 2.5%)
2354	AB-12345	06/29/99	B	1,000.000	WORLDPORT COMMUNICATIONS INC	U	\$9.38	\$9,475.00			COMM CALC (Bonds @ 2.5%)
2355	AB-12345	06/29/99	B	2,000.000	WORLDPORT COMMUNICATIONS INC	U	\$9.38	\$18,950.00			COMM CALC (Bonds @ 2.5%)
2356	AB-12345	06/29/99	B	200.000	WORLDPORT COMMUNICATIONS INC	U	\$9.38	\$1,895.00			COMM CALC (Bonds @ 2.5%)
2357	AB-12345	06/29/99	B	500.000	WORLDPORT COMMUNICATIONS INC	U	\$9.38	\$4,737.50			COMM CALC (Bonds @ 2.5%)
2358	AB-12345	07/01/99	B	25,000.000	WORLDPORT COMMUNICATIONS INC	U	\$4.15	\$105,254.50			COMM CALC (Bonds @ 2.5%)
2359	AB-12346	07/02/99	B	200.000	WORLDPORT COMMUNICATIONS INC	U	\$4.25	\$870.00			COMM CALC (Bonds @ 2.5%)
2360	AB-12346	07/02/99	B	800.000	WORLDPORT COMMUNICATIONS INC	U	\$4.25	\$3,484.50			COMM CALC (Bonds @ 2.5%)
2361	AB-12347	07/02/99	B	1,000.000	WORLDPORT COMMUNICATIONS INC	U	\$4.25	\$4,354.50			COMM CALC (Bonds @ 2.5%)
2362	AB-12345	07/06/99	B	40,000.000	WORLDPORT COMMUNICATIONS INC	U	\$4.63	\$187,700.54			COMM CALC (Bonds @ 2.5%)
2363	AB-12345	07/07/99	B	8,100.000	WORLDPORT COMMUNICATIONS INC	U	\$4.17	\$34,577.00			COMM CALC (Bonds @ 2.5%)
2364	AB-12345	07/09/99	B	1,400.000	WORLDPORT COMMUNICATIONS INC	U	\$3.81	\$5,482.00			COMM CALC (Bonds @ 2.5%)
2365	AB-12345	07/09/99	B	100.000	WORLDPORT COMMUNICATIONS INC	U	\$3.81	\$391.25			COMM CALC (Bonds @ 2.5%)
2366	AB-12345	07/09/99	B	500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.81	\$1,956.25			COMM CALC (Bonds @ 2.5%)
2367	AB-12345	07/09/99	B	2,000.000	WORLDPORT COMMUNICATIONS INC	U	\$3.81	\$7,825.00			COMM CALC (Bonds @ 2.5%)
2368	AB-12345	07/09/99	B	500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.81	\$1,956.25			COMM CALC (Bonds @ 2.5%)
2369	AB-12345	07/09/99	B	900.000	WORLDPORT COMMUNICATIONS INC	U	\$3.81	\$3,521.25			COMM CALC (Bonds @ 2.5%)
2370	AB-12345	07/09/99	B	1,500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.81	\$5,868.75			COMM CALC (Bonds @ 2.5%)
2371	AB-12345	07/12/99	B	10,000.000	WORLDPORT COMMUNICATIONS INC	U	\$3.81	\$38,879.50			COMM CALC (Bonds @ 2.5%)

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**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
2372	AB-12345	07/14/99	B	3,000.000	WORLDPORT COMMUNICATIONS INC	U	\$3.53	\$10,912.05		COMM CALC (Bonds @ 2.5%)	
2373	AB-12345	07/14/99	B	1,000.000	WORLDPORT COMMUNICATIONS INC	U	\$3.53	\$3,635.85		COMM CALC (Bonds @ 2.5%)	
2374	AB-12345	07/14/99	B	3,000.000	WORLDPORT COMMUNICATIONS INC	U	\$3.53	\$10,907.55		COMM CALC (Bonds @ 2.5%)	
2375	AB-12345	07/14/99	B	3,500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.53	\$12,724.66		COMM CALC (Bonds @ 2.5%)	
2376	AB-12345	07/14/99	B	1,500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.53	\$5,453.78		COMM CALC (Bonds @ 2.5%)	
2377	AB-12345	07/14/99	B	1,500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.53	\$5,453.78		COMM CALC (Bonds @ 2.5%)	
2378	AB-12345	07/14/99	B	5,000.000	WORLDPORT COMMUNICATIONS INC	U	\$3.81	\$19,434.19		COMM CALC (Bonds @ 2.5%)	
2379	AB-12345	07/14/99	B	500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.50	\$1,802.30		COMM CALC (Bonds @ 2.5%)	
2380	AB-12345	07/14/99	B	1,500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.53	\$5,453.78		COMM CALC (Bonds @ 2.5%)	
2381	AB-12345	07/14/99	B	2,500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.53	\$9,089.63		COMM CALC (Bonds @ 2.5%)	
2382	AB-12345	07/14/99	B	2,000.000	WORLDPORT COMMUNICATIONS INC	U	\$3.50	\$7,209.20		COMM CALC (Bonds @ 2.5%)	
2383	AB-12345	07/16/99	B	5,000.000	WORLDPORT COMMUNICATIONS INC	U	\$3.81	\$19,429.69		COMM CALC (Bonds @ 2.5%)	
2384	AB-12347	07/30/99	B	1,500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.66	\$5,638.88		COMM CALC (Bonds @ 2.5%)	
2385	AB-12347	07/30/99	B	500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.66	\$1,878.13		COMM CALC (Bonds @ 2.5%)	
2386	AB-12346	07/30/99	B	500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.66	\$1,882.63		COMM CALC (Bonds @ 2.5%)	
2387	AB-12346	07/30/99	B	500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.66	\$1,878.13		COMM CALC (Bonds @ 2.5%)	
2388	AB-12346	07/30/99	B	1,000.000	WORLDPORT COMMUNICATIONS INC	U	\$3.66	\$3,756.25		COMM CALC (Bonds @ 2.5%)	
2389	AB-12345	09/09/99	B	2,500.000	WORLDPORT COMMUNICATIONS INC	U	\$0.94	\$2,421.75		COMM CALC (Bonds @ 2.5%)	
2390	AB-12345	09/09/99	B	7,500.000	WORLDPORT COMMUNICATIONS INC	U	\$0.97	\$7,504.44		COMM CALC (Bonds @ 2.5%)	
2391	AB-12345	11/23/99	S		500.000 WORLDPORT COMMUNICATIONS INC	U	\$1.81		\$881.56		COMM CALC (Bonds @ 2.5%)
2392	AB-12345	11/23/99	S		500.000 WORLDPORT COMMUNICATIONS INC		\$1.75		\$850.32		COMM CALC (Bonds @ 2.5%)
2393	AB-12345	11/23/99	S		500.000 WORLDPORT COMMUNICATIONS INC	U	\$1.78		\$865.95		COMM CALC (Bonds @ 2.5%)
2394	AB-12345	11/23/99	S		10,000.000 WORLDPORT COMMUNICATIONS INC	U	\$1.78		\$17,318.90		COMM CALC (Bonds @ 2.5%)
2395	AB-12345	11/23/99	S		7,500.000 WORLDPORT COMMUNICATIONS INC	U	\$1.81		\$13,218.42		COMM CALC (Bonds @ 2.5%)
2396	AB-12345	11/24/99	S		5,000.000 WORLDPORT COMMUNICATIONS INC	U	\$1.88		\$9,116.68		COMM CALC (Bonds @ 2.5%)
2397	AB-12345	11/24/99	S		500.000 WORLDPORT COMMUNICATIONS INC	U	\$1.91		\$927.04		COMM CALC (Bonds @ 2.5%)
2398	AB-12345	11/24/99	S		1,000.000 WORLDPORT COMMUNICATIONS INC	U	\$1.88		\$1,818.83		COMM CALC (Bonds @ 2.5%)
2399	AB-12347	02/23/00	S		2,000.000 WORLDPORT COMMUNICATIONS INC	U	\$2.88		\$5,457.80		COMM CALC (Bonds @ 2.5%)
2400	AB-12345	03/29/00	D		129,500.000 WORLDPORT COMMUNICATIONS INC				\$394,975.00		VALUED PER STMT TRANS

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## COLOR COMBINED ROI ANALYSIS

**Case Name: INVESTOR V. BROKERAGE FIRM**

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2401	AB-12347	03/30/00	D	3,000.000	WORLDPORT COMMUNICATIONS INC		\$9,375.00		VALUED PER STMT TRANS		
2402	AB-12346	03/30/00	D	3,000.000	WORLDPORT COMMUNICATIONS INC		\$9,375.00		VALUED PER STMT TRANS		
2403	AB-12346	03/30/00	D	2,000.000	WORLDPORT COMMUNICATIONS INC		\$6,250.00		VALUED PER STMT TRANS		
2404	AB-12345	05/05/00	R	118,500.000	WORLDPORT COMMUNICATIONS INC		\$322,201.50		VALUED PER STMT TRANS		
2405	AB-12346	05/11/00	R	2,000.000	WORLDPORT COMMUNICATIONS INC		\$4,620.00		VALUED PER STMT TRANS		
2406	AB-12346	05/11/00	R	3,000.000	WORLDPORT COMMUNICATIONS INC		\$6,930.00		VALUED PER STMT TRANS		
2407	AB-12345	05/16/00	S	24,500.000	WORLDPORT COMMUNICATIONS INC	U	\$2.29	\$54,873.62	COMM CALC (Bonds @ 2.5%)		
2408	AB-12345	05/16/00	S	500.000	WORLDPORT COMMUNICATIONS INC	U	\$2.29	\$1,119.96	COMM CALC (Bonds @ 2.5%)		
2409	AB-12345	05/17/00	S	19,500.000	WORLDPORT COMMUNICATIONS INC		\$2.28	\$43,479.01	COMM CALC (Bonds @ 2.5%)		
2410	AB-12345	05/17/00	S	500.000	WORLDPORT COMMUNICATIONS INC		\$2.29	\$1,119.96	COMM CALC (Bonds @ 2.5%)		
2411	AB-12345	05/17/00	S	500.000	WORLDPORT COMMUNICATIONS INC	U	\$2.28	\$1,114.96	COMM CALC (Bonds @ 2.5%)		
2412	AB-12345	05/17/00	S	24,500.000	WORLDPORT COMMUNICATIONS INC	U	\$2.28	\$54,633.13	COMM CALC (Bonds @ 2.5%)		
2413	AB-12345	05/17/00	S	5,000.000	WORLDPORT COMMUNICATIONS INC		\$2.25	\$10,999.62	COMM CALC (Bonds @ 2.5%)		
2414	AB-12345	05/18/00	S	10,000.000	WORLDPORT COMMUNICATIONS INC	U	\$2.20	\$21,499.26	COMM CALC (Bonds @ 2.5%)		
2415	AB-12345	05/18/00	S	500.000	WORLDPORT COMMUNICATIONS INC	U	\$2.21	\$1,079.96	COMM CALC (Bonds @ 2.5%)		
2416	AB-12345	05/18/00	S	1,800.000	WORLDPORT COMMUNICATIONS INC	U	\$2.20	\$3,869.86	COMM CALC (Bonds @ 2.5%)		
2417	AB-12345	05/18/00	S	500.000	WORLDPORT COMMUNICATIONS INC	U	\$2.25	\$1,099.96	COMM CALC (Bonds @ 2.5%)		
2418	AB-12345	05/18/00	S	5,000.000	WORLDPORT COMMUNICATIONS INC	U	\$2.25	\$10,995.12	COMM CALC (Bonds @ 2.5%)		
2419	AB-12345	05/18/00	S	1,000.000	WORLDPORT COMMUNICATIONS INC	U	\$2.20	\$2,149.92	COMM CALC (Bonds @ 2.5%)		
2420	AB-12345	05/18/00	S	500.000	WORLDPORT COMMUNICATIONS INC	U	\$2.20	\$1,074.96	COMM CALC (Bonds @ 2.5%)		
2421	AB-12345	05/24/00	S	5,000.000	WORLDPORT COMMUNICATIONS INC	U	\$2.25	\$10,974.62	COMM CALC (Bonds @ 2.5%)		
2422	AB-12345	05/24/00	S	19,200.000	WORLDPORT COMMUNICATIONS INC	U	\$2.25	\$42,138.06	COMM CALC (Bonds @ 2.5%)		
2423	AB-12346	08/17/00	S	4,500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.34	\$14,771.87	COMM CALC (Bonds @ 2.5%)		
2424	AB-12346	08/17/00	S	500.000	WORLDPORT COMMUNICATIONS INC	U	\$3.34	\$1,641.82	COMM CALC (Bonds @ 2.5%)		
				288,500.000	288,500.000				(\$417,501.29)	\$0.00	(\$417,501.29)
2425	AB-12347	01/29/01	B	500.000	XCELERA INC	U	\$11.75	\$5,973.66	COMM CALC (Bonds @ 2.5%)		
2426	AB-12347	02/14/01	S	500.000	XCELERA INC	U	\$6.75	\$3,293.73	COMM CALC (Bonds @ 2.5%)		

**Transaction Legend**

B - Bought    DIV - Dividen    R - Receive    O - Open    CR - Credit    NC - Name Chg / Exchang  
 S - Sold    D - Deliver    I - In Accoun    ROP - ROP/ROC    DR - Debit

*Privileged/Confidential Attorney Work Product*

01/08/03

Page: 106

**COLOR COMBINED ROI ANALYSIS**

**Case Name: INVESTOR V. BROKERAGE FIRM**

ACCT #	DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI
		500,000	500,000						(\$2,679.93)	\$0.00	(\$2,679.93)
<b>BONDS</b>											
2427	AB-12345	08/29/97	O	100,000.000	COOK CO ILL GENL OBLIG 5% 111598	\$101.19	\$101,186.00		VALUED PER 08/29/97 PORT		
2428	AB-12345	11/17/97	INT		COOK CO ILL GENL OBLIG 5% 111598		\$2,500.00		INTEREST		
2429	AB-12345	05/15/98	INT		COOK CO ILL GENL OBLIG 5% 111598		\$2,500.00		INTEREST		
2430	AB-12345	11/16/98	S	100,000.000	COOK CO ILL GENL OBLIG 5% 111598		\$100,000.00		REDEEMED		
2431	AB-12345	11/16/98	INT		COOK CO ILL GENL OBLIG 5% 111598		\$2,500.00		INTEREST		
				100,000.000					(\$1,186.00)	\$7,500.00	\$6,314.00

## Overall Summary

**NET TRADING RESULT (\$8,709,253.20)**

<b>TOTAL INCOME</b>	<b>\$315,496.51</b>
<b>NET RETURN ON INVESTMENT</b>	<b>(\$8,393,756.69)</b>
MONEY MARKET FUNDS	\$676.10
MISCELLANEOUS CREDITS	\$0.00
INTEREST CHARGES	(\$760,791.05)
MISCELLANEOUS CHARGES	(\$455.00)
<b>Net Profits / (Losses)</b>	<b>(\$9,154,326.64)</b>

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