

# Internal Rate of Return

Portfolio Perspective Interactive Edition

**Case Name:** INVESTOR V. BROKERAGE FIRM

Account Number	Account Name	Date Range	Internal Rate of Return	Profit & (Loss)
AB-12345-COMB	JOHN Q. INVESTOR	8/29/1997 - 4/30/2002	-172.06%	\$ (9,154,326.64)
AB-12345-COMB	JOHN Q. INVESTOR	8/29/1997 - 12/31/1997	10.08%	\$ 119,663.00
AB-12345-COMB	JOHN Q. INVESTOR	1/1/1998 - 12/31/1998	58.79%	\$ 2,808,242.43
AB-12345-COMB	JOHN Q. INVESTOR	1/1/1999 - 12/31/1999	61.02%	\$ 5,031,971.20
AB-12345-COMB	JOHN Q. INVESTOR	1/1/2000 - 12/31/2000	-289.69%	\$ (15,370,733.71)
AB-12345-COMB	JOHN Q. INVESTOR	1/1/2001 - 12/31/2001	-99.49%	\$ (1,191,494.96)
AB-12345-COMB	JOHN Q. INVESTOR	1/1/2002 - 4/30/2002	-330.01%	\$ (551,974.60)

## Total Investment Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

Case: INVESTOR V. BROKERAGE FIRM  
 Date Range: 9/1997 to 4/2002

**CREDITS:** \$29,886,592.82

<i>BEGINNING CASH BALANCE</i>	(\$1,360,325.40)
<i>SECURITIES OPEN IN ACCOUNT</i>	\$4,818,790.70
<i>SECURITIES RECEIVED IN</i>	\$23,741,969.60
<i>CASH DEPOSITS</i>	\$125,399.27
<i>CASH TRANSFERRED IN</i>	\$2,377,012.24
<i>DEBITS TRANSFERRED OUT</i>	\$183,746.42
<i>CREDIT ADJUSTMENT</i>	\$0.00

**DEBITS:** \$20,732,266.18

<i>ENDING CASH BALANCE</i>	(\$679,165.52)
<i>SECURITIES DELIVERED OUT</i>	\$8,273,561.27
<i>CASH WITHDRAWN</i>	\$2,594,727.00
<i>CASH TRANSFERRED OUT</i>	\$2,146,835.30
<i>DEBITS TRANSFERRED IN</i>	\$7,401,308.40
<i>TAXES WITHHELD</i>	\$0.00
<i>SECURITIES IN ACCOUNT</i>	\$994,999.76
<i>DEBIT ADJUSTMENT</i>	\$0.00

**RETURN ON INVESTMENT:** ( \$8,393,756.69)

<i>NET TRADING RESULT</i>	( \$8,709,253.20)
<i>DIVIDEND INCOME</i>	\$307,996.51
<i>INTEREST INCOME</i>	\$7,500.00

**MISC. INCOME & EXPENSE:** ( \$760,569.95)

<i>MONEY MARKET FUND INCOME</i>	\$676.10
<i>MISCELLANEOUS CREDITS</i>	\$0.00
<i>INTEREST CHARGES</i>	( \$760,791.05)
<i>MISCELLANEOUS CHARGES</i>	( \$455.00)

<i>TOTAL COMMISSIONS:</i>	\$519,975.19
<i>COMMISSIONS to Market Value:</i>	1.58 %
<i>COMMISSIONS to Equity Value:</i>	2.36 %
<i>TURNOVER (Annualized) by Market Value:</i>	1.32
<i>by Equity Value:</i>	1.97
<i>CMF (Annualized) by Market Value:</i>	3.90 %
<i>by Equity Value:</i>	5.81 %
<i>AVERAGE MONTHLY EQUITY:</i>	\$4,719,322.64

**Net Losses** **( \$9,154,326.64)** **( 30.63) %**

**Net Losses** **( \$9,154,326.64)**

## Trade Transaction ROI : Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

SUBTOTALS ON:	TRADING GAIN/(LOSS)	INCOME	NET ROI	% ROI
EQUITIES	( \$8,708,067.20)	\$307,996.51	(\$8,400,070.69)	-9.90
BONDS	( \$1,186.00)	\$7,500.00	\$6,314.00	6.24
MUTUAL FUNDS	\$0.00	\$0.00	\$0.00	0.00
CERTIFICATES OF DEPOSIT	\$0.00	\$0.00	\$0.00	0.00
C M O'S	\$0.00	\$0.00	\$0.00	0.00
UNIT INVESTMENT TRUSTS	\$0.00	\$0.00	\$0.00	0.00
LIMITED PARTNERSHIPS	\$0.00	\$0.00	\$0.00	0.00

<b>NET TRADING RESULT</b>	<b>( \$8,709,253.20)</b>
<b>TOTAL INCOME</b>	<b>\$315,496.51</b>
<b>NET RETURN ON INVESTMENTS</b>	<b>( \$8,393,756.69)</b>
MONEY MARKET FUNDS	\$676.10
MISCELLANEOUS CREDITS	\$0.00
INTEREST CHARGES	( \$760,791.05)
MISCELLANEOUS CHARGES	( \$455.00)
<b>Net Losses</b>	<b>( \$9,154,326.64)</b>

## Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
<b>EQUITIES</b>				
24/7 MEDIA INC 199912 55 CALL	\$1,930.68	\$0.00	\$1,930.68	
ALLTEL CORP	\$9,198.28	\$2,955.57	\$12,153.85	20.8%
PALM INC	( \$17,479.89)	\$0.00	( \$17,479.89)	-1.3%
3COM CORP 199804 55 PUT	\$76,330.16	\$0.00	\$76,330.16	
3COM CORP 199902 60 CALL	( \$5,301.86)	\$0.00	( \$5,301.86)	
3COM CORP 199903 55 CALL	( \$18,095.00)	\$0.00	( \$18,095.00)	
ABGENIX INC	( \$37,723.87)	\$0.00	( \$37,723.87)	-30.0%
ABGENIX INC 200101 100 CALL	\$4,301.03	\$0.00	\$4,301.03	
ABGENIX INC 200104 80 CALL	\$3,742.28	\$0.00	\$3,742.28	
ABGENIX INC 200110 45 CALL	\$974.71	\$0.00	\$974.71	
ACACIA RESH CORP	\$530.78	\$0.00	\$530.78	2.5%
ALLSTATE CORP	( \$52,919.71)	\$5,716.88	( \$47,202.83)	-15.6%
AMAZON.COM INC	( \$18,592.00)	\$0.00	( \$18,592.00)	-21.9%
AMDOCS LTD 200204 25 CALL	( \$3,632.54)	\$0.00	( \$3,632.54)	
AMDOCS LTD 200204 30 CALL	( \$3,411.42)	\$0.00	( \$3,411.42)	
AMER ELECTRIC POWER CO	( \$14,743.19)	\$21,600.00	\$6,856.81	4.4%
AMER EXPRESS CO	\$289,265.68	\$15,250.00	\$304,515.68	27.2%
AMER SUPERCONDUCTOR CORP	( \$2,638.54)	\$0.00	( \$2,638.54)	-34.8%
AMER SUPERCONDUCTOR CORP 200103 17.5 PUT	( \$1,316.30)	\$0.00	( \$1,316.30)	
AMER SUPERCONDUCTOR CORP 200107 15 PUT	( \$2,485.99)	\$0.00	( \$2,485.99)	

### Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
AMEREN CORP	\$6,260.00	\$5,080.00	\$11,340.00	31.9%
AOL TIME WARNER INC	\$961,701.65	\$1.25	\$961,702.90	49.3%
AMERICA ONLINE INC DEL 199801 65 PUT	\$42,812.50	\$0.00	\$42,812.50	
AMERICA ONLINE INC DEL 200101 50 PUT	\$155,965.73	\$0.00	\$155,965.73	
AMERICA ONLINE INC DEL 200101 55 PUT	\$168,003.20	\$0.00	\$168,003.20	
AMERICA ONLINE INC DEL 200101 25 PUT	\$93,857.79	\$0.00	\$93,857.79	
AMERICA ONLINE INC DEL 200101 30 PUT	\$184,195.58	\$0.00	\$184,195.58	
AMERICA ONLINE INC DEL 200101 40 PUT	\$325,663.95	\$0.00	\$325,663.95	
AMERICA ONLINE INC DEL 200201 27.5 PUT	\$73,216.10	\$0.00	\$73,216.10	
ASM LITHOGRAPHY 200204 25 CALL	( \$1,187.70)	\$0.00	( \$1,187.70)	
AT&T CORP	( \$602,635.05)	\$1,215.00	( \$601,420.05)	-59.8%
AT&T CORP 200201 50 PUT	\$318,733.86	\$0.00	\$318,733.86	
ATRIX LABS INC	\$3,596.91	\$0.00	\$3,596.91	25.2%
AVANEX CORP	( \$376.23)	\$0.00	( \$376.23)	-9.5%
BANK ONE CORP	\$44,154.93	\$9,450.00	\$53,604.93	12.3%
BANK ONE CORP 200201 30 PUT	\$38,910.54	\$0.00	\$38,910.54	
BANYAN SYSTEMS INC	\$781.55	\$0.00	\$781.55	22.9%
BANYAN SYSTEMS INC 200007 17.5 PUT	\$659.49	\$0.00	\$659.49	
BOSTON PROPERTIES INC	\$13,720.44	\$4,240.00	\$17,960.44	12.4%
BROADWING INC	\$502.95	\$0.00	\$502.95	8.1%
CASINO AMER INC	\$365.20	\$0.00	\$365.20	3.5%
VIACOM INC B NON VTG	\$157,721.77	\$0.00	\$157,721.77	14.2%

### Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
CBS CORP 199807 30 PUT	\$15,236.93	\$0.00	\$15,236.93	
CBS CORP 199901 30 PUT	\$21,866.47	\$0.00	\$21,866.47	
CBS CORP 199901 35 PUT	\$39,650.52	\$0.00	\$39,650.52	
JP MORGAN CHASE & CO	\$65,443.95	\$33,480.00	\$98,923.95	10.1%
CHASE MANHATTAN CORP NEW 200101 60 PUT	\$56,161.41	\$0.00	\$56,161.41	
CHASE MANHATTAN CORP NEW 200101 70 PUT	\$69,795.74	\$0.00	\$69,795.74	
CINERGY CORP	( \$1,899.75)	\$5,737.50	\$3,837.75	8.7%
CISCO SYS INC	( \$67,826.06)	\$0.00	( \$67,826.06)	-20.0%
CISCO SYS INC 200201 50 PUT	( \$60,396.93)	\$0.00	( \$60,396.93)	
CISCO SYS INC 200201 27.5 PUT	\$41,819.46	\$0.00	\$41,819.46	
CISCO SYS INC 200201 60 PUT	( \$44,747.26)	\$0.00	( \$44,747.26)	
CITIGROUP INC 200101 26.625 150 SHS PUT	\$51,956.20	\$0.00	\$51,956.20	
CITIGROUP INC 200101 40 150 SHS PUT	\$61,798.80	\$0.00	\$61,798.80	
CITIGROUP INC 200201 45 PUT	\$27,616.05	\$0.00	\$27,616.05	
COLONIAL PROPERTIES TR	( \$4,014.21)	\$2,400.00	( \$1,614.21)	-1.6%
COMERICA INC	\$40,112.38	\$4,000.00	\$44,112.38	21.8%
COMPAQ COMPUTER CORP	\$30,119.50	\$900.00	\$31,019.50	4.6%
COMPAQ COMPUTER CORP 200101 25 PUT	\$16,265.90	\$0.00	\$16,265.90	
COMPAQ COMPUTER CORP 200201 30 PUT	( \$15,076.43)	\$0.00	( \$15,076.43)	
CONS EDISON CO	\$4,842.72	\$9,690.00	\$14,532.72	22.5%
CORUS BANKSHARES INC	( \$592.72)	\$0.00	( \$592.72)	-0.8%
CYCOMM INTL INC NEW	\$3,646.50	\$0.00	\$3,646.50	44.3%

## Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
DATA TRANSMISSION NETWORK	\$1,161.79	\$0.00	\$1,161.79	25.9%
E DIGITAL CORP	( \$1,887.93)	\$0.00	( \$1,887.93)	-27.1%
E-LOAN INC	( \$90.00)	\$0.00	( \$90.00)	-6.8%
E.SPIRE COMMUNICATIONS INC	( \$240,791.02)	\$0.00	( \$240,791.02)	-11.0%
E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$8,786.39	\$0.00	\$8,786.39	
E.SPIRE COMMUNICATIONS INC 199909 5 PUT	\$15,666.22	\$0.00	\$15,666.22	
EAGLE WIRELESS INTL INC	( \$1,639.88)	\$0.00	( \$1,639.88)	-31.6%
EASTMAN KODAK CO	( \$24,293.37)	\$5,720.00	( \$18,573.37)	-15.5%
ECI TELECOM LTD ISRAEL	\$345.54	\$7.50	\$353.04	6.0%
ENERGY CONVERSION DEVICES	( \$2,663.25)	\$0.00	( \$2,663.25)	-27.6%
ENZON INC	\$652,207.52	\$0.00	\$652,207.52	106.0%
ENZON INC 200011 35 PUT	( \$76,653.80)	\$0.00	( \$76,653.80)	
ESPIRE COMMUNICATIONS INC	\$5,224.80	\$0.00	\$5,224.80	47.5%
FEDERAL HOME LN MTG 200101 45 PUT	( \$4,713.57)	\$0.00	( \$4,713.57)	
FEDERAL HOME LN MTG 200201 40 PUT	\$55,136.47	\$0.00	\$55,136.47	
FEDERAL HOME LN MTG 200201 50 PUT	( \$35,170.06)	\$0.00	( \$35,170.06)	
FIRST PACIFIC NETWORKS INC	( \$105.00)	\$0.00	( \$105.00)	-87.5%
FIRST UNION CORP	( \$4,822.08)	\$4,800.00	( \$22.08)	0.0%
FIRSTENERGY CORP	\$4,905.69	\$1,572.00	\$6,477.69	51.6%
FOUNDRY NETWORKS INC	( \$1,635.69)	\$0.00	( \$1,635.69)	-25.7%
FOUNDRY NETWORKS INC 200103 17.5 PUT	( \$2,700.32)	\$0.00	( \$2,700.32)	
FOUNDRY NETWORKS INC 200109 15 PUT	( \$3,034.21)	\$0.00	( \$3,034.21)	

### Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
GLOBAL CROSSING LTD	(\$1,311,394.23)	\$50.00	(\$1,311,344.23)	-47.6%
GENESYS INC 200110 12.5 PUT	( \$589.95)	\$0.00	( \$589.95)	
GLOBAL CROSSING LTD 199910 40 PUT	( \$55,287.86)	\$0.00	( \$55,287.86)	
GLOBAL CROSSING LTD 200001 30 PUT	\$98,890.84	\$0.00	\$98,890.84	
GLOBAL CROSSING LTD 200001 40 PUT	\$143,979.02	\$0.00	\$143,979.02	
GLOBAL CROSSING LTD 200004 25 PUT	\$39,321.49	\$0.00	\$39,321.49	
GLOBAL CROSSING LTD 200004 30 PUT	\$87,619.27	\$0.00	\$87,619.27	
GLOBAL CROSSING LTD 200101 40 PUT	\$146,687.26	\$0.00	\$146,687.26	
GLOBAL CROSSING LTD 200201 10 PUT	( \$2,068.62)	\$0.00	( \$2,068.62)	
GLOBAL CROSSING LTD 200201 30 PUT	( \$52,078.50)	\$0.00	( \$52,078.50)	
GLOBAL CROSSING LTD 200201 35 PUT	\$211,822.58	\$0.00	\$211,822.58	
GLOBAL CROSSING LTD 200201 40 PUT	( \$88,085.40)	\$0.00	( \$88,085.40)	
GLOBALSTAR TELECOMM	(\$4,270,453.73)	\$0.00	(\$4,270,453.73)	-86.4%
GLOBALSTAR TELECOMM 200201 10 PUT	( \$647.68)	\$0.00	( \$647.68)	
GLOBALSTAR TELECOMM 200201 15 PUT	\$292,851.84	\$0.00	\$292,851.84	
GLOBALSTAR TELECOMM 200201 25 PUT	\$696,704.65	\$0.00	\$696,704.65	
GLOBALSTAR TELECOMM 200201 30 PUT	\$577,594.93	\$0.00	\$577,594.93	
GLOBALSTAR TELECOMM 200201 35 PUT	\$348,304.23	\$0.00	\$348,304.23	
GLOBALSTAR TELECOMM 200201 40 CALL	( \$1,128.88)	\$0.00	( \$1,128.88)	
HEALTH MGMT ASSN 199908 12.5 CALL	( \$1,356.78)	\$0.00	( \$1,356.78)	
HEALTH MGMT ASSN INC NEW CL A	( \$11.83)	\$0.00	( \$11.83)	-1.0%
HUMANA INC	( \$145,237.90)	\$0.00	( \$145,237.90)	-43.3%



### Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
INFOSPACE INC	( \$7,849.03)	\$0.00	( \$7,849.03)	-61.4%
INTL BUSINESS MACH	\$635,403.81	\$24,220.00	\$659,623.81	20.3%
INTL BUSINESS MACH 199901 100 PUT	\$26,603.89	\$0.00	\$26,603.89	
INTL BUSINESS MACH 200201 80 PUT	\$111,619.03	\$0.00	\$111,619.03	
INTL BUSINESS MACH 200201 90 PUT	( \$1,187.61)	\$0.00	( \$1,187.61)	
INTL BUSINESS MACH 200301 160 CALL	\$104,883.40	\$0.00	\$104,883.40	
IRVINE SENSORS CORP	( \$1,378.22)	\$0.00	( \$1,378.22)	-25.0%
JDS UNIPHASE CORP	( \$38,844.15)	\$0.00	( \$38,844.15)	-76.4%
KIMBERLY CLARK CORP	\$96,073.11	\$19,656.00	\$115,729.11	19.9%
KMART CORP	( \$14,300.59)	\$0.00	( \$14,300.59)	-10.3%
KROGER COMPANY	\$104,950.62	\$0.00	\$104,950.62	9.7%
KVH INDUSTRIES INC	( \$619.05)	\$0.00	( \$619.05)	-8.1%
LEHMAN BROS HOLDINGS INC	\$17,393.63	\$380.00	\$17,773.63	70.6%
LERNOUT & HAUSPIE 199712 20 PUT	( \$5,287.45)	\$0.00	( \$5,287.45)	
LEVEL 3 COMMUNICATIONS 200001 65 PUT	( \$1,463.76)	\$0.00	( \$1,463.76)	
LEVEL 3 COMMUNICATIONS 200201 70 PUT	\$10,193.71	\$0.00	\$10,193.71	
LEXICON GENETICS INC	( \$139.09)	\$0.00	( \$139.09)	-3.7%
LEXICON GENETICS INC 200105 7.5 CALL	\$779.23	\$0.00	\$779.23	
LIGHTPATH TECHNOLOGIES INC CL A	( \$14,139.52)	\$0.00	( \$14,139.52)	-4.1%
LONDON PAC GROUP LTD SPON ADR	( \$3,138.26)	\$44.00	( \$3,094.26)	-23.8%
LUCENT TECHNOLOGIES INC 200201 45 PUT	\$25,038.24	\$0.00	\$25,038.24	
MAGAININ PHARMACEUTICALS INC	\$1,220.50	\$0.00	\$1,220.50	38.7%

## Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
MAIL.COM INC CL A	( \$35,709.47)	\$0.00	( \$35,709.47)	-15.0%
MANAGED MUNI PORTFOLIO II INC	( \$15,811.12)	\$16,520.00	\$708.88	0.4%
MANUFACTURED HOME COMMUNITIES INC	( \$3,276.51)	\$3,320.00	\$43.49	0.0%
MCI WORLDCOM INC 200101 33.375 150 SHS PUT	( \$13,857.73)	\$0.00	( \$13,857.73)	
WORLDCOM INC 200101 40 150 SHS PUT	( \$105,132.02)	\$0.00	( \$105,132.02)	
WORLDCOM INC 200101 46.625 150 SHS PUT	( \$307,071.47)	\$0.00	( \$307,071.47)	
MCI WORLDCOM INC 200101 50 150 SHS PUT	\$82,918.14	\$0.00	\$82,918.14	
MCI WRLDCM INC 200101 53.375 150 SHS CALL	( \$165,310.69)	\$0.00	( \$165,310.69)	
MCI WORLDCOM INC 200101 56.625 150 SHS PUT	\$126,506.05	\$0.00	\$126,506.05	
MCI WORLDCOM INC 200201 35 PUT	( \$39,689.89)	\$0.00	( \$39,689.89)	
MCI WORLDCOM INC 200201 40 150 SHS PUT	( \$172,281.39)	\$0.00	( \$172,281.39)	
WORLDCOM INC 200201 43.375 150 SHS PUT	( \$201,565.43)	\$0.00	( \$201,565.43)	
MCI WORLDCOM INC 200201 53.375 150 SHS PUT	\$293,155.51	\$0.00	\$293,155.51	
MEDAREX INC	( \$10,990.80)	\$0.00	( \$10,990.80)	-33.2%
MERCK & CO	\$345,071.02	\$25,440.00	\$370,511.02	38.3%
MERCK & CO 199801 90 PUT	\$11,250.00	\$0.00	\$11,250.00	
MERCK & CO 199804 105 PUT	\$25,072.04	\$0.00	\$25,072.04	
MERCK & CO 199901 120 PUT	\$30,130.02	\$0.00	\$30,130.02	
METAL MANAGEMENT INC	( \$19,914.45)	\$0.00	( \$19,914.45)	-34.7%
MICROSTRATEGY INC CL A	( \$34,730.73)	\$0.00	( \$34,730.73)	-34.3%
MID-AMER WASTE SYS INC	( \$45.00)	\$0.00	( \$45.00)	-90.0%
MSH ENTERTAINMENT CORP	( \$244.50)	\$0.00	( \$244.50)	-16.9%

### Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
NCR CORP NEW 199710 35 PUT	( \$803.30)	\$0.00	( \$803.30)	
NET PERCEPTIONS INC	( \$3,148.81)	\$0.00	( \$3,148.81)	-14.4%
NET PERCEPTIONS INC 200008 25 PUT	\$3,540.26	\$0.00	\$3,540.26	
NET PERCEPTIONS INC 200008 35 PUT	( \$1,317.28)	\$0.00	( \$1,317.28)	
NET PERCEPTIONS INC 200008 65 CALL	\$2,517.98	\$0.00	\$2,517.98	
NEW ERA OF NETWORKS INC	( \$1,580.96)	\$0.00	( \$1,580.96)	-16.9%
NEW ERA OF NETWORKS INC 200002 50 CALL	\$6,587.74	\$0.00	\$6,587.74	
NEW ERA OF NETWORKS INC 200007 35 PUT	\$2,015.60	\$0.00	\$2,015.60	
NEW ERA OF NETWORKS INC 200007 40 PUT	( \$747.54)	\$0.00	( \$747.54)	
NEW ERA OF NETWORKS INC 200007 45 PUT	( \$2,261.39)	\$0.00	( \$2,261.39)	
NEWFOCUS INC	( \$4,772.87)	\$0.00	( \$4,772.87)	-48.7%
NEWFOCUS INC 200110 20 CALL	\$2,352.01	\$0.00	\$2,352.01	
NEXELL THERAPEUTICS INC	( \$1,243.48)	\$0.00	( \$1,243.48)	-37.3%
NORTHEAST OPTIC NETWORK INC	( \$10,407.35)	\$0.00	( \$10,407.35)	-2.2%
NOVELL INC	( \$550,815.36)	\$0.00	( \$550,815.36)	-58.3%
NOVELL INC 200201 30 PUT	\$361,258.65	\$0.00	\$361,258.65	
OPEN MARKET INC	( \$14,926.61)	\$0.00	( \$14,926.61)	-29.2%
ORACLE CORP	\$41,162.12	\$0.00	\$41,162.12	2.8%
ORACLE CORP 199803 45 PUT	\$38,220.48	\$0.00	\$38,220.48	
ORACLE SYSTEMS CORP 200204 12.5 CALL	( \$4,723.73)	\$0.00	( \$4,723.73)	
PARADYNE NETWORKS INC	( \$52.66)	\$0.00	( \$52.66)	-0.9%
PHEONIX INTL LIFE SCIENCES	\$1,200.52	\$0.00	\$1,200.52	12.1%

### Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
PLATINUM TECHNOLOGY INC	\$21,324.88	\$0.00	\$21,324.88	7.5%
PLATINUM TECHNOLOGY INC 199903 15 CALL	( \$12,932.89)	\$0.00	( \$12,932.89)	
PLATINUM TECHNOLOGY INTL INC	\$37,628.90	\$0.00	\$37,628.90	180.3%
POWER ONE INC 200103 35 PUT	( \$11,549.60)	\$0.00	( \$11,549.60)	
PSINET INC	( \$1,768,753.35)	\$0.00	( \$1,768,753.35)	-64.3%
PSINET INC 199910 30 PUT	\$19,344.30	\$0.00	\$19,344.30	
PSINET INC 199910 35 PUT	\$22,453.83	\$0.00	\$22,453.83	
PSINET INC 199910 40 PUT	\$8,372.47	\$0.00	\$8,372.47	
PSINET INC 199910 45 PUT	( \$764.03)	\$0.00	( \$764.03)	
PSINET INC 200001 35 PUT	\$11,796.25	\$0.00	\$11,796.25	
PSINET INC 200001 45 PUT	\$128,413.04	\$0.00	\$128,413.04	
PSINET INC 200004 15 PUT	\$50,619.03	\$0.00	\$50,619.03	
PSINET INC 200004 17.5 PUT	\$78,638.68	\$0.00	\$78,638.68	
PSINET INC 200004 20 PUT	\$212,931.24	\$0.00	\$212,931.24	
PSINET INC 200007 50 CALL	( \$135.95)	\$0.00	( \$135.95)	
PSINET INC 200201 30 PUT	\$48,197.24	\$0.00	\$48,197.24	
PSINET INC 200201 35 PUT	\$347,500.00	\$0.00	\$347,500.00	
PSINET INC 200201 40 PUT	\$425,000.00	\$0.00	\$425,000.00	
QUALCOMM INC	( \$1,767,876.94)	\$0.00	( \$1,767,876.94)	-26.5%
QUALCOMM INC 200101 22.5 PUT	\$51,802.93	\$0.00	\$51,802.93	
QUALCOMM INC 200201 100 PUT	\$29,794.46	\$0.00	\$29,794.46	
QUALCOMM INC 200201 150 CALL	( \$321.17)	\$0.00	( \$321.17)	

## Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
QUALCOMM INC 200201 195 CALL	\$682,763.05	\$0.00	\$682,763.05	
QUALCOMM INC 200201 75 PUT	( \$81,043.19)	\$0.00	( \$81,043.19)	
QUALCOMM INC 200201 80 PUT	( \$38,563.20)	\$0.00	( \$38,563.20)	
QUALCOMM INC 200301 100 CALL	( \$226,999.71)	\$0.00	( \$226,999.71)	
QUALCOMM INC 200301 130 CALL	\$267,456.39	\$0.00	\$267,456.39	
QUALCOMM INC 200301 140 CALL	\$6,701.14	\$0.00	\$6,701.14	
QWEST COMMUNICATIONS INTL INC 200301 55 CA	\$14,692.78	\$0.00	\$14,692.78	
REPUBLIC INDS INC	( \$2,527.32)	\$0.00	( \$2,527.32)	-9.8%
S3 INC	\$7,538.16	\$0.00	\$7,538.16	25.7%
SEARS ROEBUCK	( \$31,648.17)	\$2,990.00	( \$28,658.17)	-30.3%
SHIRE PHARMA GROUP PLC ADR	\$44,786.82	\$0.00	\$44,786.82	76.6%
SL GREEN REALTY CORP	\$1,102.95	\$2,900.00	\$4,002.95	3.8%
SPRINT CORP	( \$10,011.15)	\$9,065.00	( \$946.15)	-0.2%
STAR TELECOMMUNICATIONS	( \$74,223.95)	\$0.00	( \$74,223.95)	-55.5%
STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$14,395.20	\$0.00	\$14,395.20	
STARWOOD HOTELS & RESORTS WORLDWIDE INC	( \$15,009.90)	\$0.00	( \$15,009.90)	-8.5%
SUN MICROSYSTEMS INC	( \$23,975.31)	\$0.00	( \$23,975.31)	-59.4%
SUNBEAM CORP	( \$205,148.01)	\$160.00	( \$204,988.01)	-58.3%
SUNBEAM CORP 199810 30 PUT	( \$375,704.72)	\$0.00	( \$375,704.72)	
SUNBEAM CORP 199810 35 PUT	\$26,403.74	\$0.00	\$26,403.74	
SYMYX TECHNOLOGIES INC	( \$106,158.64)	\$0.00	( \$106,158.64)	-28.0%
TECHNOLOGY SOLUTIONS CO	( \$169,421.56)	\$0.00	( \$169,421.56)	-24.4%

### Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
TEKELEC	( \$61,898.70)	\$0.00	( \$61,898.70)	-14.2%
TERAYON COMMUNICATIONS 200011 20 CALL	\$1,582.93	\$0.00	\$1,582.93	
TERAYON COMMUNICATIONS SYS	( \$7,954.82)	\$0.00	( \$7,954.82)	-81.4%
TIER TECHNOLOGIES INC CL B	( \$1,005.24)	\$0.00	( \$1,005.24)	-23.1%
TRANS WORLD ENTMT CORP	( \$163.53)	\$0.00	( \$163.53)	-14.7%
CITIGROUP INC	\$256,969.45	\$17,633.81	\$274,603.26	16.6%
ROHN INDUSTRIES INC	( \$1,982.40)	\$60.00	( \$1,922.40)	-39.7%
QWEST COMMUNICATIONS INTL INC	\$13,395.16	\$5,565.00	\$18,960.16	5.7%
VENTRO CORP	( \$41,210.90)	\$0.00	( \$41,210.90)	-43.5%
VERTICALNET INC	( \$107,406.45)	\$0.00	( \$107,406.45)	-40.8%
WALT DISNEY CO	\$34,891.77	\$2,932.00	\$37,823.77	4.2%
WALT DISNEY CO 200101 25 PUT	\$37,806.09	\$0.00	\$37,806.09	
WALT DISNEY CO 200101 30 PUT	\$36,765.23	\$0.00	\$36,765.23	
WALT DISNEY CO GO.COM	( \$123,331.93)	\$0.00	( \$123,331.93)	-28.5%
WALT DISNEY CO HOLDING CO	( \$1,649.01)	\$105.00	( \$1,544.01)	-4.2%
WELLS FARGO & CO NEW	\$278,845.47	\$39,060.00	\$317,905.47	40.6%
WIND RIVER SYSTEM INC	\$353.92	\$0.00	\$353.92	1.3%
WIND RIVER SYSTEM INC 200002 40 CALL	\$1,259.07	\$0.00	\$1,259.07	
WIND RIVER SYSTEM INC 200104 20 CALL	\$1,901.53	\$0.00	\$1,901.53	
WIND RIVER SYSTEMS INC	( \$5,585.47)	\$0.00	( \$5,585.47)	-30.4%
WORLD ACCESS INC	( \$124,222.68)	\$0.00	( \$124,222.68)	-76.7%
WORLD WIDE WIRELESS COMM INC	\$2,989.50	\$0.00	\$2,989.50	161.2%

### Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
WORLDCOM INC GA NEW MCI GROUP	( \$7,164,161.28)	\$4,080.00	( \$7,160,081.28)	-52.2%
WORLDCOM INC 199709 22.5 CALL	( \$22,875.00)	\$0.00	( \$22,875.00)	
WORLDCOM INC 199711 35 CALL	( \$8,952.02)	\$0.00	( \$8,952.02)	
WORLDCOM INC 199803 32.5 PUT	\$78,163.68	\$0.00	\$78,163.68	
WORLDCOM INC 199803 40 PUT	\$30,752.37	\$0.00	\$30,752.37	
WORLDCOM INC 199806 30 PUT	\$105,592.53	\$0.00	\$105,592.53	
WORLDCOM INC 199901 45 PUT	\$72,328.00	\$0.00	\$72,328.00	
WORLDCOM INC 199903 45 PUT	\$36,786.00	\$0.00	\$36,786.00	
WORLDCOM INC 199903 50 PUT	\$45,515.31	\$0.00	\$45,515.31	
WORLDCOM INC 199903 55 PUT	\$110,981.65	\$0.00	\$110,981.65	
WORLDCOM INC 200201 40 150 SHS PUT	\$16,750.00	\$0.00	\$16,750.00	
WORLDCOM INC 200202 10 CALL	( \$2,710.42)	\$0.00	( \$2,710.42)	
WORLDCOM INC 200301 20 CALL	( \$260,377.82)	\$0.00	( \$260,377.82)	
WORLDCOM INC 200301 25 CALL	\$17,095.71	\$0.00	\$17,095.71	
WORLDCOM INC 200301 35 CALL	\$314,876.23	\$0.00	\$314,876.23	
WORLDCOM INC 200301 50 CALL	\$213,766.72	\$0.00	\$213,766.72	
WORLDPORT COMMUNICATIONS INC	( \$417,501.29)	\$0.00	( \$417,501.29)	-35.8%
XCELERA INC	( \$2,679.93)	\$0.00	( \$2,679.93)	-44.9%

### Trade Transactions ROI - Detail Summary

Account Name: JOHN Q. INVESTOR  
Account Number: AB-12345-COMB

DESCRIPTION	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
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#### BONDS

COOK CO ILL GENL OBLIG 5% 111598	( \$1,186.00)	\$7,500.00	\$6,314.00	6.2%
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## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
<b>EQUITIES</b>											
12/01/1999	10.000		24/7 MEDIA INC 199912 55 CALL	\$ 3.500U	\$3,602.05						
12/21/1999		10.000	24/7 MEDIA INC 199912 55 CALL	\$ 5.750		\$5,532.73					
	10.000	10.000						<b>\$1,930.68</b>	<b>\$0.00</b>	<b>\$1,930.68</b>	
08/29/1997	689.000	OPEN	360 COMMUNICATIONS CO	\$ 18.375	\$12,660.37						
07/01/1998			ALLTEL CORP			\$39.18					
07/01/1998	509.000		ALLTEL CORP								
07/01/1998		689.000	360 COMMUNICATIONS CO								
10/05/1998			ALLTEL CORP			\$147.61					
01/04/1999			ALLTEL CORP			\$155.25					
04/05/1999			ALLTEL CORP			\$155.25					
07/06/1999			ALLTEL CORP			\$155.25					
10/04/1999			ALLTEL CORP			\$155.25					
01/03/2000			ALLTEL CORP			\$162.88					
03/29/2000	DELV	509.000	ALLTEL CORP			\$32,703.25					
04/03/2000			ALLTEL CORP			\$162.88					
05/05/2000	509.000	RECV	ALLTEL CORP		\$33,053.44						
05/05/2000	196.000	RECV	ALLTEL CORP		\$12,727.84						
07/03/2000			ALLTEL CORP			\$225.60					
10/03/2000			ALLTEL CORP			\$225.60					
01/03/2001			ALLTEL CORP			\$232.65					
04/03/2001			ALLTEL CORP			\$232.65					
07/03/2001			ALLTEL CORP			\$232.65					
10/03/2001			ALLTEL CORP			\$232.65					
01/03/2002			ALLTEL CORP			\$239.70					
04/03/2002			ALLTEL CORP			\$239.70					
04/30/2002	IN ACCT	705.000	ALLTEL CORP	\$ 49.500		\$34,897.50					

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
	1,903.000	1,903.000						\$9,198.28	\$2,955.57	\$12,153.85	20.8%
08/29/1997	3950.000	OPEN	3COM CORP	\$ 49.938	\$197,255.10			VALUED PER 08/29/97 PORT			
11/17/1997	200.000		3COM CORP	\$ 55.000	\$11,183.68			COMM CALC (Bonds @ 2.5%)			
11/17/1997	400.000		3COM CORP	\$ 55.000	\$22,358.33			COMM CALC (Bonds @ 2.5%)			
11/18/1997	2500.000		3COM CORP	\$ 55.000	\$138,348.25			COMM CALC (Bonds @ 2.5%)			
12/15/1997		3000.000	3COM CORP	\$ 35.625 U		\$106,116.99		COMM CALC (Bonds @ 2.5%)			
01/15/1998	2200.000		3COM CORP	\$ 55.000	\$121,769.69			COMM CALC (Bonds @ 2.5%)			
01/16/1998		1200.000	3COM CORP	\$ 31.000		\$36,794.13		COMM CALC (Bonds @ 2.5%)			
01/27/1998	600.000		3COM CORP	\$ 55.000	\$33,353.88			COMM CALC (Bonds @ 2.5%)			
04/09/1998	200.000		3COM CORP	\$ 55.000	\$11,226.24			COMM CALC (Bonds @ 2.5%)			
04/13/1998	100.000		3COM CORP	\$ 55.000	\$5,615.37			COMM CALC (Bonds @ 2.5%)			
04/14/1998	1800.000		3COM CORP	\$ 55.000	\$99,563.34			COMM CALC (Bonds @ 2.5%)			
04/14/1998		700.000	3COM CORP	\$ 32.688 U		\$22,588.06		COMM CALC (Bonds @ 2.5%)			
09/03/1998		2700.000	3COM CORP	\$ 23.875 U		\$63,884.65		COMM CALC (Bonds @ 2.5%)			
01/11/1999	700.000		3COM CORP	\$ 47.375	\$33,524.44			COMM CALC (Bonds @ 2.5%)			
03/08/1999	500.000		3COM CORP	\$ 47.125	\$23,848.72			COMM CALC (Bonds @ 2.5%)			
03/08/1999	500.000		3COM CORP	\$ 47.125	\$23,778.33			COMM CALC (Bonds @ 2.5%)			
03/08/1999	500.000		3COM CORP	\$ 47.125	\$23,778.30			COMM CALC (Bonds @ 2.5%)			
03/10/1999	DELV	1500.000	3COM CORP			\$36,937.50		VALUED PER STMT TRANS			
03/16/1999	1000.000		3COM CORP	\$ 26.063 U	\$26,192.00			COMM CALC (Bonds @ 2.5%)			
06/21/1999	5000.000		3COM CORP	\$ 26.875 U	\$134,879.50			COMM CALC (Bonds @ 2.5%)			
06/21/1999	3000.000		3COM CORP	\$ 26.875 U	\$80,925.00			COMM CALC (Bonds @ 2.5%)			
07/23/1999		5000.000	3COM CORP	\$ 26.875 U		\$133,616.02		COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	8050.000	3COM CORP			\$508,663.40		VALUED PER STMT TRANS			
03/30/2000	DELV	1000.000	3COM CORP			\$58,813.00		VALUED PER STMT TRANS			
05/05/2000	8050.000	RECV	3COM CORP		\$347,156.25			VALUED PER STMT TRANS			
05/11/2000	1000.000	RECV	3COM CORP		\$42,688.00			VALUED PER STMT TRANS			
05/31/2000		2950.000	3COM CORP	\$ 38.563 U		\$113,456.08		COMM CALC (Bonds @ 2.5%)			
05/31/2000		500.000	3COM CORP	\$ 38.563 U		\$19,230.60		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/31/2000		100.000	3COM CORP	\$ 38.563 U		\$3,846.12		COMM CALC (Bonds @ 2.5%)			
05/31/2000		300.000	3COM CORP	\$ 38.563 U		\$11,538.36		COMM CALC (Bonds @ 2.5%)			
05/31/2000		200.000	3COM CORP	\$ 38.563 U		\$7,692.24		COMM CALC (Bonds @ 2.5%)			
05/31/2000		500.000	3COM CORP	\$ 38.563 U		\$19,230.60		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1000.000	3COM CORP	\$ 38.563 U		\$38,461.21		COMM CALC (Bonds @ 2.5%)			
05/31/2000		2500.000	3COM CORP	\$ 38.563 U		\$96,153.03		COMM CALC (Bonds @ 2.5%)			
07/28/2000	1483.000		PALM INC					STOCK DIVIDEND SPINOFF			
08/07/2000			PALM INC			\$8.09		CIL			
11/06/2000		483.000	PALM INC	\$ 55.063 U		\$26,294.30		COMM CALC (Bonds @ 2.5%)			
11/09/2000		500.000	PALM INC	\$ 63.250 U		\$31,444.44		COMM CALC (Bonds @ 2.5%)			
11/16/2000		1000.000	3COM CORP	\$ 14.813 U		\$14,570.20		COMM CALC (Bonds @ 2.5%)			
02/28/2001		500.000	PALM INC	\$ 21.625 U		\$10,625.51		COMM CALC (Bonds @ 2.5%)			
	33,683.000	33,683.000						( \$17,479.89)	\$0.00	( \$17,479.89)	-1.3%
08/29/1997	OPEN SHORT	30.000	3COM CORP 199804 55 PUT	\$ 10.625		\$31,875.00		VALUED PER 08/29/97 PORT			
09/25/1997		50.000	3COM CORP 199804 55 PUT	\$ 9.000 U		\$44,455.16		COMM CALC (Bonds @ 2.5%) OPEN			
11/13/1997	2.000		3COM CORP 199804 55 PUT					ASSIGNED			
11/13/1997	4.000		3COM CORP 199804 55 PUT					ASSIGNED			
11/14/1997	25.000		3COM CORP 199804 55 PUT					ASSIGNED			
01/13/1998	22.000		3COM CORP 199804 55 PUT					ASSIGNED			
01/23/1998	6.000		3COM CORP 199804 55 PUT					ASSIGNED			
04/07/1998	2.000		3COM CORP 199804 55 PUT					ASSIGNED			
04/08/1998	1.000		3COM CORP 199804 55 PUT					ASSIGNED			
04/09/1998	18.000		3COM CORP 199804 55 PUT					ASSIGNED			
	80.000	80.000						\$76,330.16	\$0.00	\$76,330.16	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
01/27/1999	100.000		3COM CORP 199902 60 CALL	\$ 0.250	\$2,648.68			COMM CALC (Bonds @ 2.5%) OPEN			
01/27/1999	100.000		3COM CORP 199902 60 CALL	\$ 0.250	\$2,653.18			COMM CALC (Bonds @ 2.5%) OPEN			
02/22/1999		100.000	3COM CORP 199902 60 CALL					EXPIRED			
02/22/1999		100.000	3COM CORP 199902 60 CALL					EXPIRED			
	200.000	200.000						( \$5,301.86)	\$0.00	( \$5,301.86)	
01/27/1999	100.000		3COM CORP 199903 55 CALL	\$ 1.750	\$18,095.00			COMM CALC (Bonds @ 2.5%) OPEN			
03/22/1999		100.000	3COM CORP 199903 55 CALL					EXPIRED			
	100.000	100.000						( \$18,095.00)	\$0.00	( \$18,095.00)	
09/05/2000	800.000	RECV	ABGENIX INC		\$58,150.40			VALUED PER STMT TRANS; FM XY-98765			
09/14/2000	800.000	RECV	ABGENIX INC		\$67,600.00			VALUED PER STMT TRANS			
09/22/2000		300.000	ABGENIX INC	\$ 79.813U		\$23,702.25		COMM CALC (Bonds @ 2.5%)			
10/16/2000		400.000	ABGENIX INC	\$ 74.000U		\$29,544.52		COMM CALC (Bonds @ 2.5%)			
11/16/2000		300.000	ABGENIX INC	\$ 67.813U		\$20,278.57		COMM CALC (Bonds @ 2.5%)			
01/29/2001		100.000	ABGENIX INC	\$ 51.500U		\$5,089.88		COMM CALC (Bonds @ 2.5%)			
01/31/2002		100.000	ABGENIX INC	\$ 23.430		\$2,288.47		COMM CALC (Bonds @ 2.5%)			
03/01/2002		50.000	ABGENIX INC	\$ 23.160		\$1,102.74		COMM CALC (Bonds @ 2.5%)			
03/11/2002		100.000	ABGENIX INC	\$ 20.750		\$2,019.72		COMM CALC (Bonds @ 2.5%)			
04/09/2002		100.000	ABGENIX INC	\$ 16.990		\$1,643.70		COMM CALC (Bonds @ 2.5%)			
04/17/2002		100.000	ABGENIX INC	\$ 16.050U		\$1,566.38		COMM CALC (Bonds @ 2.5%)			
04/17/2002		50.000	ABGENIX INC	\$ 16.140		\$790.30		COMM CALC (Bonds @ 2.5%)			
	1,600.000	1,600.000						( \$37,723.87)	\$0.00	( \$37,723.87)	-30.0%

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
11/03/2000		5.000	ABGENIX INC 200101 100 CALL	\$ 10.375U		\$5,072.47		COMM CALC (Bonds @ 2.5%) OPEN			
11/24/2000	5.000		ABGENIX INC 200101 100 CALL	\$ 1.438U	\$771.44			COMM CALC (Bonds @ 2.5%) CLOSE			
	5.000	5.000						<b>\$4,301.03</b>	<b>\$0.00</b>	<b>\$4,301.03</b>	
11/24/2000		5.000	ABGENIX INC 200104 80 CALL	\$ 7.750U		\$3,788.85		COMM CALC (Bonds @ 2.5%) OPEN			
03/15/2001	5.000		ABGENIX INC 200104 80 CALL	\$ 0.063U	\$46.57			COMM CALC (Bonds @ 2.5%) CLOSE			
	5.000	5.000						<b>\$3,742.28</b>	<b>\$0.00</b>	<b>\$3,742.28</b>	
03/15/2001		5.000	ABGENIX INC 200110 45 CALL	\$ 2.063U		\$974.71		COMM CALC (Bonds @ 2.5%) OPEN			
10/22/2001	5.000		ABGENIX INC 200110 45 CALL					EXPIRED			
	5.000	5.000						<b>\$974.71</b>	<b>\$0.00</b>	<b>\$974.71</b>	
01/06/2000	200.000		ACACIA RESH CORP	\$ 30.000U	\$6,096.61			COMM CALC (Bonds @ 2.5%)			
01/25/2000	100.000		ACACIA RESH CORP	\$ 50.938U	\$5,153.69			COMM CALC (Bonds @ 2.5%)			
01/28/2000	100.000		ACACIA RESH CORP	\$ 49.250U	\$4,984.94			COMM CALC (Bonds @ 2.5%)			
02/02/2000	100.000		ACACIA RESH CORP	\$ 47.000U	\$4,765.48			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	500.000	ACACIA RESH CORP			\$21,531.50		VALUED PER STMT TRANS			
	500.000	500.000						<b>\$530.78</b>	<b>\$0.00</b>	<b>\$530.78</b>	<b>2.5%</b>
08/29/1997	927.000	OPEN	ALLSTATE CORP	\$ 73.063	\$67,729.40			VALUED PER 08/29/97 PORT			
10/01/1997			ALLSTATE CORP			\$222.48		DIVIDEND			
01/02/1998			ALLSTATE CORP			\$222.48		DIVIDEND			
04/01/1998			ALLSTATE CORP			\$250.29		DIVIDEND			
07/01/1998			ALLSTATE CORP			\$250.29		DIVIDEND			
07/02/1998	927.000		ALLSTATE CORP					STOCK DIVIDEND			
10/01/1998			ALLSTATE CORP			\$250.29		DIVIDEND			
01/04/1999			ALLSTATE CORP			\$250.29		DIVIDEND			
04/01/1999			ALLSTATE CORP			\$278.10		DIVIDEND			
05/05/1999	3200.000		ALLSTATE CORP	\$ 37.125	\$119,554.50			COMM CALC (Bonds @ 2.5%)			
07/01/1999			ALLSTATE CORP			\$758.10		DIVIDEND			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
10/01/1999			ALLSTATE CORP			\$758.10		DIVIDEND			
01/03/2000			ALLSTATE CORP			\$758.10		DIVIDEND			
03/29/2000	DELV	5054.000	ALLSTATE CORP			\$114,346.75		VALUED PER STMT TRANS			
04/03/2000			ALLSTATE CORP			\$859.18		DIVIDEND			
05/05/2000	5054.000	RECV	ALLSTATE CORP		\$115,610.25			VALUED PER STMT TRANS			
06/01/2000		5054.000	ALLSTATE CORP	\$ 26.938 U		\$135,627.69		COMM CALC (Bonds @ 2.5%)			
07/03/2000			ALLSTATE CORP			\$859.18		DIVIDEND			
	10,108.000	10,108.000						( \$52,919.71)	\$5,716.88	( \$47,202.83)	-15.6%
01/03/2000	1000.000		AMAZON.COM INC	\$ 84.688	\$84,842.00			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	1000.000	AMAZON.COM INC			\$66,250.00		VALUED PER STMT TRANS			
	1,000.000	1,000.000						( \$18,592.00)	\$0.00	( \$18,592.00)	-21.9%
03/26/2002	40.000		AMDOCS LTD 200204 25 CALL	\$ 1.700 U	\$7,067.19			COMM CALC (Bonds @ 2.5%) OPEN			
04/05/2002		35.000	AMDOCS LTD 200204 25 CALL	\$ 0.900		\$3,114.03		COMM CALC (Bonds @ 2.5%) CLOSE			
04/05/2002		5.000	AMDOCS LTD 200204 25 CALL	\$ 0.650		\$320.62		CLOSE			
	40.000	40.000						( \$3,632.54)	\$0.00	( \$3,632.54)	
03/22/2002	50.000		AMDOCS LTD 200204 30 CALL	\$ 0.700 U	\$3,808.94			COMM CALC (Bonds @ 2.5%) OPEN			
04/04/2002		10.000	AMDOCS LTD 200204 30 CALL	\$ 0.050 U		\$35.30		COMM CALC (Bonds @ 2.5%) CLOSE			
04/04/2002		40.000	AMDOCS LTD 200204 30 CALL	\$ 0.100 U		\$362.22		COMM CALC (Bonds @ 2.5%) CLOSE			
	50.000	50.000						( \$3,411.42)	\$0.00	( \$3,411.42)	
08/29/1997	2000.000	OPEN	AMER ELECTRIC POWER CO	\$ 43.688	\$87,376.00			VALUED PER 08/29/97 PORT			
09/10/1997			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
12/10/1997			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
03/10/1998			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
06/10/1998			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
09/10/1998			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
12/10/1998			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
03/10/1999			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
06/10/1999			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
09/10/1999			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
12/10/1999			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
03/10/2000			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
03/29/2000	DELV	2000.000	AMER ELECTRIC POWER CO			\$59,750.00		VALUED PER STMT TRANS			
05/05/2000	2000.000	RECV	AMER ELECTRIC POWER CO		\$69,250.00			VALUED PER STMT TRANS			
06/09/2000			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
09/08/2000			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
12/08/2000			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
03/09/2001			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
06/08/2001			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
09/10/2001			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
12/10/2001			AMER ELECTRIC POWER CO			\$1,200.00		DIVIDEND			
02/08/2002		2000.000	AMER ELECTRIC POWER CO	\$ 41.373 U		\$82,132.81		COMM CALC (Bonds @ 2.5%)			
	4,000.000	4,000.000						( \$14,743.19)	\$21,600.00	\$6,856.81	4.4%
08/29/1997	5000.000	OPEN	AMER EXPRESS CO	\$ 77.750	\$388,750.00			VALUED PER 08/29/97 PORT			
11/10/1997			AMER EXPRESS CO			\$1,125.00		DIVIDEND			
02/10/1998			AMER EXPRESS CO			\$1,125.00		DIVIDEND			
05/08/1998			AMER EXPRESS CO			\$1,125.00		DIVIDEND			
08/10/1998			AMER EXPRESS CO			\$1,125.00		DIVIDEND			
11/10/1998			AMER EXPRESS CO			\$1,125.00		DIVIDEND			
02/10/1999			AMER EXPRESS CO			\$1,125.00		DIVIDEND			
05/10/1999			AMER EXPRESS CO			\$1,125.00		DIVIDEND			
08/10/1999			AMER EXPRESS CO			\$1,125.00		DIVIDEND			
11/10/1999			AMER EXPRESS CO			\$1,125.00		DIVIDEND			
02/10/2000			AMER EXPRESS CO			\$1,125.00		DIVIDEND			
03/29/2000	DELV	5000.000	AMER EXPRESS CO			\$758,125.00		VALUED PER STMT TRANS			
05/05/2000	5000.000	RECV	AMER EXPRESS CO		\$730,625.00			VALUED PER STMT TRANS			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/12/2000	10000.000	RECV	AMER EXPRESS CO					NOT VALUED, STOCK SPLIT SHARES			
08/10/2000			AMER EXPRESS CO			\$1,200.00		DIVIDEND			
11/10/2000			AMER EXPRESS CO			\$1,200.00		DIVIDEND			
11/16/2000		5000.000	AMER EXPRESS CO	\$ 57.500U		\$286,735.91		COMM CALC (Bonds @ 2.5%)			
02/09/2001			AMER EXPRESS CO			\$800.00		DIVIDEND			
03/23/2001		5000.000	AMER EXPRESS CO	\$ 37.210U		\$185,289.29		COMM CALC (Bonds @ 2.5%)			
05/10/2001			AMER EXPRESS CO			\$400.00		DIVIDEND			
08/10/2001			AMER EXPRESS CO			\$400.00		DIVIDEND			
08/24/2001		2500.000	AMER EXPRESS CO	\$ 37.400U		\$92,818.75		COMM CALC (Bonds @ 2.5%)			
09/12/2001		2500.000	AMER EXPRESS CO	\$ 34.530U		\$85,671.73		COMM CALC (Bonds @ 2.5%)			
	20,000.000	20,000.000						<b>\$289,265.68</b>	<b>\$15,250.00</b>	<b>\$304,515.68</b>	<b>27.2%</b>
01/26/2001	300.000		AMER SUPERCONDUCTOR CORP	\$ 24.938U	\$7,580.24			COMM CALC (Bonds @ 2.5%)			
02/14/2001		300.000	AMER SUPERCONDUCTOR CORP	\$ 16.750U		\$4,941.70		COMM CALC (Bonds @ 2.5%)			
	300.000	300.000						<b>( \$2,638.54)</b>	<b>\$0.00</b>	<b>( \$2,638.54)</b>	<b>-34.8%</b>
02/02/2001		10.000	AMER SUPERCONDUCTOR CORP 200103 17.5 PUT	\$ 1.375U		\$1,286.19		COMM CALC (Bonds @ 2.5%) OPEN			
02/12/2001	10.000		AMER SUPERCONDUCTOR CORP 200103 17.5 PUT	\$ 2.500U	\$2,602.49			COMM CALC (Bonds @ 2.5%) CLOSE			
	10.000	10.000						<b>( \$1,316.30)</b>	<b>\$0.00</b>	<b>( \$1,316.30)</b>	
02/12/2001		10.000	AMER SUPERCONDUCTOR CORP 200107 15 PUT	\$ 2.625U		\$2,521.37		COMM CALC (Bonds @ 2.5%) OPEN			
04/04/2001	10.000		AMER SUPERCONDUCTOR CORP 200107 15 PUT	\$ 4.875U	\$5,007.36			COMM CALC (Bonds @ 2.5%) CLOSE			
	10.000	10.000						<b>( \$2,485.99)</b>	<b>\$0.00</b>	<b>( \$2,485.99)</b>	



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/05/2000	1000.000	RECV	AMEREN CORP		\$35,500.00			VALUED PER STMT TRANS			
06/29/2000			AMEREN CORP			\$635.00		DIVIDEND			
09/28/2000			AMEREN CORP			\$635.00		DIVIDEND			
12/28/2000			AMEREN CORP			\$635.00		DIVIDEND			
03/29/2001			AMEREN CORP			\$635.00		DIVIDEND			
06/28/2001			AMEREN CORP			\$635.00		DIVIDEND			
09/27/2001			AMEREN CORP			\$635.00		DIVIDEND			
12/28/2001			AMEREN CORP			\$635.00		DIVIDEND			
04/01/2002			AMEREN CORP			\$635.00		DIVIDEND			
04/30/2002	IN ACCT	1000.000	AMEREN CORP	\$ 41.760		\$41,760.00		VALUED PER 04/30/02 PORT			
	1,000.000	1,000.000						<b>\$6,260.00</b>	<b>\$5,080.00</b>	<b>\$11,340.00</b>	<b>31.9%</b>
08/29/1997	1000.000	OPEN	AMERICA ONLINE INC DEL	\$ 64.500	\$64,500.00			VALUED PER 08/29/97 PORT			
03/17/1998	1000.000		AMERICA ONLINE INC DEL					STOCK DIVIDEND			
06/12/1998			AMERICA ONLINE INC DEL			\$1.25		DIVIDEND			
11/18/1998	2000.000		AMERICA ONLINE INC DEL					STOCK DIVIDEND			
02/23/1999	4000.000		AMERICA ONLINE INC DEL					STOCK DIVIDEND			
05/07/1999	200.000		AMERICA ONLINE INC	\$ 133.750	\$26,814.50			COMM CALC (Bonds @ 2.5%)			
06/17/1999	2000.000		AMERICA ONLINE INC DEL	\$ 92.000	\$184,304.50			COMM CALC (Bonds @ 2.5%)			
07/30/1999		2000.000	AMERICA ONLINE INC DEL	\$ 98.063 U		\$195,363.96		COMM CALC (Bonds @ 2.5%)			
08/11/1999	200.000		AMERICA ONLINE INC	\$ 88.188 U	\$17,692.00			COMM CALC (Bonds @ 2.5%)			
09/24/1999	2000.000		AMERICA ONLINE INC DEL	\$ 84.563	\$169,879.50			COMM CALC (Bonds @ 2.5%)			
11/23/1999	10000.000		AMERICA ONLINE INC DEL					STOCK DIVIDEND			
11/23/1999	400.000		AMERICA ONLINE INC					STOCK DIVIDEND			
03/29/2000	DELV	20000.000	AMERICA ONLINE INC DEL			\$1,357,500.00		VALUED PER STMT TRANS			
03/30/2000	DELV	800.000	AMERICA ONLINE INC			\$51,900.00		VALUED PER STMT TRANS			
05/05/2000	20000.000	RECV	AMERICA ONLINE INC DEL		\$1,137,500.00			VALUED PER STMT TRANS			
05/05/2000	4000.000	RECV	AMERICA ONLINE INC DEL		\$227,500.00			VALUED PER STMT TRANS			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/11/2000	800.000	RECV	AMERICA ONLINE INC			\$42,950.40		VALUED PER STMT TRANS			
05/31/2000		4000.000	AMERICA ONLINE INC DEL	\$ 49.688U		\$198,338.87		COMM CALC (Bonds @ 2.5%)			
06/01/2000		10000.000	AMERICA ONLINE INC DEL	\$ 49.026U		\$489,485.15		COMM CALC (Bonds @ 2.5%)			
11/15/2000		10000.000	AMERICA ONLINE INC DEL	\$ 51.111U		\$510,336.46		COMM CALC (Bonds @ 2.5%)			
12/05/2000		300.000	AMERICA ONLINE INC	\$ 41.060U		\$12,183.23		COMM CALC (Bonds @ 2.5%)			
01/16/2001		500.000	AMERICA ONLINE INC					AOL TIME WARNER			
01/16/2001	500.000		AOL TIME WARNER INC					AMERICA ONLINE INC			
04/06/2001		200.000	AOL TIME WARNER INC	\$ 34.000		\$6,745.27		COMM CALC (Bonds @ 2.5%)			
06/22/2001		300.000	AOL TIME WARNER INC	\$ 49.540		\$14,807.01		COMM CALC (Bonds @ 2.5%)			
04/16/2002	4000.000		AOL TIME WARNER INC	\$ 19.800U	\$79,897.40			COMM CALC (Bonds @ 2.5%)			
04/30/2002	IN ACCT	4000.000	AOL TIME WARNER INC	\$ 19.020		\$76,080.00		VALUED PER 04/30/02 PORT			
	52,100.000	52,100.000						<b>\$961,701.65</b>	<b>\$1.25</b>	<b>\$961,702.90</b>	<b>49.3%</b>
08/29/1997	OPEN SHORT	50.000	AMERICA ONLINE INC DEL 199801 65 PUT	\$ 8.563		\$42,812.50		VALUED PER 08/29/97 PORT			
01/20/1998	50.000		AMERICA ONLINE INC DEL 199801 65 PUT					EXPIRED			
	50.000	50.000						<b>\$42,812.50</b>	<b>\$0.00</b>	<b>\$42,812.50</b>	
05/18/1999		50.000	AMERICA ONLINE INC DEL 200101 100 PUT	\$ 25.000U		\$124,290.03		COMM CALC (Bonds @ 2.5%) OPEN			
06/11/1999		50.000	AMERICA ONLINE INC DEL 200101 100 PUT	\$ 30.250U		\$150,453.27		COMM CALC (Bonds @ 2.5%) OPEN			
11/23/1999	100.000		AMERICA ONLINE INC DEL 200101 100 PUT					AMERICA ONLINE INC DEL 200101 50 PUT			
11/23/1999		200.000	AMERICA ONLINE INC DEL 200101 50 PUT					AMERICA ONLINE INC DEL 200101 100 PUT			
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 50 PUT		\$83,750.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	200.000	AMERICA ONLINE INC DEL 200101 50 PUT			\$118,750.00		VALUED PER STMT TRANS			
05/26/2000	200.000		AMERICA ONLINE INC DEL 200101 50 PUT	\$ 7.625U	\$153,777.57			COMM CALC (Bonds @ 2.5%) CLOSE			
	500.000	500.000						<b>\$155,965.73</b>	<b>\$0.00</b>	<b>\$155,965.73</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
03/25/1999		100.000	AMERICA ONLINE INC DEL 200101 110 PUT	\$ 32.250		\$320,702.53		COMM CALC (Bonds @ 2.5%) OPEN			
11/23/1999	100.000		AMERICA ONLINE INC DEL 200101 110 PUT					AMERICA ONLINE INC DEL 200101 55 PUT			
11/23/1999		200.000	AMERICA ONLINE INC DEL 200101 55 PUT					AMERICA ONLINE INC DEL 200101 110 PUT			
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 55 PUT		\$113,750.00			VALUED PER STMT TRANS			
05/05/2000	RCV SHORT	200.000	AMERICA ONLINE INC DEL 200101 55 PUT			\$167,500.00		VALUED PER STMT TRANS			
05/23/2000	200.000		AMERICA ONLINE INC DEL 200101 55 PUT	\$ 10.250 U	\$206,449.33			COMM CALC (Bonds @ 2.5%) CLOSE			
	500.000	500.000						<b>\$168,003.20</b>	<b>\$0.00</b>	<b>\$168,003.20</b>	
09/14/1999		200.000	AMERICA ONLINE INC DEL 200101 50 PUT	\$ 5.500 U		\$108,857.79		COMM CALC (Bonds @ 2.5%) OPEN			
11/23/1999	200.000		AMERICA ONLINE INC DEL 200101 50 PUT					AMERICA ONLINE INC DEL 200101 25 PUT			
11/23/1999		400.000	AMERICA ONLINE INC DEL 200101 25 PUT					AMERICA ONLINE INC DEL 200101 50 PUT			
03/29/2000	400.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 25 PUT		\$15,000.00			VALUED PER STMT TRANS			
	600.000	600.000						<b>\$93,857.79</b>	<b>\$0.00</b>	<b>\$93,857.79</b>	
06/15/1999		100.000	AMERICA ONLINE INC DEL 200101 60 PUT	\$ 13.125 U		\$130,358.77		COMM CALC (Bonds @ 2.5%) OPEN			
06/29/1999		10.000	AMERICA ONLINE INC DEL 200101 60 PUT	\$ 9.750 U		\$9,596.38		COMM CALC (Bonds @ 2.5%) OPEN			
09/27/1999		100.000	AMERICA ONLINE INC DEL 200101 60 PUT	\$ 7.250 U		\$71,802.93		COMM CALC (Bonds @ 2.5%) OPEN			
11/23/1999	210.000		AMERICA ONLINE INC DEL 200101 60 PUT					AMERICA ONLINE INC DEL 200101 30 PUT			
11/23/1999		420.000	AMERICA ONLINE INC DEL 200101 30 PUT					AMERICA ONLINE INC DEL 200101 60 PUT			
03/29/2000	420.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 30 PUT		\$27,562.50			VALUED PER STMT TRANS			
	630.000	630.000						<b>\$184,195.58</b>	<b>\$0.00</b>	<b>\$184,195.58</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
06/11/1999		200.000	AMERICA ONLINE INC DEL 200101 80 PUT	\$ 20.076		\$399,413.95		COMM CALC (Bonds @ 2.5%) OPEN			
11/23/1999	200.000		AMERICA ONLINE INC DEL 200101 80 PUT					AMERICA ONLINE INC DEL 200101 40 PUT			
11/23/1999		400.000	AMERICA ONLINE INC DEL 200101 40 PUT					AMERICA ONLINE INC DEL 200101 80 PUT			
03/29/2000	400.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 40 PUT		\$73,750.00			VALUED PER STMT TRANS			
	600.000	600.000						<b>\$325,663.95</b>	<b>\$0.00</b>	<b>\$325,663.95</b>	
08/06/1999		100.000	AMERICA ONLINE INC DEL 200201 55 PUT	\$ 11.625U		\$115,408.34		COMM CALC (Bonds @ 2.5%) OPEN			
11/23/1999	100.000		AMERICA ONLINE INC DEL 200201 55 PUT					AMERICA ONLINE INC DEL 200201 27.5 PUT			
11/23/1999		200.000	AMERICA ONLINE INC DEL 200201 27.5 PUT					AMERICA ONLINE INC DEL 200201 55 PUT			
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200201 27.5 PUT		\$30,000.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	200.000	AMERICA ONLINE INC DEL 200201 27.5 PUT			\$37,500.00		VALUED PER STMT TRANS			
05/23/2000	200.000		AMERICA ONLINE INC DEL 200201 27.5 PUT	\$ 2.438U	\$49,692.24			COMM CALC (Bonds @ 2.5%) CLOSE			
	500.000	500.000						<b>\$73,216.10</b>	<b>\$0.00</b>	<b>\$73,216.10</b>	
04/01/2002	16.000		ASM LITHOGRAPHY 200204 25 CALL	\$ 1.300U	\$2,275.06			COMM CALC (Bonds @ 2.5%) OPEN			
04/04/2002		16.000	ASM LITHOGRAPHY 200204 25 CALL	\$ 0.750U		\$1,087.36		COMM CALC (Bonds @ 2.5%) CLOSE			
	16.000	16.000						<b>( \$1,187.70)</b>	<b>\$0.00</b>	<b>( \$1,187.70)</b>	
01/08/2001	2200.000		AT&T CORP	\$ 50.000	\$110,615.98			COMM CALC (Bonds @ 2.5%)			
02/08/2001	2500.000		AT&T CORP	\$ 50.000	\$125,738.73			COMM CALC (Bonds @ 2.5%)			
02/22/2001	2500.000		AT&T CORP	\$ 50.000	\$125,129.50			COMM CALC (Bonds @ 2.5%)			
02/27/2001		7200.000	AT&T CORP	\$ 20.300U		\$145,070.62		COMM CALC (Bonds @ 2.5%)			
04/06/2001	900.000		AT&T CORP	\$ 50.000	\$45,389.30			COMM CALC (Bonds @ 2.5%)			
05/31/2001	1200.000		AT&T CORP	\$ 50.000	\$60,484.63			COMM CALC (Bonds @ 2.5%)			
06/01/2001	2800.000		AT&T CORP	\$ 50.000	\$140,778.11			COMM CALC (Bonds @ 2.5%)			
06/01/2001	2800.000		AT&T CORP	\$ 50.000	\$140,773.64			COMM CALC (Bonds @ 2.5%)			
06/11/2001	800.000		AT&T CORP	\$ 50.000	\$40,393.25			COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
06/15/2001	900.000		AT&T CORP	\$ 50.000	\$45,421.36			COMM CALC (Bonds @ 2.5%)			
06/19/2001	2000.000		AT&T CORP	\$ 50.000	\$100,678.65			COMM CALC (Bonds @ 2.5%)			
06/19/2001	1000.000		AT&T CORP	\$ 50.000	\$50,436.13			COMM CALC (Bonds @ 2.5%)			
07/09/2001	3990.000		AT&T WIRELESS SERVICES INC					STOCK DIVIDEND			
07/16/2001			AT&T WIRELESS SERVICES INC			\$5.26		CIL			
08/01/2001			AT&T CORP			\$465.00		DIVIDEND			
08/01/2001	400.000		AT&T CORP	\$ 39.970	\$16,318.04			COMM CALC (Bonds @ 2.5%)			
08/01/2001	128.000		AT&T WIRELESS SERVICES INC	\$ 31.250	\$4,107.91			COMM CALC (Bonds @ 2.5%)			
09/11/2001		2800.000	AT&T CORP	\$ 18.350U		\$50,848.24		COMM CALC (Bonds @ 2.5%)			
09/11/2001		4118.000	AT&T WIRELESS SERVICES INC	\$ 13.370U		\$54,447.59		COMM CALC (Bonds @ 2.5%)			
11/01/2001			AT&T CORP			\$375.00		DIVIDEND			
02/01/2002			AT&T CORP			\$375.00		DIVIDEND			
02/11/2002		10000.000	AT&T CORP	\$ 15.454U		\$153,258.47		COMM CALC (Bonds @ 2.5%)			
	24,118.000	24,118.000						( \$602,635.05)	\$1,215.00	( \$601,420.05)	-59.8%
11/23/1999		200.000	AT&T CORP 200201 50 PUT	\$ 7.375U		\$146,233.86		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	200.000	DELV SHRT	AT&T CORP 200201 50 PUT		\$112,500.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	200.000	AT&T CORP 200201 50 PUT			\$285,000.00		VALUED PER STMT TRANS			
01/03/2001	22.000		AT&T CORP 200201 50 PUT					ASSIGNED			
02/06/2001	25.000		AT&T CORP 200201 50 PUT					ASSIGNED			
02/20/2001	25.000		AT&T CORP 200201 50 PUT					ASSIGNED			
04/04/2001	9.000		AT&T CORP 200201 50 PUT					ASSIGNED			
05/29/2001	12.000		AT&T CORP 200201 50 PUT					ASSIGNED			
05/30/2001	28.000		AT&T CORP 200201 50 PUT					ASSIGNED			
05/30/2001	28.000		AT&T CORP 200201 50 PUT					ASSIGNED			
06/01/2001	20.000		AT&T CORP 200201 50 PUT					ASSIGNED			
06/06/2001	10.000		AT&T CORP 200201 50 PUT					ASSIGNED			
06/07/2001	8.000		AT&T CORP 200201 50 PUT					ASSIGNED			
06/13/2001	9.000		AT&T CORP 200201 50 PUT					ASSIGNED			
07/30/2001	4.000		AT&T CORP 200201 50 PUT					ASSIGNED			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
	400.000	400.000						\$318,733.86	\$0.00	\$318,733.86	
09/22/2000	700.000		ATRIX LABS INC	\$ 14.063 U	\$9,997.15			COMM CALC (Bonds @ 2.5%)			
09/22/2000	300.000		ATRIX LABS INC	\$ 14.063 U	\$4,282.56			COMM CALC (Bonds @ 2.5%)			
12/29/2000		500.000	ATRIX LABS INC	\$ 16.063 U		\$7,806.46		COMM CALC (Bonds @ 2.5%)			
03/01/2001		500.000	ATRIX LABS INC	\$ 20.250 U		\$10,070.16		COMM CALC (Bonds @ 2.5%)			
	1,000.000	1,000.000						\$3,596.91	\$0.00	\$3,596.91	25.2%
07/02/2001	500.000		AVANEX CORP	\$ 7.690 U	\$3,961.54			COMM CALC (Bonds @ 2.5%)			
07/18/2001		500.000	AVANEX CORP	\$ 7.500 U		\$3,585.31		COMM CALC (Bonds @ 2.5%)			
	500.000	500.000						( \$376.23)	\$0.00	( \$376.23)	-9.5%
05/05/2000	15000.000	RECV	BANK ONE CORP		\$435,945.00			VALUED PER STMT TRANS			
07/03/2000			BANK ONE CORP			\$6,300.00		DIVIDEND			
10/02/2000			BANK ONE CORP			\$3,150.00		DIVIDEND			
10/17/2000		10000.000	BANK ONE CORP	\$ 31.750 U		\$316,484.91		COMM CALC (Bonds @ 2.5%)			
10/18/2000		5000.000	BANK ONE CORP	\$ 32.875 U		\$163,615.02		COMM CALC (Bonds @ 2.5%)			
	15,000.000	15,000.000						\$44,154.93	\$9,450.00	\$53,604.93	12.3%
05/05/2000	RECV SHORT	200.000	BANK ONE CORP 200201 30 PUT			\$135,000.00		VALUED PER STMT TRANS			
05/30/2000	200.000		BANK ONE CORP 200201 30 PUT	\$ 4.750 U	\$96,089.46			COMM CALC (Bonds @ 2.5%) CLOSE			
	200.000	200.000						\$38,910.54	\$0.00	\$38,910.54	
12/13/1999	200.000		BANYAN SYSTEMS INC	\$ 16.750 U	\$3,412.23			COMM CALC (Bonds @ 2.5%)			
01/25/2000		200.000	BANYAN SYSTEMS INC	\$ 21.313 U		\$4,193.78		COMM CALC (Bonds @ 2.5%)			
	200.000	200.000						\$781.55	\$0.00	\$781.55	22.9%

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
01/04/2000		10.000	BANYAN SYSTEMS INC 200007 17.5 PUT	\$ 4.625U		\$4,504.43		COMM CALC (Bonds @ 2.5%) OPEN			
01/21/2000	10.000		BANYAN SYSTEMS INC 200007 17.5 PUT	\$ 3.750U	\$3,844.94			COMM CALC (Bonds @ 2.5%) CLOSE			
	10.000	10.000						<b>\$659.49</b>	<b>\$0.00</b>	<b>\$659.49</b>	
05/05/2000	4000.000	RECV	BOSTON PROPERTIES INC		\$144,500.00			VALUED PER STMT TRANS			
07/28/2000			BOSTON PROPERTIES INC			\$2,120.00		DIVIDEND			
10/17/2000		4000.000	BOSTON PROPERTIES INC	\$ 39.750U		\$158,220.44		COMM CALC (Bonds @ 2.5%)			
10/27/2000			BOSTON PROPERTIES INC			\$2,120.00		DIVIDEND			
	4,000.000	4,000.000						<b>\$13,720.44</b>	<b>\$4,240.00</b>	<b>\$17,960.44</b>	<b>12.4%</b>
02/24/2000	100.000		BROADWING INC	\$ 31.000U	\$3,154.49			COMM CALC (Bonds @ 2.5%)			
03/01/2000	100.000		BROADWING INC	\$ 30.125U	\$3,067.56			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	200.000	BROADWING INC			\$6,725.00		VALUED PER STMT TRANS			
	200.000	200.000						<b>\$502.95</b>	<b>\$0.00</b>	<b>\$502.95</b>	<b>8.1%</b>
08/29/1997	3765.000	OPEN	CASINO AMER INC	\$ 2.750	\$10,353.75			VALUED PER 08/29/97 PORT			
12/05/1997		3765.000	CASINO AMER INC	\$ 2.938U		\$10,718.95		COMM CALC (Bonds @ 2.5%)			
	3,765.000	3,765.000						<b>\$365.20</b>	<b>\$0.00</b>	<b>\$365.20</b>	<b>3.5%</b>
10/27/1998	2100.000		CBS CORP	\$ 35.000	\$74,081.12			COMM CALC (Bonds @ 2.5%)			
01/22/1999	7900.000		CBS CORP	\$ 35.000	\$277,294.50			COMM CALC (Bonds @ 2.5%)			
04/12/1999	500.000		CBS CORP	\$ 43.438	\$21,773.25			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	10000.000	CBS CORP			\$591,250.00		VALUED PER STMT TRANS			
03/30/2000	DELV	500.000	CBS CORP			\$28,750.00		VALUED PER STMT TRANS			
05/05/2000	2000.000	RECV	CBS CORP		\$116,874.00			VALUED PER STMT TRANS			
05/08/2000	10850.000	RECV	VIACOM INC B NON VTG		\$591,325.00			VALUED PER STMT TRANS			
05/10/2000		2000.000	CBS CORP					VIACOM INC B NON VTG			
05/10/2000	2170.000		VIACOM INC B NON VTG					CBS CORP			
05/11/2000	542.000	RECV	VIACOM INC B NON VTG		\$28,150.39			VALUED PER STMT TRANS			
10/17/2000		3000.000	VIACOM INC B NON VTG	\$ 46.938U		\$140,053.30		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
11/20/2000		242.000	VIACOM INC B NON VTG	\$ 55.063U		\$13,151.63		COMM CALC (Bonds @ 2.5%)			
12/04/2000		5000.000	VIACOM INC B NON VTG	\$ 50.938U		\$253,924.51		COMM CALC (Bonds @ 2.5%)			
12/04/2000		300.000	VIACOM INC B NON VTG	\$ 51.000U		\$15,112.26		COMM CALC (Bonds @ 2.5%)			
08/24/2001		2000.000	VIACOM INC B NON VTG	\$ 46.510U		\$92,365.22		COMM CALC (Bonds @ 2.5%)			
09/12/2001		1000.000	VIACOM INC B NON VTG	\$ 37.870U		\$37,471.11		COMM CALC (Bonds @ 2.5%)			
04/30/2002	IN ACCT	2020.000	VIACOM INC B NON VTG	\$ 47.100		\$95,142.00		VALUED PER 04/30/02 PORT			
	26,062.000	26,062.000						<b>\$157,721.77</b>	<b>\$0.00</b>	<b>\$157,721.77</b>	<b>14.2%</b>
01/26/1998		50.000	CBS CORP 199807 30 PUT	\$ 3.125		\$15,236.93		COMM CALC (Bonds @ 2.5%) OPEN			
07/20/1998	50.000		CBS CORP 199807 30 PUT					EXPIRED			
	50.000	50.000						<b>\$15,236.93</b>	<b>\$0.00</b>	<b>\$15,236.93</b>	
03/05/1998		100.000	CBS CORP 199901 30 PUT	\$ 2.250U		\$21,866.47		COMM CALC (Bonds @ 2.5%) OPEN			
01/19/1999	100.000		CBS CORP 199901 30 PUT					EXPIRED			
	100.000	100.000						<b>\$21,866.47</b>	<b>\$0.00</b>	<b>\$21,866.47</b>	
03/26/1998		60.000	CBS CORP 199901 35 PUT	\$ 4.000U		\$23,508.88		COMM CALC (Bonds @ 2.5%) OPEN			
03/31/1998		40.000	CBS CORP 199901 35 PUT	\$ 4.125		\$16,141.64		COMM CALC (Bonds @ 2.5%) OPEN			
10/22/1998	21.000		CBS CORP 199901 35 PUT					ASSIGNED			
01/19/1999	79.000		CBS CORP 199901 35 PUT					ASSIGNED			
	100.000	100.000						<b>\$39,650.52</b>	<b>\$0.00</b>	<b>\$39,650.52</b>	
08/29/1997	1500.000	OPEN	CHASE MANHATTAN CORP NEW	\$ 111.188	\$166,782.00			VALUED PER 08/29/97 PORT			
10/30/1997			CHASE MANHATTAN CORP NEW			\$930.00		DIVIDEND			
02/02/1998			CHASE MANHATTAN CORP NEW			\$930.00		DIVIDEND			
04/29/1998			CHASE MANHATTAN CORP NEW			\$1,080.00		DIVIDEND			
06/15/1998	1500.000		CHASE MANHATTAN CORP NEW					STOCK DIVIDEND			
07/30/1998			CHASE MANHATTAN CORP NEW			\$1,080.00		DIVIDEND			
09/16/1998	2000.000		CHASE MANHATTAN CORP NEW	\$ 45.000U	\$90,639.99			COMM CALC (Bonds @ 2.5%)			
11/02/1998			CHASE MANHATTAN CORP NEW			\$1,800.00		DIVIDEND			
02/01/1999			CHASE MANHATTAN CORP NEW			\$1,800.00		DIVIDEND			



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
04/29/1999			CHASE MANHATTAN CORP NEW			\$2,050.00		DIVIDEND			
08/02/1999			CHASE MANHATTAN CORP NEW			\$2,050.00		DIVIDEND			
11/01/1999			CHASE MANHATTAN CORP NEW			\$2,050.00		DIVIDEND			
01/28/2000			CHASE MANHATTAN CORP NEW			\$2,050.00		DIVIDEND			
03/29/2000	DELV	5000.000	CHASE MANHATTAN CORP NEW			\$451,875.00		VALUED PER STMT TRANS			
05/05/2000	5000.000	RECV	CHASE MANHATTAN CORP NEW		\$360,940.00			VALUED PER STMT TRANS			
05/05/2000	5000.000	RECV	CHASE MANHATTAN CORP NEW		\$360,940.00			VALUED PER STMT TRANS			
06/12/2000	5000.000		CHASE MANHATTAN CORP NEW					STOCK DIVIDEND			
07/28/2000			CHASE MANHATTAN CORP NEW			\$4,800.00		DIVIDEND			
10/16/2000		5000.000	CHASE MANHATTAN CORP NEW	\$ 40.000U		\$199,238.83		COMM CALC (Bonds @ 2.5%)			
10/30/2000			CHASE MANHATTAN CORP NEW			\$4,800.00		DIVIDEND			
11/16/2000		5000.000	CHASE MANHATTAN CORP NEW	\$ 41.813U		\$208,301.03		COMM CALC (Bonds @ 2.5%)			
01/03/2001		5000.000	CHASE MANHATTAN CORP NEW					JP MORGAN CHASE & CO			
01/03/2001	5000.000		JP MORGAN CHASE & CO					CHASE MANHATTAN CORP			
01/31/2001			JP MORGAN CHASE & CO			\$1,600.00		DIVIDEND			
04/27/2001			JP MORGAN CHASE & CO			\$1,700.00		DIVIDEND			
07/30/2001			JP MORGAN CHASE & CO			\$1,700.00		DIVIDEND			
08/24/2001		2000.000	JP MORGAN CHASE & CO	\$ 41.100U		\$81,587.43		COMM CALC (Bonds @ 2.5%)			
10/30/2001			JP MORGAN CHASE & CO			\$1,020.00		DIVIDEND			
01/30/2002			JP MORGAN CHASE & CO			\$1,020.00		DIVIDEND			
04/29/2002			JP MORGAN CHASE & CO			\$1,020.00		DIVIDEND			
04/30/2002		3000.000	JP MORGAN CHASE & CO	\$ 34.850U		\$103,743.65		COMM CALC (Bonds @ 2.5%)			
	25,000.000	25,000.000						<b>\$65,443.95</b>	<b>\$33,480.00</b>	<b>\$98,923.95</b>	<b>10.1%</b>

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
06/01/1999		100.000	CHASE MANHATTAN CORP NEW 200101 60 PUT	\$ 7.750		\$76,786.41		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	100.000	DELV SHRT	CHASE MANHATTAN CORP NEW 200101 60 PUT		\$20,625.00			VALUED PER STMT TRANS			
	100.000	100.000						<b>\$56,161.41</b>	<b>\$0.00</b>	<b>\$56,161.41</b>	
05/27/1999		100.000	CHASE MANHATTAN CORP NEW 200101 70 PUT	\$ 11.250		\$111,670.74		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	100.000	DELV SHRT	CHASE MANHATTAN CORP NEW 200101 70 PUT		\$41,875.00			VALUED PER STMT TRANS			
	100.000	100.000						<b>\$69,795.74</b>	<b>\$0.00</b>	<b>\$69,795.74</b>	
08/29/1997	750.000	OPEN	CINERGY CORP	\$ 33.063	\$24,797.25			VALUED PER 08/29/97 PORT			
11/17/1997			CINERGY CORP			\$337.50		DIVIDEND			
02/17/1998			CINERGY CORP			\$337.50		DIVIDEND			
05/15/1998			CINERGY CORP			\$337.50		DIVIDEND			
08/17/1998			CINERGY CORP			\$337.50		DIVIDEND			
11/16/1998			CINERGY CORP			\$337.50		DIVIDEND			
02/16/1999			CINERGY CORP			\$337.50		DIVIDEND			
05/17/1999			CINERGY CORP			\$337.50		DIVIDEND			
08/16/1999			CINERGY CORP			\$337.50		DIVIDEND			
11/15/1999			CINERGY CORP			\$337.50		DIVIDEND			
02/15/2000			CINERGY CORP			\$337.50		DIVIDEND			
03/29/2000	DELV	750.000	CINERGY CORP			\$15,750.00		VALUED PER STMT TRANS			
05/05/2000	750.000	RECV	CINERGY CORP		\$19,500.00			VALUED PER STMT TRANS			
08/15/2000			CINERGY CORP			\$337.50		DIVIDEND			
11/15/2000			CINERGY CORP			\$337.50		DIVIDEND			
02/15/2001			CINERGY CORP			\$337.50		DIVIDEND			
05/15/2001			CINERGY CORP			\$337.50		DIVIDEND			
08/15/2001			CINERGY CORP			\$337.50		DIVIDEND			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
11/15/2001			CINERGY CORP			\$337.50		DIVIDEND			
02/15/2002			CINERGY CORP			\$337.50		DIVIDEND			
04/30/2002	IN ACCT	750.000	CINERGY CORP	\$ 35.530		\$26,647.50		VALUED PER 04/30/02 PORT			
	1,500.000	1,500.000						( \$1,899.75)	\$5,737.50	\$3,837.75	8.7%
05/05/2000	5000.000	RECV	CISCO SYS INC		\$338,750.00			VALUED PER STMT TRANS			
05/31/2000		5000.000	CISCO SYS INC	\$ 54.313 U		\$270,923.94		COMM CALC (Bonds @ 2.5%)			
	5,000.000	5,000.000						( \$67,826.06)	\$0.00	( \$67,826.06)	-20.0%
05/05/2000	RECV SHORT	200.000	CISCO SYS INC 200201 50 PUT			\$165,000.00		VALUED PER STMT TRANS			
05/23/2000	100.000		CISCO SYS INC 200201 50 PUT	\$ 12.500 U	\$125,866.40			COMM CALC (Bonds @ 2.5%) CLOSE			
10/16/2000	100.000		CISCO SYS INC 200201 50 PUT	\$ 9.875 U	\$99,530.53			COMM CALC (Bonds @ 2.5%) CLOSE			
	200.000	200.000						( \$60,396.93)	\$0.00	( \$60,396.93)	
11/10/1999		100.000	CISCO SYS INC 200201 55 PUT	\$ 6.750 U		\$66,819.46		COMM CALC (Bonds @ 2.5%) OPEN			
03/23/2000	100.000		CISCO SYS INC 200201 55 PUT					CISCO SYS INC 200201 27.5 PUT			
03/23/2000		200.000	CISCO SYS INC 200201 27.5 PUT					CISCO SYS INC 200201 55 PUT			
03/29/2000	200.000	DELV SHRT	CISCO SYS INC 200201 27.5 PUT		\$25,000.00			VALUED PER STMT TRANS			
	300.000	300.000						\$41,819.46	\$0.00	\$41,819.46	
05/05/2000	RECV SHORT	100.000	CISCO SYS INC 200201 60 PUT			\$121,250.00		VALUED PER STMT TRANS			
05/30/2000	100.000		CISCO SYS INC 200201 60 PUT	\$ 16.500 U	\$165,997.26			COMM CALC (Bonds @ 2.5%) CLOSE			
	100.000	100.000						( \$44,747.26)	\$0.00	( \$44,747.26)	
01/14/1999		100.000	CITIGROUP INC 200101 40 PUT	\$ 5.500		\$54,245.69		COMM CALC (Bonds @ 2.5%) OPEN			
06/01/1999	100.000		CITIGROUP INC 200101 40 PUT					CITIGROUP INC 200101 26.625 150 SHS PUT			
06/01/1999		100.000	CITIGROUP INC 200101 26.625 150 SHS PUT					CITIGROUP INC 200101 40 PUT			
03/20/2000	20.000		CITIGROUP INC 200101 26.625 150 SHS PUT	\$ 0.250 U	\$789.49			COMM CALC (Bonds @ 2.5%) CLOSE			
03/29/2000	80.000	DELV SHRT	CITIGROUP INC 200101 26.625 150 SHS PUT		\$1,500.00			VALUED PER STMT TRANS			
	200.000	200.000						\$51,956.20	\$0.00	\$51,956.20	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/18/1999		100.000	CITIGROUP INC 200101 60 PUT	\$ 7.375 U		\$73,048.80		COMM CALC (Bonds @ 2.5%) OPEN			
06/01/1999	100.000		CITIGROUP INC 200101 60 PUT					CITIGROUP INC 200101 40 150 SHS PUT			
06/01/1999		100.000	CITIGROUP INC 200101 40 150 SHS PUT					CITIGROUP INC 200101 60 PUT			
03/29/2000	100.000	DELV SHRT	CITIGROUP INC 200101 40 150 SHS PUT		\$11,250.00			VALUED PER STMT TRANS			
	200.000	200.000						<b>\$61,798.80</b>	<b>\$0.00</b>	<b>\$61,798.80</b>	
11/09/1999		200.000	CITIGROUP INC 200201 45 PUT	\$ 5.375 U		\$106,366.05		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	200.000	DELV SHRT	CITIGROUP INC 200201 45 PUT		\$78,750.00			VALUED PER STMT TRANS			
	200.000	200.000						<b>\$27,616.05</b>	<b>\$0.00</b>	<b>\$27,616.05</b>	
05/05/2000	4000.000	RECV	COLONIAL PROPERTIES TR		\$101,752.00			VALUED PER STMT TRANS			
08/04/2000			COLONIAL PROPERTIES TR			\$2,400.00		DIVIDEND			
10/17/2000		4000.000	COLONIAL PROPERTIES TR	\$ 24.628 U		\$97,737.79		COMM CALC (Bonds @ 2.5%)			
	4,000.000	4,000.000						( <b>\$4,014.21</b> )	<b>\$2,400.00</b>	( <b>\$1,614.21</b> )	<b>-1.6%</b>
05/05/2000	5000.000	RECV	COMERICA INC		\$202,500.00			VALUED PER STMT TRANS			
07/03/2000			COMERICA INC			\$2,000.00		DIVIDEND			
10/02/2000			COMERICA INC			\$2,000.00		DIVIDEND			
11/24/2000		5000.000	COMERICA INC	\$ 48.675 U		\$242,612.38		COMM CALC (Bonds @ 2.5%)			
	5,000.000	5,000.000						<b>\$40,112.38</b>	<b>\$4,000.00</b>	<b>\$44,112.38</b>	<b>21.8%</b>
04/21/1999	10000.000		COMPAQ COMPUTER CORP	\$ 23.938	\$240,129.50			COMM CALC (Bonds @ 2.5%)			
07/20/1999			COMPAQ COMPUTER CORP			\$200.00		DIVIDEND			
10/20/1999			COMPAQ COMPUTER CORP			\$200.00		DIVIDEND			
12/27/1999	5000.000		COMPAQ COMPUTER CORP	\$ 27.500 U	\$138,254.50			COMM CALC (Bonds @ 2.5%)			
12/28/1999	5000.000		COMPAQ COMPUTER CORP	\$ 28.250	\$142,004.50			COMM CALC (Bonds @ 2.5%)			
01/06/2000	5000.000		COMPAQ COMPUTER CORP	\$ 30.063	\$151,067.00			COMM CALC (Bonds @ 2.5%)			
01/20/2000			COMPAQ COMPUTER CORP			\$500.00		DIVIDEND			
03/29/2000	DELV	25000.000	COMPAQ COMPUTER CORP			\$701,575.00		VALUED PER STMT TRANS			
	25,000.000	25,000.000						<b>\$30,119.50</b>	<b>\$900.00</b>	<b>\$31,019.50</b>	<b>4.6%</b>

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/18/1999		100.000	COMPAQ COMPUTER CORP 200101 25 PUT	\$ 5.500U		\$54,360.76		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	100.000	DELV SHRT	COMPAQ COMPUTER CORP 200101 25 PUT		\$35,000.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	100.000	COMPAQ COMPUTER CORP 200101 25 PUT			\$32,500.00		VALUED PER STMT TRANS			
05/30/2000	100.000		COMPAQ COMPUTER CORP 200101 25 PUT	\$ 3.500U	\$35,594.86			COMM CALC (Bonds @ 2.5%) CLOSE			
	<u>200.000</u>	<u>200.000</u>						<b>\$16,265.90</b>	<b>\$0.00</b>	<b>\$16,265.90</b>	
01/04/2000		200.000	COMPAQ COMPUTER CORP 200201 30 PUT	\$ 7.375U		\$146,233.86		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	200.000	DELV SHRT	COMPAQ COMPUTER CORP 200201 30 PUT		\$153,750.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	200.000	COMPAQ COMPUTER CORP 200201 30 PUT			\$156,250.00		VALUED PER STMT TRANS			
05/30/2000	200.000		COMPAQ COMPUTER CORP 200201 30 PUT	\$ 8.125U	\$163,810.29			COMM CALC (Bonds @ 2.5%) CLOSE			
	<u>400.000</u>	<u>400.000</u>						<b>( \$15,076.43)</b>	<b>\$0.00</b>	<b>( \$15,076.43)</b>	
08/29/1997	1000.000	OPEN	CONS EDISON	\$ 30.625	\$30,625.00			VALUED PER 08/29/97 PORT			
09/15/1997			CONS EDISON			\$525.00		DIVIDEND			
12/15/1997			CONS EDISON			\$525.00		DIVIDEND			
01/12/1998	1000.000		CONS EDISON CO					CONS EDISON			
01/12/1998		1000.000	CONS EDISON					CONS EDISON CO			
03/16/1998			CONS EDISON CO			\$530.00		DIVIDEND			
06/15/1998			CONS EDISON CO			\$530.00		DIVIDEND			
09/15/1998			CONS EDISON CO			\$530.00		DIVIDEND			
12/15/1998			CONS EDISON CO			\$530.00		DIVIDEND			
03/15/1999			CONS EDISON CO			\$535.00		DIVIDEND			
06/15/1999			CONS EDISON CO			\$535.00		DIVIDEND			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
09/15/1999			CONS EDISON CO			\$535.00		DIVIDEND			
12/15/1999			CONS EDISON CO			\$535.00		DIVIDEND			
03/15/2000			CONS EDISON CO			\$545.00		DIVIDEND			
03/29/2000	DELV	1000.000	CONS EDISON CO			\$29,000.00		VALUED PER STMT TRANS			
05/05/2000	1000.000	RECV	CONS EDISON CO		\$34,000.00			VALUED PER STMT TRANS			
06/15/2000			CONS EDISON CO			\$545.00		DIVIDEND			
09/15/2000			CONS EDISON CO			\$545.00		DIVIDEND			
12/15/2000			CONS EDISON CO			\$545.00		DIVIDEND			
03/15/2001			CONS EDISON CO			\$550.00		DIVIDEND			
06/15/2001			CONS EDISON CO			\$550.00		DIVIDEND			
09/17/2001			CONS EDISON CO			\$550.00		DIVIDEND			
12/17/2001			CONS EDISON CO			\$550.00		DIVIDEND			
02/08/2002		1000.000	CONS EDISON CO	\$ 40.910U		\$40,467.72		COMM CALC (Bonds @ 2.5%)			
	3,000.000	3,000.000						<b>\$4,842.72</b>	<b>\$9,690.00</b>	<b>\$14,532.72</b>	<b>22.5%</b>
05/05/2000	3000.000	RECV	CORUS BANKSHARES INC		\$75,189.00			VALUED PER STMT TRANS			
05/24/2000		2600.000	CORUS BANKSHARES INC	\$ 25.125U		\$64,606.18		COMM CALC (Bonds @ 2.5%)			
05/24/2000		100.000	CORUS BANKSHARES INC	\$ 25.375U		\$2,510.03		COMM CALC (Bonds @ 2.5%)			
05/24/2000		200.000	CORUS BANKSHARES INC	\$ 25.250U		\$4,995.05		COMM CALC (Bonds @ 2.5%)			
05/24/2000		100.000	CORUS BANKSHARES INC	\$ 25.125U		\$2,485.02		COMM CALC (Bonds @ 2.5%)			
	3,000.000	3,000.000						<b>( \$592.72)</b>	<b>\$0.00</b>	<b>( \$592.72)</b>	<b>-0.8%</b>
01/12/2000	2000.000		CYCOMM INTL INC NEW	\$ 1.188U	\$2,450.75			COMM CALC (Bonds @ 2.5%)			
01/31/2000	2000.000		CYCOMM INTL INC NEW	\$ 1.875U	\$3,848.25			COMM CALC (Bonds @ 2.5%)			
03/06/2000	1000.000		CYCOMM INTL INC NEW	\$ 1.875U	\$1,929.50			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	5000.000	CYCOMM INTL INC NEW			\$11,875.00		VALUED PER STMT TRANS			
	5,000.000	5,000.000						<b>\$3,646.50</b>	<b>\$0.00</b>	<b>\$3,646.50</b>	<b>44.3%</b>

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
02/25/2000	200.000		DATA TRANSMISSION NETWORK	\$ 22.063U	\$4,488.89			COMM CALC (Bonds @ 2.5%)			
03/09/2000		200.000	DATA TRANSMISSION NETWORK	\$ 28.688U		\$5,650.68		COMM CALC (Bonds @ 2.5%)			
	200.000	200.000						<b>\$1,161.79</b>	<b>\$0.00</b>	<b>\$1,161.79</b>	<b>25.9%</b>
03/06/2000	200.000		E DIGITAL CORP	\$ 14.000U	\$2,856.95			COMM CALC (Bonds @ 2.5%)			
03/09/2000	100.000		E DIGITAL CORP	\$ 14.750U	\$1,529.49			COMM CALC (Bonds @ 2.5%)			
03/17/2000	200.000		E DIGITAL CORP	\$ 12.625U	\$2,579.49			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	500.000	E DIGITAL CORP			\$5,078.00		VALUED PER STMT TRANS			
	500.000	500.000						( <b>\$1,887.93</b> )	<b>\$0.00</b>	( <b>\$1,887.93</b> )	<b>-27.1%</b>
02/08/2002	1000.000	RECV	E-LOAN INC		\$1,320.00			VALUED PER STMT TRANS			
04/30/2002	IN ACCT	1000.000	E-LOAN INC	\$ 1.230		\$1,230.00		VALUED PER 04/30/02 PORT			
	1,000.000	1,000.000						( <b>\$90.00</b> )	<b>\$0.00</b>	( <b>\$90.00</b> )	<b>-6.8%</b>
11/27/1998	2000.000		E.SPIRE COMMUNICATIONS INC	\$ 7.625	\$15,520.58			COMM CALC (Bonds @ 2.5%)			
11/27/1998	600.000		E.SPIRE COMMUNICATIONS INC	\$ 7.625	\$4,654.80			COMM CALC (Bonds @ 2.5%)			
11/27/1998	100.000		E.SPIRE COMMUNICATIONS INC	\$ 7.625	\$775.80			COMM CALC (Bonds @ 2.5%)			
11/27/1998	500.000		E.SPIRE COMMUNICATIONS INC	\$ 7.625	\$3,879.00			COMM CALC (Bonds @ 2.5%)			
11/27/1998	100.000		E.SPIRE COMMUNICATIONS INC	\$ 7.625	\$775.80			COMM CALC (Bonds @ 2.5%)			
11/30/1998	700.000		E.SPIRE COMMUNICATIONS INC	\$ 7.813	\$5,603.65			COMM CALC (Bonds @ 2.5%)			
02/03/1999	4000.000		E.SPIRE COMMUNICATIONS INC	\$ 5.063	\$20,673.09			COMM CALC (Bonds @ 2.5%)			
02/03/1999	1000.000		E.SPIRE COMMUNICATIONS INC	\$ 5.000	\$5,104.60			COMM CALC (Bonds @ 2.5%)			
02/22/1999	100.000		E.SPIRE COMMUNICATIONS INC	\$ 5.313	\$546.38			COMM CALC (Bonds @ 2.5%)			
02/22/1999	100.000		E.SPIRE COMMUNICATIONS INC	\$ 5.313	\$541.88			COMM CALC (Bonds @ 2.5%)			
02/22/1999	500.000		E.SPIRE COMMUNICATIONS INC	\$ 5.313	\$2,709.40			COMM CALC (Bonds @ 2.5%)			
02/22/1999	500.000		E.SPIRE COMMUNICATIONS INC	\$ 5.313	\$2,709.40			COMM CALC (Bonds @ 2.5%)			
02/22/1999	500.000		E.SPIRE COMMUNICATIONS INC	\$ 5.313	\$2,709.40			COMM CALC (Bonds @ 2.5%)			
02/22/1999	1000.000		E.SPIRE COMMUNICATIONS INC	\$ 5.313	\$5,418.80			COMM CALC (Bonds @ 2.5%)			
02/22/1999	500.000		E.SPIRE COMMUNICATIONS INC	\$ 5.313	\$2,709.40			COMM CALC (Bonds @ 2.5%)			
02/22/1999	1800.000		E.SPIRE COMMUNICATIONS INC	\$ 5.313	\$9,753.83			COMM CALC (Bonds @ 2.5%)			
02/25/1999	16000.000		E.SPIRE COMMUNICATIONS INC	\$ 4.813	\$77,964.50			COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
02/25/1999	25000.000		E.SPIRE COMMUNICATIONS INC	\$ 4.848	\$122,710.00			COMM CALC (Bonds @ 2.5%)			
02/25/1999	20000.000		E.SPIRE COMMUNICATIONS INC	\$ 4.848	\$98,168.00			COMM CALC (Bonds @ 2.5%)			
02/25/1999	50000.000		E.SPIRE COMMUNICATIONS INC	\$ 4.848	\$245,420.00			COMM CALC (Bonds @ 2.5%)			
03/16/1999		25000.000	E.SPIRE COMMUNICATIONS INC	\$ 9.850U		\$244,737.29		COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	100000.000	E.SPIRE COMMUNICATIONS INC			\$1,043,800.00		VALUED PER STMT TRANS			
05/05/2000	100000.000	RECV	E.SPIRE COMMUNICATIONS INC		\$493,800.00			VALUED PER STMT TRANS			
05/05/2000	216800.000	RECV	E.SPIRE COMMUNICATIONS INC		\$1,070,558.40			VALUED PER STMT TRANS			
05/31/2000		1600.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$6,079.79		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1500.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$5,699.80		COMM CALC (Bonds @ 2.5%)			
05/31/2000		300.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$1,139.96		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1500.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$5,699.80		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$4,179.85		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1700.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$6,459.78		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$3,799.87		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1200.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$4,409.85		COMM CALC (Bonds @ 2.5%)			
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$367.48					
05/31/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$1,832.93		COMM CALC (Bonds @ 2.5%)			
05/31/2000		4700.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$17,859.39		COMM CALC (Bonds @ 2.5%)			
05/31/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$7,599.74		COMM CALC (Bonds @ 2.5%)			
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$379.98					
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$3,799.87		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1500.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$5,699.80		COMM CALC (Bonds @ 2.5%)			
05/31/2000		200.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$759.97		COMM CALC (Bonds @ 2.5%)			
05/31/2000		400.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$1,519.94		COMM CALC (Bonds @ 2.5%)			
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.875U		\$379.98					
05/31/2000		2900.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$10,657.13		COMM CALC (Bonds @ 2.5%)			
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$367.48					
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$3,674.87		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$3,674.87		COMM CALC (Bonds @ 2.5%)			
05/31/2000		300.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$1,102.46		COMM CALC (Bonds @ 2.5%)			
05/31/2000		400.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$1,469.95		COMM CALC (Bonds @ 2.5%)			
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$367.48					



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/31/2000		300.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$1,102.46		COMM CALC (Bonds @ 2.5%)			
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$367.48					
05/31/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$1,837.43		COMM CALC (Bonds @ 2.5%)			
05/31/2000		3800.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$13,964.52		COMM CALC (Bonds @ 2.5%)			
05/31/2000		200.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$734.97		COMM CALC (Bonds @ 2.5%)			
05/31/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$1,837.43		COMM CALC (Bonds @ 2.5%)			
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$367.48					
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$3,674.87		COMM CALC (Bonds @ 2.5%)			
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$367.48					
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$367.48					
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$3,674.87		COMM CALC (Bonds @ 2.5%)			
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$367.48					
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$367.48					
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 3.750U		\$3,674.87		COMM CALC (Bonds @ 2.5%)			
09/28/2000		8500.000	E.SPIRE COMMUNICATIONS INC	\$ 3.219U		\$26,431.38		COMM CALC (Bonds @ 2.5%)			
09/28/2000		2100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.219U		\$6,531.30		COMM CALC (Bonds @ 2.5%)			
09/28/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.219U		\$311.01		COMM CALC (Bonds @ 2.5%)			
09/28/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$ 3.219U		\$1,555.07		COMM CALC (Bonds @ 2.5%)			
09/28/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.219U		\$311.01		COMM CALC (Bonds @ 2.5%)			
09/28/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.219U		\$311.01		COMM CALC (Bonds @ 2.5%)			
09/28/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 3.219U		\$3,110.14		COMM CALC (Bonds @ 2.5%)			
09/28/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 3.219U		\$311.01		COMM CALC (Bonds @ 2.5%)			
09/29/2000		1700.000	E.SPIRE COMMUNICATIONS INC	\$ 2.906U		\$4,782.96		COMM CALC (Bonds @ 2.5%)			
09/29/2000		5500.000	E.SPIRE COMMUNICATIONS INC	\$ 2.969U		\$15,832.58		COMM CALC (Bonds @ 2.5%)			
09/29/2000		4500.000	E.SPIRE COMMUNICATIONS INC	\$ 2.969U		\$12,953.93		COMM CALC (Bonds @ 2.5%)			
09/29/2000		5500.000	E.SPIRE COMMUNICATIONS INC	\$ 2.969U		\$15,832.58		COMM CALC (Bonds @ 2.5%)			
09/29/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.969U		\$2,878.65		COMM CALC (Bonds @ 2.5%)			
09/29/2000		700.000	E.SPIRE COMMUNICATIONS INC	\$ 2.938U		\$1,993.18		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 2.906U		\$281.62					
09/29/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.969U		\$2,878.65		COMM CALC (Bonds @ 2.5%)			
10/16/2000		5100.000	E.SPIRE COMMUNICATIONS INC	\$ 2.313U		\$11,435.04		COMM CALC (Bonds @ 2.5%)			
10/17/2000		44000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.379U		\$102,921.21		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
10/18/2000		4400.000	E.SPIRE COMMUNICATIONS INC	\$ 2.125U		\$9,063.14		COMM CALC (Bonds @ 2.5%)			
10/18/2000		200.000	E.SPIRE COMMUNICATIONS INC	\$ 2.188U		\$424.66		COMM CALC (Bonds @ 2.5%)			
10/18/2000		1400.000	E.SPIRE COMMUNICATIONS INC	\$ 2.219U		\$3,016.40		COMM CALC (Bonds @ 2.5%)			
10/18/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.219U		\$2,154.57		COMM CALC (Bonds @ 2.5%)			
10/18/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.125U		\$2,060.82		COMM CALC (Bonds @ 2.5%)			
10/18/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.125U		\$2,060.82		COMM CALC (Bonds @ 2.5%)			
10/18/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.125U		\$2,060.82		COMM CALC (Bonds @ 2.5%)			
10/18/2000		8000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.250U		\$17,486.60		COMM CALC (Bonds @ 2.5%)			
10/18/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.281U		\$4,434.49		COMM CALC (Bonds @ 2.5%)			
10/18/2000		4000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.250U		\$8,743.30		COMM CALC (Bonds @ 2.5%)			
10/18/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.250U		\$4,371.65		COMM CALC (Bonds @ 2.5%)			
10/18/2000		4000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.250U		\$8,743.30		COMM CALC (Bonds @ 2.5%)			
11/07/2000		2800.000	E.SPIRE COMMUNICATIONS INC	\$ 2.375U		\$6,492.67		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.406U		\$2,350.67		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.406U		\$2,351.66		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.406U		\$2,351.66		COMM CALC (Bonds @ 2.5%)			
11/07/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 2.406U		\$235.17					
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.406U		\$2,351.66		COMM CALC (Bonds @ 2.5%)			
11/07/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 2.406U		\$235.17					
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.406U		\$2,351.66		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1800.000	E.SPIRE COMMUNICATIONS INC	\$ 2.375U		\$4,176.75		COMM CALC (Bonds @ 2.5%)			
11/07/2000		200.000	E.SPIRE COMMUNICATIONS INC	\$ 2.375U		\$464.08		COMM CALC (Bonds @ 2.5%)			
11/07/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$ 2.313U		\$1,128.96		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.313U		\$2,257.92		COMM CALC (Bonds @ 2.5%)			
11/07/2000		900.000	E.SPIRE COMMUNICATIONS INC	\$ 2.313U		\$2,032.13		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.313U		\$2,257.92		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.313U		\$2,257.92		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 2.313U		\$2,257.92		COMM CALC (Bonds @ 2.5%)			
11/07/2000		4600.000	E.SPIRE COMMUNICATIONS INC	\$ 2.313U		\$10,386.44		COMM CALC (Bonds @ 2.5%)			
11/07/2000		2500.000	E.SPIRE COMMUNICATIONS INC	\$ 2.250U		\$5,488.56		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1700.000	E.SPIRE COMMUNICATIONS INC	\$ 2.281U		\$3,785.35		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1600.000	E.SPIRE COMMUNICATIONS INC	\$ 2.281U		\$3,562.67		COMM CALC (Bonds @ 2.5%)			
11/07/2000		4200.000	E.SPIRE COMMUNICATIONS INC	\$ 2.156U		\$8,827.04		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
11/14/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 1.875 U		\$184.49					
11/14/2000		5000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.875 U		\$9,224.68		COMM CALC (Bonds @ 2.5%)			
11/14/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.875 U		\$3,689.87		COMM CALC (Bonds @ 2.5%)			
11/14/2000		2900.000	E.SPIRE COMMUNICATIONS INC	\$ 1.750 U		\$4,987.83		COMM CALC (Bonds @ 2.5%)			
11/14/2000		4000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.875 U		\$7,375.25		COMM CALC (Bonds @ 2.5%)			
11/14/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.875 U		\$1,844.93		COMM CALC (Bonds @ 2.5%)			
11/14/2000		4600.000	E.SPIRE COMMUNICATIONS INC	\$ 1.875 U		\$8,486.71		COMM CALC (Bonds @ 2.5%)			
11/14/2000		400.000	E.SPIRE COMMUNICATIONS INC	\$ 1.875 U		\$737.97		COMM CALC (Bonds @ 2.5%)			
11/14/2000		6100.000	E.SPIRE COMMUNICATIONS INC	\$ 1.750 U		\$10,491.64		COMM CALC (Bonds @ 2.5%)			
11/14/2000		1500.000	E.SPIRE COMMUNICATIONS INC	\$ 1.781 U		\$2,626.79		COMM CALC (Bonds @ 2.5%)			
11/14/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 1.781 U		\$175.12					
11/14/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.781 U		\$3,502.38		COMM CALC (Bonds @ 2.5%)			
11/14/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 1.781 U		\$175.12					
11/14/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 1.781 U		\$175.12					
11/14/2000		5000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.750 U		\$8,599.70		COMM CALC (Bonds @ 2.5%)			
11/14/2000		2500.000	E.SPIRE COMMUNICATIONS INC	\$ 1.750 U		\$4,299.85		COMM CALC (Bonds @ 2.5%)			
11/14/2000		3000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.750 U		\$5,159.82		COMM CALC (Bonds @ 2.5%)			
11/14/2000		4000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.750 U		\$6,879.76		COMM CALC (Bonds @ 2.5%)			
11/14/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 1.750 U		\$171.99					
11/14/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$ 1.750 U		\$859.97		COMM CALC (Bonds @ 2.5%)			
11/15/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.703 U		\$1,668.57		COMM CALC (Bonds @ 2.5%)			
11/15/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 1.719 U		\$168.87					
11/15/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 1.719 U		\$168.87					
11/15/2000		1200.000	E.SPIRE COMMUNICATIONS INC	\$ 1.703 U		\$2,007.68		COMM CALC (Bonds @ 2.5%)			
11/15/2000		600.000	E.SPIRE COMMUNICATIONS INC	\$ 1.703 U		\$1,003.84		COMM CALC (Bonds @ 2.5%)			
11/15/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 1.688 U		\$165.74					
11/15/2000		800.000	E.SPIRE COMMUNICATIONS INC	\$ 1.688 U		\$1,325.95		COMM CALC (Bonds @ 2.5%)			
11/15/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$ 1.688 U		\$165.74					
11/15/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.688 U		\$1,657.44		COMM CALC (Bonds @ 2.5%)			
11/15/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.688 U		\$3,314.88		COMM CALC (Bonds @ 2.5%)			
11/15/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.688 U		\$1,657.44		COMM CALC (Bonds @ 2.5%)			
11/15/2000		5000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.656 U		\$8,130.97		COMM CALC (Bonds @ 2.5%)			
11/15/2000		2500.000	E.SPIRE COMMUNICATIONS INC	\$ 1.656 U		\$4,065.49		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
11/15/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.656U		\$3,252.38		COMM CALC (Bonds @ 2.5%)			
11/15/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.656U		\$3,252.38		COMM CALC (Bonds @ 2.5%)			
11/15/2000		5500.000	E.SPIRE COMMUNICATIONS INC	\$ 1.656U		\$8,944.07		COMM CALC (Bonds @ 2.5%)			
11/15/2000		10000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.531U		\$15,011.98		COMM CALC (Bonds @ 2.5%)			
11/15/2000		5000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.531U		\$7,505.99		COMM CALC (Bonds @ 2.5%)			
11/15/2000		10000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.531U		\$15,011.98		COMM CALC (Bonds @ 2.5%)			
11/15/2000		20000.000	E.SPIRE COMMUNICATIONS INC	\$ 1.563U		\$30,648.95		COMM CALC (Bonds @ 2.5%)			
04/30/2002	IN ACCT	25000.000	E.SPIRE COMMUNICATIONS INC	\$ 0.001		\$25.00		VALUED PER 04/30/02 PORT			
	441,800.000	441,800.000						( \$240,791.02)	\$0.00	( \$240,791.02)	-11.0%
05/05/1999	50.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$ 0.725	\$3,837.96			COMM CALC (Bonds @ 2.5%) OPEN			
05/06/1999	17.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$ 0.750U	\$1,352.54			COMM CALC (Bonds @ 2.5%) OPEN			
05/06/1999	50.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$ 0.875	\$4,607.55			COMM CALC (Bonds @ 2.5%) OPEN			
05/06/1999	2.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$ 0.938U	\$196.62			OPEN			
05/07/1999	6.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$ 1.125	\$711.65			COMM CALC (Bonds @ 2.5%) OPEN			
05/07/1999	25.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$ 1.188U	\$3,102.72			COMM CALC (Bonds @ 2.5%) OPEN			
05/13/1999		50.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$ 1.750U		\$8,475.89		COMM CALC (Bonds @ 2.5%) CLOSE			
05/20/1999		25.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$ 1.750U		\$4,230.71		COMM CALC (Bonds @ 2.5%) CLOSE			
05/20/1999		25.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$ 2.250U		\$5,485.18		COMM CALC (Bonds @ 2.5%) CLOSE			
05/21/1999		25.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$ 1.500U		\$3,597.70		COMM CALC (Bonds @ 2.5%) CLOSE			
05/24/1999		25.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$ 0.375		\$805.95		COMM CALC (Bonds @ 2.5%) CLOSE			
	150.000	150.000						\$8,786.39	\$0.00	\$8,786.39	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
02/22/1999		100.000	E.SPIRE COMMUNICATIONS INC 199909 5 PUT	\$ 1.625		\$15,666.22		COMM CALC (Bonds @ 2.5%) OPEN			
09/20/1999	100.000		E.SPIRE COMMUNICATIONS INC 199909 5 PUT					EXPIRED			
	<u>100.000</u>	<u>100.000</u>						<b>\$15,666.22</b>	<b>\$0.00</b>	<b>\$15,666.22</b>	
03/16/2000	300.000		EAGLE WIRELESS INTL INC	\$ 17.000	\$5,183.78			COMM CALC (Bonds @ 2.5%)			
03/30/2000	<u>DELV</u>	<u>300.000</u>	EAGLE WIRELESS INTL INC			\$3,543.90		VALUED PER STMT TRANS			
	<u>300.000</u>	<u>300.000</u>						<b>( \$1,639.88)</b>	<b>\$0.00</b>	<b>( \$1,639.88)</b>	<b>-31.6%</b>
08/29/1997	1000.000	OPEN	EASTMAN KODAK CO	\$ 65.375	\$65,375.00			VALUED PER 08/29/97 PORT			
10/01/1997			EASTMAN KODAK CO			\$440.00		DIVIDEND			
01/02/1998			EASTMAN KODAK CO			\$440.00		DIVIDEND			
04/01/1998			EASTMAN KODAK CO			\$440.00		DIVIDEND			
07/01/1998			EASTMAN KODAK CO			\$440.00		DIVIDEND			
10/01/1998			EASTMAN KODAK CO			\$440.00		DIVIDEND			
01/04/1999			EASTMAN KODAK CO			\$440.00		DIVIDEND			
04/01/1999			EASTMAN KODAK CO			\$440.00		DIVIDEND			
07/01/1999			EASTMAN KODAK CO			\$440.00		DIVIDEND			
10/01/1999			EASTMAN KODAK CO			\$440.00		DIVIDEND			
01/03/2000			EASTMAN KODAK CO			\$440.00		DIVIDEND			
03/29/2000	<u>DELV</u>	<u>1000.000</u>	EASTMAN KODAK CO			\$54,813.00		VALUED PER STMT TRANS			
04/03/2000			EASTMAN KODAK CO			\$440.00		DIVIDEND			
05/05/2000	1000.000	RECV	EASTMAN KODAK CO		\$54,563.00			VALUED PER STMT TRANS			
07/03/2000			EASTMAN KODAK CO			\$440.00		DIVIDEND			
10/02/2000			EASTMAN KODAK CO			\$440.00		DIVIDEND			
10/02/2000	<u>2,000.000</u>	<u>2,000.000</u>	EASTMAN KODAK CO	\$ 40.938U		\$40,831.63		COMM CALC (Bonds @ 2.5%)			
								<b>( \$24,293.37)</b>	<b>\$5,720.00</b>	<b>( \$18,573.37)</b>	<b>-15.5%</b>

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
02/01/2000	200.000		ECI TELECOM LTD ISRAEL	\$ 28.938U	\$5,867.06			COMM CALC (Bonds @ 2.5%)			
03/02/2000			ECI TELECOM LTD ISRAEL			\$7.50		DIVIDEND			
03/30/2000	DELV	200.000	ECI TELECOM LTD ISRAEL			\$6,212.60		VALUED PER STMT TRANS			
	200.000	200.000						<b>\$345.54</b>	<b>\$7.50</b>	<b>\$353.04</b>	<b>6.0%</b>
10/16/2000	300.000		ENERGY CONVERSION DEVICES	\$ 32.000U	\$9,654.49			COMM CALC (Bonds @ 2.5%)			
02/14/2001		300.000	ENERGY CONVERSION DEVICES	\$ 23.625U		\$6,991.24		COMM CALC (Bonds @ 2.5%)			
	300.000	300.000						( <b>\$2,663.25</b> )	<b>\$0.00</b>	( <b>\$2,663.25</b> )	<b>-27.6%</b>
10/20/1997	3000.000		ENZON INC	\$ 6.063	\$18,559.13			COMM CALC (Bonds @ 2.5%)			
10/22/1997	2000.000		ENZON INC	\$ 6.875U	\$14,031.30			COMM CALC (Bonds @ 2.5%)			
03/15/1999	5000.000		ENZON INC	\$ 16.361U	\$82,210.50			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	10000.000	ENZON INC			\$409,380.00		VALUED PER STMT TRANS			
05/05/2000	10000.000	RECV	ENZON INC		\$357,500.00			VALUED PER STMT TRANS			
05/05/2000	4000.000	RECV	ENZON INC		\$143,000.00			VALUED PER STMT TRANS			
11/07/2000		1000.000	ENZON INC	\$ 74.125U		\$74,008.02		COMM CALC (Bonds @ 2.5%)			
11/07/2000		500.000	ENZON INC	\$ 74.500U		\$37,193.75		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1000.000	ENZON INC	\$ 74.375U		\$74,262.52		COMM CALC (Bonds @ 2.5%)			
11/07/2000		100.000	ENZON INC	\$ 74.125U		\$7,401.25		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1000.000	ENZON INC	\$ 74.250U		\$74,137.52		COMM CALC (Bonds @ 2.5%)			
11/07/2000		300.000	ENZON INC	\$ 74.250U		\$22,241.25		COMM CALC (Bonds @ 2.5%)			
11/07/2000		1000.000	ENZON INC	\$ 74.125U		\$74,012.52		COMM CALC (Bonds @ 2.5%)			
11/07/2000		100.000	ENZON INC	\$ 74.125U		\$7,401.25		COMM CALC (Bonds @ 2.5%)			
11/07/2000		2000.000	ENZON INC	\$ 74.125U		\$148,025.05		COMM CALC (Bonds @ 2.5%)			
11/24/2000		800.000	ENZON INC	\$ 57.125U		\$45,533.97		COMM CALC (Bonds @ 2.5%)			
11/24/2000		500.000	ENZON INC	\$ 57.125U		\$28,461.54		COMM CALC (Bonds @ 2.5%)			
11/24/2000		500.000	ENZON INC	\$ 57.125U		\$28,461.54		COMM CALC (Bonds @ 2.5%)			
11/24/2000		1500.000	ENZON INC	\$ 57.125U		\$85,384.64		COMM CALC (Bonds @ 2.5%)			
11/24/2000		100.000	ENZON INC	\$ 57.125U		\$5,692.30		COMM CALC (Bonds @ 2.5%)			
11/24/2000		300.000	ENZON INC	\$ 57.125U		\$17,076.92		COMM CALC (Bonds @ 2.5%)			
11/24/2000		100.000	ENZON INC	\$ 57.250U		\$5,704.80		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
11/24/2000		200.000	ENZON INC	\$ 57.250U		\$11,409.61		COMM CALC (Bonds @ 2.5%)			
04/30/2002	IN ACCT	3000.000	ENZON INC	\$ 37.240		\$111,720.00		VALUED PER 04/30/02 PORT			
	24,000.000	24,000.000						<b>\$652,207.52</b>	<b>\$0.00</b>	<b>\$652,207.52</b>	<b>106.0%</b>
05/05/2000	RECV SHORT	200.000	ENZON INC 200011 35 PUT			\$192,500.00		VALUED PER STMT TRANS			
05/30/2000	200.000		ENZON INC 200011 35 PUT	\$ 13.375U	\$269,153.80			COMM CALC (Bonds @ 2.5%) CLOSE			
	200.000	200.000						( <b>\$76,653.80</b> )	<b>\$0.00</b>	( <b>\$76,653.80</b> )	
02/22/1999	2000.000		ESPIRE COMMUNICATIONS INC	\$ 5.372	\$10,998.15			COMM CALC (Bonds @ 2.5%)			
03/12/1999		2000.000	ESPIRE COMMUNICATIONS INC	\$ 8.174U		\$16,222.95		COMM CALC (Bonds @ 2.5%)			
	2,000.000	2,000.000						<b>\$5,224.80</b>	<b>\$0.00</b>	<b>\$5,224.80</b>	<b>47.5%</b>
12/13/1999		300.000	FEDERAL HOME LN MTG 200101 45 PUT	\$ 4.250U		\$125,978.76		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	300.000	DELV SHRT	FEDERAL HOME LN MTG 200101 45 PUT		\$166,875.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	255.000	FEDERAL HOME LN MTG 200101 45 PUT			\$176,906.25		VALUED PER STMT TRANS			
05/23/2000	255.000		FEDERAL HOME LN MTG 200101 45 PUT	\$ 5.463U	\$140,723.58			COMM CALC (Bonds @ 2.5%) CLOSE			
	555.000	555.000						( <b>\$4,713.57</b> )	<b>\$0.00</b>	( <b>\$4,713.57</b> )	
03/14/2000		200.000	FEDERAL HOME LN MTG 200201 40 PUT	\$ 7.000U		\$138,758.65		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	200.000	DELV SHRT	FEDERAL HOME LN MTG 200201 40 PUT		\$101,250.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	200.000	FEDERAL HOME LN MTG 200201 40 PUT			\$123,750.00		VALUED PER STMT TRANS			
05/26/2000	200.000		FEDERAL HOME LN MTG 200201 40 PUT	\$ 5.250U	\$106,122.18			COMM CALC (Bonds @ 2.5%) CLOSE			
	400.000	400.000						<b>\$55,136.47</b>	<b>\$0.00</b>	<b>\$55,136.47</b>	
11/08/1999		200.000	FEDERAL HOME LN MTG 200201 50 PUT	\$ 6.750U		\$133,775.18		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	200.000	DELV SHRT	FEDERAL HOME LN MTG 200201 50 PUT		\$198,750.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	200.000	FEDERAL HOME LN MTG 200201 50 PUT			\$235,000.00		VALUED PER STMT TRANS			
05/26/2000	200.000		FEDERAL HOME LN MTG 200201 50 PUT	\$ 10.188U	\$205,195.24			COMM CALC (Bonds @ 2.5%) CLOSE			
	400.000	400.000						( <b>\$35,170.06</b> )	<b>\$0.00</b>	( <b>\$35,170.06</b> )	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
08/29/1997	5000.000	OPEN	FIRST PACIFIC NETWORKS INC	\$ 0.020	\$100.00			VALUED PER 08/29/97 PORT			
03/29/2000	DELV	5000.000	FIRST PACIFIC NETWORKS INC			\$15.00		VALUED PER STMT TRANS			
05/05/2000	5000.000	RECV	FIRST PACIFIC NETWORKS INC		\$20.00			VALUED PER STMT TRANS			
04/30/2002	IN ACCT	5000.000	FIRST PACIFIC NETWORKS INC					NOT VALUED PER 04/30/02 PORT			
	10,000.000	10,000.000						( \$105.00)	\$0.00	( \$105.00)	-87.5%
05/05/2000	5000.000	RECV	FIRST UNION CORP		\$156,250.00			VALUED PER STMT TRANS			
06/15/2000			FIRST UNION CORP			\$2,400.00		DIVIDEND			
09/15/2000			FIRST UNION CORP			\$2,400.00		DIVIDEND			
11/15/2000		5000.000	FIRST UNION CORP	\$ 30.438 U		\$151,427.92		COMM CALC (Bonds @ 2.5%)			
	5,000.000	5,000.000						( \$4,822.08)	\$4,800.00	( \$22.08)	0.0%
05/05/2000	524.000	RECV	FIRSTENERGY CORP		\$12,543.51			VALUED PER STMT TRANS			
06/01/2000			FIRSTENERGY CORP			\$196.50		DIVIDEND			
09/01/2000			FIRSTENERGY CORP			\$196.50		DIVIDEND			
12/01/2000			FIRSTENERGY CORP			\$196.50		DIVIDEND			
03/01/2001			FIRSTENERGY CORP			\$196.50		DIVIDEND			
06/01/2001			FIRSTENERGY CORP			\$196.50		DIVIDEND			
09/04/2001			FIRSTENERGY CORP			\$196.50		DIVIDEND			
12/03/2001			FIRSTENERGY CORP			\$146.30		DIVIDEND			
12/07/2001			FIRSTENERGY CORP			\$50.20		DIVIDEND			
03/01/2002			FIRSTENERGY CORP			\$196.50		DIVIDEND			
04/30/2002	IN ACCT	524.000	FIRSTENERGY CORP	\$ 33.300		\$17,449.20		VALUED PER 04/30/02 PORT			
	524.000	524.000						\$4,905.69	\$1,572.00	\$6,477.69	51.6%



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
01/29/2001	300.000		FOUNDRY NETWORKS INC	\$ 20.938U	\$6,372.93			COMM CALC (Bonds @ 2.5%)			
02/14/2001		300.000	FOUNDRY NETWORKS INC	\$ 16.063U		\$4,737.24		COMM CALC (Bonds @ 2.5%)			
	300.000	300.000						( \$1,635.69)	\$0.00	( \$1,635.69)	-25.7%
02/01/2001		10.000	FOUNDRY NETWORKS INC 200103 17.5 PUT	\$ 1.250U		\$1,162.71		COMM CALC (Bonds @ 2.5%) OPEN			
02/12/2001	10.000		FOUNDRY NETWORKS INC 200103 17.5 PUT	\$ 3.750U	\$3,863.03			COMM CALC (Bonds @ 2.5%) CLOSE			
	10.000	10.000						( \$2,700.32)	\$0.00	( \$2,700.32)	
02/12/2001		10.000	FOUNDRY NETWORKS INC 200109 15 PUT	\$ 4.750U		\$4,628.38		COMM CALC (Bonds @ 2.5%) OPEN			
02/27/2001	5.000		FOUNDRY NETWORKS INC 200109 15 PUT	\$ 5.625U	\$2,937.92			COMM CALC (Bonds @ 2.5%) CLOSE			
04/05/2001	5.000		FOUNDRY NETWORKS INC 200109 15 PUT	\$ 9.250U	\$4,724.67			COMM CALC (Bonds @ 2.5%) CLOSE			
	10.000	10.000						( \$3,034.21)	\$0.00	( \$3,034.21)	
04/12/1999	500.000		FRONTIER CORP	\$ 47.313	\$23,878.07			COMM CALC (Bonds @ 2.5%)			
05/03/1999			FRONTIER CORP			\$25.00		DIVIDEND			
08/02/1999			FRONTIER CORP			\$25.00		DIVIDEND			
09/30/1999		500.000	FRONTIER CORP					GLOBAL CROSSING LTD			
09/30/1999	1025.000		GLOBAL CROSSING LTD					FRONTIER CORP			
10/04/1999	2000.000		GLOBAL CROSSING LTD	\$ 40.000	\$80,922.69			COMM CALC (Bonds @ 2.5%)			
10/19/1999	3000.000		GLOBAL CROSSING LTD	\$ 37.063	\$111,899.65			COMM CALC (Bonds @ 2.5%)			
11/18/1999	3000.000		GLOBAL CROSSING LTD	\$ 43.000U	\$129,454.50			COMM CALC (Bonds @ 2.5%)			
11/18/1999	2000.000		GLOBAL CROSSING LTD	\$ 42.938U	\$86,175.00			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	10000.000	GLOBAL CROSSING LTD			\$430,630.00		VALUED PER STMT TRANS			
03/30/2000	DELV	1025.000	GLOBAL CROSSING LTD			\$44,395.82		VALUED PER STMT TRANS			
05/05/2000	20000.000	RECV	GLOBAL CROSSING LTD		\$695,000.00			VALUED PER STMT TRANS			
05/05/2000	10250.000	RECV	GLOBAL CROSSING LTD		\$356,187.50			VALUED PER STMT TRANS			
05/11/2000	1025.000	RECV	GLOBAL CROSSING LTD		\$32,992.70			VALUED PER STMT TRANS			
05/24/2000		3800.000	GLOBAL CROSSING LTD	\$ 28.875U		\$109,336.84		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/24/2000		6200.000	GLOBAL CROSSING LTD	\$ 28.875 U		\$178,399.03		COMM CALC (Bonds @ 2.5%)			
10/16/2000	500.000		GLOBAL CROSSING LTD	\$ 21.625 U	\$10,867.00			COMM CALC (Bonds @ 2.5%)			
10/20/2000		20250.000	GLOBAL CROSSING LTD	\$ 23.125 U		\$466,742.39		COMM CALC (BONDS @ 2.5%)			
11/09/2000	2500.000		GLOBAL CROSSING LTD	\$ 40.000	\$100,129.50			COMM CALC (Bonds @ 2.5%)			
11/15/2000		2500.000	GLOBAL CROSSING LTD	\$ 17.438 U		\$43,337.79		COMM CALC (Bonds @ 2.5%)			
11/20/2000		525.000	GLOBAL CROSSING LTD	\$ 15.875 U		\$8,183.60		COMM CALC (Bonds @ 2.5%)			
12/01/2000	200.000		GLOBAL CROSSING LTD	\$ 35.000	\$7,054.50			COMM CALC (Bonds @ 2.5%)			
12/04/2000		500.000	GLOBAL CROSSING LTD	\$ 12.438 U		\$6,097.71		COMM CALC (Bonds @ 2.5%)			
12/05/2000	2400.000		GLOBAL CROSSING LTD	\$ 40.000	\$96,526.33			COMM CALC (Bonds @ 2.5%)			
12/08/2000	1900.000		GLOBAL CROSSING LTD	\$ 40.000	\$76,289.50			COMM CALC (Bonds @ 2.5%)			
12/12/2000	100.000		GLOBAL CROSSING LTD	\$ 40.000	\$4,039.49			COMM CALC (Bonds @ 2.5%)			
12/18/2000	500.000		GLOBAL CROSSING LTD	\$ 40.000	\$20,140.75			COMM CALC (Bonds @ 2.5%)			
12/18/2000	1100.000		GLOBAL CROSSING LTD	\$ 40.000	\$44,299.76			COMM CALC (Bonds @ 2.5%)			
12/22/2000	600.000		GLOBAL CROSSING LTD	\$ 40.000	\$24,453.32			COMM CALC (Bonds @ 2.5%)			
12/26/2000		6200.000	GLOBAL CROSSING LTD	\$ 13.625 U		\$83,723.68		COMM CALC (Bonds @ 2.5%)			
12/27/2000	300.000		GLOBAL CROSSING LTD	\$ 40.000	\$12,259.73			COMM CALC (Bonds @ 2.5%)			
01/02/2001	600.000		GLOBAL CROSSING LTD	\$ 40.000	\$24,453.32			COMM CALC (Bonds @ 2.5%)			
02/28/2001		500.000	GLOBAL CROSSING LTD	\$ 15.150 U		\$7,442.37		COMM CALC (Bonds @ 2.5%)			
03/01/2001	2300.000		GLOBAL CROSSING LTD	\$ 35.000	\$81,070.83			COMM CALC (Bonds @ 2.5%)			
04/02/2001		3800.000	GLOBAL CROSSING LTD	\$ 13.970 U		\$52,543.11		COMM CALC (Bonds @ 2.5%)			
04/09/2001	2200.000		GLOBAL CROSSING LTD	\$ 35.000	\$77,553.80			COMM CALC (Bonds @ 2.5%)			
04/11/2001	1000.000		GLOBAL CROSSING LTD	\$ 35.000	\$35,356.20			COMM CALC (Bonds @ 2.5%)			
04/11/2001		1000.000	GLOBAL CROSSING LTD	\$ 9.700 U		\$9,504.10		COMM CALC (Bonds @ 2.5%)			
04/12/2001	1300.000		GLOBAL CROSSING LTD	\$ 35.000	\$46,181.52			COMM CALC (Bonds @ 2.5%)			
05/14/2001	800.000		GLOBAL CROSSING LTD	\$ 35.000	\$28,336.31			COMM CALC (Bonds @ 2.5%)			
05/14/2001	400.000		GLOBAL CROSSING LTD	\$ 35.000	\$14,196.41			COMM CALC (Bonds @ 2.5%)			
05/18/2001	1000.000		GLOBAL CROSSING LTD	\$ 35.000	\$35,385.51			COMM CALC (Bonds @ 2.5%)			
05/18/2001	600.000		GLOBAL CROSSING LTD	\$ 35.000	\$21,332.41			COMM CALC (Bonds @ 2.5%)			
06/08/2001	900.000		GLOBAL CROSSING LTD	\$ 35.000	\$32,057.69			COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
06/14/2001	1600.000		GLOBAL CROSSING LTD	\$ 35.000	\$56,488.85			COMM CALC (Bonds @ 2.5%)			
06/15/2001	2500.000		GLOBAL CROSSING LTD	\$ 35.000	\$88,154.93			COMM CALC (Bonds @ 2.5%)			
06/22/2001	5000.000		GLOBAL CROSSING LTD	\$ 35.000	\$176,030.74			COMM CALC (Bonds @ 2.5%)			
06/25/2001	500.000		GLOBAL CROSSING LTD	\$ 6.950 U	\$3,588.18			COMM CALC (Bonds @ 2.5%)			
06/29/2001	2500.000		GLOBAL CROSSING LTD	\$ 35.000	\$88,154.93			COMM CALC (Bonds @ 2.5%)			
07/20/2001	400.000		GLOBAL CROSSING LTD	\$ 35.000	\$14,188.96			COMM CALC (Bonds @ 2.5%)			
07/20/2001	100.000		GLOBAL CROSSING LTD	\$ 35.000	\$3,546.11			COMM CALC (Bonds @ 2.5%)			
07/23/2001	400.000		GLOBAL CROSSING LTD	\$ 35.000	\$14,299.75			COMM CALC (Bonds @ 2.5%)			
04/09/2002		2200.000	GLOBAL CROSSING LTD	\$ 0.096		\$182.02		COMM CALC (Bonds @ 2.5%)			
04/10/2002		500.000	GLOBAL CROSSING LTD	\$ 0.096		\$36.25		COMM CALC (Bonds @ 2.5%)			
04/30/2002	IN ACCT	17500.000	GLOBAL CROSSING LTD	\$ 0.057		\$997.50		VALUED PER 04/30/02 PORT			
	77,000.000	77,000.000						<b>(\$1,311,394.23)</b>	<b>\$50.00</b>	<b>(\$1,311,344.23)</b>	<b>-47.6%</b>
03/07/2001		5.000	GENESYS INC 200110 12.5 PUT	\$ 1.875 U		\$882.10		COMM CALC (Bonds @ 2.5%) OPEN			
04/05/2001	5.000		GENESYS INC 200110 12.5 PUT	\$ 2.813 U	\$1,472.05			COMM CALC (Bonds @ 2.5%) CLOSE			
	5.000	5.000						<b>( \$589.95)</b>	<b>\$0.00</b>	<b>( \$589.95)</b>	
04/22/1999		100.000	GLOBAL CROSSING LTD 199910 40 PUT	\$ 6.000 U		\$59,285.22		COMM CALC (Bonds @ 2.5%) OPEN			
09/30/1999	20.000		GLOBAL CROSSING LTD 199910 40 PUT					ASSIGNED			
10/01/1999	100.000		GLOBAL CROSSING LTD 199910 40 PUT	\$ 14.250 U	\$143,507.21			COMM CALC (Bonds @ 2.5%) CLOSE			
10/05/1999		20.000	GLOBAL CROSSING LTD 199910 40 PUT	\$ 14.625 U		\$28,934.13		COMM CALC (BONDS @ 2.5%) OPEN			
	120.000	120.000						<b>( \$55,287.86)</b>	<b>\$0.00</b>	<b>( \$55,287.86)</b>	
08/05/1999		200.000	GLOBAL CROSSING LTD 200001 30 PUT	\$ 5.000 U		\$98,890.84		COMM CALC (Bonds @ 2.5%) OPEN			
01/24/2000	200.000		GLOBAL CROSSING LTD 200001 30 PUT					EXPIRED			
	200.000	200.000						<b>\$98,890.84</b>	<b>\$0.00</b>	<b>\$98,890.84</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
10/01/1999		100.000	GLOBAL CROSSING LTD 200001 40 PUT	\$ 14.500U		\$143,979.02		COMM CALC (Bonds @ 2.5%) OPEN			
01/24/2000	100.000		GLOBAL CROSSING LTD 200001 40 PUT					EXPIRED			
	100.000	100.000						<b>\$143,979.02</b>	<b>\$0.00</b>	<b>\$143,979.02</b>	
10/04/1999		100.000	GLOBAL CROSSING LTD 200004 25 PUT	\$ 4.250U		\$41,821.49		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200004 25 PUT		\$2,500.00			VALUED PER STMT TRANS			
	100.000	100.000						<b>\$39,321.49</b>	<b>\$0.00</b>	<b>\$39,321.49</b>	
09/15/1999		100.000	GLOBAL CROSSING LTD 200004 30 PUT	\$ 9.025U		\$89,494.27		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200004 30 PUT		\$1,875.00			VALUED PER STMT TRANS			
	100.000	100.000						<b>\$87,619.27</b>	<b>\$0.00</b>	<b>\$87,619.27</b>	
10/15/1999		100.000	GLOBAL CROSSING LTD 200101 40 PUT	\$ 10.750U		\$106,687.26		COMM CALC (BONDS @ 2.5%) OPEN			
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200101 40 PUT		\$76,250.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	100.000	GLOBAL CROSSING LTD 200101 40 PUT			\$116,250.00		VALUED PER STMT TRANS			
11/07/2000	25.000		GLOBAL CROSSING LTD 200101 40 PUT					ASSIGNED			
12/01/2000	24.000		GLOBAL CROSSING LTD 200101 40 PUT					ASSIGNED			
12/06/2000	19.000		GLOBAL CROSSING LTD 200101 40 PUT					ASSIGNED			
12/08/2000	1.000		GLOBAL CROSSING LTD 200101 40 PUT					ASSIGNED			
12/14/2000	5.000		GLOBAL CROSSING LTD 200101 40 PUT					ASSIGNED			
12/14/2000	11.000		GLOBAL CROSSING LTD 200101 40 PUT					ASSIGNED			
12/20/2000	6.000		GLOBAL CROSSING LTD 200101 40 PUT					ASSIGNED			
12/22/2000	3.000		GLOBAL CROSSING LTD 200101 40 PUT					ASSIGNED			
12/28/2000	6.000		GLOBAL CROSSING LTD 200101 40 PUT					ASSIGNED			
	200.000	200.000						<b>\$146,687.26</b>	<b>\$0.00</b>	<b>\$146,687.26</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
02/27/2001		10.000	GLOBAL CROSSING LTD 200201 10 PUT	\$ 1.550 U		\$1,459.05		COMM CALC (Bonds @ 2.5%) OPEN			
04/05/2001	10.000		GLOBAL CROSSING LTD 200201 10 PUT	\$ 3.400 U	\$3,527.67			COMM CALC (Bonds @ 2.5%) CLOSE			
	10.000	10.000						( \$2,068.62)	\$0.00	( \$2,068.62)	
11/12/1999		200.000	GLOBAL CROSSING LTD 200201 30 PUT	\$ 7.125 U		\$141,250.39		COMM CALC (Bonds @ 2.5%) OPEN			
02/22/2000		200.000	GLOBAL CROSSING LTD 200201 30 PUT	\$ 4.125 U		\$80,594.44		COMM CALC (Bonds @ 2.5%) OPEN			
02/23/2000		200.000	GLOBAL CROSSING LTD 200201 30 PUT	\$ 4.500 U		\$88,923.89		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	600.000	DELV SHRT	GLOBAL CROSSING LTD 200201 30 PUT		\$288,750.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	600.000	GLOBAL CROSSING LTD 200201 30 PUT			\$510,000.00		VALUED PER STMT TRANS			
05/23/2000	300.000		GLOBAL CROSSING LTD 200201 30 PUT	\$ 10.958 U	\$330,925.38			COMM CALC (Bonds @ 2.5%) CLOSE			
09/28/2000	300.000		GLOBAL CROSSING LTD 200201 30 PUT	\$ 8.375 U	\$253,171.84			COMM CALC (Bonds @ 2.5%) CLOSE			
	1,200.000	1,200.000						( \$52,078.50)	\$0.00	( \$52,078.50)	
02/22/2000		200.000	GLOBAL CROSSING LTD 200201 35 PUT	\$ 6.000 U		\$118,824.75		COMM CALC (Bonds @ 2.5%) OPEN			
03/10/2000		50.000	GLOBAL CROSSING LTD 200201 35 PUT	\$ 5.125 U		\$25,196.84		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	200.000	DELV SHRT	GLOBAL CROSSING LTD 200201 35 PUT		\$140,000.00			VALUED PER STMT TRANS			
03/30/2000	50.000	DELV SHRT	GLOBAL CROSSING LTD 200201 35 PUT		\$35,000.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	200.000	GLOBAL CROSSING LTD 200201 35 PUT			\$227,500.00		VALUED PER STMT TRANS			
05/15/2000	RECV SHORT	50.000	GLOBAL CROSSING LTD 200201 35 PUT			\$58,750.00		VALUED PER STMT TRANS			
11/29/2000	2.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
12/05/2000	15.000		GLOBAL CROSSING LTD 200201 35 PUT	\$ 22.875	\$34,889.52			COMM CALC (Bonds @ 2.5%) CLOSE			
02/27/2001	23.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
04/05/2001	22.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
04/09/2001	10.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
04/10/2001	13.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
05/10/2001	8.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
05/10/2001	4.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
05/16/2001	10.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
05/16/2001	6.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
06/06/2001	9.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
06/12/2001	16.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
06/13/2001	25.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
06/20/2001	50.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
06/20/2001	3.000		GLOBAL CROSSING LTD 200201 35 PUT	\$ 28.400	\$8,559.49			COMM CALC (Bonds @ 2.5%) CLOSE			
06/27/2001	25.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
07/18/2001	1.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
07/18/2001	4.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
07/19/2001	4.000		GLOBAL CROSSING LTD 200201 35 PUT					ASSIGNED			
	500.000	500.000						<b>\$211,822.58</b>	<b>\$0.00</b>	<b>\$211,822.58</b>	
02/15/2000		200.000	GLOBAL CROSSING LTD 200201 40 PUT	\$ 7.375 U		\$146,233.86		COMM CALC (Bonds @ 2.5%) OPEN			
02/16/2000		100.000	GLOBAL CROSSING LTD 200201 40 PUT	\$ 6.900 U		\$68,252.81		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	300.000	DELV SHRT	GLOBAL CROSSING LTD 200201 40 PUT		\$288,750.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	300.000	GLOBAL CROSSING LTD 200201 40 PUT			\$438,750.00		VALUED PER STMT TRANS			
09/28/2000	300.000		GLOBAL CROSSING LTD 200201 40 PUT	\$ 15.000 U	\$452,572.07			COMM CALC (Bonds @ 2.5%) CLOSE			
	600.000	600.000						<b>( \$88,085.40)</b>	<b>\$0.00</b>	<b>( \$88,085.40)</b>	
01/10/2000	2100.000		GLOBALSTAR TELECOMM	\$ 41.875	\$88,462.50			COMM CALC (Bonds @ 2.5%)			
01/10/2000	200.000		GLOBALSTAR TELECOMM	\$ 41.625	\$8,375.00			COMM CALC (Bonds @ 2.5%)			
01/10/2000	2500.000		GLOBALSTAR TELECOMM	\$ 39.000	\$98,129.50			COMM CALC (Bonds @ 2.5%)			
01/10/2000	200.000		GLOBALSTAR TELECOMM	\$ 39.000	\$7,850.00			COMM CALC (Bonds @ 2.5%)			
02/25/2000	500.000		GLOBALSTAR TELECOMM	\$ 25.375 U	\$12,767.00			COMM CALC (Bonds @ 2.5%)			
02/25/2000	500.000		GLOBALSTAR TELECOMM	\$ 25.375 U	\$12,762.50			COMM CALC (Bonds @ 2.5%)			
02/25/2000	3500.000		GLOBALSTAR TELECOMM	\$ 25.563 U	\$89,993.75			COMM CALC (Bonds @ 2.5%)			
02/25/2000	500.000		GLOBALSTAR TELECOMM	\$ 25.563 U	\$12,856.25			COMM CALC (Bonds @ 2.5%)			
03/14/2000	200.000		GLOBALSTAR TELECOMM	\$ 18.250 U	\$3,701.71			COMM CALC (Bonds @ 2.5%)			
03/14/2000	300.000		GLOBALSTAR TELECOMM	\$ 18.125 U	\$5,508.30			COMM CALC (Bonds @ 2.5%)			
03/15/2000	500.000		GLOBALSTAR TELECOMM	\$ 16.125 U	\$8,142.00			COMM CALC (Bonds @ 2.5%)			
03/15/2000	4500.000		GLOBALSTAR TELECOMM	\$ 16.250 U	\$73,800.00			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	15000.000	GLOBALSTAR TELECOMM			\$208,125.00		VALUED PER STMT TRANS			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
03/30/2000	DELV	500.000	GLOBALSTAR TELECOMM			\$6,875.00		VALUED PER STMT TRANS			
05/05/2000	30000.000	RECV	GLOBALSTAR TELECOMM		\$301,890.00			VALUED PER STMT TRANS			
05/05/2000	20000.000	RECV	GLOBALSTAR TELECOMM		\$201,260.00			VALUED PER STMT TRANS			
06/01/2000		523.000	GLOBALSTAR TELECOMM	\$ 9.375 U		\$4,859.23		COMM CALC (Bonds @ 2.5%)			
06/01/2000		1000.000	GLOBALSTAR TELECOMM	\$ 9.375 U		\$9,299.68		COMM CALC (Bonds @ 2.5%)			
06/01/2000		1000.000	GLOBALSTAR TELECOMM	\$ 9.375 U		\$9,299.68		COMM CALC (Bonds @ 2.5%)			
06/01/2000		4000.000	GLOBALSTAR TELECOMM	\$ 9.375 U		\$37,198.75		COMM CALC (Bonds @ 2.5%)			
06/01/2000		600.000	GLOBALSTAR TELECOMM	\$ 9.375 U		\$5,579.81		COMM CALC (Bonds @ 2.5%)			
06/01/2000		200.000	GLOBALSTAR TELECOMM	\$ 9.000 U		\$1,784.94		COMM CALC (Bonds @ 2.5%)			
06/01/2000		1000.000	GLOBALSTAR TELECOMM	\$ 9.000 U		\$8,924.70		COMM CALC (Bonds @ 2.5%)			
06/01/2000		677.000	GLOBALSTAR TELECOMM	\$ 8.813 U		\$5,915.08		COMM CALC (Bonds @ 2.5%)			
06/01/2000		1000.000	GLOBALSTAR TELECOMM	\$ 8.813 U		\$8,737.20		COMM CALC (Bonds @ 2.5%)			
10/02/2000		30000.000	GLOBALSTAR TELECOMM	\$ 9.105		\$271,648.90		COMM CALC (Bonds @ 2.5%)			
11/06/2000	700.000		GLOBALSTAR TELECOMM	\$ 30.000	\$21,067.50			COMM CALC (Bonds @ 2.5%)			
11/06/2000	9100.000		GLOBALSTAR TELECOMM	\$ 30.000	\$273,819.00			COMM CALC (Bonds @ 2.5%)			
11/08/2000	2500.000		GLOBALSTAR TELECOMM	\$ 25.000	\$62,629.50			COMM CALC (Bonds @ 2.5%)			
11/14/2000	2500.000		GLOBALSTAR TELECOMM	\$ 30.000	\$75,129.50			COMM CALC (Bonds @ 2.5%)			
11/15/2000	6400.000		GLOBALSTAR TELECOMM	\$ 25.000	\$160,772.50			COMM CALC (Bonds @ 2.5%)			
11/15/2000	4200.000		GLOBALSTAR TELECOMM	\$ 30.000	\$126,420.00			COMM CALC (Bonds @ 2.5%)			
11/15/2000		1000.000	GLOBALSTAR TELECOMM	\$ 2.281 U		\$2,246.67		COMM CALC (Bonds @ 2.5%)			
11/15/2000		21300.000	GLOBALSTAR TELECOMM	\$ 2.250 U		\$47,284.40		COMM CALC (Bonds @ 2.5%)			
11/16/2000	1500.000		GLOBALSTAR TELECOMM	\$ 35.000	\$52,862.88			COMM CALC (Bonds @ 2.5%)			
12/15/2000	1600.000		GLOBALSTAR TELECOMM	\$ 15.000	\$24,325.06			COMM CALC (Bonds @ 2.5%)			
01/12/2001	3200.000		GLOBALSTAR TELECOMM	\$ 35.000	\$112,664.16			COMM CALC (Bonds @ 2.5%)			
01/19/2001	5000.000		GLOBALSTAR TELECOMM	\$ 25.000	\$125,504.50			COMM CALC (Bonds @ 2.5%)			
01/19/2001	18600.000		GLOBALSTAR TELECOMM	\$ 25.000	\$466,860.00			COMM CALC (Bonds @ 2.5%)			
01/22/2001	6200.000		GLOBALSTAR TELECOMM	\$ 30.000	\$186,624.50			COMM CALC (Bonds @ 2.5%)			
01/22/2001	10500.000		GLOBALSTAR TELECOMM	\$ 30.000	\$316,050.00			COMM CALC (Bonds @ 2.5%)			
01/24/2001	200.000		GLOBALSTAR TELECOMM	\$ 30.000	\$6,081.26			COMM CALC (Bonds @ 2.5%)			
01/25/2001	4800.000		GLOBALSTAR TELECOMM	\$ 25.000	\$120,768.00			COMM CALC (Bonds @ 2.5%)			
01/25/2001	1800.000		GLOBALSTAR TELECOMM	\$ 30.000	\$54,292.50			COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
01/25/2001	1900.000		GLOBALSTAR TELECOMM	\$ 35.000	\$66,804.00			COMM CALC (Bonds @ 2.5%)			
01/25/2001	800.000		GLOBALSTAR TELECOMM	\$ 35.000	\$28,128.00			COMM CALC (Bonds @ 2.5%)			
01/26/2001	14900.000		GLOBALSTAR TELECOMM	\$ 25.000	\$373,994.50			COMM CALC (Bonds @ 2.5%)			
01/26/2001	3000.000		GLOBALSTAR TELECOMM	\$ 30.000	\$90,300.00			COMM CALC (Bonds @ 2.5%)			
01/26/2001	2100.000		GLOBALSTAR TELECOMM	\$ 35.000	\$73,710.00			COMM CALC (Bonds @ 2.5%)			
01/26/2001	5400.000		GLOBALSTAR TELECOMM	\$ 35.000	\$189,810.00			COMM CALC (Bonds @ 2.5%)			
02/02/2001	2300.000		GLOBALSTAR TELECOMM	\$ 25.000	\$57,864.45			COMM CALC (Bonds @ 2.5%)			
02/02/2001	2500.000		GLOBALSTAR TELECOMM	\$ 25.000	\$62,891.25			COMM CALC (Bonds @ 2.5%)			
02/02/2001	1700.000		GLOBALSTAR TELECOMM	\$ 35.000	\$59,766.05			COMM CALC (Bonds @ 2.5%)			
02/02/2001	2400.000		GLOBALSTAR TELECOMM	\$ 35.000	\$84,375.38			COMM CALC (Bonds @ 2.5%)			
02/05/2001	200.000		GLOBALSTAR TELECOMM	\$ 25.000	\$5,059.62			COMM CALC (Bonds @ 2.5%)			
02/05/2001	600.000		GLOBALSTAR TELECOMM	\$ 25.000	\$15,165.36			COMM CALC (Bonds @ 2.5%)			
02/05/2001	400.000		GLOBALSTAR TELECOMM	\$ 35.000	\$14,110.24			COMM CALC (Bonds @ 2.5%)			
02/06/2001	200.000		GLOBALSTAR TELECOMM	\$ 30.000	\$6,158.01			COMM CALC (Bonds @ 2.5%)			
02/09/2001	1000.000		GLOBALSTAR TELECOMM	\$ 25.000	\$25,190.90			COMM CALC (Bonds @ 2.5%)			
02/09/2001	1000.000		GLOBALSTAR TELECOMM	\$ 25.000	\$25,186.40			COMM CALC (Bonds @ 2.5%)			
02/09/2001	600.000		GLOBALSTAR TELECOMM	\$ 30.000	\$18,111.84			COMM CALC (Bonds @ 2.5%)			
02/09/2001	200.000		GLOBALSTAR TELECOMM	\$ 35.000	\$7,037.32			COMM CALC (Bonds @ 2.5%)			
02/16/2001	200.000		GLOBALSTAR TELECOMM	\$ 25.000	\$5,104.72			COMM CALC (Bonds @ 2.5%)			
02/16/2001	1000.000		GLOBALSTAR TELECOMM	\$ 30.000	\$30,501.09			COMM CALC (Bonds @ 2.5%)			
03/07/2001	200.000		GLOBALSTAR TELECOMM	\$ 15.000	\$3,113.24			COMM CALC (Bonds @ 2.5%)			
03/09/2001	30000.000		GLOBALSTAR TELECOMM	\$ 15.000	\$451,804.50			COMM CALC (Bonds @ 2.5%)			
03/09/2001	400.000		GLOBALSTAR TELECOMM	\$ 35.000	\$14,024.00			COMM CALC (Bonds @ 2.5%)			
03/16/2001	2400.000		GLOBALSTAR TELECOMM	\$ 15.000	\$36,388.76			COMM CALC (Bonds @ 2.5%)			
03/23/2001		2000.000	GLOBALSTAR TELECOMM	\$ 0.688U		\$1,350.45		COMM CALC (Bonds @ 2.5%)			
03/23/2001		2000.000	GLOBALSTAR TELECOMM	\$ 0.688U		\$1,354.95		COMM CALC (Bonds @ 2.5%)			
03/23/2001		6000.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$3,877.36		COMM CALC (Bonds @ 2.5%)			
03/23/2001		3800.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$2,455.66		COMM CALC (Bonds @ 2.5%)			
03/23/2001		300.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$193.87		COMM CALC (Bonds @ 2.5%)			
03/23/2001		700.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$452.36		COMM CALC (Bonds @ 2.5%)			
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$646.22		COMM CALC (Bonds @ 2.5%)			



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
03/23/2001		100.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$64.62					
03/23/2001		100.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$64.62					
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$646.22					
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$646.22					
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$646.22					
03/23/2001		300.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$193.87					
03/23/2001		400.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$258.49					
03/23/2001		300.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$193.87					
03/23/2001		1100.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$710.85		COMM CALC (Bonds @ 2.5%)			
03/23/2001		4700.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$3,037.27		COMM CALC (Bonds @ 2.5%)			
03/23/2001		100.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$64.62					
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$646.22					
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$646.22					
03/23/2001		100.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$64.62					
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$646.22					
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$ 0.656U		\$646.22					
03/29/2001	1200.000		GLOBALSTAR TELECOMM	\$ 15.000	\$18,238.86			COMM CALC (Bonds @ 2.5%)			
03/30/2001	2500.000		GLOBALSTAR TELECOMM	\$ 15.000	\$37,934.07			COMM CALC (Bonds @ 2.5%)			
04/06/2001	100.000		GLOBALSTAR TELECOMM	\$ 15.000	\$1,554.49			COMM CALC (Bonds @ 2.5%)			
04/09/2001	500.000		GLOBALSTAR TELECOMM	\$ 15.000	\$7,621.08			COMM CALC (Bonds @ 2.5%)			
04/10/2001	500.000		GLOBALSTAR TELECOMM	\$ 15.000	\$7,708.18			COMM CALC (Bonds @ 2.5%)			
04/10/2001	100.000		GLOBALSTAR TELECOMM	\$ 15.000	\$1,540.74			COMM CALC (Bonds @ 2.5%)			
04/17/2001	900.000		GLOBALSTAR TELECOMM	\$ 15.000	\$13,842.47			COMM CALC (Bonds @ 2.5%)			
06/28/2001		17000.000	GLOBALSTAR TELECOMM	\$ 0.340U		\$5,630.80		COMM CALC (Bonds @ 2.5%)			
08/16/2001		10000.000	GLOBALSTAR TELECOMM	\$ 0.330U		\$3,190.30		COMM CALC (Bonds @ 2.5%)			
08/16/2001		10000.000	GLOBALSTAR TELECOMM	\$ 0.330U		\$3,193.89		COMM CALC (Bonds @ 2.5%)			
08/16/2001		10000.000	GLOBALSTAR TELECOMM	\$ 0.330U		\$3,193.89		COMM CALC (Bonds @ 2.5%)			
08/16/2001		900.000	GLOBALSTAR TELECOMM	\$ 0.330U		\$287.45					
08/16/2001		9100.000	GLOBALSTAR TELECOMM	\$ 0.310U		\$2,724.44		COMM CALC (Bonds @ 2.5%)			
02/08/2002		60700.000	GLOBALSTAR TELECOMM	\$ 0.147U		\$8,472.11		COMM CALC (Bonds @ 2.5%)			
02/08/2002	6000.000	RECV	GLOBALSTAR TELECOMM		\$846.00			VALUED PER STMT TRANS			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
04/30/2002	IN ACCT	6000.000	GLOBALSTAR TELECOMM	\$ 0.137		\$822.00		VALUED PER 04/30/02 PORT			
	231,500.000	231,500.000						(\$4,270,453.73)	\$0.00	(\$4,270,453.73)	-86.4%
03/10/2000		5.000	GLOBALSTAR TELECOMM 200201 10 PUT	\$ 3.250		\$1,571.07		COMM CALC (Bonds @ 2.5%) OPEN			
03/30/2000	5.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 10 PUT		\$2,218.75			VALUED PER STMT TRANS			
	5.000	5.000						(\$647.68)	\$0.00	(\$647.68)	
03/08/2000		400.000	GLOBALSTAR TELECOMM 200201 15 PUT	\$ 5.500U		\$217,851.84		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	400.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 15 PUT		\$310,000.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	400.000	GLOBALSTAR TELECOMM 200201 15 PUT			\$385,000.00		VALUED PER STMT TRANS			
12/13/2000	16.000		GLOBALSTAR TELECOMM 200201 15 PUT					ASSIGNED			
03/05/2001	2.000		GLOBALSTAR TELECOMM 200201 15 PUT					ASSIGNED			
03/07/2001	300.000		GLOBALSTAR TELECOMM 200201 15 PUT					ASSIGNED			
03/14/2001	24.000		GLOBALSTAR TELECOMM 200201 15 PUT					ASSIGNED			
03/27/2001	12.000		GLOBALSTAR TELECOMM 200201 15 PUT					ASSIGNED			
03/28/2001	25.000		GLOBALSTAR TELECOMM 200201 15 PUT					ASSIGNED			
04/04/2001	1.000		GLOBALSTAR TELECOMM 200201 15 PUT					ASSIGNED			
04/05/2001	5.000		GLOBALSTAR TELECOMM 200201 15 PUT					ASSIGNED			
04/06/2001	1.000		GLOBALSTAR TELECOMM 200201 15 PUT					ASSIGNED			
04/06/2001	5.000		GLOBALSTAR TELECOMM 200201 15 PUT					ASSIGNED			
04/12/2001	9.000		GLOBALSTAR TELECOMM 200201 15 PUT					ASSIGNED			
	800.000	800.000						\$292,851.84	\$0.00	\$292,851.84	
01/06/2000		200.000	GLOBALSTAR TELECOMM 200201 25 PUT	\$ 8.500U		\$168,659.50		COMM CALC (Bonds @ 2.5%) OPEN			
01/07/2000		400.000	GLOBALSTAR TELECOMM 200201 25 PUT	\$ 8.766U		\$348,045.15		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	600.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 25 PUT		\$915,000.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	600.000	GLOBALSTAR TELECOMM 200201 25 PUT			\$1,095,000.00		VALUED PER STMT TRANS			
11/06/2000	25.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
11/13/2000	64.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
01/17/2001	50.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
01/17/2001	186.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
01/23/2001	48.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
01/24/2001	149.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
01/31/2001	23.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
01/31/2001	25.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
02/01/2001	2.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
02/01/2001	6.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
02/07/2001	10.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
02/07/2001	10.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
02/14/2001	2.000		GLOBALSTAR TELECOMM 200201 25 PUT					ASSIGNED			
	1,200.000	1,200.000						<b>\$696,704.65</b>	<b>\$0.00</b>	<b>\$696,704.65</b>	
01/04/2000		200.000	GLOBALSTAR TELECOMM 200201 30 PUT	\$ 10.875U		\$216,002.53		COMM CALC (Bonds @ 2.5%) OPEN			
01/06/2000		200.000	GLOBALSTAR TELECOMM 200201 30 PUT	\$ 11.406U		\$226,592.40		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	400.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 30 PUT		\$775,000.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	400.000	GLOBALSTAR TELECOMM 200201 30 PUT			\$910,000.00		VALUED PER STMT TRANS			
11/02/2000	7.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
11/02/2000	91.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
11/10/2000	25.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
11/13/2000	42.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
01/18/2001	62.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
01/18/2001	105.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
01/22/2001	2.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
01/23/2001	18.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
01/24/2001	30.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
02/02/2001	2.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
02/07/2001	6.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
02/14/2001	10.000		GLOBALSTAR TELECOMM 200201 30 PUT					ASSIGNED			
	800.000	800.000						<b>\$577,594.93</b>	<b>\$0.00</b>	<b>\$577,594.93</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
01/04/2000		200.000	GLOBALSTAR TELECOMM 200201 35 PUT	\$ 13.875 U		\$275,804.23		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	200.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 35 PUT		\$472,500.00			VALUED PER STMT TRANS			
05/05/2000	RCV SHORT	200.000	GLOBALSTAR TELECOMM 200201 35 PUT			\$545,000.00		VALUED PER STMT TRANS			
11/14/2000	15.000		GLOBALSTAR TELECOMM 200201 35 PUT					ASSIGNED			
01/10/2001	32.000		GLOBALSTAR TELECOMM 200201 35 PUT					ASSIGNED			
01/23/2001	8.000		GLOBALSTAR TELECOMM 200201 35 PUT					ASSIGNED			
01/23/2001	19.000		GLOBALSTAR TELECOMM 200201 35 PUT					ASSIGNED			
01/24/2001	21.000		GLOBALSTAR TELECOMM 200201 35 PUT					ASSIGNED			
01/24/2001	54.000		GLOBALSTAR TELECOMM 200201 35 PUT					ASSIGNED			
01/31/2001	17.000		GLOBALSTAR TELECOMM 200201 35 PUT					ASSIGNED			
01/31/2001	24.000		GLOBALSTAR TELECOMM 200201 35 PUT					ASSIGNED			
02/01/2001	4.000		GLOBALSTAR TELECOMM 200201 35 PUT					ASSIGNED			
02/07/2001	2.000		GLOBALSTAR TELECOMM 200201 35 PUT					ASSIGNED			
03/07/2001	4.000		GLOBALSTAR TELECOMM 200201 35 PUT					ASSIGNED			
	400.000	400.000						<b>\$348,304.23</b>	<b>\$0.00</b>	<b>\$348,304.23</b>	
03/10/2000		5.000	GLOBALSTAR TELECOMM 200201 40 CALL	\$ 6.000		\$2,933.62		COMM CALC (Bonds @ 2.5%) OPEN			
03/30/2000	5.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 40 CALL		\$4,062.50			VALUED PER STMT TRANS			
	5.000	5.000						( <b>\$1,128.88</b> )	<b>\$0.00</b>	( <b>\$1,128.88</b> )	
07/01/1999	20.000		HEALTH MGMT ASSN 199908 12.5 CALL	\$ 0.625	\$1,356.78			COMM CALC (Bonds @ 2.5%) OPEN			
08/23/1999		20.000	HEALTH MGMT ASSN 199908 12.5 CALL					EXPIRED			
	20.000	20.000						( <b>\$1,356.78</b> )	<b>\$0.00</b>	( <b>\$1,356.78</b> )	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
07/06/1999	100.000		HEALTH MGMT ASSN INC NEW CL A	\$ 11.125U	\$1,166.99			COMM CALC (Bonds @ 2.5%)			
12/10/1999		100.000	HEALTH MGMT ASSN INC NEW CL A	\$ 12.188		\$1,155.16		COMM CALC (Bonds @ 2.5%)			
	100.000	100.000						( \$11.83)	\$0.00	( \$11.83)	-1.0%
08/29/1997	11100.000	OPEN	HUMANA INC	\$ 23.563	\$261,549.30			VALUED PER 08/29/97 PORT			
03/29/2000	DELV	11100.000	HUMANA INC			\$83,250.00		VALUED PER STMT TRANS			
05/05/2000	11100.000	RECV	HUMANA INC		\$73,537.50			VALUED PER STMT TRANS			
06/28/2001		7900.000	HUMANA INC	\$ 9.700U		\$75,911.94		COMM CALC (Bonds @ 2.5%)			
06/28/2001		3200.000	HUMANA INC	\$ 9.680U		\$30,686.96		COMM CALC (Bonds @ 2.5%)			
	22,200.000	22,200.000						( \$145,237.90)	\$0.00	( \$145,237.90)	-43.3%
10/30/2000	500.000		INFOSPACE INC	\$ 20.688U	\$10,601.83			COMM CALC (Bonds @ 2.5%)			
12/29/2000		500.000	INFOSPACE INC	\$ 6.563U		\$3,125.17		COMM CALC (Bonds @ 2.5%)			
05/23/2001	500.000		INFOSPACE INC	\$ 4.220U	\$2,177.80			COMM CALC (Bonds @ 2.5%)			
06/13/2001		500.000	INFOSPACE INC	\$ 2.830U		\$1,805.43		COMM CALC (Bonds @ 2.5%)			
	1,000.000	1,000.000						( \$7,849.03)	\$0.00	( \$7,849.03)	-61.4%
08/29/1997	6000.000	OPEN	INTL BUSINESS MACH	\$ 101.375	\$608,250.00			VALUED PER 08/29/97 PORT			
09/10/1997			INTL BUSINESS MACH			\$1,200.00		DIVIDEND			
12/10/1997			INTL BUSINESS MACH			\$1,200.00		DIVIDEND			
03/10/1998			INTL BUSINESS MACH			\$1,200.00		DIVIDEND			
06/10/1998			INTL BUSINESS MACH			\$1,320.00		DIVIDEND			
09/10/1998			INTL BUSINESS MACH			\$1,320.00		DIVIDEND			
12/10/1998			INTL BUSINESS MACH			\$1,320.00		DIVIDEND			
03/10/1999			INTL BUSINESS MACH			\$1,320.00		DIVIDEND			
05/27/1999	6000.000		INTL BUSINESS MACH					STOCK DIVIDEND			
06/10/1999			INTL BUSINESS MACH			\$1,440.00		DIVIDEND			
09/10/1999			INTL BUSINESS MACH			\$1,440.00		DIVIDEND			
09/24/1999	2000.000		INTL BUSINESS MACH	\$ 126.688	\$253,519.50			COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
09/24/1999		2000.000	INTL BUSINESS MACH	\$ 126.875		\$253,597.04		COMM CALC (Bonds @ 2.5%)			
12/10/1999			INTL BUSINESS MACH			\$1,440.00		DIVIDEND			
12/14/1999	3000.000		INTL BUSINESS MACH	\$ 113.750 U	\$342,004.50			COMM CALC (Bonds @ 2.5%)			
03/10/2000			INTL BUSINESS MACH			\$1,800.00		DIVIDEND			
03/29/2000	DELV	15000.000	INTL BUSINESS MACH			\$1,792,500.00		VALUED PER STMT TRANS			
05/05/2000	15000.000	RECV	INTL BUSINESS MACH		\$1,618,125.00			VALUED PER STMT TRANS			
05/05/2000	4000.000	RECV	INTL BUSINESS MACH		\$431,500.00			VALUED PER STMT TRANS			
05/24/2000		3000.000	INTL BUSINESS MACH	\$ 106.250 U		\$318,284.87		COMM CALC (Bonds @ 2.5%)			
06/12/2000			INTL BUSINESS MACH			\$2,470.00		DIVIDEND			
09/11/2000			INTL BUSINESS MACH			\$2,080.00		DIVIDEND			
10/17/2000		3000.000	INTL BUSINESS MACH	\$ 104.500 U		\$312,735.05		COMM CALC (Bonds @ 2.5%)			
12/11/2000			INTL BUSINESS MACH			\$1,690.00		DIVIDEND			
12/26/2000		3000.000	INTL BUSINESS MACH	\$ 85.500 U		\$256,186.95		COMM CALC (Bonds @ 2.5%)			
03/05/2001		5000.000	INTL BUSINESS MACH	\$ 99.738 U		\$497,920.87		COMM CALC (Bonds @ 2.5%)			
03/12/2001			INTL BUSINESS MACH			\$1,300.00		DIVIDEND			
06/11/2001			INTL BUSINESS MACH			\$700.00		DIVIDEND			
09/10/2001			INTL BUSINESS MACH			\$700.00		DIVIDEND			
09/11/2001		2000.000	INTL BUSINESS MACH	\$ 98.300 U		\$195,541.30		COMM CALC (Bonds @ 2.5%)			
09/26/2001		2000.000	INTL BUSINESS MACH	\$ 90.000		\$179,006.04		COMM CALC (Bonds @ 2.5%)			
12/10/2001			INTL BUSINESS MACH			\$140.00		DIVIDEND			
03/11/2002			INTL BUSINESS MACH			\$140.00		DIVIDEND			
04/16/2002		1000.000	INTL BUSINESS MACH	\$ 83.600 U		\$83,030.69		COMM CALC (Bonds @ 2.5%)			
	36,000.000	36,000.000						<b>\$635,403.81</b>	<b>\$24,220.00</b>	<b>\$659,623.81</b>	<b>20.3%</b>

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
02/12/1998		30.000	INTL BUSINESS MACH 199901 100 PUT	\$ 9.000U		\$26,603.89		COMM CALC (Bonds @ 2.5%) OPEN			
01/19/1999	30.000		INTL BUSINESS MACH 199901 100 PUT					EXPIRED			
	30.000	30.000						<b>\$26,603.89</b>	<b>\$0.00</b>	<b>\$26,603.89</b>	
11/05/1999		100.000	INTL BUSINESS MACH 200201 80 PUT	\$ 9.750U		\$96,720.32		COMM CALC (Bonds @ 2.5%) OPEN			
11/08/1999		200.000	INTL BUSINESS MACH 200201 80 PUT	\$ 9.625U		\$191,085.14		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	300.000	DELV SHRT	INTL BUSINESS MACH 200201 80 PUT		\$159,375.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	300.000	INTL BUSINESS MACH 200201 80 PUT			\$202,500.00		VALUED PER STMT TRANS			
05/23/2000	300.000		INTL BUSINESS MACH 200201 80 PUT	\$ 7.250U	\$219,311.43			COMM CALC (Bonds @ 2.5%) CLOSE			
	600.000	600.000						<b>\$111,619.03</b>	<b>\$0.00</b>	<b>\$111,619.03</b>	
08/05/1999		100.000	INTL BUSINESS MACH 200201 90 PUT	\$ 7.500U		\$74,294.68		COMM CALC (Bonds @ 2.5%) OPEN			
08/06/1999		100.000	INTL BUSINESS MACH 200201 90 PUT	\$ 7.000U		\$69,311.19		COMM CALC (Bonds @ 2.5%) OPEN			
12/03/1999		100.000	INTL BUSINESS MACH 200201 90 PUT	\$ 10.375U		\$102,949.66		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	300.000	DELV SHRT	INTL BUSINESS MACH 200201 90 PUT		\$234,375.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	300.000	INTL BUSINESS MACH 200201 90 PUT			\$300,000.00		VALUED PER STMT TRANS			
05/23/2000	300.000		INTL BUSINESS MACH 200201 90 PUT	\$ 10.375U	\$313,368.14			COMM CALC (Bonds @ 2.5%) CLOSE			
	600.000	600.000						<b>( \$1,187.61)</b>	<b>\$0.00</b>	<b>( \$1,187.61)</b>	
10/16/2000		130.000	INTL BUSINESS MACH 200301 160 CALL	\$ 13.125U		\$169,406.60		COMM CALC (Bonds @ 2.5%) OPEN			
12/21/2000	30.000		INTL BUSINESS MACH 200301 160 CALL	\$ 6.000	\$18,308.64			COMM CALC (Bonds @ 2.5%) CLOSE			
03/01/2001	50.000		INTL BUSINESS MACH 200301 160 CALL	\$ 7.600U	\$38,485.02			COMM CALC (Bonds @ 2.5%) CLOSE			
09/07/2001	20.000		INTL BUSINESS MACH 200301 160 CALL	\$ 1.500U	\$3,176.06			COMM CALC (Bonds @ 2.5%) CLOSE			
09/24/2001	30.000		INTL BUSINESS MACH 200301 160 CALL	\$ 1.450	\$4,553.48			COMM CALC (Bonds @ 2.5%) CLOSE			
	130.000	130.000						<b>\$104,883.40</b>	<b>\$0.00</b>	<b>\$104,883.40</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
03/06/2000	200.000		IRVINE SENSORS CORP	\$ 10.031U	\$2,060.74			COMM CALC (Bonds @ 2.5%)			
03/16/2000	200.000		IRVINE SENSORS CORP	\$ 11.938U	\$2,441.99			COMM CALC (Bonds @ 2.5%)			
03/20/2000	100.000		IRVINE SENSORS CORP	\$ 9.500U	\$1,004.49			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	500.000	IRVINE SENSORS CORP			\$4,129.00		VALUED PER STMT TRANS			
	500.000	500.000						( \$1,378.22)	\$0.00	( \$1,378.22)	-25.0%
04/24/2001	2000.000		JDS UNIPHASE CORP	\$ 25.180U	\$50,846.73			COMM CALC (Bonds @ 2.5%)			
02/08/2002		1100.000	JDS UNIPHASE CORP	\$ 6.150U		\$6,604.38		COMM CALC (Bonds @ 2.5%)			
02/08/2002		900.000	JDS UNIPHASE CORP	\$ 6.140U		\$5,398.20		COMM CALC (Bonds @ 2.5%)			
	2,000.000	2,000.000						( \$38,844.15)	\$0.00	( \$38,844.15)	-76.4%
08/29/1997	5460.000	OPEN	KIMBERLY CLARK CORP	\$ 47.438	\$259,011.48			VALUED PER 08/29/97 PORT			
10/02/1997			KIMBERLY CLARK CORP			\$1,310.40		DIVIDEND			
01/05/1998			KIMBERLY CLARK CORP			\$1,310.40		DIVIDEND			
04/02/1998			KIMBERLY CLARK CORP			\$1,365.00		DIVIDEND			
07/02/1998			KIMBERLY CLARK CORP			\$1,365.00		DIVIDEND			
10/02/1998			KIMBERLY CLARK CORP			\$1,365.00		DIVIDEND			
01/05/1999			KIMBERLY CLARK CORP			\$1,365.00		DIVIDEND			
04/05/1999			KIMBERLY CLARK CORP			\$1,419.60		DIVIDEND			
07/02/1999			KIMBERLY CLARK CORP			\$1,419.60		DIVIDEND			
10/04/1999			KIMBERLY CLARK CORP			\$1,419.60		DIVIDEND			
01/04/2000			KIMBERLY CLARK CORP			\$1,419.60		DIVIDEND			
03/29/2000	DELV	5460.000	KIMBERLY CLARK CORP			\$290,062.50		VALUED PER STMT TRANS			
04/04/2000			KIMBERLY CLARK CORP			\$1,474.20		DIVIDEND			
05/05/2000	5460.000	RECV	KIMBERLY CLARK CORP		\$321,801.48			VALUED PER STMT TRANS			
07/06/2000			KIMBERLY CLARK CORP			\$1,474.20		DIVIDEND			
10/03/2000			KIMBERLY CLARK CORP			\$1,474.20		DIVIDEND			
01/03/2001			KIMBERLY CLARK CORP			\$1,474.20		DIVIDEND			
03/02/2001		5460.000	KIMBERLY CLARK CORP	\$ 71.000U		\$386,823.57		COMM CALC (Bonds @ 2.5%)			



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
	10,920.000	10,920.000						\$96,073.11	\$19,656.00	\$115,729.11	19.9%
08/29/1997	10000.000	OPEN	KMART CORP	\$ 13.875	\$138,750.00			VALUED PER 08/29/97 PORT			
09/03/1998		10000.000	KMART CORP	\$ 12.563 U		\$124,449.41		COMM CALC (Bonds @ 2.5%)			
	10,000.000	10,000.000						( \$14,300.59)	\$0.00	( \$14,300.59)	-10.3%
08/29/1997	10600.000	OPEN	KROGER COMPANY	\$ 30.125	\$319,325.00			VALUED PER 08/29/97 PORT			
06/29/1999	10600.000		KROGER COMPANY					STOCK DIVIDEND			
12/09/1999	10000.000		KROGER COMPANY	\$ 15.500 U	\$155,754.50			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	31200.000	KROGER COMPANY			\$551,865.60		VALUED PER STMT TRANS			
05/05/2000	31200.000	RECV	KROGER COMPANY		\$610,365.60			VALUED PER STMT TRANS			
10/16/2000		10000.000	KROGER COMPANY	\$ 21.438 U		\$213,363.35		COMM CALC (Bonds @ 2.5%)			
10/17/2000		20000.000	KROGER COMPANY	\$ 20.063 U		\$399,732.12		COMM CALC (Bonds @ 2.5%)			
10/18/2000		1200.000	KROGER COMPANY	\$ 21.250 U		\$25,434.65		COMM CALC (Bonds @ 2.5%)			
	62,400.000	62,400.000						\$104,950.62	\$0.00	\$104,950.62	9.7%
12/29/2000	500.000		KVH INDUSTRIES INC	\$ 5.563 U	\$2,924.81			COMM CALC (Bonds @ 2.5%)			
01/19/2001	500.000		KVH INDUSTRIES INC	\$ 9.125 U	\$4,677.37			COMM CALC (Bonds @ 2.5%)			
02/15/2001		500.000	KVH INDUSTRIES INC	\$ 7.750 U		\$3,820.37		COMM CALC (Bonds @ 2.5%)			
04/11/2001		500.000	KVH INDUSTRIES INC	\$ 6.531 U		\$3,162.76		COMM CALC (Bonds @ 2.5%)			
	1,000.000	1,000.000						( \$619.05)	\$0.00	( \$619.05)	-8.1%
08/29/1997	200.000	OPEN	LEHMAN BROS HOLDINGS INC	\$ 43.750	\$8,750.00			VALUED PER 08/29/97 PORT			
11/26/1997			LEHMAN BROS HOLDINGS INC			\$12.00		DIVIDEND			
02/26/1998			LEHMAN BROS HOLDINGS INC			\$15.00		DIVIDEND			
05/28/1998			LEHMAN BROS HOLDINGS INC			\$15.00		DIVIDEND			
08/28/1998			LEHMAN BROS HOLDINGS INC			\$15.00		DIVIDEND			
11/27/1998			LEHMAN BROS HOLDINGS INC			\$15.00		DIVIDEND			
02/25/1999			LEHMAN BROS HOLDINGS INC			\$18.00		DIVIDEND			
06/01/1999			LEHMAN BROS HOLDINGS INC			\$18.00		DIVIDEND			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
08/30/1999			LEHMAN BROS HOLDINGS INC			\$18.00		DIVIDEND			
11/29/1999			LEHMAN BROS HOLDINGS INC			\$18.00		DIVIDEND			
02/28/2000			LEHMAN BROS HOLDINGS INC			\$22.00		DIVIDEND			
03/29/2000	DELV	200.000	LEHMAN BROS HOLDINGS INC			\$20,250.00		VALUED PER STMT TRANS			
05/05/2000	200.000	RECV	LEHMAN BROS HOLDINGS INC		\$16,412.60			VALUED PER STMT TRANS			
05/30/2000			LEHMAN BROS HOLDINGS INC			\$22.00		DIVIDEND			
08/30/2000			LEHMAN BROS HOLDINGS INC			\$22.00		DIVIDEND			
10/23/2000	200.000		LEHMAN BROS HOLDINGS INC					STOCK DIVIDEND			
11/29/2000			LEHMAN BROS HOLDINGS INC			\$22.00		DIVIDEND			
02/27/2001			LEHMAN BROS HOLDINGS INC			\$28.00		DIVIDEND			
05/30/2001			LEHMAN BROS HOLDINGS INC			\$28.00		DIVIDEND			
08/30/2001			LEHMAN BROS HOLDINGS INC			\$28.00		DIVIDEND			
11/29/2001			LEHMAN BROS HOLDINGS INC			\$28.00		DIVIDEND			
02/27/2002			LEHMAN BROS HOLDINGS INC			\$36.00		DIVIDEND			
04/30/2002		400.000	LEHMAN BROS HOLDINGS INC	\$ 56.500U		\$22,306.23		COMM CALC (Bonds @ 2.5%)			
	600.000	600.000						<b>\$17,393.63</b>	<b>\$380.00</b>	<b>\$17,773.63</b>	<b>70.6%</b>
09/03/1997	50.000		LERNOUT & HAUSPIE 199712 20 PUT	\$ 1.000	\$5,287.45			COMM CALC (Bonds @ 2.5%) OPEN			
12/22/1997		50.000	LERNOUT & HAUSPIE 199712 20 PUT					EXPIRED			
	50.000	50.000						( \$5,287.45)	\$0.00	( \$5,287.45)	
12/13/1999	10.000		LEVEL 3 COMMUNICATIONS 200001 65 PUT	\$ 1.375	\$1,463.76			COMM CALC (Bonds @ 2.5%) OPEN			
01/24/2000		10.000	LEVEL 3 COMMUNICATIONS 200001 65 PUT					EXPIRED			
	10.000	10.000						( \$1,463.76)	\$0.00	( \$1,463.76)	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/05/2000	RECV SHORT	100.000	LEVEL 3 COMMUNICATIONS 200201 70 PUT			\$167,500.00		VALUED PER STMT TRANS			
09/28/2000	100.000		LEVEL 3 COMMUNICATIONS 200201 70 PUT	\$ 15.625 U	\$157,306.29			COMM CALC (Bonds @ 2.5%) CLOSE			
	<u>100.000</u>	<u>100.000</u>						<b>\$10,193.71</b>	<b>\$0.00</b>	<b>\$10,193.71</b>	
04/20/2001	500.000		LEXICON GENETICS INC	\$ 7.250 U	\$3,724.40			COMM CALC (Bonds @ 2.5%)			
05/23/2001		<u>500.000</u>	LEXICON GENETICS INC	\$ 7.500		\$3,585.31		COMM CALC (Bonds @ 2.5%)			
	<u>500.000</u>	<u>500.000</u>						( <b>\$139.09</b> )	<b>\$0.00</b>	( <b>\$139.09</b> )	<b>-3.7%</b>
04/23/2001		5.000	LEXICON GENETICS INC 200105 7.5 CALL	\$ 1.650 U		\$779.23		COMM CALC (Bonds @ 2.5%) OPEN			
05/21/2001	<u>5.000</u>		LEXICON GENETICS INC 200105 7.5 CALL					ASSIGNED			
	<u>5.000</u>	<u>5.000</u>						<b>\$779.23</b>	<b>\$0.00</b>	<b>\$779.23</b>	
01/26/2000	3000.000		LIGHTPATH TECHNOLOGIES INC CL A	\$ 28.060 U	\$84,934.49			COMM CALC (Bonds @ 2.5%)			
03/09/2000	400.000		LIGHTPATH TECHNOLOGIES INC CL A	\$ 59.500 U	\$23,931.06			COMM CALC (Bonds @ 2.5%)			
03/09/2000	200.000		LIGHTPATH TECHNOLOGIES INC CL A	\$ 59.500 U	\$11,963.28			COMM CALC (Bonds @ 2.5%)			
03/09/2000	800.000		LIGHTPATH TECHNOLOGIES INC CL A	\$ 59.500 U	\$47,853.03			COMM CALC (Bonds @ 2.5%)			
03/09/2000	200.000		LIGHTPATH TECHNOLOGIES INC CL A	\$ 59.500 U	\$11,963.28			COMM CALC (Bonds @ 2.5%)			
03/09/2000	400.000		LIGHTPATH TECHNOLOGIES INC CL A	\$ 59.500 U	\$23,926.56			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	5000.000	LIGHTPATH TECHNOLOGIES INC CL A			\$234,065.00		VALUED PER STMT TRANS			
04/27/2000		4800.000	LIGHTPATH TECHNOLOGIES INC CL A	\$ 19.875 U		\$94,912.32		COMM CALC (Bonds @ 2.5%)			
04/27/2000		200.000	LIGHTPATH TECHNOLOGIES INC CL A	\$ 19.875 U		\$3,954.86		COMM CALC (Bonds @ 2.5%)			
05/05/2000	<u>5000.000</u>	<u>REC</u>	LIGHTPATH TECHNOLOGIES INC CL A		\$142,500.00			VALUED PER STMT TRANS			
	<u>10,000.000</u>	<u>10,000.000</u>						( <b>\$14,139.52</b> )	<b>\$0.00</b>	( <b>\$14,139.52</b> )	<b>-4.1%</b>

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
01/22/2001	500.000		LONDON PAC GROUP LTD SPON ADR	\$ 10.000U	\$5,119.79			COMM CALC (Bonds @ 2.5%)			
01/22/2001	500.000		LONDON PAC GROUP LTD SPON ADR	\$ 10.000U	\$5,119.79			COMM CALC (Bonds @ 2.5%)			
02/15/2001		500.000	LONDON PAC GROUP LTD SPON ADR	\$ 9.620U		\$4,755.33		COMM CALC (Bonds @ 2.5%)			
02/28/2001		500.000	LONDON PAC GROUP LTD SPON ADR	\$ 7.850U		\$3,808.32		COMM CALC (Bonds @ 2.5%)			
07/25/2001	500.000		LONDON PAC GROUP LTD SPON ADR	\$ 5.330U	\$2,762.78			COMM CALC (Bonds @ 2.5%)			
09/07/2001			LONDON PAC GROUP LTD SPON ADR			\$44.00		DIVIDEND			
09/27/2001		500.000	LONDON PAC GROUP LTD SPON ADR	\$ 2.750U		\$1,300.45		COMM CALC (Bonds @ 2.5%)			
	1,500.000	1,500.000						( \$3,138.26)	\$44.00	( \$3,094.26)	-23.8%
01/26/2000		200.000	LUCENT TECHNOLOGIES INC 200201 45 PUT	\$ 7.125U		\$141,250.39		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	200.000	DELV SHRT	LUCENT TECHNOLOGIES INC 200201 45 PUT		\$101,250.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	200.000	LUCENT TECHNOLOGIES INC 200201 45 PUT			\$118,750.00		VALUED PER STMT TRANS			
05/23/2000	200.000		LUCENT TECHNOLOGIES INC 200201 45 PUT	\$ 6.625U	\$133,712.15			COMM CALC (Bonds @ 2.5%) CLOSE			
	400.000	400.000						\$25,038.24	\$0.00	\$25,038.24	
01/26/2000	1000.000		MAGAININ PHARMACEUTICALS INC	\$ 3.000U	\$3,154.50			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	1000.000	MAGAININ PHARMACEUTICALS INC			\$4,375.00		VALUED PER STMT TRANS			
	1,000.000	1,000.000						\$1,220.50	\$0.00	\$1,220.50	38.7%
07/08/1999	3000.000		MAIL.COM INC CL A	\$ 20.563	\$62,142.00			COMM CALC (Bonds @ 2.5%)			
07/09/1999	1600.000		MAIL.COM INC CL A	\$ 22.125	\$35,644.50			COMM CALC (Bonds @ 2.5%)			
07/09/1999	200.000		MAIL.COM INC CL A	\$ 22.000	\$4,430.00			COMM CALC (Bonds @ 2.5%)			
07/09/1999	200.000		MAIL.COM INC CL A	\$ 22.125	\$4,455.00			COMM CALC (Bonds @ 2.5%)			
07/16/1999	2000.000		MAIL.COM INC CL A	\$ 25.000U	\$50,304.50			COMM CALC (Bonds @ 2.5%)			
07/16/1999	1000.000		MAIL.COM INC CL A	\$ 24.500U	\$24,625.00			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	8000.000	MAIL.COM INC CL A			\$145,000.00		VALUED PER STMT TRANS			
04/27/2000		500.000	MAIL.COM INC CL A	\$ 9.000U		\$4,445.35		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
04/27/2000		300.000	MAIL.COM INC CL A	\$ 9.063U		\$2,688.65		COMM CALC (Bonds @ 2.5%)			
04/27/2000		1000.000	MAIL.COM INC CL A	\$ 9.063U		\$8,962.19		COMM CALC (Bonds @ 2.5%)			
04/27/2000		300.000	MAIL.COM INC CL A	\$ 9.000U		\$2,669.91		COMM CALC (Bonds @ 2.5%)			
05/05/2000	8000.000	RECV	MAIL.COM INC CL A		\$55,752.00			VALUED PER STMT TRANS			
05/24/2000		100.000	MAIL.COM INC CL A	\$ 6.750U		\$658.47		COMM CALC (Bonds @ 2.5%)			
05/24/2000		1000.000	MAIL.COM INC CL A	\$ 6.750U		\$6,629.77		COMM CALC (Bonds @ 2.5%)			
05/24/2000		1000.000	MAIL.COM INC CL A	\$ 6.750U		\$6,629.77		COMM CALC (Bonds @ 2.5%)			
05/24/2000		700.000	MAIL.COM INC CL A	\$ 6.750U		\$4,640.84		COMM CALC (Bonds @ 2.5%)			
05/24/2000		1500.000	MAIL.COM INC CL A	\$ 6.750U		\$9,944.66		COMM CALC (Bonds @ 2.5%)			
05/24/2000		500.000	MAIL.COM INC CL A	\$ 6.750U		\$3,314.88		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1100.000	MAIL.COM INC CL A	\$ 5.563U		\$6,059.04		COMM CALC (Bonds @ 2.5%)			
	16,000.000	16,000.000						( \$35,709.47)	\$0.00	( \$35,709.47)	-15.0%
08/29/1997	8000.000	OPEN	MANAGED MUNI PORTFOLIO II INC	\$ 11.688	\$93,504.00			VALUED PER 08/29/97 PORT			
09/26/1997			MANAGED MUNI PORTFOLIO II INC			\$448.00		DIVIDEND			
10/30/1997			MANAGED MUNI PORTFOLIO II INC			\$448.00		DIVIDEND			
11/26/1997			MANAGED MUNI PORTFOLIO II INC			\$448.00		DIVIDEND			
12/26/1997			MANAGED MUNI PORTFOLIO II INC			\$1,280.00		DIVIDEND STG			
12/26/1997			MANAGED MUNI PORTFOLIO II INC			\$80.00		DIVIDEND LTG			
01/29/1998			MANAGED MUNI PORTFOLIO II INC			\$448.00		DIVIDEND			
02/26/1998			MANAGED MUNI PORTFOLIO II INC			\$448.00		DIVIDEND			
03/27/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
04/24/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
05/28/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
06/26/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
07/30/1998			MANAGED MUNI PORTFOLIO II INC			\$384.00		DIVIDEND			
08/28/1998			MANAGED MUNI PORTFOLIO II INC			\$384.00		DIVIDEND			
09/25/1998			MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND			
10/29/1998			MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND			
11/27/1998			MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
12/24/1998			MANAGED MUNI PORTFOLIO II INC			\$1,416.00		DIVIDEND STG			
01/28/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND			
03/15/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND			
03/26/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND			
04/29/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND			
05/27/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00		DIVIDEND			
06/25/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
07/29/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
08/27/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
09/24/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
10/28/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
11/26/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
12/30/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
01/28/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
02/25/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
03/29/2000	DELV	8000.000	MANAGED MUNI PORTFOLIO II INC			\$74,000.00		VALUED PER STMT TRANS			
03/30/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
05/05/2000	8000.000	RECV	MANAGED MUNI PORTFOLIO II INC		\$74,000.00			VALUED PER STMT TRANS			
05/26/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
06/29/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
07/28/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
08/25/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
09/28/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00		DIVIDEND			
10/18/2000		8000.000	MANAGED MUNI PORTFOLIO II INC	\$ 9.813U		\$77,692.88		COMM CALC (Bonds @ 2.5%)			
	16,000.000	16,000.000						( \$15,811.12)	\$16,520.00	\$708.88	0.4%

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/05/2000	4000.000	RECV	MANUFACTURED HOME COMMUNITIES INC		\$101,500.00			VALUED PER STMT TRANS			
07/14/2000			MANUFACTURED HOME COMMUNITIES INC			\$1,660.00		DIVIDEND			
10/13/2000			MANUFACTURED HOME COMMUNITIES INC			\$1,660.00		DIVIDEND			
10/17/2000		4000.000	MANUFACTURED HOME COMMUNITIES INC	\$ 24.750 U		\$98,223.49		COMM CALC (Bonds @ 2.5%)			
	<u>4,000.000</u>	<u>4,000.000</u>						( \$3,276.51)	\$3,320.00	\$43.49	0.0%
12/17/1998		100.000	MCI WORLDCOM INC 200101 50 PUT	\$ 4.500		\$44,265.36		COMM CALC (Bonds @ 2.5%) OPEN			
12/31/1999	100.000		MCI WORLDCOM INC 200101 50 PUT					MCI WORLDCOM INC 200101 33.375 150 SHS PUT			
12/31/1999		100.000	MCI WORLDCOM INC 200101 33.375 150 SHS PUT					MCI WORLDCOM INC 200101 50 PUT			
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 33.375 150 SHS PUT		\$31,875.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 33.375 150 SHS PUT			\$25,000.00		VALUED PER STMT TRANS			
05/30/2000	100.000		MCI WORLDCOM INC 200101 33.375 150 SHS PUT	\$ 3.375 U	\$51,248.09			COMM CALC (Bonds @ 2.5%) CLOSE			
	<u>300.000</u>	<u>300.000</u>						( \$13,857.73)	\$0.00	( \$13,857.73)	
03/25/1999		100.000	MCI WORLDCOM INC 200101 60 PUT	\$ 4.625		\$45,515.31		COMM CALC (Bonds @ 2.5%) OPEN			
07/01/1999		100.000	MCI WORLDCOM INC 200101 60 PUT	\$ 4.000 U		\$39,385.95		COMM CALC (Bonds @ 2.5%) OPEN			
07/30/1999		200.000	MCI WORLDCOM INC 200101 60 PUT	\$ 3.875 U		\$76,465.20		COMM CALC (BONDS @ 2.5%) OPEN			
08/03/1999		10.000	MCI WORLDCOM INC 200101 60 PUT	\$ 4.000 U		\$3,810.96		COMM CALC (Bonds @ 2.5%) OPEN			
08/04/1999		10.000	MCI WORLDCOM INC 200101 60 PUT	\$ 4.000 U		\$3,810.96		COMM CALC (Bonds @ 2.5%) OPEN			
08/10/1999		200.000	MCI WORLDCOM INC 200101 60 PUT	\$ 4.750 U		\$93,907.37		COMM CALC (Bonds @ 2.5%) OPEN			
10/25/1999	10.000		MCI WORLDCOM INC 200101 60 PUT	\$ 5.625	\$5,840.27			COMM CALC (Bonds @ 2.5%) CLOSE			
12/31/1999	600.000		MCI WORLDCOM INC 200101 60 PUT					MCI WORLDCOM INC 200101 40 150 SHS PUT			
12/31/1999		600.000	MCI WORLDCOM INC 200101 40 150 SHS PUT					MCI WORLDCOM INC 200101 60 PUT			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
12/31/1999	10.000		MCI WORLDCOM INC 200101 60 PUT					MCI WORLDCOM INC 200101 40 150 SHS PUT			
12/31/1999		10.000	MCI WORLDCOM INC 200101 40 150 SHS PUT					MCI WORLDCOM INC 200101 60 PUT			
03/29/2000	600.000	DELV SHRT	MCI WORLDCOM INC 200101 40 150 SHS PUT		\$356,250.00			VALUED PER STMT TRANS			
03/30/2000	10.000	DELV SHRT	MCI WORLDCOM INC 200101 40 150 SHS PUT		\$5,937.50			VALUED PER STMT TRANS			
05/12/2000	RECV SHORT	10.000	MCI WORLDCOM INC 200101 40 150 SHS PUT					NOT VALUED PER STMT TRANS			
11/30/2000	10.000		WORLDCOM INC 200101 40 150 SHS PUT					ASSIGNED			
	1,240.000	1,240.000						( \$105,132.02)	\$0.00	( \$105,132.02)	
05/24/1999		10.000	MCI WORLDCOM INC 200101 70 PUT	\$ 6.750U		\$6,518.21		COMM CALC (Bonds @ 2.5%) OPEN			
05/24/1999		10.000	MCI WORLDCOM INC 200101 70 PUT	\$ 6.750U		\$6,518.21		COMM CALC (Bonds @ 2.5%) OPEN			
07/01/1999		100.000	MCI WORLDCOM INC 200101 70 PUT	\$ 6.625U		\$65,666.88		COMM CALC (Bonds @ 2.5%) OPEN			
07/01/1999		200.000	MCI WORLDCOM INC 200101 70 PUT	\$ 6.375U		\$126,342.93		COMM CALC (Bonds @ 2.5%) OPEN			
10/01/1999	10.000		MCI WORLDCOM INC 200101 70 PUT	\$ 10.250	\$10,254.50			CLOSE			
12/31/1999		300.000	MCI WORLDCOM INC 200101 46.625 150 SHS PUT					MCI WORLDCOM INC 200101 70 PUT			
12/31/1999	300.000		MCI WORLDCOM INC 200101 70 PUT					MCI WORLDCOM INC 200101 46.625 150 SHS PUT			
12/31/1999		10.000	MCI WORLDCOM INC 200101 46.625 150 SHS PUT					MCI WORLDCOM INC 200101 70 PUT			
12/31/1999	10.000		MCI WORLDCOM INC 200101 70 PUT					MCI WORLDCOM INC 200101 46.625 150 SHS PUT			
03/29/2000	300.000	DELV SHRT	MCI WORLDCOM INC 200101 46.625 150 SHS PUT		\$273,750.00			VALUED PER STMT TRANS			
03/30/2000	10.000	DELV SHRT	MCI WORLDCOM INC 200101 46.625 150 SHS PUT		\$9,125.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	280.000	MCI WORLDCOM INC 200101 46.625 150 SHS PUT			\$227,500.00		VALUED PER STMT TRANS			
05/11/2000	RECV SHORT	10.000	MCI WORLDCOM INC 200101 46.625 150 SHS PUT					NOT VALUED PER STMT TRANS			
05/31/2000	200.000		MCI WORLDCOM INC 200101 46.625 150 SHS PUT	\$ 10.500U	\$316,778.58			COMM CALC (Bonds @ 2.5%) CLOSE			
05/31/2000	80.000		MCI WORLDCOM INC 200101 46.625 150	\$ 10.750	\$129,709.62			COMM CALC (Bonds @ 2.5%) CLOSE			



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
			SHS PUT								
09/08/2000	4.000		WORLDCOM INC 200101 46.625 150 SHS PUT					ASSIGNED			
09/28/2000	6.000		WORLDCOM INC 200101 46.625 150 SHS PUT					ASSIGNED			
	<u>920.000</u>	<u>920.000</u>						( \$307,071.47)	\$0.00	( \$307,071.47)	
04/09/1999		100.000	MCI WORLDCOM INC 200101 75 PUT	\$ 9.250U		\$91,668.14		COMM CALC (Bonds @ 2.5%) OPEN			
12/31/1999		100.000	MCI WORLDCOM INC 200101 50 150 SHS PUT					MCI WORLDCOM INC 200101 75 PUT			
12/31/1999	100.000		MCI WORLDCOM INC 200101 75 PUT					MCI WORLDCOM INC 200101 50 150 SHS PUT			
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 50 150 SHS PUT		\$113,750.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 50 150 SHS PUT			\$105,000.00		VALUED PER STMT TRANS			
08/24/2000	25.000		MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED			
09/13/2000	19.000		MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED			
09/22/2000	5.000		MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED			
10/10/2000	1.000		MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED			
11/08/2000	31.000		MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED			
11/15/2000	10.000		MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED			
11/16/2000	3.000		MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED			
11/20/2000	4.000		MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED			
01/22/2001	2.000		MCI WORLDCOM INC 200101 50 150 SHS PUT					ASSIGNED			
	<u>300.000</u>	<u>300.000</u>						\$82,918.14	\$0.00	\$82,918.14	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
12/16/1999	200.000		MCI WORLDCOM INC 200101 80 CALL	\$ 13.125U	\$264,137.44			COMM CALC (Bonds @ 2.5%) OPEN			
12/31/1999	200.000		MCI WRLDCM INC 200101 53.375 150 SHS CALL					MCI WORLDCOM INC 200101 80 CALL			
12/31/1999		200.000	MCI WORLDCOM INC 200101 80 CALL					MCI WRLDCM INC 200101 53.375 150 SHS CALL			
03/29/2000	DELV	200.000	MCI WRLDCM INC 200101 53.375 150 SHS CALL			\$88,750.00		VALUED PER STMT TRANS			
05/05/2000	200.000	RECV	MCI WRLDCM INC 200101 53.375 150 SHS CALL		\$78,750.00			VALUED PER STMT TRANS			
05/16/2000		200.000	MCI WRLDCM INC 200101 53.375 150 SHS CALL	\$ 3.000U		\$88,826.75		COMM CALC (Bonds @ 2.5%) CLOSE			
	600.000	600.000						( \$165,310.69)	\$0.00	( \$165,310.69)	
04/29/1999		100.000	MCI WORLDCOM INC 200101 85 PUT	\$ 13.750U		\$136,506.05		COMM CALC (Bonds @ 2.5%) OPEN			
12/31/1999		100.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT					MCI WORLDCOM INC 200101 85 PUT			
12/31/1999	100.000		MCI WORLDCOM INC 200101 85 PUT					MCI WORLDCOM INC 200101 56.625 150 SHS PUT			
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 56.625 150 SHS PUT		\$163,750.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT			\$153,750.00		VALUED PER STMT TRANS			
08/14/2000	2.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT					ASSIGNED			
08/14/2000	64.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT					ASSIGNED			
08/15/2000	6.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT					ASSIGNED			
08/28/2000	5.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT					ASSIGNED			
09/27/2000	8.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT					ASSIGNED			
09/27/2000	13.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT					ASSIGNED			
11/15/2000	2.000		MCI WORLDCOM INC 200101 56.625 150					ASSIGNED			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
			SHS PUT								
		300.000						\$126,506.05	\$0.00	\$126,506.05	
05/05/2000	RECV SHORT	400.000	MCI WORLDCOM INC 200201 35 PUT			\$197,500.00		VALUED PER STMT TRANS			
05/30/2000		400.000	MCI WORLDCOM INC 200201 35 PUT	\$ 5.875 U	\$237,189.89			COMM CALC (Bonds @ 2.5%) CLOSE			
		400.000						( \$39,689.89)	\$0.00	( \$39,689.89)	
08/31/1999		100.000	MCI WORLDCOM INC 200201 60 PUT	\$ 7.125 U		\$70,494.69		COMM CALC (Bonds @ 2.5%) OPEN			
10/04/1999		200.000	MCI WORLDCOM INC 200201 60 PUT	\$ 8.125 U		\$161,184.29		COMM CALC (Bonds @ 2.5%) OPEN			
10/06/1999		100.000	MCI WORLDCOM INC 200201 60 PUT	\$ 9.250 U		\$91,736.83		COMM CALC (Bonds @ 2.5%) OPEN			
12/01/1999		100.000	MCI WORLDCOM INC 200201 60 PUT	\$ 5.375 U		\$53,114.90		COMM CALC (Bonds @ 2.5%) OPEN			
12/02/1999		200.000	MCI WORLDCOM INC 200201 60 PUT	\$ 6.625 U		\$131,357.50		COMM CALC (Bonds @ 2.5%) OPEN			
12/02/1999		200.000	MCI WORLDCOM INC 200201 60 PUT	\$ 6.375 U		\$126,362.15		COMM CALC (Bonds @ 2.5%) OPEN			
12/08/1999		300.000	MCI WORLDCOM INC 200201 60 PUT	\$ 6.500 U		\$193,255.68		COMM CALC (Bonds @ 2.5%) OPEN			
12/13/1999		300.000	MCI WORLDCOM INC 200201 60 PUT	\$ 6.750 U		\$200,730.89		COMM CALC (Bonds @ 2.5%) OPEN			
12/31/1999		1500.000	MCI WORLDCOM INC 200201 40 150 SHS PUT					MCI WORLDCOM INC 200201 60 PUT			
12/31/1999	1500.000		MCI WORLDCOM INC 200201 60 PUT					MCI WORLDCOM INC 200201 40 150 SHS PUT			
01/12/2000		100.000	MCI WORLDCOM INC 200201 40 150 SHS PUT	\$ 8.125 U		\$80,524.02		COMM CALC (Bonds @ 2.5%) OPEN			
01/12/2000		20.000	MCI WORLDCOM INC 200201 40 150 SHS PUT	\$ 7.375		\$21,822.30		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	1500.000	DELV SHRT	MCI WORLDCOM INC 200201 40 150 SHS PUT		\$1,190,625.00			VALUED PER STMT TRANS			
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200201 40 150 SHS PUT		\$78,750.00			VALUED PER STMT TRANS			
03/30/2000	20.000	DELV SHRT	MCI WORLDCOM INC 200201 40 150 SHS PUT		\$15,875.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200201 40 150 SHS PUT			\$69,375.00		VALUED PER STMT TRANS			
05/30/2000		100.000	MCI WORLDCOM INC 200201 40 150 SHS PUT	\$ 8.625 U	\$86,989.64			COMM CALC (Bonds @ 2.5%) CLOSE			
		3,220.000						( \$172,281.39)	\$0.00	( \$172,281.39)	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
08/31/1999		100.000	MCI WORLDCOM INC 200201 65 PUT	\$ 9.125U		\$90,490.96		COMM CALC (Bonds @ 2.5%) OPEN			
09/01/1999		20.000	MCI WORLDCOM INC 200201 65 PUT	\$ 9.000U		\$17,725.80		COMM CALC (Bonds @ 2.5%) OPEN			
09/22/1999		200.000	MCI WORLDCOM INC 200201 65 PUT	\$ 7.031U		\$139,381.58		COMM CALC (Bonds @ 2.5%) OPEN			
09/27/1999		100.000	MCI WORLDCOM INC 200201 65 PUT	\$ 8.500U		\$84,329.13		COMM CALC (Bonds @ 2.5%) OPEN			
09/27/1999		100.000	MCI WORLDCOM INC 200201 65 PUT	\$ 8.400U		\$83,333.67		COMM CALC (Bonds @ 2.5%) OPEN			
12/01/1999		300.000	MCI WORLDCOM INC 200201 65 PUT	\$ 6.750U		\$200,730.89		COMM CALC (Bonds @ 2.5%) OPEN			
12/08/1999		200.000	MCI WORLDCOM INC 200201 65 PUT	\$ 8.000U		\$158,692.54		COMM CALC (Bonds @ 2.5%) OPEN			
12/31/1999		1000.000	MCI WORLDCOM INC 200201 43.375 150 SHS PUT					MCI WORLDCOM INC 200201 65 PUT			
12/31/1999	1000.000		MCI WORLDCOM INC 200201 65 PUT					MCI WORLDCOM INC 200201 43.375 150 SHS PUT			
12/31/1999		20.000	MCI WORLDCOM INC 200201 43.375 150 SHS PUT					MCI WORLDCOM INC 200201 65 PUT			
12/31/1999	20.000		MCI WORLDCOM INC 200201 65 PUT					MCI WORLDCOM INC 200201 43.375 150 SHS PUT			
03/29/2000	1000.000	DELV SHRT	MCI WORLDCOM INC 200201 43.375 150 SHS PUT		\$975,000.00			VALUED PER STMT TRANS			
03/30/2000	20.000	DELV SHRT	MCI WORLDCOM INC 200201 43.375 150 SHS PUT		\$19,500.00			VALUED PER STMT TRANS			
05/05/2000	1000.000	DELV SHRT	MCI WORLDCOM INC 200201 43.375 150 SHS PUT		\$875,000.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	1000.000	MCI WORLDCOM INC 200201 43.375 150 SHS PUT			\$875,000.00		VALUED PER STMT TRANS			
05/15/2000	RECV SHORT	20.000	MCI WORLDCOM INC 200201 43.375 150 SHS PUT			\$18,250.00		VALUED PER STMT TRANS			
03/14/2001	9.000		WORLDCOM INC 200201 43.375 150 SHS PUT					ASSIGNED			
05/02/2001	5.000		WORLDCOM INC 200201 43.375 150 SHS PUT					ASSIGNED			
05/31/2001	6.000		WORLDCOM INC 200201 43.375 150 SHS PUT					ASSIGNED			
	3,060.000	3,060.000						( \$201,565.43)	\$0.00	( \$201,565.43)	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
12/16/1999		200.000	MCI WORLDCOM INC 200201 80 PUT	\$ 16.125U		\$320,655.51		COMM CALC (Bonds @ 2.5%) OPEN			
12/31/1999		200.000	MCI WORLDCOM INC 200201 53.375 150 SHS PUT					MCI WORLDCOM INC 200201 80 PUT			
12/31/1999	200.000		MCI WORLDCOM INC 200201 80 PUT					MCI WORLDCOM INC 200201 53.375 150 SHS PUT			
03/29/2000	200.000	DELV SHRT	MCI WORLDCOM INC 200201 53.375 150 SHS PUT		\$325,000.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	200.000	MCI WORLDCOM INC 200201 53.375 150 SHS PUT			\$297,500.00		VALUED PER STMT TRANS			
08/11/2000	11.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED			
09/19/2000	13.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED			
09/22/2000	21.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED			
11/09/2000	44.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED			
11/16/2000	49.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED			
12/08/2000	43.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED			
01/12/2001	6.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED			
02/14/2001	13.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT					ASSIGNED			
	<u>600.000</u>	<u>600.000</u>						<b>\$293,155.51</b>	<b>\$0.00</b>	<b>\$293,155.51</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
01/04/2000	100.000		MEDAREX INC	\$ 36.750U	\$3,736.39			COMM CALC (Bonds @ 2.5%)			
01/06/2000	100.000		MEDAREX INC	\$ 33.625U	\$3,436.06			COMM CALC (Bonds @ 2.5%)			
02/02/2000	100.000		MEDAREX INC	\$ 57.625U	\$5,827.98			COMM CALC (Bonds @ 2.5%)			
03/17/2000	100.000		MEDAREX INC	\$ 97.063U	\$9,766.19			COMM CALC (Bonds @ 2.5%)			
03/17/2000	100.000		MEDAREX INC	\$ 102.938U	\$10,349.18			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	500.000	MEDAREX INC			\$22,125.00		VALUED PER STMT TRANS			
	500.000	500.000						( \$10,990.80)	\$0.00	( \$10,990.80)	-33.2%
08/29/1997	3000.000	OPEN	MERCK & CO	\$ 91.813	\$275,439.00			VALUED PER 08/29/97 PORT			
10/01/1997			MERCK & CO			\$1,350.00		DIVIDEND			
01/02/1998			MERCK & CO			\$1,350.00		DIVIDEND			
04/01/1998			MERCK & CO			\$1,350.00		DIVIDEND			
07/01/1998			MERCK & CO			\$1,350.00		DIVIDEND			
10/01/1998			MERCK & CO			\$1,620.00		DIVIDEND			
01/04/1999			MERCK & CO			\$1,620.00		DIVIDEND			
02/17/1999	3000.000		MERCK & CO					STOCK DIVIDEND			
04/01/1999			MERCK & CO			\$1,620.00		DIVIDEND			
07/01/1999			MERCK & CO			\$1,620.00		DIVIDEND			
10/01/1999			MERCK & CO			\$1,740.00		DIVIDEND			
01/03/2000			MERCK & CO			\$1,740.00		DIVIDEND			
03/29/2000	DELV	6000.000	MERCK & CO			\$385,500.00		VALUED PER STMT TRANS			
04/03/2000			MERCK & CO			\$1,740.00		DIVIDEND			
05/05/2000	10000.000	RECV	MERCK & CO		\$692,500.00			VALUED PER STMT TRANS			
07/03/2000			MERCK & CO			\$2,900.00		DIVIDEND			
10/02/2000			MERCK & CO			\$3,400.00		DIVIDEND			
11/15/2000		4000.000	MERCK & CO	\$ 92.063U		\$367,433.22		COMM CALC (Bonds @ 2.5%)			
01/02/2001			MERCK & CO			\$2,040.00		DIVIDEND			
01/04/2001		6000.000	MERCK & CO	\$ 93.500U		\$560,076.80		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
	16,000.000	16,000.000						\$345,071.02	\$25,440.00	\$370,511.02	38.3%
08/29/1997	OPEN SHORT	20.000	MERCK & CO 199801 90 PUT	\$ 5.625		\$11,250.00		VALUED PER 08/29/97 PORT			
01/20/1998	20.000		MERCK & CO 199801 90 PUT					EXPIRED			
	20.000	20.000						\$11,250.00	\$0.00	\$11,250.00	
10/20/1997		20.000	MERCK & CO 199804 105 PUT	\$ 12.750U				CXL			
11/07/1997	20.000	CXL	MERCK & CO 199804 105 PUT	\$ 12.750				CXL			
11/07/1997		20.000	MERCK & CO 199804 105 PUT	\$ 12.750U		\$25,072.04		COMM CALC (Bonds @ 2.5%) OPEN			
04/20/1998	20.000		MERCK & CO 199804 105 PUT					EXPIRED			
	40.000	40.000						\$25,072.04	\$0.00	\$25,072.04	
07/29/1998		50.000	MERCK & CO 199901 120 PUT	\$ 6.125U		\$30,130.02		COMM CALC (Bonds @ 2.5%) OPEN			
01/19/1999	50.000		MERCK & CO 199901 120 PUT					EXPIRED			
	50.000	50.000						\$30,130.02	\$0.00	\$30,130.02	
12/13/1999	1000.000		METAL MANAGEMENT INC	\$ 2.000U	\$2,069.50			COMM CALC (Bonds @ 2.5%)			
12/21/1999	10000.000		METAL MANAGEMENT INC	\$ 3.813U	\$38,837.91			COMM CALC (Bonds @ 2.5%)			
02/24/2000		1000.000	METAL MANAGEMENT INC	\$ 2.500U		\$2,432.91		COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	10000.000	METAL MANAGEMENT INC			\$22,500.00		VALUED PER STMT TRANS			
05/05/2000	10000.000	RECV	METAL MANAGEMENT INC		\$16,560.00			VALUED PER STMT TRANS			
05/30/2000		6300.000	METAL MANAGEMENT INC	\$ 1.313U		\$7,948.97		COMM CALC (Bonds @ 2.5%)			
05/30/2000		2500.000	METAL MANAGEMENT INC	\$ 1.313U		\$3,156.14		COMM CALC (Bonds @ 2.5%)			
05/30/2000		1200.000	METAL MANAGEMENT INC	\$ 1.313U		\$1,514.94		COMM CALC (Bonds @ 2.5%)			
	21,000.000	21,000.000						( \$19,914.45)	\$0.00	( \$19,914.45)	-34.7%

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
09/11/2000	800.000		MICROSTRATEGY INC CL A	\$ 33.500U	\$26,983.06			COMM CALC (Bonds @ 2.5%)			
09/11/2000	1300.000		MICROSTRATEGY INC CL A	\$ 33.500U	\$43,840.06			COMM CALC (Bonds @ 2.5%)			
09/11/2000	900.000		MICROSTRATEGY INC CL A	\$ 33.500U	\$30,350.88			COMM CALC (Bonds @ 2.5%)			
11/14/2000		3000.000	MICROSTRATEGY INC CL A	\$ 22.250U		\$66,443.27		COMM CALC (Bonds @ 2.5%)			
	3,000.000	3,000.000						( \$34,730.73)	\$0.00	( \$34,730.73)	-34.3%
08/29/1997	5000.000	OPEN	MID-AMER WASTE SYS INC	\$ 0.010	\$50.00			VALUED PER 08/29/97 PORT			
03/29/2000	DELV	5000.000	MID-AMER WASTE SYS INC			\$5.00		VALUED PER STMT TRANS			
05/05/2000	5000.000	RECV	MID-AMER WASTE SYS INC					NOT VALUED PER STMT TRANS			
04/30/2002	IN ACCT	5000.000	MID-AMER WASTE SYS INC					NOT VALUED PER 04/30/02 PORT			
	10,000.000	10,000.000						( \$45.00)	\$0.00	( \$45.00)	-90.0%
11/09/1999	5000.000		MSH ENTERTAINMENT CORP	\$ 0.250U	\$1,319.50			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	5000.000	MSH ENTERTAINMENT CORP			\$1,150.00		VALUED PER STMT TRANS			
12/08/2000	5000.000	RECV	MSH ENTERTAINMENT CORP		\$125.00			VALUED PER STMT TRANS; FM XY-98765			
04/30/2002	IN ACCT	5000.000	MSH ENTERTAINMENT CORP	\$ 0.010		\$50.00		VALUED PER 4/30/02 PORT			
	10,000.000	10,000.000						( \$244.50)	\$0.00	( \$244.50)	-16.9%
08/29/1997	OPEN SHORT	50.000	NCR CORP NEW 199710 35 PUT	\$ 1.906		\$9,531.25		VALUED PER 08/29/97 PORT			
10/20/1997	50.000		NCR CORP NEW 199710 35 PUT	\$ 2.000U	\$10,334.55			COMM CALC (Bonds @ 2.5%) CLOSE			
	50.000	50.000						( \$803.30)	\$0.00	( \$803.30)	
11/24/1999	100.000		NET PERCEPTIONS INC	\$ 30.375U	\$3,091.99			COMM CALC (Bonds @ 2.5%)			
03/07/2000	100.000		NET PERCEPTIONS INC	\$ 45.000U	\$4,559.94			COMM CALC (Bonds @ 2.5%)			
03/08/2000	300.000		NET PERCEPTIONS INC	\$ 47.000U	\$14,246.88			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	500.000	NET PERCEPTIONS INC			\$18,750.00		VALUED PER STMT TRANS			
	500.000	500.000						( \$3,148.81)	\$0.00	( \$3,148.81)	-14.4%



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
12/30/1999		10.000	NET PERCEPTIONS INC 200008 25 PUT					CXL			
01/04/2000		10.000	NET PERCEPTIONS INC 200008 25 PUT	\$ 7.125		\$6,977.76		COMM CALC (Bonds @ 2.5%) OPEN			
01/04/2000	10.000		CXL NET PERCEPTIONS INC 200008 25 PUT					CXL			
03/30/2000	10.000	DELV SHRT	NET PERCEPTIONS INC 200008 25 PUT		\$3,437.50			VALUED PER STMT TRANS			
	20.000	20.000						<b>\$3,540.26</b>	<b>\$0.00</b>	<b>\$3,540.26</b>	
03/06/2000		5.000	NET PERCEPTIONS INC 200008 35 PUT	\$ 6.250U		\$3,057.72		COMM CALC (Bonds @ 2.5%) OPEN			
03/30/2000	5.000	DELV SHRT	NET PERCEPTIONS INC 200008 35 PUT		\$4,375.00			VALUED PER STMT TRANS			
	5.000	5.000						( <b>\$1,317.28</b> )	<b>\$0.00</b>	( <b>\$1,317.28</b> )	
03/06/2000		5.000	NET PERCEPTIONS INC 200008 65 CALL	\$ 8.375U		\$4,112.73		COMM CALC (Bonds @ 2.5%) OPEN			
03/30/2000	5.000	DELV SHRT	NET PERCEPTIONS INC 200008 65 CALL		\$1,594.75			VALUED PER STMT TRANS			
	5.000	5.000						<b>\$2,517.98</b>	<b>\$0.00</b>	<b>\$2,517.98</b>	
11/24/1999	100.000		NEW ERA OF NETWORKS INC	\$ 47.000	\$4,759.94			COMM CALC (Bonds @ 2.5%)			
01/04/2000	100.000		NEW ERA OF NETWORKS INC	\$ 45.500U	\$4,621.02			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	200.000	NEW ERA OF NETWORKS INC			\$7,800.00		VALUED PER STMT TRANS			
	200.000	200.000						( <b>\$1,580.96</b> )	<b>\$0.00</b>	( <b>\$1,580.96</b> )	<b>-16.9%</b>
12/23/1999	10.000		NEW ERA OF NETWORKS INC 200002 50 CALL	\$ 6.250U	\$6,474.32			COMM CALC (Bonds @ 2.5%) OPEN			
01/20/2000		5.000	NEW ERA OF NETWORKS INC 200002 50 CALL	\$ 16.250U		\$8,020.11		COMM CALC (Bonds @ 2.5%) CLOSE			
02/15/2000		5.000	NEW ERA OF NETWORKS INC 200002 50 CALL	\$ 10.250U		\$5,041.95		COMM CALC (Bonds @ 2.5%) CLOSE			
	10.000	10.000						<b>\$6,587.74</b>	<b>\$0.00</b>	<b>\$6,587.74</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
12/02/1999		5.000	NEW ERA OF NETWORKS INC 200007 35 PUT	\$ 5.625		\$2,747.44		COMM CALC (Bonds @ 2.5%) OPEN			
02/24/2000	5.000		NEW ERA OF NETWORKS INC 200007 35 PUT	\$ 1.375	\$731.84			COMM CALC (Bonds @ 2.5%) CLOSE			
	<u>5.000</u>	<u>5.000</u>						<b>\$2,015.60</b>	<b>\$0.00</b>	<b>\$2,015.60</b>	
12/31/1999		5.000	NEW ERA OF NETWORKS INC 200007 40 PUT	\$ 8.125 U		\$4,002.46		COMM CALC (Bonds @ 2.5%) OPEN			
03/30/2000	5.000	DELV SHRT	NEW ERA OF NETWORKS INC 200007 40 PUT		\$4,750.00			VALUED PER STMT TRANS			
	<u>5.000</u>	<u>5.000</u>						( \$747.54)	\$0.00	( \$747.54)	
01/31/2000		5.000	NEW ERA OF NETWORKS INC 200007 45 PUT	\$ 8.125		\$3,988.61		COMM CALC (Bonds @ 2.5%) OPEN			
03/30/2000	5.000	DELV SHRT	NEW ERA OF NETWORKS INC 200007 45 PUT		\$6,250.00			VALUED PER STMT TRANS			
	<u>5.000</u>	<u>5.000</u>						( \$2,261.39)	\$0.00	( \$2,261.39)	
03/09/2001	300.000		NEWFOCUS INC	\$ 19.125 U	\$5,887.93			COMM CALC (Bonds @ 2.5%)			
03/09/2001	200.000		NEWFOCUS INC	\$ 19.125 U	\$3,922.28			COMM CALC (Bonds @ 2.5%)			
04/06/2001		<u>500.000</u>	NEWFOCUS INC	\$ 10.300 U		\$5,037.34		COMM CALC (Bonds @ 2.5%)			
	<u>500.000</u>	<u>500.000</u>						( \$4,772.87)	\$0.00	( \$4,772.87)	-48.7%
03/08/2001		5.000	NEWFOCUS INC 200110 20 CALL	\$ 7.000 U		\$3,417.03		COMM CALC (Bonds @ 2.5%) OPEN			
04/12/2001	5.000		NEWFOCUS INC 200110 20 CALL	\$ 1.950	\$1,065.02			COMM CALC (Bonds @ 2.5%) CLOSE			
	<u>5.000</u>	<u>5.000</u>						<b>\$2,352.01</b>	<b>\$0.00</b>	<b>\$2,352.01</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
02/28/2000	500.000		NEXELL THERAPEUTICS INC	\$ 6.500U	\$3,337.48			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	500.000	NEXELL THERAPEUTICS INC			\$2,094.00		VALUED PER STMT TRANS			
	500.000	500.000						( \$1,243.48)	\$0.00	( \$1,243.48)	-37.3%
05/05/2000		700.000	NORTHEAST OPTIC NETWORK INC	\$ 45.500U		\$31,774.43		COMM CALC (Bonds @ 2.5%)			
05/05/2000		1600.000	NORTHEAST OPTIC NETWORK INC	\$ 46.000U		\$73,437.54		COMM CALC (Bonds @ 2.5%)			
05/05/2000		500.000	NORTHEAST OPTIC NETWORK INC	\$ 46.000U		\$22,949.23		COMM CALC (Bonds @ 2.5%)			
05/05/2000		4000.000	NORTHEAST OPTIC NETWORK INC	\$ 46.000U		\$183,593.86		COMM CALC (Bonds @ 2.5%)			
05/05/2000		1500.000	NORTHEAST OPTIC NETWORK INC	\$ 45.500U		\$68,097.72		COMM CALC (Bonds @ 2.5%)			
05/05/2000		1500.000	NORTHEAST OPTIC NETWORK INC	\$ 46.000U		\$68,847.70		COMM CALC (Bonds @ 2.5%)			
05/05/2000		200.000	NORTHEAST OPTIC NETWORK INC	\$ 48.313U		\$9,642.17		COMM CALC (Bonds @ 2.5%)			
05/05/2000	10000.000	RECV	NORTHEAST OPTIC NETWORK INC		\$468,750.00			VALUED PER STMT TRANS			
	10,000.000	10,000.000						( \$10,407.35)	\$0.00	( \$10,407.35)	-2.2%
01/07/2000	3700.000		NOVELL INC	\$ 34.750	\$129,134.50			COMM CALC (Bonds @ 2.5%)			
01/07/2000	400.000		NOVELL INC	\$ 34.750	\$13,960.00			COMM CALC (Bonds @ 2.5%)			
01/07/2000	900.000		NOVELL INC	\$ 34.750	\$31,410.00			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	5000.000	NOVELL INC			\$144,375.00		VALUED PER STMT TRANS			
05/05/2000	5000.000	RECV	NOVELL INC		\$55,000.00			VALUED PER STMT TRANS			
05/05/2000	10000.000	RECV	NOVELL INC		\$110,000.00			VALUED PER STMT TRANS			
05/31/2000		10900.000	NOVELL INC	\$ 8.188U		\$88,582.27		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1000.000	NOVELL INC	\$ 8.219U		\$8,158.47		COMM CALC (Bonds @ 2.5%)			
05/31/2000		100.000	NOVELL INC	\$ 8.219U		\$815.85		COMM CALC (Bonds @ 2.5%)			
05/31/2000		300.000	NOVELL INC	\$ 8.219U		\$2,447.54		COMM CALC (Bonds @ 2.5%)			
05/31/2000		200.000	NOVELL INC	\$ 8.219U		\$1,631.69		COMM CALC (Bonds @ 2.5%)			
05/31/2000		500.000	NOVELL INC	\$ 8.188U		\$4,063.61		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1000.000	NOVELL INC	\$ 8.188U		\$8,127.22		COMM CALC (Bonds @ 2.5%)			
05/31/2000		300.000	NOVELL INC	\$ 8.188U		\$2,438.16		COMM CALC (Bonds @ 2.5%)			
05/31/2000		500.000	NOVELL INC	\$ 8.188U		\$4,063.61		COMM CALC (Bonds @ 2.5%)			
05/31/2000		200.000	NOVELL INC	\$ 8.188U		\$1,625.44		COMM CALC (Bonds @ 2.5%)			
08/28/2000	7800.000		NOVELL INC	\$ 30.000	\$236,277.81			COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
10/13/2000	2500.000		NOVELL INC	\$ 30.000	\$75,560.29			COMM CALC (Bonds @ 2.5%)			
10/16/2000		2500.000	NOVELL INC	\$ 8.063U		\$19,901.07		COMM CALC (Bonds @ 2.5%)			
10/18/2000		7800.000	NOVELL INC	\$ 7.969U		\$61,369.67		COMM CALC (Bonds @ 2.5%)			
11/06/2000	300.000		NOVELL INC	\$ 30.000	\$9,039.49			COMM CALC (Bonds @ 2.5%)			
11/22/2000	5300.000		NOVELL INC	\$ 30.000	\$159,799.50			COMM CALC (Bonds @ 2.5%)			
11/22/2000	200.000		NOVELL INC	\$ 30.000	\$6,030.00			COMM CALC (Bonds @ 2.5%)			
12/05/2000	700.000		NOVELL INC	\$ 30.000	\$21,213.77			COMM CALC (Bonds @ 2.5%)			
12/20/2000	2000.000		NOVELL INC	\$ 30.000	\$60,484.04			COMM CALC (Bonds @ 2.5%)			
01/03/2001	500.000		NOVELL INC	\$ 30.000	\$15,326.79			COMM CALC (Bonds @ 2.5%)			
01/12/2001	200.000		NOVELL INC	\$ 30.000	\$6,088.93			COMM CALC (Bonds @ 2.5%)			
02/22/2001	500.000		NOVELL INC	\$ 30.000	\$15,181.76			COMM CALC (Bonds @ 2.5%)			
08/16/2001		1000.000	NOVELL INC	\$ 4.790U		\$4,765.34		COMM CALC (Bonds @ 2.5%)			
08/16/2001		2000.000	NOVELL INC	\$ 4.790U		\$9,539.68		COMM CALC (Bonds @ 2.5%)			
08/16/2001		1000.000	NOVELL INC	\$ 4.790U		\$4,769.84		COMM CALC (Bonds @ 2.5%)			
08/16/2001		3700.000	NOVELL INC	\$ 4.760U		\$17,537.41		COMM CALC (Bonds @ 2.5%)			
08/16/2001		200.000	NOVELL INC	\$ 4.760U		\$947.96					
08/16/2001		200.000	NOVELL INC	\$ 4.760U		\$947.96					
08/16/2001		400.000	NOVELL INC	\$ 4.760U		\$1,895.93					
08/16/2001		1000.000	NOVELL INC	\$ 4.760U		\$4,739.84		COMM CALC (Bonds @ 2.5%)			
08/16/2001		200.000	NOVELL INC	\$ 4.760U		\$947.96					
	40,000.000	40,000.000						( \$550,815.36)	\$0.00	( \$550,815.36)	-58.3%
01/05/2000		200.000	NOVELL INC 200201 30 PUT	\$ 7.000U		\$138,758.65		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	200.000	DELV SHRT	NOVELL INC 200201 30 PUT		\$170,000.00			VALUED PER STMT TRANS			
05/05/2000	RCV SHORT	200.000	NOVELL INC 200201 30 PUT			\$392,500.00		VALUED PER STMT TRANS			
08/24/2000	78.000		NOVELL INC 200201 30 PUT					ASSIGNED			
10/11/2000	25.000		NOVELL INC 200201 30 PUT					ASSIGNED			
11/02/2000	3.000		NOVELL INC 200201 30 PUT					ASSIGNED			
11/20/2000	2.000		NOVELL INC 200201 30 PUT					ASSIGNED			
11/20/2000	53.000		NOVELL INC 200201 30 PUT					ASSIGNED			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
12/01/2000	7.000		NOVELL INC 200201 30 PUT					ASSIGNED			
12/18/2000	20.000		NOVELL INC 200201 30 PUT					ASSIGNED			
12/29/2000	5.000		NOVELL INC 200201 30 PUT					ASSIGNED			
01/10/2001	2.000		NOVELL INC 200201 30 PUT					ASSIGNED			
02/20/2001	5.000		NOVELL INC 200201 30 PUT					ASSIGNED			
	400.000	400.000						<b>\$361,258.65</b>	<b>\$0.00</b>	<b>\$361,258.65</b>	
06/10/1998	3000.000		OPEN MARKET INC	\$ 16.875	\$51,161.96			COMM CALC (Bonds @ 2.5%)			
08/17/1998		3000.000	OPEN MARKET INC	\$ 12.243 U		\$36,235.35		COMM CALC (Bonds @ 2.5%)			
	3,000.000	3,000.000						( <b>\$14,926.61</b> )	<b>\$0.00</b>	( <b>\$14,926.61</b> )	<b>-29.2%</b>
09/04/1997	2000.000		ORACLE CORP	\$ 36.938	\$74,452.64			COMM CALC (Bonds @ 2.5%)			
09/29/1997	1500.000		ORACLE CORP	\$ 38.500	\$58,240.70			COMM CALC (Bonds @ 2.5%)			
10/22/1997	700.000		ORACLE CORP	\$ 33.000 U	\$23,821.41			COMM CALC (Bonds @ 2.5%)			
10/22/1997	100.000		ORACLE CORP	\$ 33.750 U	\$3,402.43			COMM CALC (Bonds @ 2.5%)			
10/22/1997	400.000		ORACLE CORP	\$ 33.750 U	\$13,609.72			COMM CALC (Bonds @ 2.5%)			
10/22/1997	300.000		ORACLE CORP	\$ 33.750 U	\$10,207.29			COMM CALC (Bonds @ 2.5%)			
10/22/1997	100.000		ORACLE CORP	\$ 33.750 U	\$3,402.43			COMM CALC (Bonds @ 2.5%)			
10/22/1997	400.000		ORACLE CORP	\$ 33.750 U	\$13,609.72			COMM CALC (Bonds @ 2.5%)			
12/12/1997	500.000		ORACLE CORP	\$ 45.000	\$22,777.25			COMM CALC (Bonds @ 2.5%)			
12/15/1997	1700.000		ORACLE CORP	\$ 45.000	\$77,073.03			COMM CALC (Bonds @ 2.5%)			
12/22/1997	2800.000		ORACLE CORP	\$ 45.000	\$126,818.55			COMM CALC (Bonds @ 2.5%)			
09/03/1998		10500.000	ORACLE CORP	\$ 20.188		\$210,431.84		COMM CALC (Bonds @ 2.5%)			
06/18/1999	100.000		ORACLE CORP	\$ 25.125 U	\$2,532.00			COMM CALC (Bonds @ 2.5%)			
06/18/1999	400.000		ORACLE CORP	\$ 25.125 U	\$10,110.00			COMM CALC (Bonds @ 2.5%)			
06/18/1999	1000.000		ORACLE CORP	\$ 25.125 U	\$25,275.00			COMM CALC (Bonds @ 2.5%)			
06/18/1999	2500.000		ORACLE CORP	\$ 25.125 U	\$63,187.50			COMM CALC (Bonds @ 2.5%)			
06/18/1999	1000.000		ORACLE CORP	\$ 25.150 U	\$25,275.00			COMM CALC (Bonds @ 2.5%)			
01/19/2000	5000.000		ORACLE CORP					STOCK DIVIDEND			
03/29/2000	DELV	10000.000	ORACLE CORP			\$825,000.00		VALUED PER STMT TRANS			
05/05/2000	10000.000	RECV	ORACLE CORP		\$768,130.00			VALUED PER STMT TRANS			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
10/13/2000	10000.000		ORACLE CORP					STOCK DIVIDEND			
11/27/2000		300.000	ORACLE CORP	\$ 23.813U		\$7,109.01		COMM CALC (Bonds @ 2.5%)			
11/27/2000		3300.000	ORACLE CORP	\$ 23.813U		\$78,248.63		COMM CALC (Bonds @ 2.5%)			
11/27/2000		1000.000	ORACLE CORP	\$ 23.813U		\$23,711.70		COMM CALC (Bonds @ 2.5%)			
11/27/2000		700.000	ORACLE CORP	\$ 23.813U		\$16,598.19		COMM CALC (Bonds @ 2.5%)			
11/27/2000		1400.000	ORACLE CORP	\$ 23.813U		\$33,196.38		COMM CALC (Bonds @ 2.5%)			
11/27/2000		400.000	ORACLE CORP	\$ 23.813U		\$9,484.68		COMM CALC (Bonds @ 2.5%)			
11/27/2000		1400.000	ORACLE CORP	\$ 23.813U		\$33,196.38		COMM CALC (Bonds @ 2.5%)			
11/27/2000		100.000	ORACLE CORP	\$ 23.875U		\$2,377.42		COMM CALC (Bonds @ 2.5%)			
11/27/2000		300.000	ORACLE CORP	\$ 23.813U		\$7,113.51		COMM CALC (Bonds @ 2.5%)			
11/27/2000		100.000	ORACLE CORP	\$ 23.813U		\$2,371.17		COMM CALC (Bonds @ 2.5%)			
11/27/2000		1000.000	ORACLE CORP	\$ 23.813		\$23,711.70		COMM CALC (Bonds @ 2.5%)			
12/08/2000	3600.000		ORACLE CORP	\$ 30.563U	\$110,569.50			COMM CALC (Bonds @ 2.5%)			
12/08/2000	1400.000		ORACLE CORP	\$ 30.500U	\$42,910.00			COMM CALC (Bonds @ 2.5%)			
12/26/2000		5000.000	ORACLE CORP	\$ 28.875U		\$143,615.68		COMM CALC (Bonds @ 2.5%)			
04/30/2002	IN ACCT	10000.000	ORACLE CORP	\$ 10.040		\$100,400.00		VALUED PER 04/30/02 PORT			
	45,500.000	45,500.000						<b>\$41,162.12</b>	<b>\$0.00</b>	<b>\$41,162.12</b>	<b>2.8%</b>
09/25/1997		50.000	ORACLE CORP 199803 45 PUT	\$ 7.750U		\$38,220.48		COMM CALC (Bonds @ 2.5%) OPEN			
12/10/1997	5.000		ORACLE CORP 199803 45 PUT					ASSIGNED			
12/11/1997	17.000		ORACLE CORP 199803 45 PUT					ASSIGNED			
12/18/1997	28.000		ORACLE CORP 199803 45 PUT					ASSIGNED			
	50.000	50.000						<b>\$38,220.48</b>	<b>\$0.00</b>	<b>\$38,220.48</b>	
03/21/2002	50.000		ORACLE SYSTEMS CORP 200204 12.5 CALL	\$ 1.050	\$5,694.18			COMM CALC (Bonds @ 2.5%) OPEN			
03/22/2002	50.000		ORACLE SYSTEMS CORP 200204 12.5 CALL	\$ 0.750U	\$4,112.69			COMM CALC (Bonds @ 2.5%) OPEN			
04/03/2002		18.000	ORACLE SYSTEMS CORP 200204 12.5 CALL	\$ 0.550		\$871.31		COMM CALC (Bonds @ 2.5%) CLOSE			
04/04/2002		82.000	ORACLE SYSTEMS CORP 200204 12.5 CALL	\$ 0.550U		\$4,211.83		COMM CALC (Bonds @ 2.5%) CLOSE			
	100.000	100.000						<b>( \$4,723.73)</b>	<b>\$0.00</b>	<b>( \$4,723.73)</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
01/14/2000	200.000		PARADYNE NETWORKS INC	\$ 27.750U	\$5,627.66			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	200.000	PARADYNE NETWORKS INC			\$5,575.00		VALUED PER STMT TRANS			
	200.000	200.000						( \$52.66)	\$0.00	( \$52.66)	-0.9%
01/27/2000	500.000		PHEONIX INTL LIFE SCIENCES	\$ 10.188U	\$5,172.05			COMM CALC (Bonds @ 2.5%)			
01/27/2000	500.000		PHEONIX INTL LIFE SCIENCES	\$ 9.375U	\$4,761.30			COMM CALC (Bonds @ 2.5%)			
03/07/2000		1000.000	PHEONIX INTL LIFE SCIENCES	\$ 11.313U		\$11,133.87		COMM CALC (Bonds @ 2.5%)			
	1,000.000	1,000.000						\$1,200.52	\$0.00	\$1,200.52	12.1%
08/29/1997	12000.000	OPEN	PLATINUM TECHNOLOGY INC	\$ 23.750	\$285,000.00			VALUED PER 08/29/97 PORT			
09/03/1998		4000.000	PLATINUM TECHNOLOGY INC	\$ 18.250		\$72,324.88		COMM CALC (Bonds @ 2.5%)			
06/01/1999		8000.000	PLATINUM TECHNOLOGY INC			\$234,000.00		TENDERED			
	12,000.000	12,000.000						\$21,324.88	\$0.00	\$21,324.88	7.5%
02/23/1999	100.000		PLATINUM TECHNOLOGY INC 199903 15 CALL	\$ 1.210	\$12,932.89			COMM CALC (Bonds @ 2.5%) OPEN			
03/22/1999		100.000	PLATINUM TECHNOLOGY INC 199903 15 CALL					EXPIRED			
	100.000	100.000						( \$12,932.89)	\$0.00	( \$12,932.89)	
03/23/1999	2000.000		PLATINUM TECHNOLOGY INTL INC	\$ 10.333	\$20,871.10			COMM CALC (Bonds @ 2.5%)			
06/01/1999		2000.000	PLATINUM TECHNOLOGY INTL INC			\$58,500.00		TENDERED			
	2,000.000	2,000.000						\$37,628.90	\$0.00	\$37,628.90	180.3%
02/02/2001		10.000	POWER ONE INC 200103 35 PUT	\$ 2.000U		\$1,903.55		COMM CALC (Bonds @ 2.5%) OPEN			
02/13/2001	5.000		POWER ONE INC 200103 35 PUT	\$ 10.250U	\$5,223.54			COMM CALC (Bonds @ 2.5%) CLOSE			
02/26/2001	5.000		POWER ONE INC 200103 35 PUT	\$ 16.250U	\$8,229.61			COMM CALC (Bonds @ 2.5%) CLOSE			
	10.000	10.000						( \$11,549.60)	\$0.00	( \$11,549.60)	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
08/29/1997	2000.000	OPEN	PSINET INC	\$ 8.188	\$16,376.00			VALUED PER 08/29/97 PORT			
09/11/1998	900.000		PSINET INC	\$ 13.875	\$12,644.01			COMM CALC (Bonds @ 2.5%)			
09/11/1998	1000.000		PSINET INC	\$ 13.750	\$13,918.90			COMM CALC (Bonds @ 2.5%)			
09/11/1998	100.000		PSINET INC	\$ 13.750	\$1,391.89			COMM CALC (Bonds @ 2.5%)			
09/11/1998	1000.000		PSINET INC	\$ 13.875	\$14,044.00			COMM CALC (Bonds @ 2.5%)			
12/31/1998	1000.000		PSINET INC					CXL			
02/10/1999	1000.000		PSINET INC	\$ 23.125 U	\$23,439.87			COMM CALC (Bonds @ 2.5%)			
02/10/1999	CXL	1000.000	PSINET INC					CXL			
06/29/1999	700.000		PSINET INC	\$ 49.938	\$35,065.75			COMM CALC (Bonds @ 2.5%)			
06/29/1999	500.000		PSINET INC	\$ 49.875	\$25,012.50			COMM CALC (Bonds @ 2.5%)			
06/29/1999	500.000		PSINET INC	\$ 49.875	\$25,012.50			COMM CALC (Bonds @ 2.5%)			
06/29/1999	300.000		PSINET INC	\$ 49.938	\$15,026.25			COMM CALC (Bonds @ 2.5%)			
07/01/1999	300.000		PSINET INC	\$ 45.375	\$13,670.58			COMM CALC (Bonds @ 2.5%)			
07/01/1999	700.000		PSINET INC	\$ 45.938	\$32,281.27			COMM CALC (Bonds @ 2.5%)			
07/01/1999	800.000		PSINET INC	\$ 46.063	\$36,992.92			COMM CALC (Bonds @ 2.5%)			
07/08/1999	1200.000		PSINET INC	\$ 45.125	\$54,334.50			COMM CALC (Bonds @ 2.5%)			
02/14/2000	10000.000		PSINET INC					STOCK DIVIDEND			
02/14/2000	1000.000		PSINET INC					STOCK DIVIDEND			
03/29/2000	DELV	20000.000	PSINET INC			\$757,500.00		VALUED PER STMT TRANS			
03/30/2000	DELV	2000.000	PSINET INC			\$68,126.00		VALUED PER STMT TRANS			
05/05/2000	20000.000	RECV	PSINET INC		\$450,000.00			VALUED PER STMT TRANS			
05/05/2000	20000.000	RECV	PSINET INC		\$450,000.00			VALUED PER STMT TRANS			
05/31/2000		2100.000	PSINET INC	\$ 24.250 U		\$50,761.30		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1000.000	PSINET INC	\$ 24.313 U		\$24,236.68		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1500.000	PSINET INC	\$ 24.250 U		\$36,261.28		COMM CALC (Bonds @ 2.5%)			
08/17/2000	200.000		PSINET INC	\$ 14.438	\$2,922.00			COMM CALC (Bonds @ 2.5%)			
08/17/2000	1000.000		PSINET INC	\$ 14.438	\$14,587.50			COMM CALC (Bonds @ 2.5%)			
09/20/2000	500.000		PSINET INC	\$ 13.375 U	\$6,742.00			COMM CALC (Bonds @ 2.5%)			
10/02/2000	20000.000		PSINET INC	\$ 40.000	\$801,204.50			COMM CALC (Bonds @ 2.5%)			



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
10/10/2000	500.000		PSINET INC	\$ 7.563U	\$3,835.75			COMM CALC (Bonds @ 2.5%)			
10/12/2000	1100.000		PSINET INC	\$ 35.000	\$38,614.50			COMM CALC (Bonds @ 2.5%)			
11/03/2000	10800.000		PSINET INC	\$ 35.000	\$378,760.50			COMM CALC (Bonds @ 2.5%)			
11/10/2000	2900.000		PSINET INC	\$ 35.000	\$101,649.50			COMM CALC (Bonds @ 2.5%)			
11/13/2000	3500.000		PSINET INC	\$ 35.000	\$122,854.50			COMM CALC (Bonds @ 2.5%)			
11/15/2000		1100.000	PSINET INC	\$ 1.750U		\$1,887.43		COMM CALC (Bonds @ 2.5%)			
11/15/2000		2200.000	PSINET INC	\$ 1.750U		\$3,783.87		COMM CALC (Bonds @ 2.5%)			
11/15/2000		2000.000	PSINET INC	\$ 1.750U		\$3,439.88		COMM CALC (Bonds @ 2.5%)			
11/15/2000		8000.000	PSINET INC	\$ 1.750U		\$13,759.53		COMM CALC (Bonds @ 2.5%)			
11/15/2000		500.000	PSINET INC	\$ 1.750U		\$859.97		COMM CALC (Bonds @ 2.5%)			
11/15/2000		1000.000	PSINET INC	\$ 1.750U		\$1,719.94		COMM CALC (Bonds @ 2.5%)			
11/15/2000		8800.000	PSINET INC	\$ 1.750U		\$15,135.48		COMM CALC (Bonds @ 2.5%)			
11/15/2000		1400.000	PSINET INC	\$ 1.750U		\$2,407.91		COMM CALC (Bonds @ 2.5%)			
11/16/2000		1200.000	PSINET INC	\$ 1.719U		\$1,990.90		COMM CALC (Bonds @ 2.5%)			
11/20/2000	1000.000		PSINET INC	\$ 35.000	\$35,297.59			COMM CALC (Bonds @ 2.5%)			
12/08/2000	2000.000	RECV	PSINET INC		\$1,938.00			VALUED PER STMT TRANS; FM XY-98765			
01/12/2001	700.000		PSINET INC	\$ 35.000	\$24,759.68			COMM CALC (Bonds @ 2.5%)			
03/01/2001		1000.000	PSINET INC	\$ 1.469U		\$1,374.20		COMM CALC (Bonds @ 2.5%)			
03/23/2001		2000.000	PSINET INC	\$ 0.188U		\$328.84		COMM CALC (Bonds @ 2.5%)			
02/08/2002	5000.000	RECV	PSINET INC		\$5.00			VALUED PER STMT TRANS			
04/30/2002	IN ACCT	55400.000	PSINET INC	\$ 0.001		\$55.40		VALUED PER 04/30/02 PORT			
	112,200.000	112,200.000						<b>(\$1,768,753.35)</b>	<b>\$0.00</b>	<b>(\$1,768,753.35)</b>	<b>-64.3%</b>
05/24/1999		50.000	PSINET INC 199910 30 PUT	\$ 4.000		\$19,344.30		COMM CALC (Bonds @ 2.5%) OPEN			
10/18/1999	50.000		PSINET INC 199910 30 PUT					EXPIRED			
	50.000	50.000						<b>\$19,344.30</b>	<b>\$0.00</b>	<b>\$19,344.30</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
03/29/1999		100.000	PSINET INC 199910 35 PUT	\$ 5.750		\$56,794.23		COMM CALC (Bonds @ 2.5%) OPEN			
10/06/1999	100.000		PSINET INC 199910 35 PUT	\$ 3.375 U	\$34,340.40			COMM CALC (Bonds @ 2.5%) CLOSE			
	100.000	100.000						<b>\$22,453.83</b>	<b>\$0.00</b>	<b>\$22,453.83</b>	
05/11/1999		100.000	PSINET INC 199910 40 PUT	\$ 7.750 U		\$76,770.08		COMM CALC (Bonds @ 2.5%) OPEN			
05/11/1999		50.000	PSINET INC 199910 40 PUT	\$ 7.750 U		\$38,387.29		COMM CALC (Bonds @ 2.5%) OPEN			
10/06/1999	100.000		PSINET INC 199910 40 PUT	\$ 7.375 U	\$74,448.74			COMM CALC (Bonds @ 2.5%) CLOSE			
10/18/1999	50.000		PSINET INC 199910 40 PUT	\$ 6.375	\$32,336.16			COMM CALC (Bonds @ 2.5%) CLOSE			
	150.000	150.000						<b>\$8,372.47</b>	<b>\$0.00</b>	<b>\$8,372.47</b>	
05/07/1999		10.000	PSINET INC 199910 45 PUT	\$ 9.156 U		\$9,007.38		COMM CALC (Bonds @ 2.5%) OPEN			
10/01/1999	10.000		PSINET INC 199910 45 PUT	\$ 9.500 U	\$9,771.41			COMM CALC (Bonds @ 2.5%) CLOSE			
	10.000	10.000						<b>( \$764.03)</b>	<b>\$0.00</b>	<b>( \$764.03)</b>	
08/06/1999		20.000	PSINET INC 200001 35 PUT	\$ 6.000 U		\$11,796.25		COMM CALC (Bonds @ 2.5%) OPEN			
01/24/2000	20.000		PSINET INC 200001 35 PUT					EXPIRED			
	20.000	20.000						<b>\$11,796.25</b>	<b>\$0.00</b>	<b>\$11,796.25</b>	
05/26/1999		100.000	PSINET INC 200001 45 PUT	\$ 11.000 U		\$109,179.00		COMM CALC (Bonds @ 2.5%) OPEN			
05/27/1999		10.000	PSINET INC 200001 45 PUT	\$ 11.000		\$10,836.37		COMM CALC (Bonds @ 2.5%) OPEN			
06/04/1999		10.000	PSINET INC 200001 45 PUT	\$ 11.000		\$10,836.37		COMM CALC (Bonds @ 2.5%) OPEN			
10/25/1999	2.000		PSINET INC 200001 45 PUT	\$ 11.750	\$2,438.70			COMM CALC (Bonds @ 2.5%) CLOSE			
01/24/2000	100.000		PSINET INC 200001 45 PUT					EXPIRED			
01/24/2000	10.000		PSINET INC 200001 45 PUT					EXPIRED			
01/24/2000	8.000		PSINET INC 200001 45 PUT					EXPIRED			
	120.000	120.000						<b>\$128,413.04</b>	<b>\$0.00</b>	<b>\$128,413.04</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
10/21/1999		100.000	PSINET INC 200004 30 PUT	\$ 5.250U		\$51,869.03		COMM CALC (Bonds @ 2.5%) OPEN			
02/14/2000		200.000	PSINET INC 200004 15 PUT					PSINET INC 200004 30 PUT			
02/14/2000	100.000		PSINET INC 200004 30 PUT					PSINET INC 200004 15 PUT			
03/29/2000	200.000	DELV SHRT	PSINET INC 200004 15 PUT		\$1,250.00			VALUED PER STMT TRANS			
	300.000	300.000						<b>\$50,619.03</b>	<b>\$0.00</b>	<b>\$50,619.03</b>	
10/08/1999		100.000	PSINET INC 200004 35 PUT	\$ 8.438U		\$83,638.68		COMM CALC (Bonds @ 2.5%) OPEN			
02/14/2000		200.000	PSINET INC 200004 17.5 PUT					PSINET INC 200004 35 PUT			
02/14/2000	100.000		PSINET INC 200004 35 PUT					PSINET INC 200004 17.5 PUT			
03/29/2000	200.000	DELV SHRT	PSINET INC 200004 17.5 PUT		\$5,000.00			VALUED PER STMT TRANS			
	300.000	300.000						<b>\$78,638.68</b>	<b>\$0.00</b>	<b>\$78,638.68</b>	
09/07/1999		50.000	PSINET INC 200004 40 PUT	\$ 7.500U		\$37,055.56		COMM CALC (Bonds @ 2.5%) OPEN			
09/08/1999		25.000	PSINET INC 200004 40 PUT	\$ 6.750U		\$16,616.16		COMM CALC (Bonds @ 2.5%) OPEN			
10/06/1999		100.000	PSINET INC 200004 40 PUT	\$ 11.625U		\$115,408.34		COMM CALC (Bonds @ 2.5%) OPEN			
10/18/1999		50.000	PSINET INC 200004 40 PUT	\$ 11.125		\$55,101.18		COMM CALC (Bonds @ 2.5%) OPEN			
02/14/2000		450.000	PSINET INC 200004 20 PUT					PSINET INC 200004 40 PUT			
02/14/2000	225.000		PSINET INC 200004 40 PUT					PSINET INC 200004 20 PUT			
03/29/2000	450.000	DELV SHRT	PSINET INC 200004 20 PUT		\$11,250.00			VALUED PER STMT TRANS			
	675.000	675.000						<b>\$212,931.24</b>	<b>\$0.00</b>	<b>\$212,931.24</b>	
01/14/2000		5.000	PSINET INC 200007 100 CALL	\$ 8.000U		\$3,926.55		COMM CALC (Bonds @ 2.5%) OPEN			
02/14/2000		10.000	PSINET INC 200007 50 CALL					PSINET INC 200007 100 CALL			
02/14/2000	5.000		PSINET INC 200007 100 CALL					PSINET INC 200007 50 CALL			
03/30/2000	10.000	DELV SHRT	PSINET INC 200007 50 CALL		\$4,062.50			VALUED PER STMT TRANS			
	15.000	15.000						<b>( \$135.95)</b>	<b>\$0.00</b>	<b>( \$135.95)</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/05/2000	RECV SHORT	400.000	PSINET INC 200201 30 PUT			\$550,000.00		VALUED PER STMT TRANS			
05/30/2000	400.000		PSINET INC 200201 30 PUT	\$ 12.469 U	\$501,802.76			COMM CALC (Bonds @ 2.5%) CLOSE			
	400.000	400.000						<b>\$48,197.24</b>	<b>\$0.00</b>	<b>\$48,197.24</b>	
05/05/2000	RECV SHORT	200.000	PSINET INC 200201 35 PUT			\$347,500.00		VALUED PER STMT TRANS			
10/09/2000	11.000		PSINET INC 200201 35 PUT					ASSIGNED			
11/01/2000	108.000		PSINET INC 200201 35 PUT					ASSIGNED			
11/08/2000	29.000		PSINET INC 200201 35 PUT					ASSIGNED			
11/09/2000	35.000		PSINET INC 200201 35 PUT					ASSIGNED			
11/16/2000	10.000		PSINET INC 200201 35 PUT					ASSIGNED			
01/10/2001	7.000		PSINET INC 200201 35 PUT					ASSIGNED			
	200.000	200.000						<b>\$347,500.00</b>	<b>\$0.00</b>	<b>\$347,500.00</b>	
05/05/2000	RECV SHORT	200.000	PSINET INC 200201 40 PUT			\$425,000.00		VALUED PER STMT TRANS			
09/28/2000	200.000		PSINET INC 200201 40 PUT					ASSIGNED			
	200.000	200.000						<b>\$425,000.00</b>	<b>\$0.00</b>	<b>\$425,000.00</b>	
05/28/1999	200.000		QUALCOMM INC	\$ 95.063	\$19,127.87			COMM CALC (Bonds @ 2.5%)			
12/31/1999	600.000		QUALCOMM INC					STOCK DIVIDEND			
03/30/2000	DELV	800.000	QUALCOMM INC			\$116,187.20		VALUED PER STMT TRANS			
05/05/2000	60000.000	RECV	QUALCOMM INC		\$6,585,000.00			VALUED PER STMT TRANS			
05/11/2000	800.000	RECV	QUALCOMM INC		\$79,450.40			VALUED PER STMT TRANS			
05/17/2000		20000.000	QUALCOMM INC	\$ 98.688 U		\$1,971,679.70		COMM CALC (Bonds @ 2.5%)			
05/24/2000		9900.000	QUALCOMM INC	\$ 89.188 U		\$881,932.31		COMM CALC (Bonds @ 2.5%)			
05/24/2000		100.000	QUALCOMM INC	\$ 89.250 U		\$8,914.70		COMM CALC (Bonds @ 2.5%)			
06/01/2000		9400.000	QUALCOMM INC	\$ 68.250 U		\$640,584.11		COMM CALC (Bonds @ 2.5%)			
06/01/2000		500.000	QUALCOMM INC	\$ 68.250 U		\$34,073.86		COMM CALC (Bonds @ 2.5%)			
06/01/2000		100.000	QUALCOMM INC	\$ 68.250 U		\$6,814.77		COMM CALC (Bonds @ 2.5%)			
06/01/2000		10000.000	QUALCOMM INC	\$ 66.563 U		\$664,852.81		COMM CALC (Bonds @ 2.5%)			
12/04/2000		300.000	QUALCOMM INC	\$ 80.500 U		\$23,942.23		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
02/28/2001		500.000	QUALCOMM INC	\$ 55.750U		\$27,794.57		COMM CALC (Bonds @ 2.5%)			
02/28/2001		4100.000	QUALCOMM INC	\$ 55.000U		\$224,877.48		COMM CALC (Bonds @ 2.5%)			
02/28/2001		400.000	QUALCOMM INC	\$ 55.000U		\$21,939.26		COMM CALC (Bonds @ 2.5%)			
03/02/2001		1000.000	QUALCOMM INC	\$ 56.063U		\$55,956.13		COMM CALC (Bonds @ 2.5%)			
03/05/2001		4000.000	QUALCOMM INC	\$ 53.938U		\$214,938.30		COMM CALC (Bonds @ 2.5%)			
04/06/2001		200.000	QUALCOMM INC	\$ 46.250U		\$9,083.57		COMM CALC (Bonds @ 2.5%)			
04/11/2001		200.000	QUALCOMM INC	\$ 44.938U		\$8,853.93		COMM CALC (Bonds @ 2.5%)			
04/17/2002		55.000	QUALCOMM INC	\$ 35.900		\$1,919.20		COMM CALC (Bonds @ 2.5%)			
04/30/2002	IN ACCT	45.000	QUALCOMM INC	\$ 30.160		\$1,357.20		VALUED PER 4/30/02 PORT			
	61,600.000	61,600.000						<b>(\$1,767,876.94)</b>	<b>\$0.00</b>	<b>(\$1,767,876.94)</b>	<b>-26.5%</b>
09/14/1999		100.000	QUALCOMM INC 200101 90 PUT	\$ 7.250U		\$71,802.93		COMM CALC (Bonds @ 2.5%) OPEN			
12/31/1999	100.000		QUALCOMM INC 200101 90 PUT					QUALCOMM INC 200101 22.5 PUT			
12/31/1999		400.000	QUALCOMM INC 200101 22.5 PUT					QUALCOMM INC 200101 90 PUT			
03/29/2000	400.000	DELV SHRT	QUALCOMM INC 200101 22.5 PUT		\$20,000.00			VALUED PER STMT TRANS			
	500.000	500.000						<b>\$51,802.93</b>	<b>\$0.00</b>	<b>\$51,802.93</b>	
01/27/2000		100.000	QUALCOMM INC 200201 100 PUT	\$ 22.000U		\$218,708.85		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	100.000	DELV SHRT	QUALCOMM INC 200201 100 PUT		\$160,000.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	100.000	QUALCOMM INC 200201 100 PUT			\$265,000.00		VALUED PER STMT TRANS			
11/22/2000	100.000		QUALCOMM INC 200201 100 PUT	\$ 29.250U	\$293,914.39			COMM CALC (Bonds @ 2.5%) CLOSE			
	200.000	200.000						<b>\$29,794.46</b>	<b>\$0.00</b>	<b>\$29,794.46</b>	
11/24/2000		5.000	QUALCOMM INC 200201 150 CALL	\$ 10.000		\$4,902.37		COMM CALC (Bonds @ 2.5%) OPEN			
12/01/2000	5.000		QUALCOMM INC 200201 150 CALL	\$ 10.250	\$5,223.54			COMM CALC (Bonds @ 2.5%) CLOSE			
	5.000	5.000						<b>( \$321.17)</b>	<b>\$0.00</b>	<b>( \$321.17)</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/05/2000	RECV SHORT	600.000	QUALCOMM INC 200201 195 CALL			\$1,350,000.00		VALUED PER STMT TRANS			
05/11/2000	200.000		QUALCOMM INC 200201 195 CALL	\$ 17.625 U	\$354,431.88			COMM CALC (Bonds @ 2.5%) CLOSE			
05/22/2000	100.000		QUALCOMM INC 200201 195 CALL	\$ 13.375 U	\$134,725.98			COMM CALC (Bonds @ 2.5%) CLOSE			
05/30/2000	100.000		QUALCOMM INC 200201 195 CALL	\$ 7.000 U	\$70,606.27			COMM CALC (Bonds @ 2.5%) CLOSE			
05/30/2000	100.000		QUALCOMM INC 200201 195 CALL	\$ 6.125 U	\$61,851.78			COMM CALC (Bonds @ 2.5%) CLOSE			
10/16/2000	100.000		QUALCOMM INC 200201 195 CALL	\$ 4.500 U	\$45,621.04			COMM CALC (Bonds @ 2.5%) CLOSE			
	600.000	600.000						<b>\$682,763.05</b>	<b>\$0.00</b>	<b>\$682,763.05</b>	
02/28/2000		100.000	QUALCOMM INC 200201 75 PUT	\$ 9.750 U		\$96,782.10		COMM CALC (Bonds @ 2.5%) OPEN			
02/28/2000		100.000	QUALCOMM INC 200201 75 PUT	\$ 10.000 U		\$99,286.52		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	200.000	DELV SHRT	QUALCOMM INC 200201 75 PUT		\$147,500.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	200.000	QUALCOMM INC 200201 75 PUT			\$280,000.00		VALUED PER STMT TRANS			
09/28/2000	200.000		QUALCOMM INC 200201 75 PUT	\$ 20.375 U	\$409,611.81			COMM CALC (Bonds @ 2.5%) CLOSE			
	400.000	400.000						<b>( \$81,043.19)</b>	<b>\$0.00</b>	<b>( \$81,043.19)</b>	
02/15/2000		100.000	QUALCOMM INC 200201 80 PUT	\$ 13.000 U		\$129,033.06		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	100.000	DELV SHRT	QUALCOMM INC 200201 80 PUT		\$90,000.00			VALUED PER STMT TRANS			
05/05/2000	RECV SHORT	100.000	QUALCOMM INC 200201 80 PUT			\$161,250.00		VALUED PER STMT TRANS			
09/28/2000	100.000		QUALCOMM INC 200201 80 PUT	\$ 23.750 U	\$238,846.26			COMM CALC (Bonds @ 2.5%) CLOSE			
	200.000	200.000						<b>( \$38,563.20)</b>	<b>\$0.00</b>	<b>( \$38,563.20)</b>	
10/16/2000		100.000	QUALCOMM INC 200301 100 CALL	\$ 21.750 U		\$216,323.73		COMM CALC (Bonds @ 2.5%) OPEN			
12/11/2000	100.000		QUALCOMM INC 200301 100 CALL	\$ 44.125 U	\$443,323.44			COMM CALC (Bonds @ 2.5%) CLOSE			
	100.000	100.000						<b>( \$226,999.71)</b>	<b>\$0.00</b>	<b>( \$226,999.71)</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
12/11/2000		100.000	QUALCOMM INC 200301 130 CALL	\$ 35.000U		\$348,240.55		COMM CALC (Bonds @ 2.5%) OPEN			
02/26/2001	100.000		QUALCOMM INC 200301 130 CALL	\$ 8.000	\$80,784.16			COMM CALC (Bonds @ 2.5%) CLOSE			
	100.000	100.000						<b>\$267,456.39</b>	<b>\$0.00</b>	<b>\$267,456.39</b>	
12/01/2000		5.000	QUALCOMM INC 200301 140 CALL	\$ 20.375		\$10,044.60		COMM CALC (Bonds @ 2.5%) OPEN			
02/26/2001	5.000		QUALCOMM INC 200301 140 CALL	\$ 6.500U	\$3,343.46			COMM CALC (Bonds @ 2.5%) CLOSE			
	5.000	5.000						<b>\$6,701.14</b>	<b>\$0.00</b>	<b>\$6,701.14</b>	
10/16/2000		67.000	QWEST COMMUNICATIONS INTL INC 200301 55 CA	\$ 10.625U		\$70,548.06		COMM CALC (Bonds @ 2.5%) OPEN			
11/22/2000	67.000		QWEST COMMUNICATIONS INTL INC 200301 55 CA	\$ 8.250U	\$55,855.28			COMM CALC (Bonds @ 2.5%) CLOSE			
	67.000	67.000						<b>\$14,692.78</b>	<b>\$0.00</b>	<b>\$14,692.78</b>	
09/02/1997	1000.000		REPUBLIC INDS INC	\$ 25.500U	\$25,832.44			COMM CALC (Bonds @ 2.5%)			
07/01/1998		1000.000	REPUBLIC INDS INC	\$ 23.625		\$23,305.12		COMM CALC (Bonds @ 2.5%)			
	1,000.000	1,000.000						<b>( \$2,527.32)</b>	<b>\$0.00</b>	<b>( \$2,527.32)</b>	<b>-9.8%</b>
12/01/1998	1800.000		S3 INC	\$ 5.750	\$10,550.71			COMM CALC (Bonds @ 2.5%)			
12/01/1998	1000.000		S3 INC	\$ 5.750	\$5,859.10			COMM CALC (Bonds @ 2.5%)			
12/01/1998	500.000		S3 INC	\$ 5.750	\$2,929.55			COMM CALC (Bonds @ 2.5%)			
12/01/1998	1700.000		S3 INC	\$ 5.750	\$9,960.47			COMM CALC (Bonds @ 2.5%)			
04/08/1999		2000.000	S3 INC	\$ 7.500		\$14,695.00		COMM CALC (Bonds @ 2.5%)			
04/08/1999		3000.000	S3 INC	\$ 7.531		\$22,142.99		COMM CALC (Bonds @ 2.5%)			
	5,000.000	5,000.000						<b>\$7,538.16</b>	<b>\$0.00</b>	<b>\$7,538.16</b>	<b>25.7%</b>

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
08/29/1997	1000.000	OPEN	SEARS ROEBUCK	\$ 56.750	\$56,750.00					VALUED PER 08/29/97 PORT	
10/01/1997			SEARS ROEBUCK			\$230.00		DIVIDEND			
01/02/1998			SEARS ROEBUCK			\$230.00		DIVIDEND			
04/01/1998			SEARS ROEBUCK			\$230.00		DIVIDEND			
07/01/1998			SEARS ROEBUCK			\$230.00		DIVIDEND			
10/01/1998			SEARS ROEBUCK			\$230.00		DIVIDEND			
01/04/1999			SEARS ROEBUCK			\$230.00		DIVIDEND			
04/01/1999			SEARS ROEBUCK			\$230.00		DIVIDEND			
07/01/1999			SEARS ROEBUCK			\$230.00		DIVIDEND			
10/01/1999			SEARS ROEBUCK			\$230.00		DIVIDEND			
01/03/2000			SEARS ROEBUCK			\$230.00		DIVIDEND			
03/29/2000	DELV	1000.000	SEARS ROEBUCK			\$29,750.00		VALUED PER STMT TRANS			
04/03/2000			SEARS ROEBUCK			\$230.00		DIVIDEND			
05/05/2000	1000.000	RECV	SEARS ROEBUCK		\$37,813.00			VALUED PER STMT TRANS			
07/03/2000			SEARS ROEBUCK			\$230.00		DIVIDEND			
10/02/2000			SEARS ROEBUCK			\$230.00		DIVIDEND			
10/16/2000		1000.000	SEARS ROEBUCK	\$ 33.520		\$33,164.83		COMM CALC (Bonds @ 2.5%)			
	2,000.000	2,000.000						( \$31,648.17)	\$2,990.00	( \$28,658.17)	-30.3%
08/29/1997	1000.000	OPEN	ROBERTS PHARMACEUTICAL CORP	\$ 11.188	\$11,188.00			VALUED PER 08/29/97 PORT			
12/23/1999			SHIRE PHARMA GROUP PLC ADR			\$20.53		CIL			
12/23/1999		1000.000	ROBERTS PHARMACEUTICAL CORP					SHIRE PHARMA GROUP PLC ADR			
12/23/1999	1042.000		SHIRE PHARMA GROUP PLC ADR					ROBERTS PHARMACEUTICAL CORP			
03/29/2000	DELV	1042.000	SHIRE PHARMA GROUP PLC ADR			\$52,100.00		VALUED PER STMT TRANS			
05/05/2000	1042.000	RECV	SHIRE PHARMA GROUP PLC ADR		\$47,280.75			VALUED PER STMT TRANS			
07/27/2001		792.000	SHIRE PHARMA GROUP PLC ADR	\$ 49.500U		\$38,865.49		COMM CALC (Bonds @ 2.5%)			
07/27/2001		250.000	SHIRE PHARMA GROUP PLC ADR	\$ 49.500U		\$12,269.55		COMM CALC (Bonds @ 2.5%)			
	3,084.000	3,084.000						\$44,786.82	\$0.00	\$44,786.82	76.6%



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/05/2000	4000.000	RECV	SL GREEN REALTY CORP		\$104,000.00			VALUED PER STMT TRANS			
07/17/2000			SL GREEN REALTY CORP			\$1,450.00		DIVIDEND			
10/16/2000			SL GREEN REALTY CORP			\$1,450.00		DIVIDEND			
10/17/2000		4000.000	SL GREEN REALTY CORP	\$ 26.477 U		\$105,102.95		COMM CALC (Bonds @ 2.5%)			
	4,000.000	4,000.000						<b>\$1,102.95</b>	<b>\$2,900.00</b>	<b>\$4,002.95</b>	<b>3.8%</b>
08/29/1997	2070.000	OPEN	SPRINT CORP	\$ 47.000	\$97,290.00			VALUED PER 08/29/97 PORT			
09/29/1997			SPRINT CORP			\$517.50		DIVIDEND			
12/29/1997			SPRINT CORP			\$517.50		DIVIDEND			
03/30/1998			SPRINT CORP			\$517.50		DIVIDEND			
06/29/1998			SPRINT CORP			\$517.50		DIVIDEND			
09/29/1998			SPRINT CORP			\$517.50		DIVIDEND			
11/24/1998	1035.000		SPRINT CORP PCS GROUP					STOCK DIVIDEND			
12/28/1998			SPRINT CORP			\$517.50		DIVIDEND			
03/30/1999			SPRINT CORP			\$517.50		DIVIDEND			
06/07/1999	2070.000		SPRINT CORP					STOCK DIVIDEND			
06/29/1999			SPRINT CORP			\$517.50		DIVIDEND			
09/29/1999			SPRINT CORP			\$517.50		DIVIDEND			
12/29/1999			SPRINT CORP			\$517.50		DIVIDEND			
02/07/2000	1035.000		SPRINT CORP PCS GROUP					STOCK DIVIDEND			
03/29/2000	DELV	2070.000	SPRINT CORP PCS GROUP			\$134,291.25		VALUED PER STMT TRANS			
03/29/2000	DELV	4140.000	SPRINT CORP			\$246,330.00		VALUED PER STMT TRANS			
03/30/2000			SPRINT CORP			\$517.50		DIVIDEND			
05/05/2000	2070.000	RECV	SPRINT CORP PCS GROUP		\$123,423.75			VALUED PER STMT TRANS			
05/05/2000	4140.000	RECV	SPRINT CORP		\$250,730.82			VALUED PER STMT TRANS			
06/29/2000			SPRINT CORP			\$517.50		DIVIDEND			
09/28/2000			SPRINT CORP			\$517.50		DIVIDEND			
12/28/2000			SPRINT CORP			\$517.50		DIVIDEND			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
03/29/2001			SPRINT CORP			\$517.50		DIVIDEND			
06/28/2001			SPRINT CORP			\$517.50		DIVIDEND			
07/27/2001		1000.000	SPRINT CORP	\$ 21.462 U		\$21,160.44		COMM CALC (Bonds @ 2.5%)			
09/27/2001			SPRINT CORP			\$392.50		DIVIDEND			
12/28/2001			SPRINT CORP			\$392.50		DIVIDEND			
02/11/2002		2070.000	SPRINT CORP PCS GROUP	\$ 9.980 U		\$20,315.02		COMM CALC (Bonds @ 2.5%)			
02/11/2002		3140.000	SPRINT CORP	\$ 12.690 U		\$39,336.71		COMM CALC (Bonds @ 2.5%)			
	12,420.000	12,420.000						( \$10,011.15)	\$9,065.00	( \$946.15)	-0.2%
08/06/1999	3000.000		STAR TELECOMMUNICATIONS	\$ 5.531 U	\$16,898.25			COMM CALC (Bonds @ 2.5%)			
08/06/1999	3000.000		STAR TELECOMMUNICATIONS	\$ 5.531 U	\$16,898.25			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	3000.000	STAR TELECOMMUNICATIONS			\$17,952.00		VALUED PER STMT TRANS			
03/30/2000	DELV	3000.000	STAR TELECOMMUNICATIONS			\$17,952.00		VALUED PER STMT TRANS			
05/05/2000	20000.000	RECV	STAR TELECOMMUNICATIONS		\$88,760.00			VALUED PER STMT TRANS			
05/11/2000	3000.000	RECV	STAR TELECOMMUNICATIONS		\$11,250.00			VALUED PER STMT TRANS			
10/18/2000		4500.000	STAR TELECOMMUNICATIONS	\$ 1.188 U		\$5,166.72		COMM CALC (Bonds @ 2.5%)			
10/18/2000		500.000	STAR TELECOMMUNICATIONS	\$ 1.188 U		\$574.58		COMM CALC (Bonds @ 2.5%)			
10/18/2000		600.000	STAR TELECOMMUNICATIONS	\$ 1.188 U		\$689.49		COMM CALC (Bonds @ 2.5%)			
10/18/2000		3100.000	STAR TELECOMMUNICATIONS	\$ 1.188 U		\$3,562.39		COMM CALC (Bonds @ 2.5%)			
10/18/2000		8200.000	STAR TELECOMMUNICATIONS	\$ 1.188 U		\$9,422.51		COMM CALC (Bonds @ 2.5%)			
10/18/2000		500.000	STAR TELECOMMUNICATIONS	\$ 1.188 U		\$574.58		COMM CALC (Bonds @ 2.5%)			
10/18/2000		2100.000	STAR TELECOMMUNICATIONS	\$ 1.125 U		\$2,281.99		COMM CALC (Bonds @ 2.5%)			
10/18/2000		500.000	STAR TELECOMMUNICATIONS	\$ 1.125 U		\$543.33		COMM CALC (Bonds @ 2.5%)			
02/28/2001		2500.000	STAR TELECOMMUNICATIONS	\$ 0.313 U		\$718.37		COMM CALC (Bonds @ 2.5%)			
02/28/2001		500.000	STAR TELECOMMUNICATIONS	\$ 0.313 U		\$144.59		COMM CALC (Bonds @ 2.5%)			
	29,000.000	29,000.000						( \$74,223.95)	\$0.00	( \$74,223.95)	-55.5%

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
07/21/1999	25.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$ 0.500	\$1,328.73			COMM CALC (Bonds @ 2.5%) OPEN			
07/21/1999	25.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$ 0.563	\$1,480.47			COMM CALC (Bonds @ 2.5%) OPEN			
07/21/1999	25.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$ 0.500	\$1,328.73			COMM CALC (Bonds @ 2.5%) OPEN			
07/21/1999	25.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$ 0.563	\$1,480.47			COMM CALC (Bonds @ 2.5%) OPEN			
07/28/1999	10.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$ 0.500U	\$544.95			COMM CALC (Bonds @ 2.5%) OPEN			
07/28/1999	10.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$ 0.500	\$544.95			COMM CALC (Bonds @ 2.5%) OPEN			
07/29/1999		30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$ 1.813		\$5,278.12		COMM CALC (Bonds @ 2.5%) CLOSE			
07/29/1999		30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$ 1.813		\$5,273.63		COMM CALC (Bonds @ 2.5%) CLOSE			
07/29/1999		30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$ 1.813		\$5,273.63		COMM CALC (Bonds @ 2.5%) CLOSE			
07/29/1999		30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$ 1.813		\$5,278.12		COMM CALC (Bonds @ 2.5%) CLOSE			
	<u>120.000</u>	<u>120.000</u>						<b>\$14,395.20</b>	<b>\$0.00</b>	<b>\$14,395.20</b>	
05/05/2000	6000.000	RECV	STARWOOD HOTELS & RESORTS WORLDWIDE INC		\$176,250.00			VALUED PER STMT TRANS			
05/31/2000		6000.000	STARWOOD HOTELS & RESORTS WORLDWIDE INC	\$ 27.000		\$161,240.10		COMM CALC (Bonds @ 2.5%)			
	<u>6,000.000</u>	<u>6,000.000</u>						<b>( \$15,009.90)</b>	<b>\$0.00</b>	<b>( \$15,009.90)</b>	<b>-8.5%</b>

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
04/24/2001	2000.000		SUN MICROSYSTEMS INC	\$ 19.940U	\$40,335.31			COMM CALC (Bonds @ 2.5%)			
04/30/2002	IN ACCT	2000.000	SUN MICROSYSTEMS INC	\$ 8.180		\$16,360.00		VALUED PER 04/30/02 PORT			
	2,000.000	2,000.000						( \$23,975.31)	\$0.00	( \$23,975.31)	-59.4%
08/29/1997	4000.000	OPEN	SUNBEAM CORP	\$ 43.875	\$175,500.00			VALUED PER 08/29/97 PORT			
09/15/1997			SUNBEAM CORP			\$40.00		DIVIDEND			
12/15/1997			SUNBEAM CORP			\$40.00		DIVIDEND			
03/16/1998			SUNBEAM CORP			\$40.00		DIVIDEND			
06/12/1998	5000.000		SUNBEAM CORP	\$ 35.000	\$176,116.26			COMM CALC (Bonds @ 2.5%)			
06/16/1998			SUNBEAM CORP			\$40.00		DIVIDEND			
06/16/1998		5000.000	SUNBEAM CORP	\$ 18.375		\$91,077.07		COMM CALC (Bonds @ 2.5%)			
06/19/1998		4000.000	SUNBEAM CORP	\$ 14.000		\$55,391.18		COMM CALC (Bonds @ 2.5%)			
	9,000.000	9,000.000						( \$205,148.01)	\$160.00	( \$204,988.01)	-58.3%
04/07/1998		100.000	SUNBEAM CORP 199810 30 PUT	\$ 3.000		\$28,953.55		COMM CALC (Bonds @ 2.5%) OPEN			
04/15/1998		100.000	SUNBEAM CORP 199810 30 PUT	\$ 4.125U		\$40,520.05		COMM CALC (Bonds @ 2.5%) OPEN			
05/11/1998		100.000	SUNBEAM CORP 199810 30 PUT	\$ 4.875		\$48,015.23		COMM CALC (Bonds @ 2.5%) OPEN			
06/17/1998	100.000		SUNBEAM CORP 199810 30 PUT	\$ 16.500U	\$166,067.51			COMM CALC (Bonds @ 2.5%) CLOSE			
06/17/1998	200.000		SUNBEAM CORP 199810 30 PUT	\$ 16.250	\$327,126.04			COMM CALC (Bonds @ 2.5%) CLOSE			
	300.000	300.000						( \$375,704.72)	\$0.00	( \$375,704.72)	
04/07/1998		50.000	SUNBEAM CORP 199810 35 PUT	\$ 5.375U		\$26,403.74		COMM CALC (Bonds @ 2.5%) OPEN			
06/10/1998	50.000		SUNBEAM CORP 199810 35 PUT					ASSIGNED			
	50.000	50.000						\$26,403.74	\$0.00	\$26,403.74	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
01/31/2000	100.000		SYMYX TECHNOLOGIES INC	\$ 42.750U	\$4,333.55			COMM CALC (Bonds @ 2.5%)			
03/17/2000	1130.000		SYMYX TECHNOLOGIES INC	\$ 65.000U	\$73,554.50			COMM CALC (Bonds @ 2.5%)			
03/17/2000	200.000		SYMYX TECHNOLOGIES INC	\$ 65.000U	\$13,100.00			COMM CALC (Bonds @ 2.5%)			
03/17/2000	670.000		SYMYX TECHNOLOGIES INC	\$ 65.000U	\$43,650.00			COMM CALC (Bonds @ 2.5%)			
03/17/2000	800.000		SYMYX TECHNOLOGIES INC	\$ 65.750U	\$52,700.00			COMM CALC (Bonds @ 2.5%)			
03/17/2000	700.000		SYMYX TECHNOLOGIES INC	\$ 65.750U	\$46,125.00			COMM CALC (Bonds @ 2.5%)			
03/17/2000	500.000		SYMYX TECHNOLOGIES INC	\$ 65.750U	\$32,975.00			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	4000.000	SYMYX TECHNOLOGIES INC			\$162,000.00		VALUED PER STMT TRANS			
03/30/2000	DELV	100.000	SYMYX TECHNOLOGIES INC			\$4,387.50		VALUED PER STMT TRANS			
05/05/2000	4000.000	RECV	SYMYX TECHNOLOGIES INC		\$112,500.00			VALUED PER STMT TRANS			
05/31/2000		1000.000	SYMYX TECHNOLOGIES INC	\$ 26.750U		\$26,594.60		COMM CALC (Bonds @ 2.5%)			
05/31/2000		1000.000	SYMYX TECHNOLOGIES INC	\$ 26.750U		\$26,599.10		COMM CALC (Bonds @ 2.5%)			
05/31/2000		2000.000	SYMYX TECHNOLOGIES INC	\$ 26.750		\$53,198.21		COMM CALC (Bonds @ 2.5%)			
	8,100.000	8,100.000						( \$106,158.64)	\$0.00	( \$106,158.64)	-28.0%
12/13/1999	900.000		TECHNOLOGY SOLUTIONS CO	\$ 38.188U	\$34,508.25			COMM CALC (Bonds @ 2.5%)			
12/13/1999	800.000		TECHNOLOGY SOLUTIONS CO	\$ 38.188U	\$30,670.00			COMM CALC (Bonds @ 2.5%)			
12/13/1999	700.000		TECHNOLOGY SOLUTIONS CO	\$ 38.188U	\$26,836.25			COMM CALC (Bonds @ 2.5%)			
12/13/1999	200.000		TECHNOLOGY SOLUTIONS CO	\$ 38.188U	\$7,667.50			COMM CALC (Bonds @ 2.5%)			
12/13/1999	1200.000		TECHNOLOGY SOLUTIONS CO	\$ 38.188U	\$46,005.00			COMM CALC (Bonds @ 2.5%)			
12/14/1999	1200.000		TECHNOLOGY SOLUTIONS CO	\$ 38.625U	\$46,714.50			COMM CALC (Bonds @ 2.5%)			
02/16/2000	5000.000		ELOYALTY CORP					STOCK DIVIDEND SPINOFF			
03/29/2000	DELV	5000.000	ELOYALTY CORP			\$125,625.00		VALUED PER STMT TRANS			
03/29/2000	DELV	5000.000	TECHNOLOGY SOLUTIONS CO			\$45,000.00		VALUED PER STMT TRANS			
05/04/2000		5300.000	ELOYALTY CORP	\$ 13.375U		\$70,350.63		COMM CALC (Bonds @ 2.5%)			
05/04/2000		5000.000	ELOYALTY CORP	\$ 13.625U		\$67,622.72		COMM CALC (Bonds @ 2.5%)			
05/04/2000		100.000	ELOYALTY CORP	\$ 13.625U		\$1,352.45		COMM CALC (Bonds @ 2.5%)			
05/04/2000		100.000	ELOYALTY CORP	\$ 13.563U		\$1,346.20		COMM CALC (Bonds @ 2.5%)			
05/04/2000		300.000	ELOYALTY CORP	\$ 13.500U		\$4,019.86		COMM CALC (Bonds @ 2.5%)			
05/04/2000		3500.000	ELOYALTY CORP	\$ 13.375U		\$46,460.93		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/05/2000		1800.000	TECHNOLOGY SOLUTIONS CO	\$ 5.875U		\$10,390.14		COMM CALC (Bonds @ 2.5%)			
05/05/2000		900.000	TECHNOLOGY SOLUTIONS CO	\$ 6.000U		\$5,309.82		COMM CALC (Bonds @ 2.5%)			
05/05/2000		500.000	TECHNOLOGY SOLUTIONS CO	\$ 6.000U		\$2,949.90		COMM CALC (Bonds @ 2.5%)			
05/05/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$ 5.875U		\$577.48		COMM CALC (Bonds @ 2.5%)			
05/05/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$ 6.000U		\$589.98		COMM CALC (Bonds @ 2.5%)			
05/05/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$ 5.875U		\$577.48		COMM CALC (Bonds @ 2.5%)			
05/05/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$ 5.875U		\$577.48		COMM CALC (Bonds @ 2.5%)			
05/05/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$ 5.875U		\$577.48		COMM CALC (Bonds @ 2.5%)			
05/05/2000		300.000	TECHNOLOGY SOLUTIONS CO	\$ 5.875U		\$1,732.44		COMM CALC (Bonds @ 2.5%)			
05/05/2000		500.000	TECHNOLOGY SOLUTIONS CO	\$ 5.875U		\$2,887.40		COMM CALC (Bonds @ 2.5%)			
05/05/2000		1000.000	TECHNOLOGY SOLUTIONS CO	\$ 5.875U		\$5,774.80		COMM CALC (Bonds @ 2.5%)			
05/05/2000		4600.000	TECHNOLOGY SOLUTIONS CO	\$ 5.875U		\$26,564.09		COMM CALC (Bonds @ 2.5%)			
05/05/2000		4900.000	TECHNOLOGY SOLUTIONS CO	\$ 5.875U		\$28,296.54		COMM CALC (Bonds @ 2.5%)			
05/05/2000	4300.000	RECV	ELOYALTY CORP		\$86,000.00			VALUED PER STMT TRANS			
05/05/2000	15000.000	RECV	ELOYALTY CORP		\$300,000.00			VALUED PER STMT TRANS			
05/05/2000	5000.000	RECV	TECHNOLOGY SOLUTIONS CO		\$29,065.00			VALUED PER STMT TRANS			
05/05/2000	15000.000	RECV	TECHNOLOGY SOLUTIONS CO		\$87,195.00			VALUED PER STMT TRANS			
05/31/2000		300.000	ELOYALTY CORP	\$ 14.250U		\$4,225.35		COMM CALC (Bonds @ 2.5%)			
05/31/2000		100.000	ELOYALTY CORP	\$ 14.438U		\$1,428.70		COMM CALC (Bonds @ 2.5%)			
06/01/2000		1600.000	ELOYALTY CORP	\$ 13.188U		\$21,014.79		COMM CALC (Bonds @ 2.5%)			
06/01/2000		500.000	ELOYALTY CORP	\$ 13.188U		\$6,568.53		COMM CALC (Bonds @ 2.5%)			
06/01/2000		100.000	ELOYALTY CORP	\$ 13.188U		\$1,313.70					
06/01/2000		100.000	ELOYALTY CORP	\$ 13.188U		\$1,313.70					
06/01/2000		100.000	ELOYALTY CORP	\$ 13.188U		\$1,313.70					
06/01/2000		100.000	ELOYALTY CORP	\$ 13.188U		\$1,313.70					
06/01/2000		100.000	ELOYALTY CORP	\$ 13.188U		\$1,313.70					
06/01/2000		300.000	ELOYALTY CORP	\$ 13.188U		\$3,941.11		COMM CALC (Bonds @ 2.5%)			
06/01/2000		600.000	ELOYALTY CORP	\$ 13.188U		\$7,882.23		COMM CALC (Bonds @ 2.5%)			
06/01/2000		1100.000	ELOYALTY CORP	\$ 13.188U		\$14,450.76		COMM CALC (Bonds @ 2.5%)			
10/18/2000		2700.000	TECHNOLOGY SOLUTIONS CO	\$ 2.188U		\$5,709.61		COMM CALC (Bonds @ 2.5%)			
10/18/2000		500.000	TECHNOLOGY SOLUTIONS CO	\$ 2.188U		\$1,058.16		COMM CALC (Bonds @ 2.5%)			
10/18/2000		1700.000	TECHNOLOGY SOLUTIONS CO	\$ 2.188U		\$3,597.75		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
10/18/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$ 2.188U		\$211.63					
	49,300.000	49,300.000						( \$169,421.56)	\$0.00	( \$169,421.56)	-24.4%
03/02/2000	2000.000		TEKELEC	\$ 50.250U	\$101,024.57			COMM CALC (Bonds @ 2.5%)			
03/17/2000	3000.000		TEKELEC	\$ 52.500U	\$157,954.50			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	5000.000	TEKELEC			\$222,190.00		VALUED PER STMT TRANS			
04/27/2000		500.000	TEKELEC	\$ 30.250U		\$15,069.99		COMM CALC (Bonds @ 2.5%)			
04/27/2000		500.000	TEKELEC	\$ 30.625U		\$15,261.98		COMM CALC (Bonds @ 2.5%)			
04/27/2000		100.000	TEKELEC	\$ 30.625U		\$3,052.39		COMM CALC (Bonds @ 2.5%)			
04/27/2000		800.000	TEKELEC	\$ 30.625U		\$24,419.18		COMM CALC (Bonds @ 2.5%)			
04/27/2000		100.000	TEKELEC	\$ 30.250U		\$3,014.89		COMM CALC (Bonds @ 2.5%)			
04/27/2000		100.000	TEKELEC	\$ 30.000U		\$2,989.90		COMM CALC (Bonds @ 2.5%)			
04/27/2000		100.000	TEKELEC	\$ 30.000U		\$2,989.90		COMM CALC (Bonds @ 2.5%)			
04/27/2000		100.000	TEKELEC	\$ 30.000U		\$2,989.90		COMM CALC (Bonds @ 2.5%)			
04/27/2000		100.000	TEKELEC	\$ 30.000U		\$2,989.90		COMM CALC (Bonds @ 2.5%)			
04/27/2000		100.000	TEKELEC	\$ 30.000U		\$2,989.90		COMM CALC (Bonds @ 2.5%)			
04/27/2000		900.000	TEKELEC	\$ 30.250U		\$27,134.09		COMM CALC (Bonds @ 2.5%)			
04/27/2000		300.000	TEKELEC	\$ 30.250U		\$9,044.69		COMM CALC (Bonds @ 2.5%)			
04/27/2000		300.000	TEKELEC	\$ 30.250U		\$9,044.69		COMM CALC (Bonds @ 2.5%)			
04/27/2000		100.000	TEKELEC	\$ 30.250U		\$3,014.89		COMM CALC (Bonds @ 2.5%)			
04/27/2000		300.000	TEKELEC	\$ 30.250U		\$9,044.69		COMM CALC (Bonds @ 2.5%)			
04/27/2000		600.000	TEKELEC	\$ 30.250U		\$18,089.39		COMM CALC (Bonds @ 2.5%)			
05/05/2000	5000.000	REC'D	TEKELEC		\$176,250.00			VALUED PER STMT TRANS			
	10,000.000	10,000.000						( \$61,898.70)	\$0.00	( \$61,898.70)	-14.2%

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
10/20/2000		5.000	TERAYON COMMUNICATIONS 200011 20 CALL	\$ 3.375 U		\$1,582.93		COMM CALC (Bonds @ 2.5%) OPEN			
11/20/2000	5.000		TERAYON COMMUNICATIONS 200011 20 CALL					EXPIRED			
	<u>5.000</u>	<u>5.000</u>						<b>\$1,582.93</b>	<b>\$0.00</b>	<b>\$1,582.93</b>	
10/24/2000	400.000		TERAYON COMMUNICATIONS SYS	\$ 19.063 U	\$7,823.60			COMM CALC (Bonds @ 2.5%)			
10/24/2000	100.000		TERAYON COMMUNICATIONS SYS	\$ 19.000 U	\$1,948.53			COMM CALC (Bonds @ 2.5%)			
12/29/2000		<u>500.000</u>	TERAYON COMMUNICATIONS SYS	\$ 3.844 U		\$1,817.31		COMM CALC (Bonds @ 2.5%)			
	<u>500.000</u>	<u>500.000</u>						<b>( \$7,954.82)</b>	<b>\$0.00</b>	<b>( \$7,954.82)</b>	<b>-81.4%</b>
01/06/2000	500.000		TIER TECHNOLOGIES INC CL B	\$ 8.500 U	\$4,353.13			COMM CALC (Bonds @ 2.5%)			
03/08/2000		<u>500.000</u>	TIER TECHNOLOGIES INC CL B	\$ 6.875 U		\$3,347.89		COMM CALC (Bonds @ 2.5%)			
	<u>500.000</u>	<u>500.000</u>						<b>( \$1,005.24)</b>	<b>\$0.00</b>	<b>( \$1,005.24)</b>	<b>-23.1%</b>
07/06/1999	100.000		TRANS WORLD ENTMT CORP	\$ 10.563 U	\$1,110.74			COMM CALC (Bonds @ 2.5%)			
12/10/1999		<u>100.000</u>	TRANS WORLD ENTMT CORP	\$ 10.063		\$947.21		COMM CALC (Bonds @ 2.5%)			
	<u>100.000</u>	<u>100.000</u>						<b>( \$163.53)</b>	<b>\$0.00</b>	<b>( \$163.53)</b>	<b>-14.7%</b>
09/16/1998	2000.000		TRAVELERS GROUP INC	\$ 39.313 U	\$79,159.50			COMM CALC (Bonds @ 2.5%)			
09/16/1998	1000.000		TRAVELERS GROUP INC	\$ 39.875 U	\$40,139.89			COMM CALC (Bonds @ 2.5%)			
10/09/1998	3000.000		CITIGROUP INC					TRAVELERS GROUP INC			
10/09/1998		<u>3000.000</u>	TRAVELERS GROUP INC					CITIGROUP INC			
11/25/1998			CITIGROUP INC			\$540.00		DIVIDEND			
02/25/1999			CITIGROUP INC			\$540.00		DIVIDEND			
03/30/1999	400.000	RECV	CITIGROUP INC		\$25,650.00			VALUED PER STMT TRANS			
04/21/1999	2000.000		CITIGROUP INC	\$ 70.625	\$141,895.77			COMM CALC (Bonds @ 2.5%)			
05/27/1999			CITIGROUP INC			\$1,050.00		DIVIDEND			
05/27/1999			CITIGROUP INC			\$84.00		DIVIDEND			
06/01/1999	2500.000		CITIGROUP INC					STOCK DIVIDEND			
06/01/1999	200.000		CITIGROUP INC					STOCK DIVIDEND			



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
06/29/1999		2500.000	CITIGROUP INC	\$ 44.188 U	\$110,848.25			COMM CALC (Bonds @ 2.5%)			
08/27/1999			CITIGROUP INC			\$1,400.00		DIVIDEND			
08/27/1999			CITIGROUP INC			\$84.00		DIVIDEND			
11/24/1999			CITIGROUP INC			\$1,400.00		DIVIDEND			
11/24/1999			CITIGROUP INC			\$84.00		DIVIDEND			
02/25/2000			CITIGROUP INC			\$1,600.00		DIVIDEND			
02/25/2000			CITIGROUP INC			\$96.00		DIVIDEND			
03/29/2000	DELV	10000.000	CITIGROUP INC			\$607,500.00		VALUED PER STMT TRANS			
03/30/2000	DELV	600.000	CITIGROUP INC			\$35,700.00		VALUED PER STMT TRANS			
05/05/2000	10000.000	RECV	CITIGROUP INC		\$595,630.00			VALUED PER STMT TRANS			
05/05/2000	10500.000	RECV	CITIGROUP INC		\$625,411.50			VALUED PER STMT TRANS			
05/11/2000	600.000	RECV	CITIGROUP INC		\$35,362.80			VALUED PER STMT TRANS			
08/25/2000			CITIGROUP INC			\$3,826.67		DIVIDEND			
08/25/2000			CITIGROUP INC			\$112.00		DIVIDEND			
08/28/2000	6833.000		CITIGROUP INC					STOCK DIVIDEND			
08/28/2000	200.000		CITIGROUP INC					STOCK DIVIDEND			
09/08/2000			CITIGROUP INC			\$18.44		CIL			
10/17/2000		7000.000	CITIGROUP INC	\$ 46.750		\$326,184.59		COMM CALC (Bonds @ 2.5%)			
11/22/2000			CITIGROUP INC			\$2,846.62		DIVIDEND			
11/22/2000			CITIGROUP INC			\$112.00		DIVIDEND			
12/04/2000		5000.000	CITIGROUP INC	\$ 49.063 U		\$244,549.82		COMM CALC (Bonds @ 2.5%)			
02/23/2001			CITIGROUP INC			\$2,146.62		DIVIDEND			
02/23/2001			CITIGROUP INC			\$112.00		DIVIDEND			
02/28/2001		300.000	CITIGROUP INC	\$ 47.660 U		\$14,120.50		COMM CALC (Bonds @ 2.5%)			
03/15/2001		5000.000	CITIGROUP INC	\$ 46.600 U		\$231,240.64		COMM CALC (Bonds @ 2.5%)			
03/23/2001		5000.000	CITIGROUP INC	\$ 44.100 U		\$219,738.15		COMM CALC (Bonds @ 2.5%)			
03/23/2001		100.000	CITIGROUP INC	\$ 44.200 U		\$4,304.78		COMM CALC (Bonds @ 2.5%)			
04/06/2001		100.000	CITIGROUP INC	\$ 43.280 U		\$4,258.92		COMM CALC (Bonds @ 2.5%)			
04/06/2001		300.000	CITIGROUP INC	\$ 43.550 U		\$12,871.28		COMM CALC (Bonds @ 2.5%)			
05/25/2001			CITIGROUP INC			\$746.62		DIVIDEND			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
08/24/2001			CITIGROUP INC			\$853.28		DIVIDEND			
08/24/2001		2000.000	CITIGROUP INC	\$ 47.700U		\$94,735.95		COMM CALC (Bonds @ 2.5%)			
09/26/2001		3333.000	CITIGROUP INC	\$ 35.000U		\$115,844.09		COMM CALC (Bonds @ 2.5%)			
	41,733.000	41,733.000						<b>\$256,969.45</b>	<b>\$17,633.81</b>	<b>\$274,603.26</b>	<b>16.6%</b>
08/29/1997	600.000	OPEN	UNR INDUSTRIES INC	\$ 4.625	\$2,775.00			VALUED PER 08/29/97 PORT			
12/24/1997	600.000		ROHN INDUSTRIES INC					UNR INDUSTRIES INC			
12/24/1997		600.000	UNR INDUSTRIES INC					ROHN INDUSTRIES INC			
12/29/1997			UNR INDUSTRIES INC			\$60.00		DIVIDEND			
03/29/2000	DELV	600.000	ROHN INDUSTRIES INC			\$2,100.00		VALUED PER STMT TRANS			
05/05/2000	600.000	RECV	ROHN INDUSTRIES INC		\$2,062.80			VALUED PER STMT TRANS			
04/30/2002	IN ACCT	600.000	ROHN INDUSTRIES INC	\$ 1.259		\$755.40		VALUED PER 04/30/02 PORT			
	1,800.000	1,800.000						( <b>\$1,982.40</b> )	<b>\$60.00</b>	( <b>\$1,922.40</b> )	<b>-39.7%</b>
08/29/1997	1000.000	OPEN	US WEST COMMUNICATIONS GROUP	\$ 35.813	\$35,813.00			VALUED PER 08/29/97 PORT			
11/03/1997			US WEST COMMUNICATIONS GROUP			\$535.00		DIVIDEND			
02/02/1998			US WEST COMMUNICATIONS GROUP			\$535.00		DIVIDEND			
05/01/1998			US WEST COMMUNICATIONS GROUP			\$535.00		DIVIDEND			
06/15/1998		1000.000	US WEST COMMUNICATIONS GROUP					US WEST INC NEW			
06/15/1998	1000.000		US WEST INC NEW					US WEST COMMUNICATIONS GROUP			
08/03/1998			US WEST INC NEW			\$535.00		DIVIDEND			
11/02/1998			US WEST INC NEW			\$535.00		DIVIDEND			
02/01/1999			US WEST INC NEW			\$535.00		DIVIDEND			
05/03/1999			US WEST INC NEW			\$535.00		DIVIDEND			
08/02/1999			US WEST INC NEW			\$750.00		DIVIDEND			
11/01/1999			US WEST INC NEW			\$535.00		DIVIDEND			
02/01/2000			US WEST INC NEW			\$535.00		DIVIDEND			
03/29/2000	DELV	1000.000	US WEST INC NEW			\$74,375.00		VALUED PER STMT TRANS			
05/05/2000	5000.000	RECV	QWEST COMMUNICATIONS INTL INC		\$224,375.00			VALUED PER STMT TRANS			
05/05/2000	1000.000	RECV	US WEST INC NEW		\$72,938.00			VALUED PER STMT TRANS			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
07/05/2000			QWEST COMMUNICATIONS INTL INC			\$17.18		CIL			
07/05/2000	1729.000		QWEST COMMUNICATIONS INTL INC					US WEST INC NEW			
07/05/2000		1000.000	US WEST INC NEW					QWEST COMMUNICATIONS INTL INC			
08/22/2000			US WEST COMMUNICATIONS GROUP			\$5.00		CIL			
11/24/2000		6729.000	QWEST COMMUNICATIONS INTL INC	\$ 40.563 U		\$272,123.98		COMM CALC (Bonds @ 2.5%)			
	9,729.000	9,729.000						<b>\$13,395.16</b>	<b>\$5,565.00</b>	<b>\$18,960.16</b>	<b>5.7%</b>
09/11/2000	900.000		VENTRO CORP	\$ 18.250 U	\$16,564.50			COMM CALC (Bonds @ 2.5%)			
09/11/2000	300.000		VENTRO CORP	\$ 18.188 U	\$5,501.25			COMM CALC (Bonds @ 2.5%)			
09/11/2000	100.000		VENTRO CORP	\$ 18.250 U	\$1,840.00			COMM CALC (Bonds @ 2.5%)			
09/11/2000	100.000		VENTRO CORP	\$ 18.250 U	\$1,840.00			COMM CALC (Bonds @ 2.5%)			
09/11/2000	3500.000		VENTRO CORP	\$ 19.000 U	\$67,025.00			COMM CALC (Bonds @ 2.5%)			
09/11/2000	100.000		VENTRO CORP	\$ 18.750 U	\$1,890.00			COMM CALC (Bonds @ 2.5%)			
09/29/2000		600.000	VENTRO CORP	\$ 10.813 U		\$6,392.78		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.875 U		\$1,072.46		COMM CALC (Bonds @ 2.5%)			
09/29/2000		500.000	VENTRO CORP	\$ 10.875 U		\$5,362.31		COMM CALC (Bonds @ 2.5%)			
09/29/2000		1000.000	VENTRO CORP	\$ 10.875 U		\$10,724.63		COMM CALC (Bonds @ 2.5%)			
09/29/2000		200.000	VENTRO CORP	\$ 10.875 U		\$2,144.92		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.875 U		\$1,072.46		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.813 U		\$1,066.21		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.875 U		\$1,072.46		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.875 U		\$1,072.46		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.813 U		\$1,066.21		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.875 U		\$1,072.46		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.875 U		\$1,072.46		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.813 U		\$1,066.21		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.813 U		\$1,066.21		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.813 U		\$1,066.21		COMM CALC (Bonds @ 2.5%)			
09/29/2000		1000.000	VENTRO CORP	\$ 10.813 U		\$10,662.13		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VENTRO CORP	\$ 10.813 U		\$1,066.21		COMM CALC (Bonds @ 2.5%)			
09/29/2000		500.000	VENTRO CORP	\$ 10.813 U		\$5,331.06		COMM CALC (Bonds @ 2.5%)			
	5,000.000	5,000.000						( <b>\$41,210.90</b> )	<b>\$0.00</b>	( <b>\$41,210.90</b> )	<b>-43.5%</b>

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
07/31/2000	800.000		VERTICALNET INC	\$ 57.063U	\$45,811.15			COMM CALC (Bonds @ 2.5%)			
07/31/2000	100.000		VERTICALNET INC	\$ 57.055U	\$5,725.04			COMM CALC (Bonds @ 2.5%)			
07/31/2000	200.000		VERTICALNET INC	\$ 56.766U	\$11,392.29			COMM CALC (Bonds @ 2.5%)			
07/31/2000	200.000		VERTICALNET INC	\$ 56.766U	\$11,392.29			COMM CALC (Bonds @ 2.5%)			
07/31/2000	100.000		VERTICALNET INC	\$ 56.766U	\$5,696.14			COMM CALC (Bonds @ 2.5%)			
07/31/2000	100.000		VERTICALNET INC	\$ 56.813U	\$5,700.83			COMM CALC (Bonds @ 2.5%)			
07/31/2000	500.000		VERTICALNET INC	\$ 56.641U	\$28,418.21			COMM CALC (Bonds @ 2.5%)			
08/01/2000	2900.000		VERTICALNET INC	\$ 49.438U	\$144,098.25			COMM CALC (Bonds @ 2.5%)			
08/01/2000	100.000		VERTICALNET INC	\$ 49.375U	\$4,962.50			COMM CALC (Bonds @ 2.5%)			
09/29/2000		900.000	VERTICALNET INC	\$ 31.250U		\$27,984.56		COMM CALC (Bonds @ 2.5%)			
09/29/2000		700.000	VERTICALNET INC	\$ 31.375U		\$21,856.76		COMM CALC (Bonds @ 2.5%)			
09/29/2000		100.000	VERTICALNET INC	\$ 31.313U		\$3,116.14		COMM CALC (Bonds @ 2.5%)			
09/29/2000		600.000	VERTICALNET INC	\$ 31.313U		\$18,696.87		COMM CALC (Bonds @ 2.5%)			
09/29/2000		900.000	VERTICALNET INC	\$ 31.313U		\$28,045.31		COMM CALC (Bonds @ 2.5%)			
09/29/2000		200.000	VERTICALNET INC	\$ 31.313U		\$6,232.29		COMM CALC (Bonds @ 2.5%)			
09/29/2000		800.000	VERTICALNET INC	\$ 31.313U		\$24,929.16		COMM CALC (Bonds @ 2.5%)			
09/29/2000		800.000	VERTICALNET INC	\$ 31.313U		\$24,929.16		COMM CALC (Bonds @ 2.5%)			
	5,000.000	5,000.000						( \$107,406.45)	\$0.00	( \$107,406.45)	-40.8%
08/29/1997	1000.000	OPEN	WALT DISNEY CO	\$ 76.813	\$76,813.00			VALUED PER 08/29/97 PORT			
11/21/1997			WALT DISNEY CO			\$132.50		DIVIDEND			
02/20/1998			WALT DISNEY CO			\$132.50		DIVIDEND			
05/22/1998			WALT DISNEY CO			\$157.50		DIVIDEND			
07/01/1998	300.000		WALT DISNEY CO	\$ 112.188	\$33,880.08			COMM CALC (Bonds @ 2.5%)			
07/13/1998	2600.000		WALT DISNEY CO					STOCK DIVIDEND			
08/21/1998			WALT DISNEY CO			\$204.75		DIVIDEND			
11/20/1998			WALT DISNEY CO			\$204.75		DIVIDEND			
11/30/1998	2200.000		WALT DISNEY CO	\$ 29.688U				CXL			
12/04/1998	CXL	2200.000	WALT DISNEY CO	\$ 29.688				CXL			
12/07/1998	1100.000		WALT DISNEY CO	\$ 29.688	\$33,038.89			COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
06/24/1999	5000.000		WALT DISNEY CO	\$ 30.938	\$155,442.00			COMM CALC (Bonds @ 2.5%)			
12/17/1999			WALT DISNEY CO			\$2,100.00		DIVIDEND			
03/29/2000	DELV	10000.000	WALT DISNEY CO			\$408,130.00		VALUED PER STMT TRANS			
05/05/2000	10000.000	RECV	WALT DISNEY CO		\$395,630.00			VALUED PER STMT TRANS			
05/05/2000	5000.000	RECV	WALT DISNEY CO		\$197,815.00			VALUED PER STMT TRANS			
11/07/2000		10000.000	WALT DISNEY CO	\$ 36.750U		\$366,483.25		COMM CALC (Bonds @ 2.5%)			
11/16/2000		5000.000	WALT DISNEY CO	\$ 30.750U		\$152,990.37		COMM CALC (Bonds @ 2.5%)			
02/08/2002	387.000	RECV	WALT DISNEY CO		\$9,063.54			VALUED PER STMT TRANS			
04/30/2002	IN ACCT	387.000	WALT DISNEY CO	\$ 23.180		\$8,970.66		VALUED PER 04/30/02 PORT			
	27,587.000	27,587.000						<b>\$34,891.77</b>	<b>\$2,932.00</b>	<b>\$37,823.77</b>	<b>4.2%</b>
06/22/1999		200.000	WALT DISNEY CO 200101 25 PUT	\$ 2.500U		\$49,056.09		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	200.000	DELV SHRT	WALT DISNEY CO 200101 25 PUT		\$11,250.00			VALUED PER STMT TRANS			
	200.000	200.000						<b>\$37,806.09</b>	<b>\$0.00</b>	<b>\$37,806.09</b>	
01/08/1999		100.000	WALT DISNEY CO 200101 30 PUT	\$ 4.875		\$48,015.23		COMM CALC (Bonds @ 2.5%) OPEN			
03/29/2000	100.000	DELV SHRT	WALT DISNEY CO 200101 30 PUT		\$11,250.00			VALUED PER STMT TRANS			
	100.000	100.000						<b>\$36,765.23</b>	<b>\$0.00</b>	<b>\$36,765.23</b>	
02/11/2000	5000.000		WALT DISNEY CO GO.COM	\$ 29.063U	\$146,067.00			COMM CALC (Bonds @ 2.5%)			
02/14/2000	5000.000		WALT DISNEY CO GO.COM	\$ 28.313U	\$142,317.00			COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	10000.000	WALT DISNEY CO GO.COM			\$208,130.00		VALUED PER STMT TRANS			
05/05/2000	10000.000	RECV	WALT DISNEY CO GO.COM		\$145,000.00			VALUED PER STMT TRANS			
10/02/2000		1000.000	WALT DISNEY CO GO.COM	\$ 10.500U		\$10,485.15		COMM CALC (Bonds @ 2.5%)			
10/02/2000		9000.000	WALT DISNEY CO GO.COM	\$ 10.250U		\$91,436.92		COMM CALC (Bonds @ 2.5%)			
	20,000.000	20,000.000						<b>( \$123,331.93)</b>	<b>\$0.00</b>	<b>( \$123,331.93)</b>	<b>-28.5%</b>

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
03/30/1999	500.000	RECV	WALT DISNEY CO HOLDING CO		\$16,406.50			VALUED PER STMT TRANS			
12/17/1999			WALT DISNEY CO HOLDING CO			\$105.00		DIVIDEND			
03/30/2000	DELV	500.000	WALT DISNEY CO HOLDING CO			\$20,125.00		VALUED PER STMT TRANS			
05/11/2000	500.000	RECV	WALT DISNEY CO HOLDING CO		\$20,562.50			VALUED PER STMT TRANS			
11/16/2000		500.000	WALT DISNEY CO HOLDING CO	\$ 30.500U		\$15,194.99		COMM CALC (Bonds @ 2.5%)			
	1,000.000	1,000.000						( \$1,649.01)	\$105.00	( \$1,544.01)	-4.2%
08/29/1997	1200.000	OPEN	WELLS FARGO & CO	\$ 254.250	\$305,100.00			VALUED PER 08/29/97 PORT			
11/20/1997			WELLS FARGO & CO			\$1,560.00		DIVIDEND			
02/20/1998			WELLS FARGO & CO			\$1,560.00		DIVIDEND			
05/20/1998			WELLS FARGO & CO			\$1,560.00		DIVIDEND			
08/20/1998			WELLS FARGO & CO			\$1,560.00		DIVIDEND			
11/05/1998	12000.000		WELLS FARGO & CO NEW					WELLS FARGO & CO			
11/05/1998		1200.000	WELLS FARGO & CO					WELLS FARGO & CO NEW			
11/20/1998			WELLS FARGO & CO			\$1,560.00		DIVIDEND			
03/01/1999			WELLS FARGO & CO NEW			\$2,220.00		DIVIDEND			
06/01/1999			WELLS FARGO & CO NEW			\$2,400.00		DIVIDEND			
09/02/1999			WELLS FARGO & CO NEW			\$2,400.00		DIVIDEND			
12/01/1999			WELLS FARGO & CO NEW			\$2,400.00		DIVIDEND			
03/01/2000			WELLS FARGO & CO NEW			\$2,640.00		DIVIDEND			
03/29/2000	DELV	12000.000	WELLS FARGO & CO NEW			\$473,256.00		VALUED PER STMT TRANS			
05/05/2000	12000.000	RECV	WELLS FARGO & CO NEW		\$478,500.00			VALUED PER STMT TRANS			
06/01/2000			WELLS FARGO & CO NEW			\$2,640.00		DIVIDEND			
09/01/2000			WELLS FARGO & CO NEW			\$2,640.00		DIVIDEND			
12/01/2000			WELLS FARGO & CO NEW			\$2,880.00		DIVIDEND			
03/01/2001			WELLS FARGO & CO NEW			\$2,880.00		DIVIDEND			
04/09/2001		4000.000	WELLS FARGO & CO NEW	\$ 45.200U		\$179,989.47		COMM CALC (Bonds @ 2.5%)			
06/01/2001			WELLS FARGO & CO NEW			\$1,920.00		DIVIDEND			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
09/04/2001			WELLS FARGO & CO NEW			\$2,080.00		DIVIDEND			
12/03/2001			WELLS FARGO & CO NEW			\$2,080.00		DIVIDEND			
03/01/2002			WELLS FARGO & CO NEW			\$2,080.00		DIVIDEND			
04/30/2002	IN ACCT	8000.000	WELLS FARGO & CO NEW	\$ 51.150		\$409,200.00		VALUED PER 04/30/02 PORT			
	25,200.000	25,200.000						<b>\$278,845.47</b>	<b>\$39,060.00</b>	<b>\$317,905.47</b>	<b>40.6%</b>
01/03/2000	200.000		WIND RIVER SYSTEM INC	\$ 36.875 U	\$7,483.92			COMM CALC (Bonds @ 2.5%)			
01/07/2000	100.000		WIND RIVER SYSTEM INC	\$ 34.750 U	\$3,539.98			COMM CALC (Bonds @ 2.5%)			
01/10/2000	100.000		WIND RIVER SYSTEM INC	\$ 31.063 U	\$3,166.98			COMM CALC (Bonds @ 2.5%)			
01/28/2000	100.000		WIND RIVER SYSTEM INC	\$ 34.000 U	\$3,454.49			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	500.000	WIND RIVER SYSTEM INC			\$20,937.50		VALUED PER STMT TRANS			
12/29/2000	300.000		WIND RIVER SYSTEM INC	\$ 34.250 U	\$10,510.49			COMM CALC (Bonds @ 2.5%)			
02/28/2001		300.000	WIND RIVER SYSTEM INC	\$ 25.625 U		\$7,572.28		COMM CALC (Bonds @ 2.5%)			
	800.000	800.000						<b>\$353.92</b>	<b>\$0.00</b>	<b>\$353.92</b>	<b>1.3%</b>
01/06/2000	10.000		WIND RIVER SYSTEM INC 200002 40 CALL	\$ 1.438 U	\$1,527.03			COMM CALC (Bonds @ 2.5%) OPEN			
02/15/2000		10.000	WIND RIVER SYSTEM INC 200002 40 CALL	\$ 2.875 U		\$2,786.10		COMM CALC (Bonds @ 2.5%) CLOSE			
	10.000	10.000						<b>\$1,259.07</b>	<b>\$0.00</b>	<b>\$1,259.07</b>	
04/05/2001	10.000		WIND RIVER SYSTEM INC 200104 20 CALL	\$ 1.000 U	\$1,090.82			COMM CALC (Bonds @ 2.5%) OPEN			
04/12/2001		10.000	WIND RIVER SYSTEM INC 200104 20 CALL	\$ 3.100 U		\$2,992.35		COMM CALC (Bonds @ 2.5%) CLOSE			
	10.000	10.000						<b>\$1,901.53</b>	<b>\$0.00</b>	<b>\$1,901.53</b>	
05/11/2000	500.000	RECV	WIND RIVER SYSTEMS INC		\$18,344.00			VALUED PER STMT TRANS			
02/28/2001		300.000	WIND RIVER SYSTEMS INC	\$ 25.875 U		\$7,653.33		COMM CALC (Bonds @ 2.5%)			
02/28/2001		200.000	WIND RIVER SYSTEMS INC	\$ 25.875 U		\$5,105.20		COMM CALC (Bonds @ 2.5%)			
	500.000	500.000						<b>( \$5,585.47)</b>	<b>\$0.00</b>	<b>( \$5,585.47)</b>	<b>-30.4%</b>

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/05/2000	10000.000	RECV	WORLD ACCESS INC		\$161,880.00			VALUED PER STMT TRANS			
10/18/2000		10000.000	WORLD ACCESS INC	\$ 3.844U		\$37,657.32		COMM CALC (Bonds @ 2.5%)			
	10,000.000	10,000.000						( \$124,222.68)	\$0.00	( \$124,222.68)	-76.7%
07/06/1999	1000.000		WORLD WIDE WIRELESS COMM INC	\$ 1.760U	\$1,854.50			COMM CALC (Bonds @ 2.5%)			
03/30/2000	DELV	1000.000	WORLD WIDE WIRELESS COMM INC			\$4,844.00		VALUED PER STMT TRANS			
	1,000.000	1,000.000						\$2,989.50	\$0.00	\$2,989.50	161.2%
08/29/1997	21600.000	OPEN	WORLDCOM INC	\$ 29.938	\$646,660.80			VALUED PER 08/29/97 PORT			
09/24/1997	3000.000		WORLDCOM INC	\$ 22.500	\$68,102.21			COMM CALC (Bonds @ 2.5%)			
06/09/1998	1000.000		WORLDCOM INC	\$ 44.750	\$45,176.64			COMM CALC (Bonds @ 2.5%)			
09/16/1998	5000.000		WORLDCOM INC	\$ 47.500U	\$238,857.90			COMM CALC (Bonds @ 2.5%)			
09/17/1998	30600.000		MCI WORLDCOM INC					WORLDCOM INC			
09/17/1998		30600.000	WORLDCOM INC					MCI WORLDCOM INC			
03/30/1999	2000.000	RECV	MCI WORLDCOM INC		\$184,250.00			VALUED PER STMT TRANS			
12/06/1999	3000.000		MCI WORLDCOM INC	\$ 78.000	\$234,754.50			COMM CALC (Bonds @ 2.5%)			
12/06/1999	2000.000		MCI WORLDCOM INC	\$ 78.000	\$156,200.00			COMM CALC (Bonds @ 2.5%)			
12/31/1999	17800.000		MCI WORLDCOM INC					STOCK DIVIDEND			
12/31/1999	1000.000		MCI WORLDCOM INC					STOCK DIVIDEND			
03/29/2000	DELV	53400.000	MCI WORLDCOM INC			\$2,216,100.00		VALUED PER STMT TRANS			
03/30/2000	DELV	3000.000	MCI WORLDCOM INC			\$127,689.00		VALUED PER STMT TRANS			
05/05/2000	53400.000	RECV	MCI WORLDCOM INC		\$2,289,525.00			VALUED PER STMT TRANS			
05/05/2000	140074.000	RECV	MCI WORLDCOM INC		\$6,005,672.75			VALUED PER STMT TRANS			
05/08/2000		193474.000	MCI WORLDCOM INC					WORLDCOM INC			
05/08/2000	193474.000		WORLDCOM INC					MCI WORLDCOM INC			
05/11/2000	3000.000	RECV	WORLDCOM INC		\$126,939.00			VALUED PER STMT TRANS			
08/15/2000	1650.000		WORLDCOM INC	\$ 53.375	\$89,009.16			COMM CALC (Bonds @ 2.5%)			
08/16/2000	9600.000		WORLDCOM INC	\$ 56.625	\$547,846.85			COMM CALC (Bonds @ 2.5%)			
08/16/2000	300.000		WORLDCOM INC	\$ 56.625	\$17,120.07			COMM CALC (Bonds @ 2.5%)			



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
08/17/2000	900.000		WORLDCOM INC	\$ 56.625	\$51,627.03			COMM CALC (Bonds @ 2.5%)			
08/28/2000	3750.000		WORLDCOM INC	\$ 50.000	\$189,190.83			COMM CALC (Bonds @ 2.5%)			
08/31/2000	750.000		WORLDCOM INC	\$ 56.625	\$42,805.64			COMM CALC (Bonds @ 2.5%)			
09/12/2000	600.000		WORLDCOM INC	\$ 46.625	\$28,468.10			COMM CALC (Bonds @ 2.5%)			
09/15/2000	2850.000		WORLDCOM INC	\$ 50.000	\$143,247.29			COMM CALC (Bonds @ 2.5%)			
09/21/2000	1950.000		WORLDCOM INC	\$ 53.375	\$105,139.61			COMM CALC (Bonds @ 2.5%)			
09/26/2000	3150.000		WORLDCOM INC	\$ 53.375	\$168,939.48			COMM CALC (Bonds @ 2.5%)			
09/26/2000	750.000		WORLDCOM INC	\$ 50.000	\$37,691.40			COMM CALC (Bonds @ 2.5%)			
09/29/2000	1950.000		WORLDCOM INC	\$ 56.625	\$110,715.75			COMM CALC (Bonds @ 2.5%)			
09/29/2000	1200.000		WORLDCOM INC	\$ 56.625	\$68,010.00			COMM CALC (Bonds @ 2.5%)			
10/12/2000	150.000		WORLDCOM INC	\$ 50.000	\$7,587.65			COMM CALC (Bonds @ 2.5%)			
10/17/2000		22424.000	WORLDCOM INC	\$ 23.833 U		\$532,161.99		COMM CALC (Bonds @ 2.5%)			
10/20/2000	900.000		WORLDCOM INC	\$ 46.625	\$42,371.05			COMM CALC (Bonds @ 2.5%)			
11/06/2000		1000.000	WORLDCOM INC	\$ 19.813 U		\$19,707.33		COMM CALC (Bonds @ 2.5%)			
11/06/2000		2000.000	WORLDCOM INC	\$ 19.813 U		\$39,423.67		COMM CALC (Bonds @ 2.5%)			
11/06/2000		1000.000	WORLDCOM INC	\$ 19.500 U		\$19,399.35		COMM CALC (Bonds @ 2.5%)			
11/06/2000		1000.000	WORLDCOM INC	\$ 19.563 U		\$19,461.84		COMM CALC (Bonds @ 2.5%)			
11/06/2000		1000.000	WORLDCOM INC	\$ 19.500 U		\$19,399.35		COMM CALC (Bonds @ 2.5%)			
11/06/2000		100.000	WORLDCOM INC	\$ 19.500 U		\$1,939.93		COMM CALC (Bonds @ 2.5%)			
11/06/2000		13900.000	WORLDCOM INC	\$ 19.313 U		\$267,044.80		COMM CALC (Bonds @ 2.5%)			
11/06/2000		9300.000	WORLDCOM INC	\$ 19.000 U		\$175,764.11		COMM CALC (Bonds @ 2.5%)			
11/06/2000		500.000	WORLDCOM INC	\$ 19.063 U		\$9,480.93		COMM CALC (Bonds @ 2.5%)			
11/06/2000		200.000	WORLDCOM INC	\$ 19.063 U		\$3,792.37		COMM CALC (Bonds @ 2.5%)			
11/06/2000		20000.000	WORLDCOM INC	\$ 18.875 U		\$375,487.41		COMM CALC (Bonds @ 2.5%)			
11/06/2000		4500.000	WORLDCOM INC	\$ 18.875 U		\$84,480.16		COMM CALC (Bonds @ 2.5%)			
11/10/2000	4650.000		WORLDCOM INC	\$ 50.000	\$232,969.50			COMM CALC (Bonds @ 2.5%)			
11/13/2000	6600.000		WORLDCOM INC	\$ 53.375	\$353,104.50			COMM CALC (Bonds @ 2.5%)			
11/14/2000		600.000	WORLDCOM INC	\$ 16.563 U		\$9,882.67		COMM CALC (Bonds @ 2.5%)			
11/14/2000	3000.000		WORLDCOM INC	\$ 40.000	\$120,743.60			COMM CALC (Bonds @ 2.5%)			
11/17/2000	1500.000		WORLDCOM INC	\$ 50.000	\$75,532.35			COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
11/17/2000	300.000		WORLDCOM INC	\$ 56.625	\$17,092.98			COMM CALC (Bonds @ 2.5%)			
11/20/2000	7350.000		WORLDCOM INC	\$ 53.375	\$394,204.10			COMM CALC (Bonds @ 2.5%)			
11/20/2000	450.000		WORLDCOM INC	\$ 50.000	\$22,615.92			COMM CALC (Bonds @ 2.5%)			
11/20/2000		1000.000	WORLDCOM INC	\$ 16.500U		\$16,260.05		COMM CALC (Bonds @ 2.5%)			
11/21/2000		2000.000	WORLDCOM INC	\$ 16.000U		\$31,576.16		COMM CALC (Bonds @ 2.5%)			
11/22/2000	600.000		WORLDCOM INC	\$ 50.000	\$30,258.66			COMM CALC (Bonds @ 2.5%)			
11/24/2000		9000.000	WORLDCOM INC	\$ 15.125U		\$135,215.96		COMM CALC (Bonds @ 2.5%)			
11/24/2000		1000.000	WORLDCOM INC	\$ 15.125U		\$15,024.49		COMM CALC (Bonds @ 2.5%)			
11/29/2000		10000.000	WORLDCOM INC	\$ 15.625		\$155,240.29		COMM CALC (Bonds @ 2.5%)			
12/04/2000		700.000	WORLDCOM INC	\$ 15.813U		\$10,993.88		COMM CALC (Bonds @ 2.5%)			
12/04/2000		3600.000	WORLDCOM INC	\$ 15.813U		\$56,563.10		COMM CALC (Bonds @ 2.5%)			
12/04/2000		500.000	WORLDCOM INC	\$ 15.875U		\$7,887.23		COMM CALC (Bonds @ 2.5%)			
12/04/2000		200.000	WORLDCOM INC	\$ 15.813U		\$3,142.39		COMM CALC (Bonds @ 2.5%)			
12/04/2000		1500.000	WORLDCOM INC	\$ 15.813U		\$23,567.95		COMM CALC (Bonds @ 2.5%)			
12/04/2000		1500.000	WORLDCOM INC	\$ 15.813U		\$23,567.95		COMM CALC (Bonds @ 2.5%)			
12/04/2000		2000.000	WORLDCOM INC	\$ 15.875U		\$31,548.94		COMM CALC (Bonds @ 2.5%)			
12/04/2000		10000.000	WORLDCOM INC	\$ 15.750U		\$156,494.75		COMM CALC (Bonds @ 2.5%)			
12/04/2000	1500.000		WORLDCOM INC	\$ 40.000	\$60,154.50			COMM CALC (Bonds @ 2.5%)			
12/05/2000		1500.000	WORLDCOM INC	\$ 14.938U		\$22,251.00		COMM CALC (Bonds @ 2.5%)			
12/08/2000	2000.000	RECV	WORLDCOM INC		\$30,376.00			VALUED PER STMT TRANS; FM XY-98765			
12/12/2000	6450.000		WORLDCOM INC	\$ 53.375	\$345,240.75			COMM CALC (Bonds @ 2.5%)			
12/27/2000		10000.000	WORLDCOM INC	\$ 15.000U		\$149,240.50		COMM CALC (Bonds @ 2.5%)			
12/27/2000		200.000	WORLDCOM INC	\$ 15.000U		\$2,984.90		COMM CALC (Bonds @ 2.5%)			
12/27/2000		100.000	WORLDCOM INC	\$ 15.000U		\$1,492.45		COMM CALC (Bonds @ 2.5%)			
12/27/2000		600.000	WORLDCOM INC	\$ 15.000U		\$8,954.70		COMM CALC (Bonds @ 2.5%)			
01/17/2001	900.000		WORLDCOM INC	\$ 53.375	\$48,401.87			COMM CALC (Bonds @ 2.5%)			
01/24/2001	300.000		WORLDCOM INC	\$ 50.000	\$15,154.83			COMM CALC (Bonds @ 2.5%)			
02/16/2001	1950.000		WORLDCOM INC	\$ 53.375	\$105,139.61			COMM CALC (Bonds @ 2.5%)			
02/27/2001		5350.000	WORLDCOM INC	\$ 15.688U		\$83,385.83		COMM CALC (Bonds @ 2.5%)			
02/27/2001		600.000	WORLDCOM INC	\$ 15.688U		\$9,352.18		COMM CALC (Bonds @ 2.5%)			
02/27/2001		1000.000	WORLDCOM INC	\$ 15.688U		\$15,586.97		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
02/27/2001		600.000	WORLDCOM INC	\$ 15.688U		\$9,352.18		COMM CALC (Bonds @ 2.5%)			
02/27/2001		1000.000	WORLDCOM INC	\$ 15.688U		\$15,586.97		COMM CALC (Bonds @ 2.5%)			
02/27/2001		1000.000	WORLDCOM INC	\$ 15.688U		\$15,586.97		COMM CALC (Bonds @ 2.5%)			
02/27/2001		2000.000	WORLDCOM INC	\$ 16.375U		\$32,548.90		COMM CALC (Bonds @ 2.5%)			
02/27/2001		1000.000	WORLDCOM INC	\$ 16.375U		\$16,274.45		COMM CALC (Bonds @ 2.5%)			
02/27/2001		1000.000	WORLDCOM INC	\$ 16.375U		\$16,274.45		COMM CALC (Bonds @ 2.5%)			
02/27/2001		1000.000	WORLDCOM INC	\$ 16.375U		\$16,274.45		COMM CALC (Bonds @ 2.5%)			
02/27/2001		1000.000	WORLDCOM INC	\$ 16.375U		\$16,274.45		COMM CALC (Bonds @ 2.5%)			
02/27/2001		1000.000	WORLDCOM INC	\$ 16.375		\$16,274.45		COMM CALC (Bonds @ 2.5%)			
02/27/2001		1000.000	WORLDCOM INC	\$ 16.375U		\$16,274.45		COMM CALC (Bonds @ 2.5%)			
02/27/2001		1000.000	WORLDCOM INC	\$ 16.375U		\$16,274.45		COMM CALC (Bonds @ 2.5%)			
02/27/2001		1000.000	WORLDCOM INC	\$ 16.375U		\$16,274.45		COMM CALC (Bonds @ 2.5%)			
02/28/2001		1000.000	WORLDCOM INC	\$ 16.438U		\$16,198.04		COMM CALC (Bonds @ 2.5%)			
03/06/2001		500.000	WORLDCOM INC	\$ 15.500U		\$7,670.24		COMM CALC (Bonds @ 2.5%)			
03/06/2001		300.000	WORLDCOM INC	\$ 15.625U		\$4,642.34		COMM CALC (Bonds @ 2.5%)			
03/06/2001		2000.000	WORLDCOM INC	\$ 15.500U		\$30,698.96		COMM CALC (Bonds @ 2.5%)			
03/06/2001		1000.000	WORLDCOM INC	\$ 15.500U		\$15,349.48		COMM CALC (Bonds @ 2.5%)			
03/06/2001		1000.000	WORLDCOM INC	\$ 15.500U		\$15,349.48		COMM CALC (Bonds @ 2.5%)			
03/06/2001		1000.000	WORLDCOM INC	\$ 15.500U		\$15,349.48		COMM CALC (Bonds @ 2.5%)			
03/06/2001		900.000	WORLDCOM INC	\$ 15.500U		\$13,814.53		COMM CALC (Bonds @ 2.5%)			
03/06/2001		1000.000	WORLDCOM INC	\$ 15.500U		\$15,349.48		COMM CALC (Bonds @ 2.5%)			
03/06/2001		400.000	WORLDCOM INC	\$ 15.500U		\$6,139.79		COMM CALC (Bonds @ 2.5%)			
03/06/2001		1000.000	WORLDCOM INC	\$ 15.500U		\$15,349.48		COMM CALC (Bonds @ 2.5%)			
03/06/2001		3200.000	WORLDCOM INC	\$ 15.500U		\$49,118.34		COMM CALC (Bonds @ 2.5%)			
03/06/2001		7700.000	WORLDCOM INC	\$ 15.500U		\$118,191.02		COMM CALC (Bonds @ 2.5%)			
03/16/2001	1350.000		WORLDCOM INC	\$ 43.375U	\$59,003.34			COMM CALC (Bonds @ 2.5%)			
03/19/2001		850.000	WORLDCOM INC	\$ 16.500U		\$13,806.38		COMM CALC (Bonds @ 2.5%)			
03/23/2001		650.000	WORLDCOM INC	\$ 17.938U		\$11,484.76		COMM CALC (Bonds @ 2.5%)			
04/06/2001		10000.000	WORLDCOM INC	\$ 17.438		\$173,564.68		COMM CALC (Bonds @ 2.5%)			
04/06/2001		500.000	WORLDCOM INC	\$ 17.438U		\$8,552.66		COMM CALC (Bonds @ 2.5%)			
05/04/2001	750.000		WORLDCOM INC	\$ 43.375	\$33,079.50			COMM CALC (Bonds @ 2.5%)			
06/04/2001	900.000		WORLDCOM INC	\$ 43.375	\$39,463.86			COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
06/08/2001	3200.000		WORLDCOM INC GA NEW MCI GROUP					STOCK DIVIDEND			
06/08/2001	80.000		WORLDCOM INC GA NEW MCI GROUP					STOCK DIVIDEND			
06/11/2001		12.000	WORLDCOM INC GA NEW MCI GROUP					STOCK DIVIDEND			
06/11/2001		300.000	WORLDCOM INC-WORLDCOM GROUP	\$ 18.220U		\$5,331.96		COMM CALC (Bonds @ 2.5%)			
06/22/2001		1200.000	WORLDCOM INC-WORLDCOM GROUP	\$ 15.010U		\$17,708.51		COMM CALC (Bonds @ 2.5%)			
06/28/2001		10000.000	WORLDCOM INC	\$ 14.150U		\$140,590.78		COMM CALC (Bonds @ 2.5%)			
06/28/2001		10000.000	WORLDCOM INC	\$ 14.010U		\$139,195.33		COMM CALC (Bonds @ 2.5%)			
07/27/2001		10900.000	WORLDCOM INC	\$ 13.460U		\$145,069.60		COMM CALC (Bonds @ 2.5%)			
07/27/2001		2500.000	WORLDCOM INC	\$ 13.460U		\$33,273.87		COMM CALC (Bonds @ 2.5%)			
07/27/2001		2500.000	WORLDCOM INC	\$ 13.460U		\$33,273.87		COMM CALC (Bonds @ 2.5%)			
07/27/2001		1000.000	WORLDCOM INC	\$ 13.460U		\$13,309.55		COMM CALC (Bonds @ 2.5%)			
07/27/2001		3000.000	WORLDCOM INC	\$ 13.460U		\$39,928.65		COMM CALC (Bonds @ 2.5%)			
07/27/2001		100.000	WORLDCOM INC	\$ 13.460U		\$1,330.95		COMM CALC (Bonds @ 2.5%)			
08/13/2001		500.000	WORLDCOM INC-WORLDCOM GROUP	\$ 13.980U		\$6,821.74		COMM CALC (Bonds @ 2.5%)			
08/13/2001		68.000	WORLDCOM INC GA NEW MCI GROUP	\$ 11.980U		\$756.81		COMM CALC (Bonds @ 2.5%)			
08/24/2001		9000.000	WORLDCOM INC	\$ 13.450U		\$120,366.46		COMM CALC (Bonds @ 2.5%)			
08/24/2001		1000.000	WORLDCOM INC	\$ 13.450U		\$13,374.55		COMM CALC (Bonds @ 2.5%)			
10/15/2001			WORLDCOM INC GA NEW MCI GROUP			\$1,920.00		DIVIDEND			
01/15/2002			WORLDCOM INC GA NEW MCI GROUP			\$1,920.00		DIVIDEND			
02/08/2002		1000.000	WORLDCOM INC	\$ 7.080U		\$6,956.05		COMM CALC (Bonds @ 2.5%)			
02/08/2002		500.000	WORLDCOM INC	\$ 7.020U		\$3,450.59		COMM CALC (Bonds @ 2.5%)			
02/08/2002		1500.000	WORLDCOM INC	\$ 7.020U		\$10,351.79		COMM CALC (Bonds @ 2.5%)			
02/08/2002		500.000	WORLDCOM INC	\$ 7.000U		\$3,440.59		COMM CALC (Bonds @ 2.5%)			
02/08/2002		1000.000	WORLDCOM INC	\$ 7.000U		\$6,881.19		COMM CALC (Bonds @ 2.5%)			
02/08/2002		500.000	WORLDCOM INC	\$ 7.000U		\$3,440.59		COMM CALC (Bonds @ 2.5%)			
02/08/2002		500.000	WORLDCOM INC	\$ 7.000U		\$3,440.59		COMM CALC (Bonds @ 2.5%)			
02/08/2002		400.000	WORLDCOM INC	\$ 7.000U		\$2,752.47		COMM CALC (Bonds @ 2.5%)			
02/08/2002		1000.000	WORLDCOM INC	\$ 7.000U		\$6,881.19		COMM CALC (Bonds @ 2.5%)			
02/08/2002		100.000	WORLDCOM INC	\$ 7.000U		\$688.11		COMM CALC (Bonds @ 2.5%)			
02/08/2002		100.000	WORLDCOM INC	\$ 7.000U		\$688.11		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
02/08/2002		1300.000	WORLDCOM INC	\$ 7.000U		\$8,945.55		COMM CALC (Bonds @ 2.5%)			
02/08/2002		1700.000	WORLDCOM INC	\$ 7.000U		\$11,698.03		COMM CALC (Bonds @ 2.5%)			
02/08/2002		1000.000	WORLDCOM INC	\$ 7.000U		\$6,881.19		COMM CALC (Bonds @ 2.5%)			
02/08/2002		1000.000	WORLDCOM INC	\$ 7.000		\$6,881.19		COMM CALC (Bonds @ 2.5%)			
02/08/2002		1000.000	WORLDCOM INC	\$ 7.000U		\$6,881.19		COMM CALC (Bonds @ 2.5%)			
02/08/2002		1000.000	WORLDCOM INC	\$ 7.000		\$6,881.19		COMM CALC (Bonds @ 2.5%)			
02/08/2002		800.000	WORLDCOM INC	\$ 7.000U		\$5,504.95		COMM CALC (Bonds @ 2.5%)			
02/08/2002		4600.000	WORLDCOM INC	\$ 7.000U		\$31,653.49		COMM CALC (Bonds @ 2.5%)			
02/08/2002		100.000	WORLDCOM INC GA NEW MCI GROUP	\$ 11.010U		\$1,078.80		COMM CALC (Bonds @ 2.5%)			
02/08/2002		3100.000	WORLDCOM INC GA NEW MCI GROUP	\$ 11.010U		\$33,582.49		COMM CALC (Bonds @ 2.5%)			
02/08/2002	10000.000	RECV	WORLDCOM INC		\$81,800.00			VALUED PER STMT TRANS			
02/08/2002	400.000	RECV	WORLDCOM INC GA NEW MCI GROUP		\$3,176.00			VALUED PER STMT TRANS			
04/15/2002			WORLDCOM INC GA NEW MCI GROUP			\$240.00		DIVIDEND			
04/30/2002	IN ACCT	20000.000	WORLDCOM INC	\$ 2.479		\$49,580.00		VALUED PER 04/30/02 PORT			
04/30/2002	IN ACCT	400.000	WORLDCOM INC GA NEW MCI GROUP	\$ 3.751		\$1,500.40		VALUED PER 04/30/02 PORT			
	561,628.000	561,628.000						<b>(\$7,164,161.28)</b>	<b>\$4,080.00</b>	<b>(\$7,160,081.28)</b>	<b>-52.2%</b>
08/29/1997	30.000	OPEN	WORLDCOM INC 199709 22.5 CALL	\$ 7.625	\$22,875.00			VALUED PER 08/29/97 PORT			
09/19/1997		30.000	WORLDCOM INC 199709 22.5 CALL					EXERCISED			
	30.000	30.000						<b>( \$22,875.00)</b>	<b>\$0.00</b>	<b>( \$22,875.00)</b>	
10/21/1997	40.000		WORLDCOM INC 199711 35 CALL	\$ 1.375	\$5,754.20			COMM CALC (Bonds @ 2.5%) OPEN			
10/29/1997	60.000		WORLDCOM INC 199711 35 CALL	\$ 0.500	\$3,197.82			COMM CALC (Bonds @ 2.5%) OPEN			
11/24/1997		100.000	WORLDCOM INC 199711 35 CALL					EXPIRED			
	100.000	100.000						<b>( \$8,952.02)</b>	<b>\$0.00</b>	<b>( \$8,952.02)</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
09/02/1997		100.000	WORLDCOM INC 199803 32.5 PUT	\$ 4.000		\$38,888.32		COMM CALC (Bonds @ 2.5%) OPEN			
09/03/1997		100.000	WORLDCOM INC 199803 32.5 PUT	\$ 4.000U		\$39,275.36		COMM CALC (Bonds @ 2.5%) OPEN			
03/23/1998	200.000		WORLDCOM INC 199803 32.5 PUT					EXPIRED			
	200.000	200.000						<b>\$78,163.68</b>	<b>\$0.00</b>	<b>\$78,163.68</b>	
09/12/1997		50.000	WORLDCOM INC 199803 40 PUT	\$ 6.250		\$30,752.37		COMM CALC (Bonds @ 2.5%) OPEN			
03/23/1998	50.000		WORLDCOM INC 199803 40 PUT					EXPIRED			
	50.000	50.000						<b>\$30,752.37</b>	<b>\$0.00</b>	<b>\$30,752.37</b>	
11/12/1997		200.000	WORLDCOM INC 199806 30 PUT	\$ 3.250U		\$63,827.09		COMM CALC (Bonds @ 2.5%) OPEN			
11/13/1997		100.000	WORLDCOM INC 199806 30 PUT	\$ 4.250U		\$41,765.44		COMM CALC (Bonds @ 2.5%) OPEN			
06/22/1998	300.000		WORLDCOM INC 199806 30 PUT					EXPIRED			
	300.000	300.000						<b>\$105,592.53</b>	<b>\$0.00</b>	<b>\$105,592.53</b>	
04/22/1998		50.000	WORLDCOM INC 199901 45 PUT	\$ 4.250U		\$20,880.47		COMM CALC (Bonds @ 2.5%) OPEN			
04/22/1998		50.000	WORLDCOM INC 199901 45 PUT	\$ 4.250		\$20,884.97		COMM CALC (Bonds @ 2.5%) OPEN			
05/29/1998		100.000	WORLDCOM INC 199901 45 PUT	\$ 3.125U		\$30,562.56		COMM CALC (Bonds @ 2.5%) OPEN			
01/19/1999	200.000		WORLDCOM INC 199901 45 PUT					EXPIRED			
	200.000	200.000						<b>\$72,328.00</b>	<b>\$0.00</b>	<b>\$72,328.00</b>	
08/31/1998		100.000	WORLDCOM INC 199903 45 PUT	\$ 3.750U		\$36,786.00		COMM CALC (Bonds @ 2.5%) OPEN			
03/22/1999	100.000		WORLDCOM INC 199903 45 PUT					EXPIRED			
	100.000	100.000						<b>\$36,786.00</b>	<b>\$0.00</b>	<b>\$36,786.00</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
08/18/1998		100.000	WORLDCOM INC 199903 50 PUT	\$ 4.625		\$45,515.31		COMM CALC (Bonds @ 2.5%) OPEN			
03/22/1999	100.000		WORLDCOM INC 199903 50 PUT					EXPIRED			
	100.000	100.000						<b>\$45,515.31</b>	<b>\$0.00</b>	<b>\$45,515.31</b>	
07/24/1998		100.000	WORLDCOM INC 199903 55 PUT	\$ 5.250		\$51,755.45		COMM CALC (Bonds @ 2.5%) OPEN			
07/28/1998		100.000	WORLDCOM INC 199903 55 PUT	\$ 6.000U		\$59,226.20		COMM CALC (Bonds @ 2.5%) OPEN			
03/22/1999	200.000		WORLDCOM INC 199903 55 PUT					EXPIRED			
	200.000	200.000						<b>\$110,981.65</b>	<b>\$0.00</b>	<b>\$110,981.65</b>	
05/22/2000	RECV SHORT	20.000	WORLDCOM INC 200201 40 150 SHS PUT			\$16,750.00		VALUED PER STMT TRANS			
11/10/2000	20.000		WORLDCOM INC 200201 40 150 SHS PUT					ASSIGNED			
	20.000	20.000						<b>\$16,750.00</b>	<b>\$0.00</b>	<b>\$16,750.00</b>	
01/31/2002	50.000		WORLDCOM INC 200202 10 CALL	\$ 0.500U	\$2,710.42			COMM CALC (Bonds @ 2.5%) OPEN			
02/19/2002		50.000	WORLDCOM INC 200202 10 CALL					EXPIRED			
	50.000	50.000						<b>( \$2,710.42)</b>	<b>\$0.00</b>	<b>( \$2,710.42)</b>	
11/10/2000		700.000	WORLDCOM INC 200301 20 CALL	\$ 5.375U		\$372,950.12		COMM CALC (Bonds @ 2.5%) OPEN			
01/11/2001	300.000		WORLDCOM INC 200301 20 CALL	\$ 8.625	\$260,696.39			COMM CALC (Bonds @ 2.5%) CLOSE			
01/12/2001	400.000		WORLDCOM INC 200301 20 CALL	\$ 9.250U	\$372,631.55			COMM CALC (Bonds @ 2.5%) CLOSE			
	700.000	700.000						<b>( \$260,377.82)</b>	<b>\$0.00</b>	<b>( \$260,377.82)</b>	
10/16/2000		1000.000	WORLDCOM INC 200301 25 CALL	\$ 8.250U		\$818,925.17		COMM CALC (Bonds @ 2.5%) OPEN			
10/17/2000	300.000		WORLDCOM INC 200301 25 CALL	\$ 9.625U	\$290,254.50			COMM CALC (Bonds @ 2.5%) CLOSE			
10/20/2000	400.000		WORLDCOM INC 200301 25 CALL	\$ 11.500U	\$463,191.57			COMM CALC (Bonds @ 2.5%) CLOSE			
11/03/2000		500.000	WORLDCOM INC 200301 25 CALL	\$ 5.000U		\$247,663.82		COMM CALC (Bonds @ 2.5%) OPEN			
11/14/2000	600.000		WORLDCOM INC 200301 25 CALL	\$ 3.625U	\$220,023.18			COMM CALC (Bonds @ 2.5%) CLOSE			
04/04/2001	200.000		WORLDCOM INC 200301 25 CALL	\$ 3.750U	\$76,024.03			COMM CALC (Bonds @ 2.5%) CLOSE			
	1,500.000	1,500.000						<b>\$17,095.71</b>	<b>\$0.00</b>	<b>\$17,095.71</b>	

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
01/11/2001		300.000	WORLDCOM INC 200301 35 CALL	\$ 4.500U		\$133,453.97		COMM CALC (Bonds @ 2.5%) OPEN			
01/12/2001		400.000	WORLDCOM INC 200301 35 CALL	\$ 5.000U		\$197,917.94		COMM CALC (Bonds @ 2.5%) OPEN			
06/26/2001	100.000		WORLDCOM INC 200301 35 CALL	\$ 0.400U	\$4,249.84			COMM CALC (Bonds @ 2.5%) CLOSE			
07/25/2001	200.000		WORLDCOM INC 200301 35 CALL	\$ 0.350U	\$7,375.02			COMM CALC (Bonds @ 2.5%) CLOSE			
08/22/2001	100.000		WORLDCOM INC 200301 35 CALL	\$ 0.300U	\$3,197.82			COMM CALC (Bonds @ 2.5%) CLOSE			
01/23/2002	300.000		WORLDCOM INC 200301 35 CALL	\$ 0.050	\$1,673.00			COMM CALC (Bonds @ 2.5%) CLOSE			
	700.000	700.000						<b>\$314,876.23</b>	<b>\$0.00</b>	<b>\$314,876.23</b>	
10/17/2000		300.000	WORLDCOM INC 200301 50 CALL	\$ 3.875U		\$114,741.62		COMM CALC (Bonds @ 2.5%) OPEN			
10/20/2000		400.000	WORLDCOM INC 200301 50 CALL	\$ 5.000		\$197,729.68		COMM CALC (Bonds @ 2.5%) OPEN			
11/10/2000	700.000		WORLDCOM INC 200301 50 CALL	\$ 1.375U	\$98,704.58			COMM CALC (Bonds @ 2.5%) CLOSE			
	700.000	700.000						<b>\$213,766.72</b>	<b>\$0.00</b>	<b>\$213,766.72</b>	
06/18/1999	5000.000		WORLDPORT COMMUNICATIONS INC	\$ 9.875U	\$50,129.50			COMM CALC (Bonds @ 2.5%)			
06/18/1999	900.000		WORLDPORT COMMUNICATIONS INC	\$ 9.750U	\$8,869.50			COMM CALC (Bonds @ 2.5%)			
06/18/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$ 9.750U	\$9,854.50			COMM CALC (Bonds @ 2.5%)			
06/21/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$ 10.125U	\$5,142.00			COMM CALC (Bonds @ 2.5%)			
06/21/1999	1100.000		WORLDPORT COMMUNICATIONS INC	\$ 10.000U	\$11,114.50			COMM CALC (Bonds @ 2.5%)			
06/21/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$ 10.000U	\$10,104.50			COMM CALC (Bonds @ 2.5%)			
06/22/1999	3500.000		WORLDPORT COMMUNICATIONS INC	\$ 10.250U	\$36,404.50			COMM CALC (Bonds @ 2.5%)			
06/22/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$ 10.250U	\$10,400.00			COMM CALC (Bonds @ 2.5%)			
06/24/1999	5000.000		WORLDPORT COMMUNICATIONS INC	\$ 10.875	\$55,129.50			COMM CALC (Bonds @ 2.5%)			
06/24/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$ 10.875U	\$5,512.50			COMM CALC (Bonds @ 2.5%)			
06/24/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$ 10.875U	\$11,025.00			COMM CALC (Bonds @ 2.5%)			
06/29/1999	3500.000		WORLDPORT COMMUNICATIONS INC	\$ 9.375U	\$33,167.00			COMM CALC (Bonds @ 2.5%)			
06/29/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$ 9.375U	\$9,475.00			COMM CALC (Bonds @ 2.5%)			
06/29/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$ 9.375U	\$4,737.50			COMM CALC (Bonds @ 2.5%)			
06/29/1999	2000.000		WORLDPORT COMMUNICATIONS INC	\$ 9.375U	\$18,950.00			COMM CALC (Bonds @ 2.5%)			
06/29/1999	200.000		WORLDPORT COMMUNICATIONS INC	\$ 9.375U	\$1,895.00			COMM CALC (Bonds @ 2.5%)			
06/29/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$ 9.375U	\$4,737.50			COMM CALC (Bonds @ 2.5%)			



## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
06/29/1999	800.000		WORLDPORT COMMUNICATIONS INC	\$ 9.375U	\$7,580.00			COMM CALC (Bonds @ 2.5%)			
07/01/1999	25000.000		WORLDPORT COMMUNICATIONS INC	\$ 4.150U	\$105,254.50			COMM CALC (Bonds @ 2.5%)			
07/02/1999	800.000		WORLDPORT COMMUNICATIONS INC	\$ 4.250U	\$3,484.50			COMM CALC (Bonds @ 2.5%)			
07/02/1999	200.000		WORLDPORT COMMUNICATIONS INC	\$ 4.250U	\$870.00			COMM CALC (Bonds @ 2.5%)			
07/02/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$ 4.250U	\$4,354.50			COMM CALC (Bonds @ 2.5%)			
07/06/1999	40000.000		WORLDPORT COMMUNICATIONS INC	\$ 4.632U	\$187,700.54			COMM CALC (Bonds @ 2.5%)			
07/07/1999	8100.000		WORLDPORT COMMUNICATIONS INC	\$ 4.168U	\$34,577.00			COMM CALC (Bonds @ 2.5%)			
07/09/1999	1400.000		WORLDPORT COMMUNICATIONS INC	\$ 3.813U	\$5,482.00			COMM CALC (Bonds @ 2.5%)			
07/09/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.813U	\$1,956.25			COMM CALC (Bonds @ 2.5%)			
07/09/1999	1500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.813U	\$5,868.75			COMM CALC (Bonds @ 2.5%)			
07/09/1999	100.000		WORLDPORT COMMUNICATIONS INC	\$ 3.813U	\$391.25			COMM CALC (Bonds @ 2.5%)			
07/09/1999	2000.000		WORLDPORT COMMUNICATIONS INC	\$ 3.813U	\$7,825.00			COMM CALC (Bonds @ 2.5%)			
07/09/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.813U	\$1,956.25			COMM CALC (Bonds @ 2.5%)			
07/09/1999	900.000		WORLDPORT COMMUNICATIONS INC	\$ 3.813U	\$3,521.25			COMM CALC (Bonds @ 2.5%)			
07/12/1999	10000.000		WORLDPORT COMMUNICATIONS INC	\$ 3.813U	\$38,879.50			COMM CALC (Bonds @ 2.5%)			
07/14/1999	3000.000		WORLDPORT COMMUNICATIONS INC	\$ 3.531U	\$10,912.05			COMM CALC (Bonds @ 2.5%)			
07/14/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.500U	\$1,802.30			COMM CALC (Bonds @ 2.5%)			
07/14/1999	2000.000		WORLDPORT COMMUNICATIONS INC	\$ 3.500U	\$7,209.20			COMM CALC (Bonds @ 2.5%)			
07/14/1999	1500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.531U	\$5,453.78			COMM CALC (Bonds @ 2.5%)			
07/14/1999	1500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.531U	\$5,453.78			COMM CALC (Bonds @ 2.5%)			
07/14/1999	3500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.531U	\$12,724.66			COMM CALC (Bonds @ 2.5%)			
07/14/1999	3000.000		WORLDPORT COMMUNICATIONS INC	\$ 3.531U	\$10,907.55			COMM CALC (Bonds @ 2.5%)			
07/14/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$ 3.531U	\$3,635.85			COMM CALC (Bonds @ 2.5%)			
07/14/1999	2500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.531U	\$9,089.63			COMM CALC (Bonds @ 2.5%)			
07/14/1999	5000.000		WORLDPORT COMMUNICATIONS INC	\$ 3.811U	\$19,434.19			COMM CALC (Bonds @ 2.5%)			
07/14/1999	1500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.531U	\$5,453.78			COMM CALC (Bonds @ 2.5%)			
07/16/1999	5000.000		WORLDPORT COMMUNICATIONS INC	\$ 3.811U	\$19,429.69			COMM CALC (Bonds @ 2.5%)			
07/30/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.656U	\$1,882.63			COMM CALC (Bonds @ 2.5%)			
07/30/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.656U	\$1,878.13			COMM CALC (Bonds @ 2.5%)			
07/30/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$ 3.656U	\$3,756.25			COMM CALC (Bonds @ 2.5%)			
07/30/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.656U	\$1,878.13			COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR

Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
07/30/1999	1500.000		WORLDPORT COMMUNICATIONS INC	\$ 3.656U	\$5,638.88			COMM CALC (Bonds @ 2.5%)			
09/09/1999	7500.000		WORLDPORT COMMUNICATIONS INC	\$ 0.969U	\$7,504.44			COMM CALC (Bonds @ 2.5%)			
09/09/1999	2500.000		WORLDPORT COMMUNICATIONS INC	\$ 0.938U	\$2,421.75			COMM CALC (Bonds @ 2.5%)			
11/23/1999		7500.000	WORLDPORT COMMUNICATIONS INC	\$ 1.813U		\$13,218.42		COMM CALC (Bonds @ 2.5%)			
11/23/1999		500.000	WORLDPORT COMMUNICATIONS INC	\$ 1.813U		\$881.56		COMM CALC (Bonds @ 2.5%)			
11/23/1999		10000.000	WORLDPORT COMMUNICATIONS INC	\$ 1.781U		\$17,318.90		COMM CALC (Bonds @ 2.5%)			
11/23/1999		500.000	WORLDPORT COMMUNICATIONS INC	\$ 1.781U		\$865.95		COMM CALC (Bonds @ 2.5%)			
11/23/1999		500.000	WORLDPORT COMMUNICATIONS INC	\$ 1.750		\$850.32		COMM CALC (Bonds @ 2.5%)			
11/24/1999		1000.000	WORLDPORT COMMUNICATIONS INC	\$ 1.875U		\$1,818.83		COMM CALC (Bonds @ 2.5%)			
11/24/1999		500.000	WORLDPORT COMMUNICATIONS INC	\$ 1.906U		\$927.04		COMM CALC (Bonds @ 2.5%)			
11/24/1999		5000.000	WORLDPORT COMMUNICATIONS INC	\$ 1.875U		\$9,116.68		COMM CALC (Bonds @ 2.5%)			
02/23/2000		2000.000	WORLDPORT COMMUNICATIONS INC	\$ 2.875U		\$5,457.80		COMM CALC (Bonds @ 2.5%)			
03/29/2000	DELV	129500.000	WORLDPORT COMMUNICATIONS INC			\$394,975.00		VALUED PER STMT TRANS			
03/30/2000	DELV	2000.000	WORLDPORT COMMUNICATIONS INC			\$6,250.00		VALUED PER STMT TRANS			
03/30/2000	DELV	3000.000	WORLDPORT COMMUNICATIONS INC			\$9,375.00		VALUED PER STMT TRANS			
03/30/2000	DELV	3000.000	WORLDPORT COMMUNICATIONS INC			\$9,375.00		VALUED PER STMT TRANS			
05/05/2000	118500.000	RECV	WORLDPORT COMMUNICATIONS INC		\$322,201.50			VALUED PER STMT TRANS			
05/11/2000	2000.000	RECV	WORLDPORT COMMUNICATIONS INC		\$4,620.00			VALUED PER STMT TRANS			
05/11/2000	3000.000	RECV	WORLDPORT COMMUNICATIONS INC		\$6,930.00			VALUED PER STMT TRANS			
05/16/2000		24500.000	WORLDPORT COMMUNICATIONS INC	\$ 2.290U		\$54,873.62		COMM CALC (Bonds @ 2.5%)			
05/16/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$ 2.290U		\$1,119.96		COMM CALC (Bonds @ 2.5%)			
05/17/2000		19500.000	WORLDPORT COMMUNICATIONS INC	\$ 2.280		\$43,479.01		COMM CALC (Bonds @ 2.5%)			
05/17/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$ 2.290		\$1,119.96		COMM CALC (Bonds @ 2.5%)			
05/17/2000		5000.000	WORLDPORT COMMUNICATIONS INC	\$ 2.250		\$10,999.62		COMM CALC (Bonds @ 2.5%)			
05/17/2000		24500.000	WORLDPORT COMMUNICATIONS INC	\$ 2.280U		\$54,633.13		COMM CALC (Bonds @ 2.5%)			
05/17/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$ 2.280U		\$1,114.96		COMM CALC (Bonds @ 2.5%)			
05/18/2000		5000.000	WORLDPORT COMMUNICATIONS INC	\$ 2.250U		\$10,995.12		COMM CALC (Bonds @ 2.5%)			
05/18/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$ 2.250U		\$1,099.96		COMM CALC (Bonds @ 2.5%)			
05/18/2000		1800.000	WORLDPORT COMMUNICATIONS INC	\$ 2.200U		\$3,869.86		COMM CALC (Bonds @ 2.5%)			
05/18/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$ 2.210U		\$1,079.96		COMM CALC (Bonds @ 2.5%)			
05/18/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$ 2.200U		\$1,074.96		COMM CALC (Bonds @ 2.5%)			

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
05/18/2000		1000.000	WORLDPORT COMMUNICATIONS INC	\$ 2.200U		\$2,149.92		COMM CALC (Bonds @ 2.5%)			
05/18/2000		10000.000	WORLDPORT COMMUNICATIONS INC	\$ 2.200U		\$21,499.26		COMM CALC (Bonds @ 2.5%)			
05/24/2000		19200.000	WORLDPORT COMMUNICATIONS INC	\$ 2.245U		\$42,138.06		COMM CALC (Bonds @ 2.5%)			
05/24/2000		5000.000	WORLDPORT COMMUNICATIONS INC	\$ 2.245U		\$10,974.62		COMM CALC (Bonds @ 2.5%)			
08/17/2000		4500.000	WORLDPORT COMMUNICATIONS INC	\$ 3.344U		\$14,771.87		COMM CALC (Bonds @ 2.5%)			
08/17/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$ 3.344U		\$1,641.82		COMM CALC (Bonds @ 2.5%)			
	288,500.000	288,500.000						( \$417,501.29)	\$0.00	( \$417,501.29)	-35.8%
01/29/2001	500.000		XCELERA INC	\$ 11.750U	\$5,973.66			COMM CALC (Bonds @ 2.5%)			
02/14/2001		500.000	XCELERA INC	\$ 6.750U		\$3,293.73		COMM CALC (Bonds @ 2.5%)			
	500.000	500.000						( \$2,679.93)	\$0.00	( \$2,679.93)	-44.9%

## Trade Transactions ROI

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	TRADING GAIN/LOSS	INCOME	NET ROI	% ROI
<b>BONDS</b>											
08/29/1997	100000.000	OPEN	COOK CO ILL GENL OBLIG 5% 111598	\$ 101.186	\$101,186.00			VALUED PER 08/29/97 PORT			
11/17/1997			COOK CO ILL GENL OBLIG 5% 111598			\$2,500.00		INTEREST			
05/15/1998			COOK CO ILL GENL OBLIG 5% 111598			\$2,500.00		INTEREST			
11/16/1998			COOK CO ILL GENL OBLIG 5% 111598			\$2,500.00		INTEREST			
11/16/1998		100000.000	COOK CO ILL GENL OBLIG 5% 111598			\$100,000.00		REDEEMED			
	100,000.000	100,000.000						( \$1,186.00)	\$7,500.00	\$6,314.00	6.2%

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
1 360 COMMUNICATIONS CO	509.000	8/29/1997	(\$12,660.37)	3/29/2000	\$32,742.43	\$20,082.06	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
2 3COM CORP	3,000.000	8/29/1997	(\$149,814.00)	12/15/1997	\$106,116.99 U	(\$43,697.01)	108	VALUED PER 08/29/97 PORT; COMM CALC (Bonds @ 2.
3 3COM CORP	950.000	8/29/1997	(\$47,441.10)	1/16/1998	\$29,128.69	(\$18,312.41)	140	VALUED PER 08/29/97 PORT; COMM CALC (Bonds @ 2.
4 3COM CORP 199804 55 PUT	6.000	8/29/1997	\$6,375.00	11/13/1997	\$0.00	\$6,375.00		(Short open)VALUED PER 08/29/97 PORT; ASSIGNED
5 3COM CORP 199804 55 PUT	24.000	8/29/1997	\$25,500.00	11/14/1997	\$0.00	\$25,500.00		(Short open)VALUED PER 08/29/97 PORT; ASSIGNED
6 ALLSTATE CORP	1,854.000	8/29/1997	(\$67,729.40)	3/29/2000	\$41,946.75	(\$25,782.65)	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
7 AMER ELECTRIC POWER CO	2,000.000	8/29/1997	(\$87,376.00)	3/29/2000	\$59,750.00	(\$27,626.00)	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
8 AMER EXPRESS CO	5,000.000	8/29/1997	(\$388,750.00)	3/29/2000	\$758,125.00	\$369,375.00	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
9 AMERICA ONLINE INC DEL	2,000.000	8/29/1997	(\$16,125.00)	7/30/1999	\$195,363.96 U	\$179,238.96	700	VALUED PER 08/29/97 PORT; COMM CALC (Bonds @ 2.
10 AMERICA ONLINE INC DEL	12,000.000	8/29/1997	(\$48,375.00)	3/29/2000	\$814,500.00	\$766,125.00	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
11 AMERICA ONLINE INC DEL 199801 65 PUT	50.000	8/29/1997	\$42,812.50	1/20/1998	\$0.00	\$42,812.50		(Short open)VALUED PER 08/29/97 PORT; EXPIRED
12 CASINO AMER INC	3,765.000	8/29/1997	(\$10,353.75)	12/5/1997	\$10,718.95 U	\$365.20	98	VALUED PER 08/29/97 PORT; COMM CALC (Bonds @ 2.
13 CHASE MANHATTAN CORP NEW	3,000.000	8/29/1997	(\$166,782.00)	3/29/2000	\$271,125.00	\$104,343.00	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
14 CINERGY CORP	750.000	8/29/1997	(\$24,797.25)	3/29/2000	\$15,750.00	(\$9,047.25)	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
15 CONS EDISON	1,000.000	8/29/1997	(\$30,625.00)	3/29/2000	\$29,000.00	(\$1,625.00)	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
16 COOK CO ILL GENL OBLIG 5% 111598	100,000.000	8/29/1997	(\$101,186.00)	11/16/1998	\$100,000.00	(\$1,186.00)	444	VALUED PER 08/29/97 PORT; REDEEMED
17 EASTMAN KODAK CO	1,000.000	8/29/1997	(\$65,375.00)	3/29/2000	\$54,813.00	(\$10,562.00)	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
18 FIRST PACIFIC NETWORKS INC	5,000.000	8/29/1997	(\$100.00)	3/29/2000	\$15.00	(\$85.00)	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
19 HUMANA INC	11,100.000	8/29/1997	(\$261,549.30)	3/29/2000	\$83,250.00	(\$178,299.30)	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
20 INTL BUSINESS MACH	2,000.000	8/29/1997	(\$101,375.00)	9/24/1999	\$253,597.04	\$152,222.04	756	VALUED PER 08/29/97 PORT; COMM CALC (Bonds @ 2.
21 INTL BUSINESS MACH	10,000.000	8/29/1997	(\$506,875.00)	3/29/2000	\$1,195,000.00	\$688,125.00	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
22 KIMBERLY CLARK CORP	5,460.000	8/29/1997	(\$259,011.48)	3/29/2000	\$290,062.50	\$31,051.02	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
23 KMART CORP	10,000.000	8/29/1997	(\$138,750.00)	9/3/1998	\$124,449.41 U	(\$14,300.59)	370	VALUED PER 08/29/97 PORT; COMM CALC (Bonds @ 2.
24 KROGER COMPANY	21,200.000	8/29/1997	(\$319,325.00)	3/29/2000	\$374,985.60	\$55,660.60	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
25 LEHMAN BROS HOLDINGS INC	200.000	8/29/1997	(\$8,750.00)	3/29/2000	\$20,250.00	\$11,500.00	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
26 MANAGED MUNI PORTFOLIO II INC	8,000.000	8/29/1997	(\$93,504.00)	3/29/2000	\$74,000.00	(\$19,504.00)	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
27 MERCK & CO	6,000.000	8/29/1997	(\$275,439.00)	3/29/2000	\$385,500.00	\$110,061.00	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
28 MERCK & CO 199801 90 PUT	20.000	8/29/1997	\$11,250.00	1/20/1998	\$0.00	\$11,250.00		(Short open)VALUED PER 08/29/97 PORT; EXPIRED
29 MID-AMER WASTE SYS INC	5,000.000	8/29/1997	(\$50.00)	3/29/2000	\$5.00	(\$45.00)	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
30 NCR CORP NEW 199710 35 PUT	50.000	8/29/1997	\$9,531.25	U 10/20/1997	(\$10,334.55)	(\$803.30)		(Short open)VALUED PER 08/29/97 PORT; COMM CALC (
31 PLATINUM TECHNOLOGY INC	4,000.000	8/29/1997	(\$95,000.00)	9/3/1998	\$72,324.88	(\$22,675.12)	370	VALUED PER 08/29/97 PORT; COMM CALC (Bonds @ 2.
32 PLATINUM TECHNOLOGY INC	8,000.000	8/29/1997	(\$190,000.00)	6/1/1999	\$234,000.00	\$44,000.00	641	VALUED PER 08/29/97 PORT; TENDERED
33 PSINET INC	4,000.000	8/29/1997	(\$16,376.00)	3/29/2000	\$151,500.00	\$135,124.00	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
34 SEARS ROEBUCK	1,000.000	8/29/1997	(\$56,750.00)	3/29/2000	\$29,750.00	(\$27,000.00)	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
35 ROBERTS PHARMACEUTICAL CORP	1,042.000	8/29/1997	(\$11,188.00)	3/29/2000	\$52,120.53	\$40,932.53	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
36 SPRINT CORP	6,210.000	8/29/1997	(\$97,290.00)	3/29/2000	\$380,621.25	\$283,331.25	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
37 SUNBEAM CORP	4,000.000	8/29/1997	(\$175,500.00)	6/16/1998	\$72,861.66	(\$102,638.34)	291	VALUED PER 08/29/97 PORT; COMM CALC (Bonds @ 2.
38 UNR INDUSTRIES INC	600.000	8/29/1997	(\$2,775.00)	3/29/2000	\$2,100.00	(\$675.00)	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
39 US WEST COMMUNICATIONS GROUP	1,000.000	8/29/1997	(\$35,813.00)	3/29/2000	\$74,375.00	\$38,562.00	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
40 WALT DISNEY CO	3,000.000	8/29/1997	(\$76,813.00)	3/29/2000	\$122,439.00	\$45,626.00	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
41 WELLS FARGO & CO	12,000.000	8/29/1997	(\$305,100.00)	3/29/2000	\$473,256.00	\$168,156.00	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
42 WORLDCOM INC	33,006.742	8/29/1997	(\$646,660.80)	3/29/2000	\$1,369,779.79	\$723,118.99	943	VALUED PER 08/29/97 PORT; VALUED PER STMT TRAN
43 WORLDCOM INC 199709 22.5 CALL	30.000	8/29/1997	(\$22,875.00)	9/19/1997	\$0.00	(\$22,875.00)		VALUED PER 08/29/97 PORT; EXERCISED
44 REPUBLIC INDS INC	1,000.000	9/2/1997	(\$25,832.44)	U 7/1/1998	\$23,305.12	(\$2,527.32)	302	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
45 WORLDCOM INC 199803 32.5 PUT	100.000	9/2/1997	\$38,888.32	3/23/1998	\$0.00	\$38,888.32		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
46 LERNOUT & HAUSPIE 199712 20 PUT	50.000	9/3/1997	(\$5,287.45)	12/22/1997	\$0.00	(\$5,287.45)		COMM CALC (Bonds @ 2.5%) OPEN; EXPIRED
47 WORLDCOM INC 199803 32.5 PUT	100.000	9/3/1997	\$39,275.36	3/23/1998	\$0.00	\$39,275.36		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
48 ORACLE CORP	2,000.000	9/4/1997	(\$74,452.64)	9/3/1998	\$40,082.25	(\$34,370.39)	364	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
49 WORLDCOM INC 199803 40 PUT	50.000	9/12/1997	\$30,752.37	3/23/1998	\$0.00	\$30,752.37		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
50 WORLDCOM INC	4,584.270	9/24/1997	(\$68,102.21)	3/29/2000	\$190,247.21	\$122,145.00	917	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
51 3COM CORP 199804 55 PUT	1.000	9/25/1997	\$889.10	11/14/1997	\$0.00	\$889.10		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
52 3COM CORP 199804 55 PUT	22.000	9/25/1997	\$19,560.27	1/13/1998	\$0.00	\$19,560.27		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN

# Open / Close Analysis (by Open)

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Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
53 3COM CORP 199804 55 PUT	6.000	9/25/1997	\$5,334.62	1/23/1998	\$0.00 U	\$5,334.62		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
54 3COM CORP 199804 55 PUT	2.000	9/25/1997	\$1,778.21	4/7/1998	\$0.00 U	\$1,778.21		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
55 3COM CORP 199804 55 PUT	1.000	9/25/1997	\$889.10	4/8/1998	\$0.00 U	\$889.10		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
56 3COM CORP 199804 55 PUT	18.000	9/25/1997	\$16,003.86	4/9/1998	\$0.00 U	\$16,003.86		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
57 ORACLE CORP 199803 45 PUT	5.000	9/25/1997	\$3,822.05	12/10/1997	\$0.00 U	\$3,822.05		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
58 ORACLE CORP 199803 45 PUT	17.000	9/25/1997	\$12,994.96	12/11/1997	\$0.00 U	\$12,994.96		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
59 ORACLE CORP 199803 45 PUT	28.000	9/25/1997	\$21,403.47	12/18/1997	\$0.00 U	\$21,403.47		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
60 ORACLE CORP	1,500.000	9/29/1997	(\$58,240.70)	9/3/1998	\$30,061.69	(\$28,179.01)	339	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
61 ENZON INC	3,000.000	10/20/1997	(\$18,559.13)	3/29/2000	\$122,814.00	\$104,254.87	891	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
62 WORLDCOM INC 199711 35 CALL	40.000	10/21/1997	(\$5,754.20)	11/24/1997	\$0.00	(\$5,754.20)		COMM CALC (Bonds @ 2.5%) OPEN; EXPIRED
63 ENZON INC	2,000.000	10/22/1997	(\$14,031.30)	U 3/29/2000	\$81,876.00	\$67,844.70	889	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
64 ORACLE CORP	700.000	10/22/1997	(\$23,821.41)	U 9/3/1998	\$14,028.79	(\$9,792.62)	316	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
65 ORACLE CORP	1,300.000	10/22/1997	(\$44,231.59)	U 9/3/1998	\$26,053.47	(\$18,178.12)	316	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
66 WORLDCOM INC 199711 35 CALL	60.000	10/29/1997	(\$3,197.82)	11/24/1997	\$0.00	(\$3,197.82)		COMM CALC (Bonds @ 2.5%) OPEN; EXPIRED
67 MERCK & CO 199804 105 PUT	20.000	11/7/1997	\$25,072.04	4/20/1998	\$0.00 U	\$25,072.04		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
68 WORLDCOM INC 199806 30 PUT	200.000	11/12/1997	\$63,827.09	6/22/1998	\$0.00 U	\$63,827.09		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
69 WORLDCOM INC 199806 30 PUT	100.000	11/13/1997	\$41,765.44	6/22/1998	\$0.00 U	\$41,765.44		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
70 3COM CORP	250.000	11/17/1997	(\$13,975.84)	1/16/1998	\$7,665.44	(\$6,310.39)	60	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
71 3COM CORP	350.000	11/17/1997	(\$19,566.17)	4/14/1998	\$11,294.03 U	(\$8,272.14)	148	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
72 3COM CORP	350.000	11/18/1997	(\$19,368.76)	4/14/1998	\$11,294.03 U	(\$8,074.73)	147	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
73 3COM CORP	2,150.000	11/18/1997	(\$118,979.50)	9/3/1998	\$50,871.11 U	(\$68,108.38)	289	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
74 ORACLE CORP	500.000	12/12/1997	(\$22,777.25)	9/3/1998	\$10,020.56	(\$12,756.69)	265	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
75 ORACLE CORP	1,700.000	12/15/1997	(\$77,073.03)	9/3/1998	\$34,069.92	(\$43,003.11)	262	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
76 ORACLE CORP	2,800.000	12/22/1997	(\$126,818.55)	9/3/1998	\$56,115.16	(\$70,703.39)	255	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
77 3COM CORP	550.000	1/15/1998	(\$30,442.42)	9/3/1998	\$13,013.54 U	(\$17,428.88)	231	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
78 3COM CORP	1,500.000	1/15/1998	(\$83,024.79)	3/10/1999	\$36,937.50	(\$46,087.29)	419	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA

# Open / Close Analysis (by Open)

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79 3COM CORP	150.000	1/15/1998	(\$8,302.48)	7/23/1999	\$4,008.48 U	(\$4,294.00)	554	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
80 CBS CORP 199807 30 PUT	50.000	1/26/1998	\$15,236.93	7/20/1998	\$0.00	\$15,236.93		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
81 3COM CORP	600.000	1/27/1998	(\$33,353.88)	7/23/1999	\$16,033.92 U	(\$17,319.96)	542	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
82 INTL BUSINESS MACH 199901 100 PUT	30.000	2/12/1998	\$26,603.89	1/19/1999	\$0.00 U	\$26,603.89		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
83 CBS CORP 199901 30 PUT	100.000	3/5/1998	\$21,866.47	1/19/1999	\$0.00 U	\$21,866.47		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
84 CBS CORP 199901 35 PUT	21.000	3/26/1998	\$8,228.11	10/22/1998	\$0.00 U	\$8,228.11		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
85 CBS CORP 199901 35 PUT	39.000	3/26/1998	\$15,280.77	1/19/1999	\$0.00 U	\$15,280.77		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
86 CBS CORP 199901 35 PUT	40.000	3/31/1998	\$16,141.64	1/19/1999	\$0.00	\$16,141.64		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
87 SUNBEAM CORP 199810 30 PUT	100.000	4/7/1998	\$28,953.55 U	6/17/1998	(\$166,067.51)	(\$137,113.96)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
88 SUNBEAM CORP 199810 35 PUT	50.000	4/7/1998	\$26,403.74	6/10/1998	\$0.00 U	\$26,403.74		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
89 3COM CORP	200.000	4/9/1998	(\$11,226.24)	7/23/1999	\$5,344.64 U	(\$5,881.60)	470	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
90 3COM CORP	100.000	4/13/1998	(\$5,615.37)	7/23/1999	\$2,672.32 U	(\$2,943.05)	466	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
91 3COM CORP	1,800.000	4/14/1998	(\$99,563.34)	7/23/1999	\$48,101.77 U	(\$51,461.57)	465	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
92 SUNBEAM CORP 199810 30 PUT	100.000	4/15/1998	\$40,520.05	6/17/1998	(\$163,563.02)U	(\$123,042.97)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
93 WORLDCOM INC 199901 45 PUT	100.000	4/22/1998	\$41,765.44	1/19/1999	\$0.00 U	\$41,765.44		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
94 SUNBEAM CORP 199810 30 PUT	100.000	5/11/1998	\$48,015.23	6/17/1998	(\$163,563.02)	(\$115,547.79)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
95 WORLDCOM INC 199901 45 PUT	100.000	5/29/1998	\$30,562.56	1/19/1999	\$0.00 U	\$30,562.56		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
96 WORLDCOM INC	1,528.090	6/9/1998	(\$45,176.64)	3/29/2000	\$63,415.74	\$18,239.10	659	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
97 OPEN MARKET INC	3,000.000	6/10/1998	(\$51,161.96)	8/17/1998	\$36,235.35 U	(\$14,926.61)	68	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
98 SUNBEAM CORP	1,000.000	6/12/1998	(\$35,223.25)	6/16/1998	\$18,215.41	(\$17,007.84)	4	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
99 SUNBEAM CORP	4,000.000	6/12/1998	(\$140,893.01)	6/19/1998	\$55,391.18	(\$85,501.83)	7	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
100 WALT DISNEY CO	900.000	7/1/1998	(\$33,880.08)	3/29/2000	\$36,731.70	\$2,851.62	637	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
101 WORLDCOM INC 199903 55 PUT	100.000	7/24/1998	\$51,755.45	3/22/1999	\$0.00	\$51,755.45		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
102 WORLDCOM INC 199903 55 PUT	100.000	7/28/1998	\$59,226.20	3/22/1999	\$0.00 U	\$59,226.20		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
103 MERCK & CO 199901 120 PUT	50.000	7/29/1998	\$30,130.02	1/19/1999	\$0.00 U	\$30,130.02		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
104 WORLDCOM INC 199903 50 PUT	100.000	8/18/1998	\$45,515.31	3/22/1999	\$0.00	\$45,515.31		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE



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		Date	Amount	Date	Amount			
I05 WORLDCOM INC 199903 45 PUT	100.000	8/31/1998	\$36,786.00	3/22/1999	\$0.00 U	\$36,786.00		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
I06 PSINET INC	2,200.000	9/11/1998	(\$15,310.79)	3/29/2000	\$83,325.00	\$68,014.21	565	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I07 PSINET INC	3,800.000	9/11/1998	(\$26,688.01)	3/29/2000	\$143,925.00	\$117,236.99	565	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I08 CHASE MANHATTAN CORP NEW	2,000.000	9/16/1998	(\$90,639.99) U	3/29/2000	\$180,750.00	\$90,110.01	560	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I09 TRAVELERS GROUP INC	1,540.000	9/16/1998	(\$40,139.89) U	3/29/2000	\$93,555.00	\$53,415.11	560	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I10 TRAVELERS GROUP INC	3,080.000	9/16/1998	(\$79,159.50) U	3/29/2000	\$187,110.00	\$107,950.50	560	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I11 WORLDCOM INC	7,640.449	9/16/1998	(\$238,857.90) U	3/29/2000	\$317,078.63	\$78,220.73	560	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I12 CBS CORP	2,100.000	10/27/1998	(\$74,081.12)	3/29/2000	\$124,162.50	\$50,081.38	519	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I13 E.SPIRE COMMUNICATIONS INC	3,300.000	11/27/1998	(\$25,605.98)	3/16/1999	\$32,305.32 U	\$6,699.34	109	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
I14 E.SPIRE COMMUNICATIONS INC	700.000	11/30/1998	(\$5,603.65)	3/16/1999	\$6,852.64 U	\$1,248.99	106	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
I15 S3 INC	3,000.000	12/1/1998	(\$17,579.90)	4/8/1999	\$22,142.99	\$4,563.09	128	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
I16 S3 INC	2,000.000	12/1/1998	(\$11,719.93)	4/8/1999	\$14,695.00	\$2,975.07	128	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
I17 WALT DISNEY CO	1,100.000	12/7/1998	(\$33,038.89)	3/29/2000	\$44,894.30	\$11,855.41	478	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I18 MCI WORLDCOM INC 200101 50 PUT	100.000	12/17/1998	\$44,265.36	3/29/2000	(\$31,875.00)	\$12,390.36		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
I19 WALT DISNEY CO 200101 30 PUT	100.000	1/8/1999	\$48,015.23	3/29/2000	(\$11,250.00)	\$36,765.23		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
I20 3COM CORP	700.000	1/11/1999	(\$33,524.44)	7/23/1999	\$18,706.24 U	(\$14,818.20)	193	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
I21 CITIGROUP INC 200101 40 PUT	20.000	1/14/1999	\$10,849.14 U	3/20/2000	(\$789.49)	\$10,059.65		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
I22 CITIGROUP INC 200101 40 PUT	80.000	1/14/1999	\$43,396.55	3/29/2000	(\$1,500.00)	\$41,896.55		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
I23 CBS CORP	7,900.000	1/22/1999	(\$277,294.50)	3/29/2000	\$467,087.50	\$189,793.00	432	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I24 3COM CORP 199902 60 CALL	200.000	1/27/1999	(\$5,301.86)	2/22/1999	\$0.00	(\$5,301.86)		COMM CALC (Bonds @ 2.5%) OPEN; EXPIRED
I25 3COM CORP 199903 55 CALL	100.000	1/27/1999	(\$18,095.00)	3/22/1999	\$0.00	(\$18,095.00)		COMM CALC (Bonds @ 2.5%) OPEN; EXPIRED
I26 E.SPIRE COMMUNICATIONS INC	1,000.000	2/3/1999	(\$5,104.60)	3/16/1999	\$9,789.49 U	\$4,684.89	41	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
I27 E.SPIRE COMMUNICATIONS INC	4,000.000	2/3/1999	(\$20,673.09)	3/16/1999	\$39,157.97 U	\$18,484.88	41	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
I28 PSINET INC	2,000.000	2/10/1999	(\$23,439.87) U	3/29/2000	\$75,750.00	\$52,310.13	413	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I29 E.SPIRE COMMUNICATIONS INC	5,000.000	2/22/1999	(\$27,098.49)	3/16/1999	\$48,947.46 U	\$21,848.97	22	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
I30 E.SPIRE COMMUNICATIONS INC 199909 5 PUT	100.000	2/22/1999	\$15,666.22	9/20/1999	\$0.00	\$15,666.22		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE

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I31 ESPIRE COMMUNICATIONS INC	2,000.000	2/22/1999	(\$10,998.15)	3/12/1999	\$16,222.95 U	\$5,224.80	18	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
I32 PLATINUM TECHNOLOGY INC 199903 15 CALL	100.000	2/23/1999	(\$12,932.89)	3/22/1999	\$0.00	(\$12,932.89)		COMM CALC (Bonds @ 2.5%) OPEN; EXPIRED
I33 E.SPIRE COMMUNICATIONS INC	11,000.000	2/25/1999	(\$53,600.59)	3/16/1999	\$107,684.41 U	\$54,083.81	19	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
I34 E.SPIRE COMMUNICATIONS INC	5,000.000	2/25/1999	(\$24,363.91)	3/29/2000	\$52,190.00	\$27,826.09	398	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I35 E.SPIRE COMMUNICATIONS INC	95,000.000	2/25/1999	(\$466,298.00)	3/29/2000	\$991,610.00	\$525,312.00	398	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I36 3COM CORP	1,450.000	3/8/1999	(\$69,025.17)	7/23/1999	\$38,748.65 U	(\$30,276.53)	137	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
I37 3COM CORP	50.000	3/8/1999	(\$2,380.18)	3/29/2000	\$3,159.40	\$779.22	387	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I38 ENZON INC	5,000.000	3/15/1999	(\$82,210.50) U	3/29/2000	\$204,690.00	\$122,479.50	380	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I39 3COM CORP	1,000.000	3/16/1999	(\$26,192.00) U	3/29/2000	\$63,188.00	\$36,996.00	379	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I40 PLATINUM TECHNOLOGY INTL INC	2,000.000	3/23/1999	(\$20,871.10)	6/1/1999	\$58,500.00	\$37,628.90	70	COMM CALC (Bonds @ 2.5%); TENDERED
I41 AMERICA ONLINE INC DEL 200101 110 PUT	200.000	3/25/1999	\$320,702.53	3/29/2000	(\$113,750.00)	\$206,952.53		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
I42 MCI WORLDCOM INC 200101 60 PUT	10.000	3/25/1999	\$4,551.53	10/25/1999	(\$5,840.27)	(\$1,288.74)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
I43 MCI WORLDCOM INC 200101 60 PUT	90.000	3/25/1999	\$40,963.78	3/29/2000	(\$53,437.50)	(\$12,473.72)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
I44 PSINET INC 199910 35 PUT	100.000	3/29/1999	\$56,794.23 U	10/6/1999	(\$34,340.40)	\$22,453.83		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
I45 CITIGROUP INC	400.000	3/30/1999	(\$25,650.00)	3/29/2000	\$24,300.00	(\$1,350.00)	365	VALUED PER STMT TRANS; VALUED PER STMT TRAN
I46 WALT DISNEY CO HOLDING CO	500.000	3/30/1999	(\$16,406.50)	3/30/2000	\$20,125.00	\$3,718.50	366	VALUED PER STMT TRANS; VALUED PER STMT TRAN
I47 MCI WORLDCOM INC	2,000.000	3/30/1999	(\$184,250.00)	3/29/2000	\$83,000.00	(\$101,250.00)	365	VALUED PER STMT TRANS; VALUED PER STMT TRAN
I48 MCI WORLDCOM INC 200101 75 PUT	100.000	4/9/1999	\$91,668.14	3/29/2000	(\$113,750.00)U	(\$22,081.86)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
I49 CBS CORP	500.000	4/12/1999	(\$21,773.25)	3/30/2000	\$28,750.00	\$6,976.75	353	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I50 FRONTIER CORP	1,025.000	4/12/1999	(\$23,878.07)	3/29/2000	\$44,139.58	\$20,261.51	352	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I51 COMPAQ COMPUTER CORP	10,000.000	4/21/1999	(\$240,129.50)	3/29/2000	\$280,630.00	\$40,500.50	343	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I52 CITIGROUP INC	3,080.000	4/21/1999	(\$141,895.77)	3/29/2000	\$187,110.00	\$45,214.23	343	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
I53 GLOBAL CROSSING LTD 199910 40 PUT	20.000	4/22/1999	\$11,857.04	9/30/1999	\$0.00 U	\$11,857.04		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
I54 GLOBAL CROSSING LTD 199910 40 PUT	80.000	4/22/1999	\$47,428.18 U	10/1/1999	(\$114,805.77)U	(\$67,377.59)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
I55 MCI WORLDCOM INC 200101 85 PUT	100.000	4/29/1999	\$136,506.05	3/29/2000	(\$163,750.00)U	(\$27,243.95)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
I56 ALLSTATE CORP	3,200.000	5/5/1999	(\$119,554.50)	3/29/2000	\$72,400.00	(\$47,154.50)	329	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
157 E.SPIRE COMMUNICATIONS INC 199905 12.5 C	50.000	5/5/1999	(\$3,837.96)	5/13/1999	\$8,475.89 U	\$4,637.93		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
158 E.SPIRE COMMUNICATIONS INC 199905 12.5 C	2.000	5/6/1999	(\$196.62) U	5/20/1999	\$338.46 U	\$141.84		OPEN; COMM CALC (Bonds @ 2.5%) CLOSE
159 E.SPIRE COMMUNICATIONS INC 199905 12.5 C	17.000	5/6/1999	(\$1,352.54) U	5/20/1999	\$2,876.88 U	\$1,524.34		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
160 E.SPIRE COMMUNICATIONS INC 199905 12.5 C	6.000	5/6/1999	(\$552.91)	5/20/1999	\$1,015.37 U	\$462.46		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
161 E.SPIRE COMMUNICATIONS INC 199905 12.5 C	25.000	5/6/1999	(\$2,303.78)	5/20/1999	\$5,485.18 U	\$3,181.41		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
162 E.SPIRE COMMUNICATIONS INC 199905 12.5 C	19.000	5/6/1999	(\$1,750.87)	5/21/1999	\$2,734.25 U	\$983.38		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
163 AMERICA ONLINE INC	400.000	5/7/1999	(\$26,814.50)	3/29/2000	\$27,150.00	\$335.50	327	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
164 E.SPIRE COMMUNICATIONS INC 199905 12.5 C	6.000	5/7/1999	(\$711.65)	5/21/1999	\$863.45 U	\$151.80		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
165 E.SPIRE COMMUNICATIONS INC 199905 12.5 C	25.000	5/7/1999	(\$3,102.72) U	5/24/1999	\$805.95	(\$2,296.77)		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
166 PSINET INC 199910 45 PUT	10.000	5/7/1999	\$9,007.38 U	10/1/1999	(\$9,771.41)U	(\$764.03)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
167 PSINET INC 199910 40 PUT	100.000	5/11/1999	\$76,771.58 U	10/6/1999	(\$74,448.74)U	\$2,322.84		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
168 PSINET INC 199910 40 PUT	50.000	5/11/1999	\$38,385.79	10/18/1999	(\$32,336.16)U	\$6,049.63		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
169 AMERICA ONLINE INC DEL 200101 100 PUT	150.000	5/18/1999	\$124,290.03	3/29/2000	(\$62,812.50)U	\$61,477.53		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
170 CITIGROUP INC 200101 60 PUT	100.000	5/18/1999	\$73,048.80	3/29/2000	(\$11,250.00)U	\$61,798.80		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
171 COMPAQ COMPUTER CORP 200101 25 PUT	100.000	5/18/1999	\$54,360.76	3/29/2000	(\$35,000.00)U	\$19,360.76		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
172 MCI WORLDCOM INC 200101 70 PUT	10.000	5/24/1999	\$6,518.21	10/1/1999	(\$10,254.50)U	(\$3,736.29)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; CLOSE
173 MCI WORLDCOM INC 200101 70 PUT	10.000	5/24/1999	\$6,518.21	3/29/2000	(\$9,125.00)U	(\$2,606.79)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
174 PSINET INC 199910 30 PUT	50.000	5/24/1999	\$19,344.30	10/18/1999	\$0.00	\$19,344.30		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
175 PSINET INC 200001 45 PUT	2.000	5/26/1999	\$2,183.58	10/25/1999	(\$2,438.70)U	(\$255.12)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
176 PSINET INC 200001 45 PUT	98.000	5/26/1999	\$106,995.42	1/24/2000	\$0.00 U	\$106,995.42		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
177 CHASE MANHATTAN CORP NEW 200101 70 P	100.000	5/27/1999	\$111,670.74	3/29/2000	(\$41,875.00)	\$69,795.74		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
178 PSINET INC 200001 45 PUT	10.000	5/27/1999	\$10,836.37	1/24/2000	\$0.00	\$10,836.37		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
179 QUALCOMM INC	800.000	5/28/1999	(\$19,127.87)	3/30/2000	\$116,187.20	\$97,059.33	307	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
180 CHASE MANHATTAN CORP NEW 200101 60 P	100.000	6/1/1999	\$76,786.41	3/29/2000	(\$20,625.00)	\$56,161.41		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
181 PSINET INC 200001 45 PUT	10.000	6/4/1999	\$10,836.37	1/24/2000	\$0.00	\$10,836.37		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
182 AMERICA ONLINE INC DEL 200101 100 PUT	50.000	6/11/1999	\$150,453.27	3/29/2000	(\$20,937.50)U	\$129,515.77		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
183 AMERICA ONLINE INC DEL 200101 80 PUT	400.000	6/11/1999	\$399,413.95	3/29/2000	(\$73,750.00)	\$325,663.95		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
184 AMERICA ONLINE INC DEL 200101 60 PUT	310.000	6/15/1999	\$130,358.77	3/29/2000	(\$20,343.75)U	\$110,015.02		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
185 AMERICA ONLINE INC DEL	4,000.000	6/17/1999	(\$184,304.50)	3/29/2000	\$271,500.00	\$87,195.50	286	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
186 ORACLE CORP	2,000.000	6/18/1999	(\$25,275.00) U	3/29/2000	\$165,000.00	\$139,725.00	285	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
187 ORACLE CORP	8,000.000	6/18/1999	(\$101,104.50) U	3/29/2000	\$660,000.00	\$558,895.50	285	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
188 WORLDPORT COMMUNICATIONS INC	1,900.000	6/18/1999	(\$18,724.00) U	11/23/1999	\$3,290.59 U	(\$15,433.41)	158	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
189 WORLDPORT COMMUNICATIONS INC	5,000.000	6/18/1999	(\$50,129.50) U	11/23/1999	\$8,659.45 U	(\$41,470.05)	158	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
190 3COM CORP	7,000.000	6/21/1999	(\$188,828.94) U	3/29/2000	\$442,316.00	\$253,487.06	282	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
191 3COM CORP	1,000.000	6/21/1999	(\$26,975.56) U	3/30/2000	\$58,813.00	\$31,837.44	283	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
192 WORLDPORT COMMUNICATIONS INC	500.000	6/21/1999	(\$5,142.00) U	11/23/1999	\$865.95 U	(\$4,276.06)	155	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
193 WORLDPORT COMMUNICATIONS INC	2,100.000	6/21/1999	(\$21,219.00) U	11/23/1999	\$3,636.97 U	(\$17,582.03)	155	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
194 WALT DISNEY CO 200101 25 PUT	200.000	6/22/1999	\$49,056.09	3/29/2000	(\$11,250.00)U	\$37,806.09		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
195 WORLDPORT COMMUNICATIONS INC	1,000.000	6/22/1999	(\$10,401.00) U	11/23/1999	\$1,731.89 U	(\$8,669.11)	154	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
196 WORLDPORT COMMUNICATIONS INC	3,500.000	6/22/1999	(\$36,403.50) U	11/23/1999	\$6,168.74 U	(\$30,234.76)	154	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
197 WALT DISNEY CO	5,000.000	6/24/1999	(\$155,442.00)	3/29/2000	\$204,065.00	\$48,623.00	279	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
198 WORLDPORT COMMUNICATIONS INC	4,500.000	6/24/1999	(\$49,615.62) U	11/23/1999	\$7,931.24 U	(\$41,684.38)	152	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
199 WORLDPORT COMMUNICATIONS INC	500.000	6/24/1999	(\$5,512.85) U	11/23/1999	\$850.32	(\$4,662.53)	152	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
200 WORLDPORT COMMUNICATIONS INC	1,500.000	6/24/1999	(\$16,538.54) U	11/24/1999	\$2,733.88 U	(\$13,804.66)	153	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
201 AMERICA ONLINE INC DEL 200101 60 PUT	10.000	6/29/1999	\$9,596.38	3/29/2000	(\$656.25)U	\$8,940.13		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
202 PSINET INC	2,000.000	6/29/1999	(\$50,025.00)	3/29/2000	\$75,750.00	\$25,725.00	274	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
203 PSINET INC	2,000.000	6/29/1999	(\$50,092.00)	3/29/2000	\$75,750.00	\$25,658.00	274	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
204 CITIGROUP INC	1,900.000	6/29/1999	(\$84,244.67) U	3/29/2000	\$115,425.00	\$31,180.33	274	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
205 CITIGROUP INC	600.000	6/29/1999	(\$26,603.58) U	3/30/2000	\$35,700.00	\$9,096.42	275	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
206 WORLDPORT COMMUNICATIONS INC	4,500.000	6/29/1999	(\$42,639.88) U	11/24/1999	\$8,201.63 U	(\$34,438.25)	148	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
207 WORLDPORT COMMUNICATIONS INC	500.000	6/29/1999	(\$4,737.77) U	11/24/1999	\$927.04 U	(\$3,810.73)	148	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
208 WORLDPORT COMMUNICATIONS INC	2,000.000	6/29/1999	(\$18,951.06) U	2/23/2000	\$5,457.80 U	(\$13,493.26)	239	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
209 WORLDPORT COMMUNICATIONS INC	1,500.000	6/29/1999	(\$14,213.29) U	3/29/2000	\$4,575.00	(\$9,638.29)	274	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
210 HEALTH MGMT ASSN 199908 12.5 CALL	20.000	7/1/1999	(\$1,356.78)	8/23/1999	\$0.00	(\$1,356.78)		COMM CALC (Bonds @ 2.5%) OPEN; EXPIRED
211 MCI WORLDCOM INC 200101 60 PUT	100.000	7/1/1999	\$39,385.95	3/29/2000	(\$59,375.00)U	(\$19,989.05)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
212 MCI WORLDCOM INC 200101 70 PUT	200.000	7/1/1999	\$126,342.93	3/29/2000	(\$182,500.00)U	(\$56,157.07)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
213 MCI WORLDCOM INC 200101 70 PUT	90.000	7/1/1999	\$59,100.19	3/29/2000	(\$82,125.00)U	(\$23,024.81)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
214 MCI WORLDCOM INC 200101 70 PUT	10.000	7/1/1999	\$6,566.69	3/30/2000	(\$9,125.00)U	(\$2,558.31)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
215 PSINET INC	600.000	7/1/1999	(\$13,670.58)	3/29/2000	\$22,725.00	\$9,054.42	272	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
216 PSINET INC	1,400.000	7/1/1999	(\$32,281.27)	3/29/2000	\$53,025.00	\$20,743.73	272	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
217 PSINET INC	1,600.000	7/1/1999	(\$36,992.92)	3/29/2000	\$60,600.00	\$23,607.08	272	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
218 WORLDPORT COMMUNICATIONS INC	25,000.000	7/1/1999	(\$105,254.50) U	3/29/2000	\$76,250.00	(\$29,004.50)	272	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
219 WORLDPORT COMMUNICATIONS INC	2,000.000	7/2/1999	(\$8,709.00) U	3/29/2000	\$6,100.00	(\$2,609.00)	271	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
220 HEALTH MGMT ASSN INC NEW CL A	100.000	7/6/1999	(\$1,166.99) U	12/10/1999	\$1,155.16	(\$11.83)	157	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
221 TRANS WORLD ENTMT CORP	100.000	7/6/1999	(\$1,110.74) U	12/10/1999	\$947.21	(\$163.53)	157	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
222 WORLD WIDE WIRELESS COMM INC	1,000.000	7/6/1999	(\$1,854.50) U	3/30/2000	\$4,844.00	\$2,989.50	268	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
223 WORLDPORT COMMUNICATIONS INC	40,000.000	7/6/1999	(\$187,700.54) U	3/29/2000	\$122,000.00	(\$65,700.54)	267	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
224 WORLDPORT COMMUNICATIONS INC	8,100.000	7/7/1999	(\$34,577.00) U	3/29/2000	\$24,705.00	(\$9,872.00)	266	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
225 MAIL.COM INC CL A	3,000.000	7/8/1999	(\$62,142.00)	3/29/2000	\$54,375.00	(\$7,767.00)	265	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
226 PSINET INC	400.000	7/8/1999	(\$9,055.75)	3/29/2000	\$15,150.00	\$6,094.25	265	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
227 PSINET INC	2,000.000	7/8/1999	(\$45,278.75)	3/30/2000	\$68,126.00	\$22,847.25	266	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
228 MAIL.COM INC CL A	200.000	7/9/1999	(\$4,430.00)	3/29/2000	\$3,625.00	(\$805.00)	264	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
229 MAIL.COM INC CL A	1,800.000	7/9/1999	(\$40,099.50)	3/29/2000	\$32,625.00	(\$7,474.50)	264	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
230 WORLDPORT COMMUNICATIONS INC	6,900.000	7/9/1999	(\$27,000.75) U	3/29/2000	\$21,045.00	(\$5,955.75)	264	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
231 WORLDPORT COMMUNICATIONS INC	10,000.000	7/12/1999	(\$38,879.50) U	3/29/2000	\$30,500.00	(\$8,379.50)	261	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
232 WORLDPORT COMMUNICATIONS INC	2,500.000	7/14/1999	(\$9,011.50) U	3/29/2000	\$7,625.00	(\$1,386.50)	259	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
233 WORLDPORT COMMUNICATIONS INC	5,000.000	7/14/1999	(\$19,434.19) U	3/29/2000	\$15,250.00	(\$4,184.19)	259	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
234 WORLDPORT COMMUNICATIONS INC	17,500.000	7/14/1999	(\$63,631.08) U	3/29/2000	\$53,375.00	(\$10,256.08)	259	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
235 MAIL.COM INC CL A	1,000.000	7/16/1999	(\$24,625.00)	U 3/29/2000	\$18,125.00	(\$6,500.00)	257	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
236 MAIL.COM INC CL A	2,000.000	7/16/1999	(\$50,304.50)	U 3/29/2000	\$36,250.00	(\$14,054.50)	257	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
237 WORLDPORT COMMUNICATIONS INC	5,000.000	7/16/1999	(\$19,429.69)	U 3/29/2000	\$15,250.00	(\$4,179.69)	257	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
238 STAR TELECOMMUNICATIONS 199908 7.5 PUT	50.000	7/21/1999	(\$2,960.94)	7/29/1999	\$8,793.13	\$5,832.19		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
239 STAR TELECOMMUNICATIONS 199908 7.5 PUT	50.000	7/21/1999	(\$2,657.46)	7/29/1999	\$8,793.13	\$6,135.67		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
240 STAR TELECOMMUNICATIONS 199908 7.5 PUT	20.000	7/28/1999	(\$1,089.90)	7/29/1999	\$3,517.25	\$2,427.35		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
241 MCI WORLDCOM INC 200101 60 PUT	200.000	7/30/1999	\$76,465.20	3/29/2000	(\$118,750.00)U	(\$42,284.80)		(Short open)COMM CALC (BONDS @ 2.5%) OPEN; VALUE
242 WORLDPORT COMMUNICATIONS INC	4,000.000	7/30/1999	(\$15,034.02)	U 3/29/2000	\$12,200.00	(\$2,834.02)	243	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
243 MCI WORLDCOM INC 200101 60 PUT	10.000	8/3/1999	\$3,810.96	3/29/2000	(\$5,937.50)U	(\$2,126.54)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
244 MCI WORLDCOM INC 200101 60 PUT	10.000	8/4/1999	\$3,810.96	3/29/2000	(\$5,937.50)U	(\$2,126.54)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
245 GLOBAL CROSSING LTD 200001 30 PUT	200.000	8/5/1999	\$98,890.84	1/24/2000	\$0.00 U	\$98,890.84		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
246 INTL BUSINESS MACH 200201 90 PUT	100.000	8/5/1999	\$74,294.68	3/29/2000	(\$78,125.00)U	(\$3,830.32)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
247 AMERICA ONLINE INC DEL 200201 55 PUT	200.000	8/6/1999	\$115,408.34	3/29/2000	(\$30,000.00)U	\$85,408.34		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
248 INTL BUSINESS MACH 200201 90 PUT	100.000	8/6/1999	\$69,311.19	3/29/2000	(\$78,125.00)U	(\$8,813.81)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
249 PSINET INC 200001 35 PUT	20.000	8/6/1999	\$11,796.25	1/24/2000	\$0.00 U	\$11,796.25		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
250 STAR TELECOMMUNICATIONS	6,000.000	8/6/1999	(\$33,796.50)	U 3/30/2000	\$35,904.00	\$2,107.50	237	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
251 MCI WORLDCOM INC 200101 60 PUT	190.000	8/10/1999	\$89,212.00	3/29/2000	(\$112,812.50)U	(\$23,600.50)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
252 MCI WORLDCOM INC 200101 60 PUT	10.000	8/10/1999	\$4,695.37	3/30/2000	(\$5,937.50)U	(\$1,242.13)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
253 AMERICA ONLINE INC	400.000	8/11/1999	(\$17,692.00)	U 3/29/2000	\$27,150.00	\$9,458.00	231	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
254 MCI WORLDCOM INC 200201 60 PUT	100.000	8/31/1999	\$70,494.69	3/29/2000	(\$79,335.94)U	(\$8,841.25)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
255 MCI WORLDCOM INC 200201 65 PUT	100.000	8/31/1999	\$90,490.96	3/29/2000	(\$97,500.00)U	(\$7,009.04)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
256 MCI WORLDCOM INC 200201 65 PUT	20.000	9/1/1999	\$17,725.80	3/29/2000	(\$19,500.00)U	(\$1,774.20)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
257 PSINET INC 200004 40 PUT	50.000	9/7/1999	\$37,055.56	3/29/2000	(\$2,500.00)U	\$34,555.56		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
258 PSINET INC 200004 40 PUT	25.000	9/8/1999	\$16,616.16	3/29/2000	(\$1,250.00)U	\$15,366.16		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
259 WORLDPORT COMMUNICATIONS INC	2,000.000	9/9/1999	(\$1,937.40)	U 3/29/2000	\$6,100.00	\$4,162.60	202	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
260 WORLDPORT COMMUNICATIONS INC	500.000	9/9/1999	(\$484.35)	U 3/30/2000	\$1,562.50	\$1,078.15	203	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
261 WORLDPORT COMMUNICATIONS INC	7,500.000	9/9/1999	(\$7,504.44) U	3/30/2000	\$23,437.50	\$15,933.06	203	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
262 AMERICA ONLINE INC DEL 200101 50 PUT	400.000	9/14/1999	\$108,857.79	3/29/2000	(\$15,000.00)U	\$93,857.79		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
263 QUALCOMM INC 200101 90 PUT	100.000	9/14/1999	\$71,802.93	3/29/2000	(\$20,000.00)U	\$51,802.93		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
264 GLOBAL CROSSING LTD 200004 30 PUT	100.000	9/15/1999	\$89,494.27	3/29/2000	(\$1,875.00)U	\$87,619.27		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
265 MCI WORLDCOM INC 200201 65 PUT	200.000	9/22/1999	\$139,381.58	3/29/2000	(\$195,000.00)U	(\$55,618.42)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
266 AMERICA ONLINE INC DEL	3,200.000	9/24/1999	(\$135,903.60)	3/29/2000	\$217,200.00	\$81,296.40	187	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
267 AMERICA ONLINE INC DEL	800.000	9/24/1999	(\$33,975.90)	3/30/2000	\$51,900.00	\$17,924.10	188	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
268 INTL BUSINESS MACH	2,000.000	9/24/1999	(\$253,519.50)	3/29/2000	\$239,000.00	(\$14,519.50)	187	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
269 AMERICA ONLINE INC DEL 200101 60 PUT	100.000	9/27/1999	\$71,802.93	3/29/2000	(\$6,562.50)U	\$65,240.43		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
270 MCI WORLDCOM INC 200201 65 PUT	100.000	9/27/1999	\$83,333.67	3/29/2000	(\$97,500.00)U	(\$14,166.33)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
271 MCI WORLDCOM INC 200201 65 PUT	100.000	9/27/1999	\$84,329.13	3/29/2000	(\$97,500.00)U	(\$13,170.87)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
272 GLOBAL CROSSING LTD 200001 40 PUT	100.000	10/1/1999	\$143,979.02	1/24/2000	\$0.00 U	\$143,979.02		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
273 GLOBAL CROSSING LTD	2,000.000	10/4/1999	(\$80,922.69)	3/29/2000	\$86,126.00	\$5,203.31	177	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
274 GLOBAL CROSSING LTD 200004 25 PUT	100.000	10/4/1999	\$41,821.49	3/29/2000	(\$2,500.00)U	\$39,321.49		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
275 MCI WORLDCOM INC 200201 60 PUT	200.000	10/4/1999	\$161,184.29	3/29/2000	(\$158,671.88)U	\$2,512.42		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
276 GLOBAL CROSSING LTD 199910 40 PUT	20.000	10/5/1999	\$28,934.13 U	10/1/1999	(\$28,701.44)U	\$232.69		(Short open)COMM CALC (BONDS @ 2.5%) OPEN; COM
277 MCI WORLDCOM INC 200201 60 PUT	100.000	10/6/1999	\$91,736.83	3/29/2000	(\$79,335.94)U	\$12,400.89		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
278 PSINET INC 200004 40 PUT	100.000	10/6/1999	\$115,408.34	3/29/2000	(\$5,000.00)U	\$110,408.34		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
279 PSINET INC 200004 35 PUT	100.000	10/8/1999	\$83,638.68	3/29/2000	(\$5,000.00)U	\$78,638.68		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
280 GLOBAL CROSSING LTD 200101 40 PUT	100.000	10/15/1999	\$106,687.26	3/29/2000	(\$76,250.00)U	\$30,437.26		(Short open)COMM CALC (BONDS @ 2.5%) OPEN; VALUE
281 PSINET INC 200004 40 PUT	50.000	10/18/1999	\$55,101.18	3/29/2000	(\$2,500.00)	\$52,601.18		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
282 GLOBAL CROSSING LTD	3,000.000	10/19/1999	(\$111,899.65)	3/29/2000	\$129,189.00	\$17,289.35	162	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
283 PSINET INC 200004 30 PUT	100.000	10/21/1999	\$51,869.03	3/29/2000	(\$1,250.00)U	\$50,619.03		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
284 INTL BUSINESS MACH 200201 80 PUT	100.000	11/5/1999	\$96,720.32	3/29/2000	(\$53,125.00)U	\$43,595.32		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
285 FEDERAL HOME LN MTG 200201 50 PUT	200.000	11/8/1999	\$133,775.18	3/29/2000	(\$198,750.00)U	(\$64,974.82)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
286 INTL BUSINESS MACH 200201 80 PUT	200.000	11/8/1999	\$191,085.14	3/29/2000	(\$106,250.00)U	\$84,835.14		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
287 CITIGROUP INC 200201 45 PUT	200.000	11/9/1999	\$106,366.05	3/29/2000	(\$78,750.00)U	\$27,616.05		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
288 MSH ENTERTAINMENT CORP	5,000.000	11/9/1999	(\$1,319.50) U	3/30/2000	\$1,150.00	(\$169.50)	142	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
289 CISCO SYS INC 200201 55 PUT	200.000	11/10/1999	\$66,819.46	3/29/2000	(\$25,000.00)U	\$41,819.46		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
290 GLOBAL CROSSING LTD 200201 30 PUT	200.000	11/12/1999	\$141,250.39	3/29/2000	(\$96,250.00)U	\$45,000.39		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
291 GLOBAL CROSSING LTD	2,000.000	11/18/1999	(\$86,175.00) U	3/29/2000	\$86,126.00	(\$49.00)	132	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
292 GLOBAL CROSSING LTD	1,975.000	11/18/1999	(\$85,224.21) U	3/29/2000	\$85,049.43	(\$174.79)	132	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
293 GLOBAL CROSSING LTD	1,025.000	11/18/1999	(\$44,230.29) U	3/30/2000	\$44,395.82	\$165.53	133	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
294 AT&T CORP 200201 50 PUT	200.000	11/23/1999	\$146,233.86	3/29/2000	(\$112,500.00)U	\$33,733.86		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
295 NET PERCEPTIONS INC	100.000	11/24/1999	(\$3,091.99) U	3/30/2000	\$3,750.00	\$658.01	127	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
296 NEW ERA OF NETWORKS INC	100.000	11/24/1999	(\$4,759.94)	3/30/2000	\$3,900.00	(\$859.94)	127	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
297 24/7 MEDIA INC 199912 55 CALL	10.000	12/1/1999	(\$3,602.05) U	12/21/1999	\$5,532.73	\$1,930.68		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
298 MCI WORLDCOM INC 200201 60 PUT	100.000	12/1/1999	\$53,114.90	3/29/2000	(\$79,335.94)U	(\$26,221.04)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
299 MCI WORLDCOM INC 200201 65 PUT	300.000	12/1/1999	\$200,730.89	3/29/2000	(\$292,500.00)U	(\$91,769.11)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
300 MCI WORLDCOM INC 200201 60 PUT	200.000	12/2/1999	\$131,357.50	3/29/2000	(\$158,671.88)U	(\$27,314.38)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
301 MCI WORLDCOM INC 200201 60 PUT	200.000	12/2/1999	\$126,362.15	3/29/2000	(\$158,671.88)U	(\$32,309.73)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
302 NEW ERA OF NETWORKS INC 200007 35 PUT	5.000	12/2/1999	\$2,747.44	2/24/2000	(\$731.84)	\$2,015.60		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
303 INTL BUSINESS MACH 200201 90 PUT	100.000	12/3/1999	\$102,949.66	3/29/2000	(\$78,125.00)U	\$24,824.66		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
304 MCI WORLDCOM INC	4,640.449	12/6/1999	(\$237,447.36)	3/29/2000	\$192,578.63	(\$44,868.72)	114	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
305 MCI WORLDCOM INC	3,000.000	12/6/1999	(\$153,507.14)	3/30/2000	\$127,689.00	(\$25,818.14)	115	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
306 MCI WORLDCOM INC 200201 60 PUT	300.000	12/8/1999	\$193,255.68	3/29/2000	(\$238,007.81)U	(\$44,752.13)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
307 MCI WORLDCOM INC 200201 65 PUT	180.000	12/8/1999	\$142,823.29	3/29/2000	(\$175,500.00)U	(\$32,676.71)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
308 MCI WORLDCOM INC 200201 65 PUT	20.000	12/8/1999	\$15,869.25	3/30/2000	(\$19,500.00)U	(\$3,630.75)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
309 KROGER COMPANY	10,000.000	12/9/1999	(\$155,754.50) U	3/29/2000	\$176,880.00	\$21,125.50	111	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
310 BANYAN SYSTEMS INC	200.000	12/13/1999	(\$3,412.23) U	1/25/2000	\$4,193.78 U	\$781.55	43	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
311 FEDERAL HOME LN MTG 200101 45 PUT	300.000	12/13/1999	\$125,978.76	3/29/2000	(\$166,875.00)U	(\$40,896.24)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
312 LEVEL 3 COMMUNICATIONS 200001 65 PUT	10.000	12/13/1999	(\$1,463.76)	1/24/2000	\$0.00	(\$1,463.76)		COMM CALC (Bonds @ 2.5%) OPEN; EXPIRED



# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
313 MCI WORLDCOM INC 200201 60 PUT	300.000	12/13/1999	\$200,730.89	3/29/2000	(\$238,007.81)U	(\$37,276.92)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
314 METAL MANAGEMENT INC	1,000.000	12/13/1999	(\$2,069.50)	U 2/24/2000	\$2,432.91 U	\$363.41	73	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
315 TECHNOLOGY SOLUTIONS CO	7,600.000	12/13/1999	(\$145,687.00)	U 3/29/2000	\$129,675.00	(\$16,012.00)	107	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
316 INTL BUSINESS MACH	3,000.000	12/14/1999	(\$342,004.50)	U 3/29/2000	\$358,500.00	\$16,495.50	106	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
317 TECHNOLOGY SOLUTIONS CO	2,400.000	12/14/1999	(\$46,714.50)	U 3/29/2000	\$40,950.00	(\$5,764.50)	106	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
318 MCI WORLDCOM INC 200101 80 CALL	200.000	12/16/1999	(\$264,137.44)	U 3/29/2000	\$88,750.00	(\$175,387.44)		COMM CALC (Bonds @ 2.5%) OPEN; VALUED PER STM
319 MCI WORLDCOM INC 200201 80 PUT	200.000	12/16/1999	\$320,655.51	3/29/2000	(\$325,000.00)U	(\$4,344.49)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
320 METAL MANAGEMENT INC	10,000.000	12/21/1999	(\$38,837.91)	U 3/29/2000	\$22,500.00	(\$16,337.91)	99	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
321 NEW ERA OF NETWORKS INC 200002 50 CALL	5.000	12/23/1999	(\$3,237.16)	U 1/20/2000	\$8,020.11 U	\$4,782.95		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
322 NEW ERA OF NETWORKS INC 200002 50 CALL	5.000	12/23/1999	(\$3,237.16)	U 2/15/2000	\$5,041.95 U	\$1,804.79		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
323 COMPAQ COMPUTER CORP	5,000.000	12/27/1999	(\$138,254.50)	U 3/29/2000	\$140,315.00	\$2,060.50	93	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
324 COMPAQ COMPUTER CORP	5,000.000	12/28/1999	(\$142,004.50)	3/29/2000	\$140,315.00	(\$1,689.50)	92	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
325 NEW ERA OF NETWORKS INC 200007 40 PUT	5.000	12/31/1999	\$4,002.46	3/30/2000	(\$4,750.00)U	(\$747.54)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
326 AMAZON.COM INC	1,000.000	1/3/2000	(\$84,842.00)	3/29/2000	\$66,250.00	(\$18,592.00)	86	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
327 WIND RIVER SYSTEM INC	200.000	1/3/2000	(\$7,483.92)	U 3/30/2000	\$8,375.00	\$891.08	87	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
328 BANYAN SYSTEMS INC 200007 17.5 PUT	10.000	1/4/2000	\$4,504.43	U 1/21/2000	(\$3,844.94)U	\$659.49		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
329 COMPAQ COMPUTER CORP 200201 30 PUT	200.000	1/4/2000	\$146,233.86	3/29/2000	(\$153,750.00)U	(\$7,516.14)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
330 GLOBALSTAR TELECOMM 200201 30 PUT	200.000	1/4/2000	\$216,002.53	3/29/2000	(\$387,500.00)U	(\$171,497.47)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
331 GLOBALSTAR TELECOMM 200201 35 PUT	200.000	1/4/2000	\$275,804.23	3/29/2000	(\$472,500.00)U	(\$196,695.77)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
332 MEDAREX INC	100.000	1/4/2000	(\$3,736.39)	U 3/30/2000	\$4,425.00	\$688.61	86	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
333 NET PERCEPTIONS INC 200008 25 PUT	10.000	1/4/2000	\$6,977.76	3/30/2000	(\$3,437.50)	\$3,540.26		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
334 NEW ERA OF NETWORKS INC	100.000	1/4/2000	(\$4,621.02)	U 3/30/2000	\$3,900.00	(\$721.02)	86	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
335 NOVELL INC 200201 30 PUT	200.000	1/5/2000	\$138,758.65	3/29/2000	(\$170,000.00)U	(\$31,241.35)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
336 ACACIA RESH CORP	200.000	1/6/2000	(\$6,096.61)	U 3/30/2000	\$8,612.60	\$2,515.99	84	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
337 COMPAQ COMPUTER CORP	5,000.000	1/6/2000	(\$151,067.00)	3/29/2000	\$140,315.00	(\$10,752.00)	83	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
338 GLOBALSTAR TELECOMM 200201 25 PUT	200.000	1/6/2000	\$168,659.50	3/29/2000	(\$305,000.00)U	(\$136,340.50)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
339 GLOBALSTAR TELECOMM 200201 30 PUT	200.000	1/6/2000	\$226,592.40	3/29/2000	(\$387,500.00)U	(\$160,907.60)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
340 MEDAREX INC	100.000	1/6/2000	(\$3,436.06) U	3/30/2000	\$4,425.00	\$988.94	84	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
341 TIER TECHNOLOGIES INC CL B	500.000	1/6/2000	(\$4,353.13) U	3/8/2000	\$3,347.89 U	(\$1,005.24)	62	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
342 WIND RIVER SYSTEM INC 200002 40 CALL	10.000	1/6/2000	(\$1,527.03) U	2/15/2000	\$2,786.10 U	\$1,259.07		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
343 GLOBALSTAR TELECOMM 200201 25 PUT	400.000	1/7/2000	\$348,045.15	3/29/2000	(\$610,000.00)U	(\$261,954.85)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
344 NOVELL INC	5,000.000	1/7/2000	(\$174,504.50)	3/29/2000	\$144,375.00	(\$30,129.50)	82	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
345 WIND RIVER SYSTEM INC	100.000	1/7/2000	(\$3,539.98) U	3/30/2000	\$4,187.50	\$647.52	83	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
346 GLOBALSTAR TELECOMM	200.000	1/10/2000	(\$8,375.00)	3/29/2000	\$2,775.00	(\$5,600.00)	79	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
347 GLOBALSTAR TELECOMM	2,100.000	1/10/2000	(\$88,462.50)	3/29/2000	\$29,137.50	(\$59,325.00)	79	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
348 GLOBALSTAR TELECOMM	2,700.000	1/10/2000	(\$105,979.50)	3/29/2000	\$37,462.50	(\$68,517.00)	79	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
349 WIND RIVER SYSTEM INC	100.000	1/10/2000	(\$3,166.98) U	3/30/2000	\$4,187.50	\$1,020.52	80	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
350 CYCOMM INTL INC NEW	2,000.000	1/12/2000	(\$2,450.75) U	3/30/2000	\$4,750.00	\$2,299.25	78	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
351 MCI WORLDCOM INC 200201 40 150 SHS PUT	100.000	1/12/2000	\$80,524.02	3/29/2000	(\$79,335.94)U	\$1,188.08		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
352 MCI WORLDCOM INC 200201 40 150 SHS PUT	20.000	1/12/2000	\$21,822.30	3/30/2000	(\$15,875.00)	\$5,947.30		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
353 PARADYNE NETWORKS INC	200.000	1/14/2000	(\$5,627.66) U	3/30/2000	\$5,575.00	(\$52.66)	76	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
354 PSINET INC 200007 100 CALL	5.000	1/14/2000	\$3,926.55	3/30/2000	(\$4,062.50)U	(\$135.95)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
355 ACACIA RESH CORP	100.000	1/25/2000	(\$5,153.69) U	3/30/2000	\$4,306.30	(\$847.39)	65	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
356 LIGHTPATH TECHNOLOGIES INC CL A	3,000.000	1/26/2000	(\$84,934.49) U	3/29/2000	\$140,439.00	\$55,504.51	63	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
357 LUCENT TECHNOLOGIES INC 200201 45 PUT	200.000	1/26/2000	\$141,250.39	3/29/2000	(\$101,250.00)U	\$40,000.39		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
358 MAGAININ PHARMACEUTICALS INC	1,000.000	1/26/2000	(\$3,154.50) U	3/30/2000	\$4,375.00	\$1,220.50	64	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
359 PHEONIX INTL LIFE SCIENCES	500.000	1/27/2000	(\$5,172.05) U	3/7/2000	\$5,566.94 U	\$394.89	40	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
360 PHEONIX INTL LIFE SCIENCES	500.000	1/27/2000	(\$4,761.30) U	3/7/2000	\$5,566.94 U	\$805.64	40	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
361 QUALCOMM INC 200201 100 PUT	100.000	1/27/2000	\$218,708.85	3/29/2000	(\$160,000.00)U	\$58,708.85		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
362 ACACIA RESH CORP	100.000	1/28/2000	(\$4,984.94) U	3/30/2000	\$4,306.30	(\$678.64)	62	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
363 WIND RIVER SYSTEM INC	100.000	1/28/2000	(\$3,454.49) U	3/30/2000	\$4,187.50	\$733.01	62	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
364 CYCOMM INTL INC NEW	2,000.000	1/31/2000	(\$3,848.25) U	3/30/2000	\$4,750.00	\$901.75	59	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA

# Open / Close Analysis (by Open)

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365 NEW ERA OF NETWORKS INC 200007 45 PUT	5.000	1/31/2000	\$3,988.61	3/30/2000	(\$6,250.00)	(\$2,261.39)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
366 SYMYX TECHNOLOGIES INC	100.000	1/31/2000	(\$4,333.55)	U 3/29/2000	\$4,050.00	(\$283.55)	58	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
367 ECI TELECOM LTD ISRAEL	200.000	2/1/2000	(\$5,867.06)	U 3/30/2000	\$6,212.60	\$345.54	58	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
368 ACACIA RESH CORP	100.000	2/2/2000	(\$4,765.48)	U 3/30/2000	\$4,306.30	(\$459.18)	57	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
369 MEDAREX INC	100.000	2/2/2000	(\$5,827.98)	U 3/30/2000	\$4,425.00	(\$1,402.98)	57	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
370 WALT DISNEY CO GO.COM	5,000.000	2/11/2000	(\$146,067.00)	U 3/29/2000	\$104,065.00	(\$42,002.00)	47	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
371 WALT DISNEY CO GO.COM	5,000.000	2/14/2000	(\$142,317.00)	U 3/29/2000	\$104,065.00	(\$38,252.00)	44	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
372 GLOBAL CROSSING LTD 200201 40 PUT	200.000	2/15/2000	\$146,233.86	3/29/2000	(\$192,500.00)U	(\$46,266.14)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
373 QUALCOMM INC 200201 80 PUT	100.000	2/15/2000	\$129,033.06	3/29/2000	(\$90,000.00)U	\$39,033.06		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
374 GLOBAL CROSSING LTD 200201 40 PUT	100.000	2/16/2000	\$68,252.81	3/29/2000	(\$96,250.00)U	(\$27,997.19)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
375 GLOBAL CROSSING LTD 200201 30 PUT	200.000	2/22/2000	\$80,594.44	3/29/2000	(\$96,250.00)U	(\$15,655.56)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
376 GLOBAL CROSSING LTD 200201 35 PUT	200.000	2/22/2000	\$118,824.75	3/29/2000	(\$140,000.00)U	(\$21,175.25)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
377 GLOBAL CROSSING LTD 200201 30 PUT	200.000	2/23/2000	\$88,923.89	3/29/2000	(\$96,250.00)U	(\$7,326.11)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
378 BROADWING INC	100.000	2/24/2000	(\$3,154.49)	U 3/30/2000	\$3,362.50	\$208.01	35	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
379 DATA TRANSMISSION NETWORK	200.000	2/25/2000	(\$4,488.89)	U 3/9/2000	\$5,650.68	\$1,161.79	13	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
380 GLOBALSTAR TELECOMM	1,000.000	2/25/2000	(\$25,529.50)	U 3/29/2000	\$13,875.00	(\$11,654.50)	33	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
381 GLOBALSTAR TELECOMM	4,000.000	2/25/2000	(\$102,850.00)	U 3/29/2000	\$55,500.00	(\$47,350.00)	33	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
382 NEXELL THERAPEUTICS INC	500.000	2/28/2000	(\$3,337.48)	U 3/30/2000	\$2,094.00	(\$1,243.48)	31	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
383 QUALCOMM INC 200201 75 PUT	100.000	2/28/2000	\$96,782.10	3/29/2000	(\$73,750.00)U	\$23,032.10		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
384 QUALCOMM INC 200201 75 PUT	100.000	2/28/2000	\$99,286.52	3/29/2000	(\$73,750.00)U	\$25,536.52		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
385 BROADWING INC	100.000	3/1/2000	(\$3,067.56)	U 3/30/2000	\$3,362.50	\$294.94	29	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
386 TEKELEC	2,000.000	3/2/2000	(\$101,024.57)	U 3/29/2000	\$88,876.00	(\$12,148.57)	27	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
387 CYCOMM INTL INC NEW	1,000.000	3/6/2000	(\$1,929.50)	U 3/30/2000	\$2,375.00	\$445.50	24	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
388 E DIGITAL CORP	200.000	3/6/2000	(\$2,856.95)	U 3/30/2000	\$2,031.20	(\$825.75)	24	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
389 IRVINE SENSORS CORP	200.000	3/6/2000	(\$2,060.74)	U 3/30/2000	\$1,651.60	(\$409.14)	24	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
390 NET PERCEPTIONS INC 200008 35 PUT	5.000	3/6/2000	\$3,057.72	3/30/2000	(\$4,375.00)U	(\$1,317.28)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE

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391 NET PERCEPTIONS INC 200008 65 CALL	5.000	3/6/2000	\$4,112.73	3/30/2000	(\$1,594.75)U	\$2,517.98		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
392 NET PERCEPTIONS INC	100.000	3/7/2000	(\$4,559.94) U	3/30/2000	\$3,750.00	(\$809.94)	23	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
393 GLOBALSTAR TELECOMM 200201 15 PUT	400.000	3/8/2000	\$217,851.84	3/29/2000	(\$310,000.00)U	(\$92,148.16)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
394 NET PERCEPTIONS INC	300.000	3/8/2000	(\$14,246.88) U	3/30/2000	\$11,250.00	(\$2,996.88)	22	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
395 E DIGITAL CORP	100.000	3/9/2000	(\$1,529.49) U	3/30/2000	\$1,015.60	(\$513.89)	21	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
396 LIGHTPATH TECHNOLOGIES INC CL A	2,000.000	3/9/2000	(\$119,637.21) U	3/29/2000	\$93,626.00	(\$26,011.21)	20	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
397 GLOBAL CROSSING LTD 200201 35 PUT	50.000	3/10/2000	\$25,196.84	3/30/2000	(\$35,000.00)U	(\$9,803.16)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
398 GLOBALSTAR TELECOMM 200201 10 PUT	5.000	3/10/2000	\$1,571.07	3/30/2000	(\$2,218.75)	(\$647.68)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
399 GLOBALSTAR TELECOMM 200201 40 CALL	5.000	3/10/2000	\$2,933.62	3/30/2000	(\$4,062.50)	(\$1,128.88)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
400 FEDERAL HOME LN MTG 200201 40 PUT	200.000	3/14/2000	\$138,758.65	3/29/2000	(\$101,250.00)U	\$37,508.65		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; VALUE
401 GLOBALSTAR TELECOMM	200.000	3/14/2000	(\$3,701.71) U	3/29/2000	\$2,775.00	(\$926.71)	15	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
402 GLOBALSTAR TELECOMM	300.000	3/14/2000	(\$5,508.30) U	3/29/2000	\$4,162.50	(\$1,345.80)	15	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
403 GLOBALSTAR TELECOMM	500.000	3/15/2000	(\$8,142.00) U	3/29/2000	\$6,937.50	(\$1,204.50)	14	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
404 GLOBALSTAR TELECOMM	4,000.000	3/15/2000	(\$65,600.00) U	3/29/2000	\$55,500.00	(\$10,100.00)	14	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
405 GLOBALSTAR TELECOMM	500.000	3/15/2000	(\$8,200.00) U	3/30/2000	\$6,875.00	(\$1,325.00)	15	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
406 EAGLE WIRELESS INTL INC	300.000	3/16/2000	(\$5,183.78)	3/30/2000	\$3,543.90	(\$1,639.88)	14	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
407 IRVINE SENSORS CORP	200.000	3/16/2000	(\$2,441.99) U	3/30/2000	\$1,651.60	(\$790.39)	14	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
408 E DIGITAL CORP	200.000	3/17/2000	(\$2,579.49) U	3/30/2000	\$2,031.20	(\$548.29)	13	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
409 MEDAREX INC	100.000	3/17/2000	(\$10,349.18) U	3/30/2000	\$4,425.00	(\$5,924.18)	13	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
410 MEDAREX INC	100.000	3/17/2000	(\$9,766.19) U	3/30/2000	\$4,425.00	(\$5,341.19)	13	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
411 SYMYX TECHNOLOGIES INC	2,000.000	3/17/2000	(\$130,304.50) U	3/29/2000	\$81,000.00	(\$49,304.50)	12	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
412 SYMYX TECHNOLOGIES INC	1,900.000	3/17/2000	(\$125,210.00) U	3/29/2000	\$76,950.00	(\$48,260.00)	12	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
413 SYMYX TECHNOLOGIES INC	100.000	3/17/2000	(\$6,590.00) U	3/30/2000	\$4,387.50	(\$2,202.50)	13	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
414 TEKELEC	3,000.000	3/17/2000	(\$157,954.50) U	3/29/2000	\$133,314.00	(\$24,640.50)	12	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
415 IRVINE SENSORS CORP	100.000	3/20/2000	(\$1,004.49) U	3/30/2000	\$825.80	(\$178.69)	10	COMM CALC (Bonds @ 2.5%); VALUED PER STMT TRA
416 ALLTEL CORP	705.000	5/5/2000	(\$45,781.28)	4/30/2002	\$34,897.50	(\$10,883.78)	725	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR

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117 3COM CORP	8,050.000	5/5/2000	(\$347,156.25)	5/31/2000	\$309,608.24 U	(\$37,548.01)	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
118 ALLSTATE CORP	5,054.000	5/5/2000	(\$115,610.25)	6/1/2000	\$135,627.69 U	\$20,017.44	27	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
119 AMER ELECTRIC POWER CO	2,000.000	5/5/2000	(\$69,250.00)	2/8/2002	\$82,132.81 U	\$12,882.81	644	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
120 AMER EXPRESS CO	5,000.000	5/5/2000	(\$730,625.00)	11/16/2000	\$286,735.91 U	(\$443,889.09)	195	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
121 AMEREN CORP	1,000.000	5/5/2000	(\$35,500.00)	4/30/2002	\$41,760.00	\$6,260.00	725	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
122 AMERICA ONLINE INC DEL	4,000.000	5/5/2000	(\$227,500.00)	5/31/2000	\$198,338.87 U	(\$29,161.13)	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
123 AMERICA ONLINE INC DEL	10,000.000	5/5/2000	(\$568,750.00)	6/1/2000	\$489,485.15 U	(\$79,264.85)	27	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
124 AMERICA ONLINE INC DEL	10,000.000	5/5/2000	(\$568,750.00)	11/15/2000	\$510,336.46 U	(\$58,413.54)	194	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
125 AMERICA ONLINE INC DEL 200101 50 PUT	200.000	5/5/2000	\$118,750.00 U	5/26/2000	(\$153,777.57)	(\$35,027.57)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
126 AMERICA ONLINE INC DEL 200101 55 PUT	200.000	5/5/2000	\$167,500.00 U	5/23/2000	(\$206,449.33)	(\$38,949.33)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
127 AMERICA ONLINE INC DEL 200201 27.5 PUT	200.000	5/5/2000	\$37,500.00 U	5/23/2000	(\$49,692.24)	(\$12,192.24)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
128 AT&T CORP 200201 50 PUT	22.000	5/5/2000	\$31,350.00	1/3/2001	\$0.00	\$31,350.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
129 AT&T CORP 200201 50 PUT	25.000	5/5/2000	\$35,625.00	2/6/2001	\$0.00	\$35,625.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
130 AT&T CORP 200201 50 PUT	25.000	5/5/2000	\$35,625.00	2/20/2001	\$0.00	\$35,625.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
131 AT&T CORP 200201 50 PUT	9.000	5/5/2000	\$12,825.00	4/4/2001	\$0.00	\$12,825.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
132 AT&T CORP 200201 50 PUT	12.000	5/5/2000	\$17,100.00	5/29/2001	\$0.00	\$17,100.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
133 AT&T CORP 200201 50 PUT	56.000	5/5/2000	\$79,800.00	5/30/2001	\$0.00	\$79,800.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
134 AT&T CORP 200201 50 PUT	20.000	5/5/2000	\$28,500.00	6/1/2001	\$0.00	\$28,500.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
135 AT&T CORP 200201 50 PUT	10.000	5/5/2000	\$14,250.00	6/6/2001	\$0.00	\$14,250.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
136 AT&T CORP 200201 50 PUT	8.000	5/5/2000	\$11,400.00	6/7/2001	\$0.00	\$11,400.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
137 AT&T CORP 200201 50 PUT	9.000	5/5/2000	\$12,825.00	6/13/2001	\$0.00	\$12,825.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
138 AT&T CORP 200201 50 PUT	4.000	5/5/2000	\$5,700.00	7/30/2001	\$0.00	\$5,700.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
139 BANK ONE CORP	10,000.000	5/5/2000	(\$290,630.00)	10/17/2000	\$316,484.91 U	\$25,854.91	165	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
140 BANK ONE CORP	5,000.000	5/5/2000	(\$145,315.00)	10/18/2000	\$163,615.02 U	\$18,300.02	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
141 BANK ONE CORP 200201 30 PUT	200.000	5/5/2000	\$135,000.00 U	5/30/2000	(\$96,089.46)	\$38,910.54		(Short open)VALUED PER STMT TRANS; COMM CALC (B
142 BOSTON PROPERTIES INC	4,000.000	5/5/2000	(\$144,500.00)	10/17/2000	\$158,220.44 U	\$13,720.44	165	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5

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		Date	Amount	Date	Amount			
143 CBS CORP	2,170.000	5/5/2000	(\$116,874.00)	10/17/2000	\$101,305.22 U	(\$15,568.78)	165	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
144 CHASE MANHATTAN CORP NEW	5,000.000	5/5/2000	(\$240,626.67)	10/16/2000	\$199,238.83 U	(\$41,387.84)	164	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
145 CHASE MANHATTAN CORP NEW	5,000.000	5/5/2000	(\$240,626.67)	11/16/2000	\$208,301.03 U	(\$32,325.64)	195	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
146 CHASE MANHATTAN CORP NEW	2,000.000	5/5/2000	(\$96,250.67)	8/24/2001	\$81,587.43 U	(\$14,663.24)	476	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
147 CHASE MANHATTAN CORP NEW	3,000.000	5/5/2000	(\$144,376.00)	4/30/2002	\$103,743.65 U	(\$40,632.35)	725	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
148 CINERGY CORP	750.000	5/5/2000	(\$19,500.00)	4/30/2002	\$26,647.50	\$7,147.50	725	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
149 CISCO SYS INC	5,000.000	5/5/2000	(\$338,750.00)	5/31/2000	\$270,923.94 U	(\$67,826.06)	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
150 CISCO SYS INC 200201 50 PUT	100.000	5/5/2000	\$82,500.00 U	5/23/2000	(\$125,866.40)	(\$43,366.40)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
151 CISCO SYS INC 200201 50 PUT	100.000	5/5/2000	\$82,500.00 U	10/16/2000	(\$99,530.53)	(\$17,030.53)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
152 CISCO SYS INC 200201 60 PUT	100.000	5/5/2000	\$121,250.00 U	5/30/2000	(\$165,997.26)	(\$44,747.26)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
153 COLONIAL PROPERTIES TR	4,000.000	5/5/2000	(\$101,752.00)	10/17/2000	\$97,737.79 U	(\$4,014.21)	165	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
154 COMERICA INC	5,000.000	5/5/2000	(\$202,500.00)	11/24/2000	\$242,612.38 U	\$40,112.38	203	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
155 COMPAQ COMPUTER CORP 200101 25 PUT	100.000	5/5/2000	\$32,500.00 U	5/30/2000	(\$35,594.86)	(\$3,094.86)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
156 COMPAQ COMPUTER CORP 200201 30 PUT	200.000	5/5/2000	\$156,250.00 U	5/30/2000	(\$163,810.29)	(\$7,560.29)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
157 CONS EDISON CO	1,000.000	5/5/2000	(\$34,000.00)	2/8/2002	\$40,467.72 U	\$6,467.72	644	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
158 CORUS BANKSHARES INC	2,700.000	5/5/2000	(\$67,670.10)	5/24/2000	\$67,091.20 U	(\$578.90)	19	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
159 CORUS BANKSHARES INC	200.000	5/5/2000	(\$5,012.60)	5/24/2000	\$4,995.05 U	(\$17.55)	19	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
160 CORUS BANKSHARES INC	100.000	5/5/2000	(\$2,506.30)	5/24/2000	\$2,510.03 U	\$3.73	19	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
161 E.SPIRE COMMUNICATIONS INC	18,700.000	5/5/2000	(\$92,340.60)	5/31/2000	\$71,057.52 U	(\$21,283.08)	26	VALUED PER STMT TRANS;
162 E.SPIRE COMMUNICATIONS INC	16,500.000	5/5/2000	(\$81,477.00)	5/31/2000	\$60,630.80 U	(\$20,846.20)	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
163 E.SPIRE COMMUNICATIONS INC	12,500.000	5/5/2000	(\$61,725.00)	9/28/2000	\$38,871.93 U	(\$22,853.07)	146	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
164 E.SPIRE COMMUNICATIONS INC	17,500.000	5/5/2000	(\$86,415.00)	9/29/2000	\$50,376.39 U	(\$36,038.61)	147	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
165 E.SPIRE COMMUNICATIONS INC	1,800.000	5/5/2000	(\$8,888.40)	9/29/2000	\$5,064.58 U	(\$3,823.82)	147	VALUED PER STMT TRANS;
166 E.SPIRE COMMUNICATIONS INC	700.000	5/5/2000	(\$3,456.60)	9/29/2000	\$1,993.18 U	(\$1,463.42)	147	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
167 E.SPIRE COMMUNICATIONS INC	5,100.000	5/5/2000	(\$25,183.80)	10/16/2000	\$11,435.04 U	(\$13,748.76)	164	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
168 E.SPIRE COMMUNICATIONS INC	44,000.000	5/5/2000	(\$217,272.00)	10/17/2000	\$102,921.21 U	(\$114,350.79)	165	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
169 E.SPIRE COMMUNICATIONS INC	18,000.000	5/5/2000	(\$88,884.00)	10/18/2000	\$39,344.85 U	(\$49,539.15)	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
170 E.SPIRE COMMUNICATIONS INC	7,400.000	5/5/2000	(\$36,541.20)	10/18/2000	\$15,245.60 U	(\$21,295.60)	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
171 E.SPIRE COMMUNICATIONS INC	2,400.000	5/5/2000	(\$11,851.20)	10/18/2000	\$5,170.97 U	(\$6,680.23)	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
172 E.SPIRE COMMUNICATIONS INC	2,000.000	5/5/2000	(\$9,876.00)	10/18/2000	\$4,434.49 U	(\$5,441.51)	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
173 E.SPIRE COMMUNICATIONS INC	200.000	5/5/2000	(\$987.60)	10/18/2000	\$424.66 U	(\$562.94)	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
174 E.SPIRE COMMUNICATIONS INC	10,000.000	5/5/2000	(\$49,380.00)	11/7/2000	\$22,579.21 U	(\$26,800.79)	186	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
175 E.SPIRE COMMUNICATIONS INC	5,200.000	5/5/2000	(\$25,677.60)	11/7/2000	\$12,227.65 U	(\$13,449.95)	186	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
176 E.SPIRE COMMUNICATIONS INC	4,800.000	5/5/2000	(\$23,702.40)	11/7/2000	\$11,133.50 U	(\$12,568.90)	186	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
177 E.SPIRE COMMUNICATIONS INC	4,200.000	5/5/2000	(\$20,739.60)	11/7/2000	\$8,827.04 U	(\$11,912.56)	186	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
178 E.SPIRE COMMUNICATIONS INC	3,300.000	5/5/2000	(\$16,295.40)	11/7/2000	\$7,348.02 U	(\$8,947.38)	186	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
179 E.SPIRE COMMUNICATIONS INC	2,500.000	5/5/2000	(\$12,345.00)	11/7/2000	\$5,488.56 U	(\$6,856.44)	186	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
180 E.SPIRE COMMUNICATIONS INC	24,100.000	5/5/2000	(\$119,005.80)	11/14/2000	\$41,450.56 U	(\$77,555.24)	193	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
181 E.SPIRE COMMUNICATIONS INC	17,100.000	5/5/2000	(\$84,439.80)	11/14/2000	\$31,543.90 U	(\$52,895.90)	193	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
182 E.SPIRE COMMUNICATIONS INC	3,800.000	5/5/2000	(\$18,764.40)	11/14/2000	\$6,654.53 U	(\$12,109.87)	193	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
183 E.SPIRE COMMUNICATIONS INC	25,000.000	5/5/2000	(\$123,450.00)	11/15/2000	\$37,529.95 U	(\$85,920.05)	194	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
184 E.SPIRE COMMUNICATIONS INC	20,000.000	5/5/2000	(\$98,760.00)	11/15/2000	\$30,648.95 U	(\$68,111.05)	194	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
185 E.SPIRE COMMUNICATIONS INC	17,000.000	5/5/2000	(\$83,946.00)	11/15/2000	\$27,645.29 U	(\$56,300.71)	194	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
186 E.SPIRE COMMUNICATIONS INC	5,000.000	5/5/2000	(\$24,690.00)	11/15/2000	\$8,287.19 U	(\$16,402.81)	194	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
187 E.SPIRE COMMUNICATIONS INC	2,800.000	5/5/2000	(\$13,826.40)	11/15/2000	\$4,680.09 U	(\$9,146.31)	194	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
188 E.SPIRE COMMUNICATIONS INC	200.000	5/5/2000	(\$987.60)	11/15/2000	\$337.74 U	(\$649.86)	194	VALUED PER STMT TRANS;
189 E.SPIRE COMMUNICATIONS INC	25,000.000	5/5/2000	(\$123,450.00)	4/30/2002	\$25.00	(\$123,425.00)	725	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
190 EASTMAN KODAK CO	1,000.000	5/5/2000	(\$54,563.00)	10/2/2000	\$40,831.63 U	(\$13,731.37)	150	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
191 ENZON INC	4,200.000	5/5/2000	(\$150,150.00)	11/7/2000	\$310,848.09 U	\$160,698.09	186	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
192 ENZON INC	1,300.000	5/5/2000	(\$46,475.00)	11/7/2000	\$96,378.77 U	\$49,903.77	186	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
193 ENZON INC	1,000.000	5/5/2000	(\$35,750.00)	11/7/2000	\$74,262.52 U	\$38,512.52	186	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
194 ENZON INC	500.000	5/5/2000	(\$17,875.00)	11/7/2000	\$37,193.75 U	\$19,318.75	186	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5

# Open / Close Analysis (by Open)

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Account Name JOHN Q. INVESTOR

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Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
195 ENZON INC	3,700.000	5/5/2000	(\$132,275.00)	11/24/2000	\$210,610.91 U	\$78,335.91	203	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
196 ENZON INC	300.000	5/5/2000	(\$10,725.00)	11/24/2000	\$17,114.41 U	\$6,389.41	203	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
197 ENZON INC	3,000.000	5/5/2000	(\$107,250.00)	4/30/2002	\$111,720.00	\$4,470.00	725	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
198 ENZON INC 200011 35 PUT	200.000	5/5/2000	\$192,500.00 U	5/30/2000	(\$269,153.80)	(\$76,653.80)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
199 FEDERAL HOME LN MTG 200101 45 PUT	255.000	5/5/2000	\$176,906.25 U	5/23/2000	(\$140,723.58)	\$36,182.67		(Short open)VALUED PER STMT TRANS; COMM CALC (B
500 FEDERAL HOME LN MTG 200201 40 PUT	200.000	5/5/2000	\$123,750.00 U	5/26/2000	(\$106,122.18)	\$17,627.82		(Short open)VALUED PER STMT TRANS; COMM CALC (B
501 FEDERAL HOME LN MTG 200201 50 PUT	200.000	5/5/2000	\$235,000.00 U	5/26/2000	(\$205,195.24)	\$29,804.76		(Short open)VALUED PER STMT TRANS; COMM CALC (B
502 FIRST PACIFIC NETWORKS INC	5,000.000	5/5/2000	(\$20.00)	4/30/2002	\$0.00	(\$20.00)	725	VALUED PER STMT TRANS; NOT VALUED PER 04/30/02
503 FIRST UNION CORP	5,000.000	5/5/2000	(\$156,250.00)	11/15/2000	\$151,427.92 U	(\$4,822.08)	194	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
504 FIRSTENERGY CORP	524.000	5/5/2000	(\$12,543.51)	4/30/2002	\$17,449.20	\$4,905.69	725	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
505 GLOBAL CROSSING LTD	10,000.000	5/5/2000	(\$347,500.00)	5/24/2000	\$287,735.87 U	(\$59,764.13)	19	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
506 GLOBAL CROSSING LTD	20,250.000	5/5/2000	(\$703,687.50)	10/20/2000	\$466,742.39 U	(\$236,945.11)	168	VALUED PER STMT TRANS; COMM CALC (BONDS @ 2
507 GLOBAL CROSSING LTD 200101 40 PUT	25.000	5/5/2000	\$29,062.50	11/7/2000	\$0.00	\$29,062.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
508 GLOBAL CROSSING LTD 200101 40 PUT	24.000	5/5/2000	\$27,900.00	12/1/2000	\$0.00	\$27,900.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
509 GLOBAL CROSSING LTD 200101 40 PUT	19.000	5/5/2000	\$22,087.50	12/6/2000	\$0.00	\$22,087.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
510 GLOBAL CROSSING LTD 200101 40 PUT	1.000	5/5/2000	\$1,162.50	12/8/2000	\$0.00	\$1,162.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
511 GLOBAL CROSSING LTD 200101 40 PUT	16.000	5/5/2000	\$18,600.00	12/14/2000	\$0.00	\$18,600.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
512 GLOBAL CROSSING LTD 200101 40 PUT	6.000	5/5/2000	\$6,975.00	12/20/2000	\$0.00	\$6,975.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
513 GLOBAL CROSSING LTD 200101 40 PUT	3.000	5/5/2000	\$3,487.50	12/22/2000	\$0.00	\$3,487.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
514 GLOBAL CROSSING LTD 200101 40 PUT	6.000	5/5/2000	\$6,975.00	12/28/2000	\$0.00	\$6,975.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
515 GLOBAL CROSSING LTD 200201 30 PUT	300.000	5/5/2000	\$255,000.00 U	5/23/2000	(\$330,925.38)	(\$75,925.38)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
516 GLOBAL CROSSING LTD 200201 30 PUT	300.000	5/5/2000	\$255,000.00 U	9/28/2000	(\$253,171.84)	\$1,828.16		(Short open)VALUED PER STMT TRANS; COMM CALC (B
517 GLOBAL CROSSING LTD 200201 35 PUT	2.000	5/5/2000	\$2,275.00	11/29/2000	\$0.00	\$2,275.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
518 GLOBAL CROSSING LTD 200201 35 PUT	15.000	5/5/2000	\$17,062.50	12/5/2000	(\$34,889.52)	(\$17,827.02)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
519 GLOBAL CROSSING LTD 200201 35 PUT	23.000	5/5/2000	\$26,162.50	2/27/2001	\$0.00	\$26,162.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
520 GLOBAL CROSSING LTD 200201 35 PUT	22.000	5/5/2000	\$25,025.00	4/5/2001	\$0.00	\$25,025.00		(Short open)VALUED PER STMT TRANS; ASSIGNED



# Open / Close Analysis (by Open)

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Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
521 GLOBAL CROSSING LTD 200201 35 PUT	10.000	5/5/2000	\$11,375.00	4/9/2001	\$0.00	\$11,375.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
522 GLOBAL CROSSING LTD 200201 35 PUT	13.000	5/5/2000	\$14,787.50	4/10/2001	\$0.00	\$14,787.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
523 GLOBAL CROSSING LTD 200201 35 PUT	12.000	5/5/2000	\$13,650.00	5/10/2001	\$0.00	\$13,650.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
524 GLOBAL CROSSING LTD 200201 35 PUT	16.000	5/5/2000	\$18,200.00	5/16/2001	\$0.00	\$18,200.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
525 GLOBAL CROSSING LTD 200201 35 PUT	9.000	5/5/2000	\$10,237.50	6/6/2001	\$0.00	\$10,237.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
526 GLOBAL CROSSING LTD 200201 35 PUT	16.000	5/5/2000	\$18,200.00	6/12/2001	\$0.00	\$18,200.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
527 GLOBAL CROSSING LTD 200201 35 PUT	25.000	5/5/2000	\$28,437.50	6/13/2001	\$0.00	\$28,437.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
528 GLOBAL CROSSING LTD 200201 35 PUT	3.000	5/5/2000	\$3,412.50	6/20/2001	(\$8,559.49)	(\$5,146.99)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
529 GLOBAL CROSSING LTD 200201 35 PUT	34.000	5/5/2000	\$38,675.00	6/20/2001	\$0.00	\$38,675.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
530 GLOBAL CROSSING LTD 200201 40 PUT	300.000	5/5/2000	\$438,750.00	U 9/28/2000	(\$452,572.07)	(\$13,822.07)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
531 GLOBALSTAR TELECOMM	7,123.000	5/5/2000	(\$71,678.75)	6/1/2000	\$66,237.15	U (\$5,441.60)	27	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
532 GLOBALSTAR TELECOMM	1,677.000	5/5/2000	(\$16,875.65)	6/1/2000	\$14,652.28	U (\$2,223.37)	27	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
533 GLOBALSTAR TELECOMM	1,200.000	5/5/2000	(\$12,075.60)	6/1/2000	\$10,709.64	U (\$1,365.96)	27	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
534 GLOBALSTAR TELECOMM	30,000.000	5/5/2000	(\$301,890.00)	10/2/2000	\$271,648.90	(\$30,241.10)	150	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
535 GLOBALSTAR TELECOMM	10,000.000	5/5/2000	(\$100,630.00)	11/15/2000	\$22,199.25	U (\$78,430.75)	194	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
536 GLOBALSTAR TELECOMM 200201 15 PUT	16.000	5/5/2000	\$15,400.00	12/13/2000	\$0.00	\$15,400.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
537 GLOBALSTAR TELECOMM 200201 15 PUT	2.000	5/5/2000	\$1,925.00	3/5/2001	\$0.00	\$1,925.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
538 GLOBALSTAR TELECOMM 200201 15 PUT	300.000	5/5/2000	\$288,750.00	3/7/2001	\$0.00	\$288,750.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
539 GLOBALSTAR TELECOMM 200201 15 PUT	24.000	5/5/2000	\$23,100.00	3/14/2001	\$0.00	\$23,100.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
540 GLOBALSTAR TELECOMM 200201 15 PUT	12.000	5/5/2000	\$11,550.00	3/27/2001	\$0.00	\$11,550.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
541 GLOBALSTAR TELECOMM 200201 15 PUT	25.000	5/5/2000	\$24,062.50	3/28/2001	\$0.00	\$24,062.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
542 GLOBALSTAR TELECOMM 200201 15 PUT	1.000	5/5/2000	\$962.50	4/4/2001	\$0.00	\$962.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
543 GLOBALSTAR TELECOMM 200201 15 PUT	5.000	5/5/2000	\$4,812.50	4/5/2001	\$0.00	\$4,812.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
544 GLOBALSTAR TELECOMM 200201 15 PUT	6.000	5/5/2000	\$5,775.00	4/6/2001	\$0.00	\$5,775.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
545 GLOBALSTAR TELECOMM 200201 15 PUT	9.000	5/5/2000	\$8,662.50	4/12/2001	\$0.00	\$8,662.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
546 GLOBALSTAR TELECOMM 200201 25 PUT	25.000	5/5/2000	\$45,625.00	11/6/2000	\$0.00	\$45,625.00		(Short open)VALUED PER STMT TRANS; ASSIGNED

# Open / Close Analysis (by Open)

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Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
547 GLOBALSTAR TELECOMM 200201 25 PUT	64.000	5/5/2000	\$116,800.00	11/13/2000	\$0.00	\$116,800.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
548 GLOBALSTAR TELECOMM 200201 25 PUT	236.000	5/5/2000	\$430,700.00	1/17/2001	\$0.00	\$430,700.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
549 GLOBALSTAR TELECOMM 200201 25 PUT	48.000	5/5/2000	\$87,600.00	1/23/2001	\$0.00	\$87,600.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
550 GLOBALSTAR TELECOMM 200201 25 PUT	149.000	5/5/2000	\$271,925.00	1/24/2001	\$0.00	\$271,925.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
551 GLOBALSTAR TELECOMM 200201 25 PUT	48.000	5/5/2000	\$87,600.00	1/31/2001	\$0.00	\$87,600.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
552 GLOBALSTAR TELECOMM 200201 25 PUT	8.000	5/5/2000	\$14,600.00	2/1/2001	\$0.00	\$14,600.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
553 GLOBALSTAR TELECOMM 200201 25 PUT	20.000	5/5/2000	\$36,500.00	2/7/2001	\$0.00	\$36,500.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
554 GLOBALSTAR TELECOMM 200201 25 PUT	2.000	5/5/2000	\$3,650.00	2/14/2001	\$0.00	\$3,650.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
555 GLOBALSTAR TELECOMM 200201 30 PUT	98.000	5/5/2000	\$222,950.00	11/2/2000	\$0.00	\$222,950.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
556 GLOBALSTAR TELECOMM 200201 30 PUT	25.000	5/5/2000	\$56,875.00	11/10/2000	\$0.00	\$56,875.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
557 GLOBALSTAR TELECOMM 200201 30 PUT	42.000	5/5/2000	\$95,550.00	11/13/2000	\$0.00	\$95,550.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
558 GLOBALSTAR TELECOMM 200201 30 PUT	167.000	5/5/2000	\$379,925.00	1/18/2001	\$0.00	\$379,925.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
559 GLOBALSTAR TELECOMM 200201 30 PUT	2.000	5/5/2000	\$4,550.00	1/22/2001	\$0.00	\$4,550.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
560 GLOBALSTAR TELECOMM 200201 30 PUT	18.000	5/5/2000	\$40,950.00	1/23/2001	\$0.00	\$40,950.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
561 GLOBALSTAR TELECOMM 200201 30 PUT	30.000	5/5/2000	\$68,250.00	1/24/2001	\$0.00	\$68,250.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
562 GLOBALSTAR TELECOMM 200201 30 PUT	2.000	5/5/2000	\$4,550.00	2/2/2001	\$0.00	\$4,550.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
563 GLOBALSTAR TELECOMM 200201 30 PUT	6.000	5/5/2000	\$13,650.00	2/7/2001	\$0.00	\$13,650.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
564 GLOBALSTAR TELECOMM 200201 30 PUT	10.000	5/5/2000	\$22,750.00	2/14/2001	\$0.00	\$22,750.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
565 GLOBALSTAR TELECOMM 200201 35 PUT	15.000	5/5/2000	\$40,875.00	11/14/2000	\$0.00	\$40,875.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
566 GLOBALSTAR TELECOMM 200201 35 PUT	32.000	5/5/2000	\$87,200.00	1/10/2001	\$0.00	\$87,200.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
567 GLOBALSTAR TELECOMM 200201 35 PUT	27.000	5/5/2000	\$73,575.00	1/23/2001	\$0.00	\$73,575.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
568 GLOBALSTAR TELECOMM 200201 35 PUT	75.000	5/5/2000	\$204,375.00	1/24/2001	\$0.00	\$204,375.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
569 GLOBALSTAR TELECOMM 200201 35 PUT	41.000	5/5/2000	\$111,725.00	1/31/2001	\$0.00	\$111,725.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
570 GLOBALSTAR TELECOMM 200201 35 PUT	4.000	5/5/2000	\$10,900.00	2/1/2001	\$0.00	\$10,900.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
571 GLOBALSTAR TELECOMM 200201 35 PUT	2.000	5/5/2000	\$5,450.00	2/7/2001	\$0.00	\$5,450.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
572 GLOBALSTAR TELECOMM 200201 35 PUT	4.000	5/5/2000	\$10,900.00	3/7/2001	\$0.00	\$10,900.00		(Short open)VALUED PER STMT TRANS; ASSIGNED

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
573 HUMANA INC	7,900.000	5/5/2000	(\$52,337.50)	6/28/2001	\$75,911.94 U	\$23,574.44	419	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
574 HUMANA INC	3,200.000	5/5/2000	(\$21,200.00)	6/28/2001	\$30,686.96 U	\$9,486.96	419	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
575 INTL BUSINESS MACH	3,000.000	5/5/2000	(\$323,625.00)	5/24/2000	\$318,284.87 U	(\$5,340.13)	19	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
576 INTL BUSINESS MACH	3,000.000	5/5/2000	(\$323,625.00)	10/17/2000	\$312,735.05 U	(\$10,889.95)	165	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
577 INTL BUSINESS MACH	3,000.000	5/5/2000	(\$323,625.00)	12/26/2000	\$256,186.95 U	(\$67,438.05)	235	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
578 INTL BUSINESS MACH	5,000.000	5/5/2000	(\$539,375.00)	3/5/2001	\$497,920.87 U	(\$41,454.13)	304	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
579 INTL BUSINESS MACH	2,000.000	5/5/2000	(\$215,750.00)	9/11/2001	\$195,541.30 U	(\$20,208.70)	494	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
580 INTL BUSINESS MACH	2,000.000	5/5/2000	(\$215,750.00)	9/26/2001	\$179,006.04	(\$36,743.96)	509	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
581 INTL BUSINESS MACH	1,000.000	5/5/2000	(\$107,875.00)	4/16/2002	\$83,030.69 U	(\$24,844.31)	711	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
582 INTL BUSINESS MACH 200201 80 PUT	300.000	5/5/2000	\$202,500.00 U	5/23/2000	(\$219,311.43)	(\$16,811.43)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
583 INTL BUSINESS MACH 200201 90 PUT	300.000	5/5/2000	\$300,000.00 U	5/23/2000	(\$313,368.14)	(\$13,368.14)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
584 KIMBERLY CLARK CORP	5,460.000	5/5/2000	(\$321,801.48)	3/2/2001	\$386,823.57 U	\$65,022.09	301	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
585 KROGER COMPANY	10,000.000	5/5/2000	(\$195,630.00)	10/16/2000	\$213,363.35 U	\$17,733.35	164	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
586 KROGER COMPANY	20,000.000	5/5/2000	(\$391,260.00)	10/17/2000	\$399,732.12 U	\$8,472.12	165	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
587 KROGER COMPANY	1,200.000	5/5/2000	(\$23,475.60)	10/18/2000	\$25,434.65 U	\$1,959.05	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
588 LEHMAN BROS HOLDINGS INC	400.000	5/5/2000	(\$16,412.60)	4/30/2002	\$22,306.23 U	\$5,893.63	725	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
589 LEVEL 3 COMMUNICATIONS 200201 70 PUT	100.000	5/5/2000	\$167,500.00 U	9/28/2000	(\$157,306.29)	\$10,193.71		(Short open)VALUED PER STMT TRANS; COMM CALC (B
590 LIGHTPATH TECHNOLOGIES INC CL A	5,000.000	5/5/2000	(\$142,500.00)	4/27/2000	\$98,867.18 U	(\$43,632.82)	8	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
591 LUCENT TECHNOLOGIES INC 200201 45 PUT	200.000	5/5/2000	\$118,750.00 U	5/23/2000	(\$133,712.15)	(\$14,962.15)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
592 MAIL.COM INC CL A	1,300.000	5/5/2000	(\$9,059.70)	4/27/2000	\$11,650.84 U	\$2,591.14	8	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
593 MAIL.COM INC CL A	800.000	5/5/2000	(\$5,575.20)	4/27/2000	\$7,115.26 U	\$1,540.06	8	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
594 MAIL.COM INC CL A	4,800.000	5/5/2000	(\$33,451.20)	5/24/2000	\$31,818.39 U	(\$1,632.81)	19	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
595 MAIL.COM INC CL A	1,100.000	5/5/2000	(\$7,665.90)	5/31/2000	\$6,059.04 U	(\$1,606.86)	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
596 MANAGED MUNI PORTFOLIO II INC	8,000.000	5/5/2000	(\$74,000.00)	10/18/2000	\$77,692.88 U	\$3,692.88	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
597 MANUFACTURED HOME COMMUNITIES INC	4,000.000	5/5/2000	(\$101,500.00)	10/17/2000	\$98,223.49 U	(\$3,276.51)	165	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
598 MCI WORLDCOM INC 200101 33.375 150 SHS P	100.000	5/5/2000	\$25,000.00 U	5/30/2000	(\$51,248.09)	(\$26,248.09)		(Short open)VALUED PER STMT TRANS; COMM CALC (B

# Open / Close Analysis (by Open)

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Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
599 MCI WORLDCOM INC 200101 46.625 150 SHS P	80.000	5/5/2000	\$65,000.00	5/31/2000	(\$129,709.62)	(\$64,709.62)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
500 MCI WORLDCOM INC 200101 46.625 150 SHS P	200.000	5/5/2000	\$162,500.00	U 5/31/2000	(\$316,778.58)	(\$154,278.58)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
501 MCI WORLDCOM INC 200101 50 150 SHS PUT	25.000	5/5/2000	\$26,250.00	8/24/2000	\$0.00	\$26,250.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
502 MCI WORLDCOM INC 200101 50 150 SHS PUT	19.000	5/5/2000	\$19,950.00	9/13/2000	\$0.00	\$19,950.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
503 MCI WORLDCOM INC 200101 50 150 SHS PUT	5.000	5/5/2000	\$5,250.00	9/22/2000	\$0.00	\$5,250.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
504 MCI WORLDCOM INC 200101 50 150 SHS PUT	1.000	5/5/2000	\$1,050.00	10/10/2000	\$0.00	\$1,050.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
505 MCI WORLDCOM INC 200101 50 150 SHS PUT	31.000	5/5/2000	\$32,550.00	11/8/2000	\$0.00	\$32,550.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
506 MCI WORLDCOM INC 200101 50 150 SHS PUT	10.000	5/5/2000	\$10,500.00	11/15/2000	\$0.00	\$10,500.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
507 MCI WORLDCOM INC 200101 50 150 SHS PUT	3.000	5/5/2000	\$3,150.00	11/16/2000	\$0.00	\$3,150.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
508 MCI WORLDCOM INC 200101 50 150 SHS PUT	4.000	5/5/2000	\$4,200.00	11/20/2000	\$0.00	\$4,200.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
509 MCI WORLDCOM INC 200101 50 150 SHS PUT	2.000	5/5/2000	\$2,100.00	1/22/2001	\$0.00	\$2,100.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
510 MCI WRLDCM INC 200101 53.375 150 SHS CALL	200.000	5/5/2000	(\$78,750.00)	5/16/2000	\$88,826.75	U \$10,076.75		VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
511 MCI WORLDCOM INC 200101 56.625 150 SHS P	66.000	5/5/2000	\$101,475.00	8/14/2000	\$0.00	\$101,475.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
512 MCI WORLDCOM INC 200101 56.625 150 SHS P	6.000	5/5/2000	\$9,225.00	8/15/2000	\$0.00	\$9,225.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
513 MCI WORLDCOM INC 200101 56.625 150 SHS P	5.000	5/5/2000	\$7,687.50	8/28/2000	\$0.00	\$7,687.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
514 MCI WORLDCOM INC 200101 56.625 150 SHS P	21.000	5/5/2000	\$32,287.50	9/27/2000	\$0.00	\$32,287.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
515 MCI WORLDCOM INC 200101 56.625 150 SHS P	2.000	5/5/2000	\$3,075.00	11/15/2000	\$0.00	\$3,075.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
516 MCI WORLDCOM INC 200201 35 PUT	400.000	5/5/2000	\$197,500.00	U 5/30/2000	(\$237,189.89)	(\$39,689.89)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
517 MCI WORLDCOM INC 200201 40 150 SHS PUT	100.000	5/5/2000	\$69,375.00	U 5/30/2000	(\$86,989.64)	(\$17,614.64)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
518 MCI WORLDCOM INC 200201 43.375 150 SHS P	1,000.000	5/5/2000	\$875,000.00	5/5/2000	(\$875,000.00)	\$0.00		(Short open)VALUED PER STMT TRANS; VALUED PER S
519 MCI WORLDCOM INC 200201 53.375 150 SHS P	11.000	5/5/2000	\$16,362.50	8/11/2000	\$0.00	\$16,362.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
520 MCI WORLDCOM INC 200201 53.375 150 SHS P	13.000	5/5/2000	\$19,337.50	9/19/2000	\$0.00	\$19,337.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
521 MCI WORLDCOM INC 200201 53.375 150 SHS P	21.000	5/5/2000	\$31,237.50	9/22/2000	\$0.00	\$31,237.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
522 MCI WORLDCOM INC 200201 53.375 150 SHS P	44.000	5/5/2000	\$65,450.00	11/9/2000	\$0.00	\$65,450.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
523 MCI WORLDCOM INC 200201 53.375 150 SHS P	49.000	5/5/2000	\$72,887.50	11/16/2000	\$0.00	\$72,887.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
524 MCI WORLDCOM INC 200201 53.375 150 SHS P	43.000	5/5/2000	\$63,962.50	12/8/2000	\$0.00	\$63,962.50		(Short open)VALUED PER STMT TRANS; ASSIGNED

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
325 MCI WORLDCOM INC 200201 53.375 150 SHS P	6.000	5/5/2000	\$8,925.00	1/12/2001	\$0.00	\$8,925.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
326 MCI WORLDCOM INC 200201 53.375 150 SHS P	13.000	5/5/2000	\$19,337.50	2/14/2001	\$0.00	\$19,337.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
327 MERCK & CO	4,000.000	5/5/2000	(\$277,000.00)	11/15/2000	\$367,433.22 U	\$90,433.22	194	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
328 MERCK & CO	6,000.000	5/5/2000	(\$415,500.00)	1/4/2001	\$560,076.80 U	\$144,576.80	244	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
329 METAL MANAGEMENT INC	10,000.000	5/5/2000	(\$16,560.00)	5/30/2000	\$12,620.05 U	(\$3,939.95)	25	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
330 MID-AMER WASTE SYS INC	5,000.000	5/5/2000	\$0.00	4/30/2002	\$0.00	\$0.00	725	NOT VALUED PER STMT TRANS; NOT VALUED PER 0
331 NORTHEAST OPTIC NETWORK INC	7,600.000	5/5/2000	(\$356,250.00)	5/5/2000	\$348,828.33 U	(\$7,421.67)	0	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
332 NORTHEAST OPTIC NETWORK INC	2,200.000	5/5/2000	(\$103,125.00)	5/5/2000	\$99,872.15 U	(\$3,252.85)	0	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
333 NORTHEAST OPTIC NETWORK INC	200.000	5/5/2000	(\$9,375.00)	5/5/2000	\$9,642.17 U	\$267.17	0	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
334 NOVELL INC	13,400.000	5/5/2000	(\$147,400.00)	5/31/2000	\$108,900.31 U	(\$38,499.69)	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
335 NOVELL INC	1,600.000	5/5/2000	(\$17,600.00)	5/31/2000	\$13,053.55 U	(\$4,546.45)	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
336 NOVELL INC 200201 30 PUT	78.000	5/5/2000	\$153,075.00	8/24/2000	\$0.00	\$153,075.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
337 NOVELL INC 200201 30 PUT	25.000	5/5/2000	\$49,062.50	10/11/2000	\$0.00	\$49,062.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
338 NOVELL INC 200201 30 PUT	3.000	5/5/2000	\$5,887.50	11/2/2000	\$0.00	\$5,887.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
339 NOVELL INC 200201 30 PUT	55.000	5/5/2000	\$107,937.50	11/20/2000	\$0.00	\$107,937.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
340 NOVELL INC 200201 30 PUT	7.000	5/5/2000	\$13,737.50	12/1/2000	\$0.00	\$13,737.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
341 NOVELL INC 200201 30 PUT	20.000	5/5/2000	\$39,250.00	12/18/2000	\$0.00	\$39,250.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
342 NOVELL INC 200201 30 PUT	5.000	5/5/2000	\$9,812.50	12/29/2000	\$0.00	\$9,812.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
343 NOVELL INC 200201 30 PUT	2.000	5/5/2000	\$3,925.00	1/10/2001	\$0.00	\$3,925.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
344 NOVELL INC 200201 30 PUT	5.000	5/5/2000	\$9,812.50	2/20/2001	\$0.00	\$9,812.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
345 ORACLE CORP	9,900.000	5/5/2000	(\$380,224.35)	11/27/2000	\$234,741.35	(\$145,483.00)	206	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
346 ORACLE CORP	100.000	5/5/2000	(\$3,840.65)	11/27/2000	\$2,377.42 U	(\$1,463.23)	206	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
347 ORACLE CORP	5,000.000	5/5/2000	(\$192,032.50)	12/26/2000	\$143,615.68 U	(\$48,416.82)	235	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
348 ORACLE CORP	5,000.000	5/5/2000	(\$192,032.50)	4/30/2002	\$50,200.00	(\$141,832.50)	725	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
349 PSINET INC	3,600.000	5/5/2000	(\$81,000.00)	5/31/2000	\$87,022.58 U	\$6,022.58	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
350 PSINET INC	1,000.000	5/5/2000	(\$22,500.00)	5/31/2000	\$24,236.68 U	\$1,736.68	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5

# Open / Close Analysis (by Open)

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Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
351 PSINET INC	25,000.000	5/5/2000	(\$562,500.00)	11/15/2000	\$42,994.01 U	(\$519,505.99)	194	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
352 PSINET INC	1,200.000	5/5/2000	(\$27,000.00)	11/16/2000	\$1,990.90 U	(\$25,009.10)	195	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
353 PSINET INC	1,000.000	5/5/2000	(\$22,500.00)	3/1/2001	\$1,374.20 U	(\$21,125.80)	300	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
354 PSINET INC	2,000.000	5/5/2000	(\$45,000.00)	3/23/2001	\$328.84 U	(\$44,671.16)	322	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
355 PSINET INC	6,200.000	5/5/2000	(\$139,500.00)	4/30/2002	\$6.20	(\$139,493.80)	725	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
356 PSINET INC 200201 30 PUT	400.000	5/5/2000	\$550,000.00 U	5/30/2000	(\$501,802.76)	\$48,197.24		(Short open)VALUED PER STMT TRANS; COMM CALC (B
357 PSINET INC 200201 35 PUT	11.000	5/5/2000	\$19,112.50	10/9/2000	\$0.00	\$19,112.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
358 PSINET INC 200201 35 PUT	108.000	5/5/2000	\$187,650.00	11/1/2000	\$0.00	\$187,650.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
359 PSINET INC 200201 35 PUT	29.000	5/5/2000	\$50,387.50	11/8/2000	\$0.00	\$50,387.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
360 PSINET INC 200201 35 PUT	35.000	5/5/2000	\$60,812.50	11/9/2000	\$0.00	\$60,812.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
361 PSINET INC 200201 35 PUT	10.000	5/5/2000	\$17,375.00	11/16/2000	\$0.00	\$17,375.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
362 PSINET INC 200201 35 PUT	7.000	5/5/2000	\$12,162.50	1/10/2001	\$0.00	\$12,162.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
363 PSINET INC 200201 40 PUT	200.000	5/5/2000	\$425,000.00	9/28/2000	\$0.00	\$425,000.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
364 QUALCOMM INC	20,000.000	5/5/2000	(\$2,195,000.00)	5/17/2000	\$1,971,679.70 U	(\$223,320.30)	12	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
365 QUALCOMM INC	9,900.000	5/5/2000	(\$1,086,525.00)	5/24/2000	\$881,932.31 U	(\$204,592.69)	19	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
366 QUALCOMM INC	100.000	5/5/2000	(\$10,975.00)	5/24/2000	\$8,914.70 U	(\$2,060.30)	19	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
367 QUALCOMM INC	10,000.000	5/5/2000	(\$1,097,500.00)	6/1/2000	\$664,852.81 U	(\$432,647.19)	27	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
368 QUALCOMM INC	10,000.000	5/5/2000	(\$1,097,500.00)	6/1/2000	\$681,472.74 U	(\$416,027.26)	27	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
369 QUALCOMM INC	300.000	5/5/2000	(\$32,925.00)	12/4/2000	\$23,942.23 U	(\$8,982.77)	213	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
370 QUALCOMM INC	4,500.000	5/5/2000	(\$493,875.00)	2/28/2001	\$246,816.74 U	(\$247,058.26)	299	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
371 QUALCOMM INC	500.000	5/5/2000	(\$54,875.00)	2/28/2001	\$27,794.57 U	(\$27,080.43)	299	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
372 QUALCOMM INC	1,000.000	5/5/2000	(\$109,750.00)	3/2/2001	\$55,956.13 U	(\$53,793.87)	301	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
373 QUALCOMM INC	3,700.000	5/5/2000	(\$406,075.00)	3/5/2001	\$198,817.93 U	(\$207,257.07)	304	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
374 QUALCOMM INC 200201 100 PUT	100.000	5/5/2000	\$265,000.00 U	11/22/2000	(\$293,914.39)	(\$28,914.39)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
375 QUALCOMM INC 200201 195 CALL	200.000	5/5/2000	\$450,000.00 U	5/11/2000	(\$354,431.88)	\$95,568.12		(Short open)VALUED PER STMT TRANS; COMM CALC (B
376 QUALCOMM INC 200201 195 CALL	100.000	5/5/2000	\$225,000.00 U	5/22/2000	(\$134,725.98)	\$90,274.02		(Short open)VALUED PER STMT TRANS; COMM CALC (B

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
377 QUALCOMM INC 200201 195 CALL	100.000	5/5/2000	\$225,000.00	U 5/30/2000	(\$61,851.78)	\$163,148.22		(Short open)VALUED PER STMT TRANS; COMM CALC (B
378 QUALCOMM INC 200201 195 CALL	100.000	5/5/2000	\$225,000.00	U 5/30/2000	(\$70,606.27)	\$154,393.73		(Short open)VALUED PER STMT TRANS; COMM CALC (B
379 QUALCOMM INC 200201 195 CALL	100.000	5/5/2000	\$225,000.00	U 10/16/2000	(\$45,621.04)	\$179,378.96		(Short open)VALUED PER STMT TRANS; COMM CALC (B
380 QUALCOMM INC 200201 75 PUT	200.000	5/5/2000	\$280,000.00	U 9/28/2000	(\$409,611.81)	(\$129,611.81)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
381 QUALCOMM INC 200201 80 PUT	100.000	5/5/2000	\$161,250.00	U 9/28/2000	(\$238,846.26)	(\$77,596.26)		(Short open)VALUED PER STMT TRANS; COMM CALC (B
382 SEARS ROEBUCK	1,000.000	5/5/2000	(\$37,813.00)	10/16/2000	\$33,164.83	(\$4,648.17)	164	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
383 SHIRE PHARMA GROUP PLC ADR	1,042.000	5/5/2000	(\$47,280.75)	7/27/2001	\$51,135.04	\$3,854.29	448	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
384 SL GREEN REALTY CORP	4,000.000	5/5/2000	(\$104,000.00)	10/17/2000	\$105,102.95	\$1,102.95	165	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
385 SPRINT CORP PCS GROUP	1,000.000	5/5/2000	(\$60,250.33)	7/27/2001	\$21,160.44	(\$39,089.89)	448	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
386 SPRINT CORP PCS GROUP	3,140.000	5/5/2000	(\$189,186.05)	2/11/2002	\$39,336.71	(\$149,849.34)	647	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
387 SPRINT CORP PCS GROUP	2,070.000	5/5/2000	(\$124,718.19)	2/11/2002	\$20,315.02	(\$104,403.17)	647	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
388 STAR TELECOMMUNICATIONS	17,400.000	5/5/2000	(\$77,221.20)	10/18/2000	\$19,990.27	(\$57,230.93)	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
389 STAR TELECOMMUNICATIONS	2,600.000	5/5/2000	(\$11,538.80)	10/18/2000	\$2,825.32	(\$8,713.48)	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
390 STARWOOD HOTELS & RESORTS WORLDWI	6,000.000	5/5/2000	(\$176,250.00)	5/31/2000	\$161,240.10	(\$15,009.90)	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
391 SYMYX TECHNOLOGIES INC	4,000.000	5/5/2000	(\$112,500.00)	5/31/2000	\$106,391.91	(\$6,108.09)	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
392 ELOYALTY CORP	8,800.000	5/5/2000	(\$112,465.34)	5/4/2000	\$116,811.56	\$4,346.22	1	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
393 ELOYALTY CORP	5,100.000	5/5/2000	(\$65,178.78)	5/4/2000	\$68,975.17	\$3,796.39	1	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
394 ELOYALTY CORP	300.000	5/5/2000	(\$3,834.05)	5/4/2000	\$4,019.86	\$185.81	1	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
395 ELOYALTY CORP	100.000	5/5/2000	(\$1,278.02)	5/4/2000	\$1,346.20	\$68.19	1	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
396 ELOYALTY CORP	13,500.000	5/5/2000	(\$172,532.06)	5/5/2000	\$77,955.33	(\$94,576.73)	0	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
397 ELOYALTY CORP	1,500.000	5/5/2000	(\$19,170.23)	5/5/2000	\$8,849.70	(\$10,320.53)	0	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
398 ELOYALTY CORP	300.000	5/5/2000	(\$3,834.05)	5/31/2000	\$4,225.35	\$391.30	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
399 ELOYALTY CORP	100.000	5/5/2000	(\$1,278.02)	5/31/2000	\$1,428.70	\$150.69	26	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
700 ELOYALTY CORP	4,600.000	5/5/2000	(\$58,788.70)	6/1/2000	\$60,425.92	\$1,637.22	27	VALUED PER STMT TRANS;
701 ELOYALTY CORP	5,000.000	5/5/2000	(\$63,900.76)	10/18/2000	\$10,577.15	(\$53,323.61)	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
702 TEKELEC	3,100.000	5/5/2000	(\$109,275.00)	4/27/2000	\$93,457.32	(\$15,817.68)	8	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
703 TEKELEC	1,400.000	5/5/2000	(\$49,350.00)	4/27/2000	\$42,733.55	U (\$6,616.45)	8	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
704 TEKELEC	500.000	5/5/2000	(\$17,625.00)	4/27/2000	\$14,949.50	U (\$2,675.50)	8	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
705 CITIGROUP INC	7,000.000	5/5/2000	(\$310,438.04)	10/17/2000	\$326,203.03	\$15,764.99	165	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
706 CITIGROUP INC	5,000.000	5/5/2000	(\$221,741.46)	12/4/2000	\$244,549.82	U \$22,808.36	213	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
707 CITIGROUP INC	300.000	5/5/2000	(\$13,304.49)	2/28/2001	\$14,120.50	U \$816.01	299	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
708 CITIGROUP INC	5,000.000	5/5/2000	(\$221,741.46)	3/15/2001	\$231,240.64	U \$9,499.18	314	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
709 CITIGROUP INC	5,000.000	5/5/2000	(\$221,741.46)	3/23/2001	\$219,738.15	U (\$2,003.31)	322	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
710 CITIGROUP INC	100.000	5/5/2000	(\$4,434.83)	3/23/2001	\$4,304.78	U (\$130.05)	322	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
711 CITIGROUP INC	300.000	5/5/2000	(\$13,304.49)	4/6/2001	\$12,871.28	U (\$433.21)	336	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
712 CITIGROUP INC	100.000	5/5/2000	(\$4,434.83)	4/6/2001	\$4,258.92	U (\$175.91)	336	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
713 CITIGROUP INC	2,000.000	5/5/2000	(\$88,696.58)	8/24/2001	\$94,735.95	U \$6,039.37	476	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
714 CITIGROUP INC	2,733.000	5/5/2000	(\$121,203.88)	9/26/2001	\$94,990.07	U (\$26,213.81)	509	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
715 ROHN INDUSTRIES INC	600.000	5/5/2000	(\$2,062.80)	4/30/2002	\$755.40	U (\$1,307.40)	725	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
716 US WEST INC NEW	6,729.000	5/5/2000	(\$297,313.00)	11/24/2000	\$272,146.16	U (\$25,166.84)	203	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
717 WALT DISNEY CO	10,000.000	5/5/2000	(\$395,630.00)	11/7/2000	\$366,483.25	U (\$29,146.75)	186	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
718 WALT DISNEY CO	5,000.000	5/5/2000	(\$197,815.00)	11/16/2000	\$152,990.37	U (\$44,824.63)	195	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
719 WALT DISNEY CO GO.COM	9,000.000	5/5/2000	(\$130,500.00)	10/2/2000	\$91,436.92	U (\$39,063.08)	150	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
720 WALT DISNEY CO GO.COM	1,000.000	5/5/2000	(\$14,500.00)	10/2/2000	\$10,485.15	U (\$4,014.85)	150	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
721 WELLS FARGO & CO NEW	4,000.000	5/5/2000	(\$159,500.00)	4/9/2001	\$179,989.47	U \$20,489.47	339	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
722 WELLS FARGO & CO NEW	8,000.000	5/5/2000	(\$319,000.00)	4/30/2002	\$409,200.00	\$90,200.00	725	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
723 WORLD ACCESS INC	10,000.000	5/5/2000	(\$161,880.00)	10/18/2000	\$37,657.32	U (\$124,222.68)	166	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
724 MCI WORLDCOM INC	22,424.000	5/5/2000	(\$961,429.00)	10/17/2000	\$532,161.99	U (\$429,267.01)	165	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
725 MCI WORLDCOM INC	24,500.000	5/5/2000	(\$1,050,437.50)	11/6/2000	\$459,967.57	U (\$590,469.93)	185	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
726 MCI WORLDCOM INC	13,900.000	5/5/2000	(\$595,962.50)	11/6/2000	\$267,044.80	U (\$328,917.70)	185	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
727 MCI WORLDCOM INC	9,300.000	5/5/2000	(\$398,737.50)	11/6/2000	\$175,764.11	U (\$222,973.39)	185	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
728 MCI WORLDCOM INC	3,000.000	5/5/2000	(\$128,625.00)	11/6/2000	\$59,131.00	U (\$69,494.00)	185	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5



# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

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Account Name JOHN Q. INVESTOR

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Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
729 MCI WORLDCOM INC	2,100.000	5/5/2000	(\$90,037.50)	11/6/2000	\$40,738.63 U	(\$49,298.87)	185	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
730 MCI WORLDCOM INC	1,000.000	5/5/2000	(\$42,875.00)	11/6/2000	\$19,461.84 U	(\$23,413.16)	185	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
731 MCI WORLDCOM INC	700.000	5/5/2000	(\$30,012.50)	11/6/2000	\$13,273.30 U	(\$16,739.20)	185	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
732 MCI WORLDCOM INC	600.000	5/5/2000	(\$25,725.00)	11/14/2000	\$9,882.67 U	(\$15,842.33)	193	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
733 MCI WORLDCOM INC	1,000.000	5/5/2000	(\$42,875.00)	11/20/2000	\$16,260.05 U	(\$26,614.95)	199	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
734 MCI WORLDCOM INC	2,000.000	5/5/2000	(\$85,750.00)	11/21/2000	\$31,576.16 U	(\$54,173.84)	200	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
735 MCI WORLDCOM INC	10,000.000	5/5/2000	(\$428,750.00)	11/24/2000	\$150,240.45 U	(\$278,509.55)	203	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
736 MCI WORLDCOM INC	10,000.000	5/5/2000	(\$428,750.00)	11/29/2000	\$155,240.29	(\$273,509.71)	208	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
737 MCI WORLDCOM INC	10,000.000	5/5/2000	(\$428,750.00)	12/4/2000	\$156,494.75 U	(\$272,255.25)	213	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
738 MCI WORLDCOM INC	7,500.000	5/5/2000	(\$321,562.50)	12/4/2000	\$117,835.27 U	(\$203,727.23)	213	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
739 MCI WORLDCOM INC	2,500.000	5/5/2000	(\$107,187.50)	12/4/2000	\$39,436.17 U	(\$67,751.33)	213	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
740 MCI WORLDCOM INC	1,500.000	5/5/2000	(\$64,312.50)	12/5/2000	\$22,251.00 U	(\$42,061.50)	214	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
741 MCI WORLDCOM INC	10,900.000	5/5/2000	(\$467,337.50)	12/27/2000	\$162,672.55 U	(\$304,664.95)	236	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
742 MCI WORLDCOM INC	10,000.000	5/5/2000	(\$428,750.00)	2/27/2001	\$162,744.50 U	(\$266,005.50)	298	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
743 MCI WORLDCOM INC	9,550.000	5/5/2000	(\$409,456.25)	2/27/2001	\$148,851.10 U	(\$260,605.15)	298	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
744 MCI WORLDCOM INC	1,000.000	5/5/2000	(\$42,875.00)	2/28/2001	\$16,198.04 U	(\$26,676.96)	299	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
745 MCI WORLDCOM INC	19,700.000	5/5/2000	(\$844,637.50)	3/6/2001	\$302,380.28 U	(\$542,257.22)	305	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
746 MCI WORLDCOM INC	300.000	5/5/2000	(\$12,862.50)	3/6/2001	\$4,642.34 U	(\$8,220.16)	305	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
747 MCI WORLDCOM INC	850.000	5/5/2000	(\$36,443.75)	3/19/2001	\$13,806.38 U	(\$22,637.37)	318	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
748 MCI WORLDCOM INC	650.000	5/5/2000	(\$27,868.75)	3/23/2001	\$11,484.76 U	(\$16,383.99)	322	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
749 MCI WORLDCOM INC	10,500.000	5/5/2000	(\$450,187.50)	4/6/2001	\$182,117.34 U	(\$268,070.16)	336	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
750 MCI WORLDCOM INC	300.000	5/5/2000	(\$12,338.82)	6/11/2001	\$5,331.96 U	(\$7,006.86)	402	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
751 MCI WORLDCOM INC	1,200.000	5/5/2000	(\$49,355.29)	6/22/2001	\$17,708.51 U	(\$31,646.78)	413	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
752 MCI WORLDCOM INC	6,839.532	5/5/2000	(\$281,305.89)	6/28/2001	\$95,203.09 U	(\$186,102.80)	419	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
753 WORLDPORT COMMUNICATIONS INC	25,000.000	5/5/2000	(\$67,975.00)	5/16/2000	\$55,993.58 U	(\$11,981.42)	11	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
754 WORLDPORT COMMUNICATIONS INC	44,500.000	5/5/2000	(\$120,995.50)	5/17/2000	\$99,227.10 U	(\$21,768.40)	12	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5

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Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
755 WORLDPORT COMMUNICATIONS INC	5,000.000	5/5/2000	(\$13,595.00)	5/17/2000	\$10,999.62	(\$2,595.38)	12	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
756 WORLDPORT COMMUNICATIONS INC	500.000	5/5/2000	(\$1,359.50)	5/17/2000	\$1,119.96	(\$239.54)	12	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
757 WORLDPORT COMMUNICATIONS INC	13,300.000	5/5/2000	(\$36,162.70)	5/18/2000	\$28,594.00 U	(\$7,568.70)	13	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
758 WORLDPORT COMMUNICATIONS INC	5,500.000	5/5/2000	(\$14,954.50)	5/18/2000	\$12,095.08 U	(\$2,859.42)	13	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
759 WORLDPORT COMMUNICATIONS INC	500.000	5/5/2000	(\$1,359.50)	5/18/2000	\$1,079.96 U	(\$279.54)	13	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
760 WORLDPORT COMMUNICATIONS INC	24,200.000	5/5/2000	(\$65,799.80)	5/24/2000	\$53,112.68 U	(\$12,687.12)	19	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
761 VIACOM INC B NON VTG	830.000	5/8/2000	(\$45,235.00)	10/17/2000	\$38,748.08 U	(\$6,486.92)	162	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
762 VIACOM INC B NON VTG	242.000	5/8/2000	(\$13,189.00)	11/20/2000	\$13,151.63 U	(\$37.37)	196	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
763 VIACOM INC B NON VTG	5,000.000	5/8/2000	(\$272,500.00)	12/4/2000	\$253,924.51 U	(\$18,575.49)	210	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
764 VIACOM INC B NON VTG	300.000	5/8/2000	(\$16,350.00)	12/4/2000	\$15,112.26 U	(\$1,237.74)	210	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
765 VIACOM INC B NON VTG	2,000.000	5/8/2000	(\$109,000.00)	8/24/2001	\$92,365.22 U	(\$16,634.78)	473	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
766 VIACOM INC B NON VTG	1,000.000	5/8/2000	(\$54,500.00)	9/12/2001	\$37,471.11 U	(\$17,028.89)	492	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
767 VIACOM INC B NON VTG	1,478.000	5/8/2000	(\$80,551.00)	4/30/2002	\$69,613.80	(\$10,937.20)	722	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
768 3COM CORP	483.000	5/11/2000	(\$8,303.79)	11/6/2000	\$26,302.39 U	\$17,998.60	179	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
769 3COM CORP	500.000	5/11/2000	(\$8,596.05)	11/9/2000	\$31,444.44 U	\$22,848.39	182	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
770 3COM CORP	1,000.000	5/11/2000	(\$17,192.11)	11/16/2000	\$14,570.20 U	(\$2,621.91)	189	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
771 3COM CORP	500.000	5/11/2000	(\$8,596.05)	2/28/2001	\$10,625.51 U	\$2,029.46	293	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
772 AMERICA ONLINE INC	300.000	5/11/2000	(\$16,106.40)	12/5/2000	\$12,183.23 U	(\$3,923.17)	208	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
773 AMERICA ONLINE INC	200.000	5/11/2000	(\$10,737.60)	4/6/2001	\$6,745.27	(\$3,992.33)	330	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
774 AMERICA ONLINE INC	300.000	5/11/2000	(\$16,106.40)	6/22/2001	\$14,807.01	(\$1,299.39)	407	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
775 VIACOM INC B NON VTG	542.000	5/11/2000	(\$28,150.39)	4/30/2002	\$25,528.20	(\$2,622.19)	719	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
776 GLOBAL CROSSING LTD	1,025.000	5/11/2000	(\$32,992.70)	11/15/2000	\$17,768.49 U	(\$15,224.21)	188	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
777 MCI WORLDCOM INC 200101 46.625 150 SHS P	4.000	5/11/2000	\$0.00	9/8/2000	\$0.00	\$0.00		(Short open)NOT VALUED PER STMT TRANS; ASSIGNE
778 MCI WORLDCOM INC 200101 46.625 150 SHS P	6.000	5/11/2000	\$0.00	9/28/2000	\$0.00	\$0.00		(Short open)NOT VALUED PER STMT TRANS; ASSIGNE
779 QUALCOMM INC	300.000	5/11/2000	(\$29,793.90)	3/5/2001	\$16,120.37 U	(\$13,673.53)	298	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
780 QUALCOMM INC	200.000	5/11/2000	(\$19,862.60)	4/6/2001	\$9,083.57 U	(\$10,779.03)	330	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
781 QUALCOMM INC	200.000	5/11/2000	(\$19,862.60)	4/11/2001	\$8,853.93 U	(\$11,008.67)	335	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
782 QUALCOMM INC	55.000	5/11/2000	(\$5,462.22)	4/17/2002	\$1,919.20	(\$3,543.02)	706	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
783 QUALCOMM INC	45.000	5/11/2000	(\$4,469.09)	4/30/2002	\$1,357.20	(\$3,111.89)	719	VALUED PER STMT TRANS; VALUED PER 4/30/02 POR
784 STAR TELECOMMUNICATIONS	3,000.000	5/11/2000	(\$11,250.00)	2/28/2001	\$862.96 U	(\$10,387.04)	293	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
785 CITIGROUP INC	600.000	5/11/2000	(\$35,362.80)	9/26/2001	\$20,854.02 U	(\$14,508.78)	503	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
786 WALT DISNEY CO HOLDING CO	500.000	5/11/2000	(\$20,562.50)	11/16/2000	\$15,194.99 U	(\$5,367.51)	189	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
787 WIND RIVER SYSTEMS INC	500.000	5/11/2000	(\$18,344.00)	2/28/2001	\$12,758.53 U	(\$5,585.47)	293	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
788 WORLDCOM INC	3,000.000	5/11/2000	(\$126,939.00)	6/28/2001	\$41,758.60 U	(\$85,180.40)	413	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
789 WORLDPORT COMMUNICATIONS INC	5,000.000	5/11/2000	(\$11,550.00)	8/17/2000	\$16,413.69 U	\$4,863.69	98	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
790 AMER EXPRESS CO	5,000.000	5/12/2000	\$0.00	3/23/2001	\$185,289.29 U	\$185,289.29	315	NOT VALUED, STOCK SPLIT SHARES; COMM CALC (B
791 AMER EXPRESS CO	2,500.000	5/12/2000	\$0.00	8/24/2001	\$92,818.75 U	\$92,818.75	469	NOT VALUED, STOCK SPLIT SHARES; COMM CALC (B
792 AMER EXPRESS CO	2,500.000	5/12/2000	\$0.00	9/12/2001	\$85,671.73 U	\$85,671.73	488	NOT VALUED, STOCK SPLIT SHARES; COMM CALC (B
793 MCI WORLDCOM INC 200101 40 150 SHS PUT	10.000	5/12/2000	\$0.00	11/30/2000	\$0.00	\$0.00		(Short open)NOT VALUED PER STMT TRANS; ASSIGNE
794 GLOBAL CROSSING LTD 200201 35 PUT	16.000	5/15/2000	\$18,800.00	6/20/2001	\$0.00	\$18,800.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
795 GLOBAL CROSSING LTD 200201 35 PUT	25.000	5/15/2000	\$29,375.00	6/27/2001	\$0.00	\$29,375.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
796 GLOBAL CROSSING LTD 200201 35 PUT	5.000	5/15/2000	\$5,875.00	7/18/2001	\$0.00	\$5,875.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
797 GLOBAL CROSSING LTD 200201 35 PUT	4.000	5/15/2000	\$4,700.00	7/19/2001	\$0.00	\$4,700.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
798 MCI WORLDCOM INC 200201 43.375 150 SHS P	9.000	5/15/2000	\$8,212.50	3/14/2001	\$0.00	\$8,212.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
799 MCI WORLDCOM INC 200201 43.375 150 SHS P	5.000	5/15/2000	\$4,562.50	5/2/2001	\$0.00	\$4,562.50		(Short open)VALUED PER STMT TRANS; ASSIGNED
300 MCI WORLDCOM INC 200201 43.375 150 SHS P	6.000	5/15/2000	\$5,475.00	5/31/2001	\$0.00	\$5,475.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
301 WORLDCOM INC 200201 40 150 SHS PUT	20.000	5/22/2000	\$16,750.00	11/10/2000	\$0.00	\$16,750.00		(Short open)VALUED PER STMT TRANS; ASSIGNED
302 VERTICALNET INC	100.000	7/31/2000	(\$5,700.83) U	9/29/2000	\$3,116.15 U	(\$2,584.69)	60	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
303 VERTICALNET INC	100.000	7/31/2000	(\$5,725.04) U	9/29/2000	\$3,116.15 U	(\$2,608.90)	60	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
304 VERTICALNET INC	500.000	7/31/2000	(\$28,418.21) U	9/29/2000	\$15,580.73 U	(\$12,837.49)	60	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
305 VERTICALNET INC	500.000	7/31/2000	(\$28,480.72) U	9/29/2000	\$15,580.73 U	(\$12,900.00)	60	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
306 VERTICALNET INC	800.000	7/31/2000	(\$45,811.15) U	9/29/2000	\$24,929.16 U	(\$20,881.99)	60	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2

# Open / Close Analysis (by Open)

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Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
307 VERTICALNET INC	100.000	8/1/2000	(\$4,962.50)	U 9/29/2000	\$3,116.15	U (\$1,846.36)	59	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
308 VERTICALNET INC	1,300.000	8/1/2000	(\$64,595.77)	U 9/29/2000	\$40,509.89	U (\$24,085.88)	59	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
309 VERTICALNET INC	900.000	8/1/2000	(\$44,720.15)	U 9/29/2000	\$27,984.56	U (\$16,735.59)	59	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
310 VERTICALNET INC	700.000	8/1/2000	(\$34,782.34)	U 9/29/2000	\$21,856.76	U (\$12,925.58)	59	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
311 WORLDCOM INC	160.468	8/15/2000	(\$8,304.00)	6/28/2001	\$2,233.64	U (\$6,070.36)	317	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
312 WORLDCOM INC	1,559.561	8/15/2000	(\$80,705.16)	6/28/2001	\$21,925.99	U (\$58,779.17)	317	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
313 WORLDCOM INC	8,440.439	8/16/2000	(\$462,062.97)	6/28/2001	\$118,664.79	U (\$343,398.18)	316	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
314 WORLDCOM INC	1,879.732	8/16/2000	(\$102,903.95)	7/27/2001	\$25,017.96	U (\$77,885.99)	345	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
315 PSINET INC	1,200.000	8/17/2000	(\$17,509.50)	4/30/2002	\$1.20	U (\$17,508.30)	621	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
316 WORLDCOM INC	938.198	8/17/2000	(\$51,627.03)	7/27/2001	\$12,486.78	U (\$39,140.25)	344	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
317 NOVELL INC	2,500.000	8/28/2000	(\$75,730.07)	10/16/2000	\$19,901.07	U (\$55,829.00)	49	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
318 NOVELL INC	5,300.000	8/28/2000	(\$160,547.74)	10/18/2000	\$41,699.90	U (\$118,847.84)	51	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
319 WORLDCOM INC	3,909.156	8/28/2000	(\$189,190.83)	7/27/2001	\$52,028.23	U (\$137,162.60)	333	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
320 WORLDCOM INC	781.831	8/31/2000	(\$42,805.64)	7/27/2001	\$10,405.64	U (\$32,400.00)	330	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
321 ABGENIX INC	300.000	9/5/2000	(\$21,806.40)	9/22/2000	\$23,702.25	U \$1,895.85	17	VALUED PER STMT TRANS; FM XY-98765; COMM CALC
322 ABGENIX INC	400.000	9/5/2000	(\$29,075.20)	10/16/2000	\$29,544.52	U \$469.32	41	VALUED PER STMT TRANS; FM XY-98765; COMM CALC
323 ABGENIX INC	100.000	9/5/2000	(\$7,268.80)	11/16/2000	\$6,759.52	U (\$509.28)	72	VALUED PER STMT TRANS; FM XY-98765; COMM CALC
324 MICROSTRATEGY INC CL A	3,000.000	9/11/2000	(\$101,174.00)	U 11/14/2000	\$66,443.27	U (\$34,730.73)	64	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
325 VENTRO CORP	100.000	9/11/2000	(\$1,890.00)	U 9/29/2000	\$1,066.05	U (\$823.95)	18	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
326 VENTRO CORP	300.000	9/11/2000	(\$5,501.25)	U 9/29/2000	\$3,198.14	U (\$2,303.11)	18	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
327 VENTRO CORP	1,100.000	9/11/2000	(\$20,244.50)	U 9/29/2000	\$11,726.50	U (\$8,518.00)	18	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
328 VENTRO CORP	1,200.000	9/11/2000	(\$22,980.00)	U 9/29/2000	\$12,792.55	U (\$10,187.45)	18	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
329 VENTRO CORP	2,300.000	9/11/2000	(\$44,045.00)	U 9/29/2000	\$24,666.62	U (\$19,378.38)	18	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
330 WORLDCOM INC	625.464	9/12/2000	(\$28,468.10)	7/27/2001	\$8,324.50	U (\$20,143.60)	318	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
331 ABGENIX INC	200.000	9/14/2000	(\$16,900.00)	11/16/2000	\$13,519.05	U (\$3,380.95)	63	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
332 ABGENIX INC	100.000	9/14/2000	(\$8,450.00)	1/29/2001	\$5,089.88	U (\$3,360.12)	137	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5

# Open / Close Analysis (by Open)

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Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
333 ABGENIX INC	100.000	9/14/2000	(\$8,450.00)	1/31/2002	\$2,288.47	(\$6,161.53)	504	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
334 ABGENIX INC	50.000	9/14/2000	(\$4,225.00)	3/1/2002	\$1,102.74	(\$3,122.26)	533	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
335 ABGENIX INC	100.000	9/14/2000	(\$8,450.00)	3/11/2002	\$2,019.72	(\$6,430.28)	543	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
336 ABGENIX INC	100.000	9/14/2000	(\$8,450.00)	4/9/2002	\$1,643.70	(\$6,806.30)	572	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
337 ABGENIX INC	100.000	9/14/2000	(\$8,450.00)	4/17/2002	\$1,566.38 U	(\$6,883.62)	580	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
338 ABGENIX INC	50.000	9/14/2000	(\$4,225.00)	4/17/2002	\$790.30	(\$3,434.70)	580	VALUED PER STMT TRANS; COMM CALC (Bonds @ 2.5
339 WORLDCOM INC	2,970.959	9/15/2000	(\$143,247.29)	7/27/2001	\$39,541.46 U	(\$103,705.83)	315	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
340 PSINET INC	500.000	9/20/2000	(\$6,742.00) U	4/30/2002	\$0.50	(\$6,741.50)	587	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
341 WORLDCOM INC	2,032.761	9/21/2000	(\$105,139.61)	7/27/2001	\$27,054.68 U	(\$78,084.93)	309	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
342 ATRIX LABS INC	500.000	9/22/2000	(\$7,139.86) U	12/29/2000	\$7,806.46 U	\$666.61	98	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
343 ATRIX LABS INC	500.000	9/22/2000	(\$7,139.86) U	3/1/2001	\$10,070.16 U	\$2,930.31	160	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
344 WORLDCOM INC	781.831	9/26/2000	(\$37,691.40)	7/27/2001	\$10,405.64 U	(\$27,285.76)	304	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
345 WORLDCOM INC	3,283.691	9/26/2000	(\$168,939.48)	7/27/2001	\$43,703.71 U	(\$125,235.77)	304	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
346 WORLDCOM INC	2,796.377	9/29/2000	(\$152,202.07)	7/27/2001	\$37,217.89 U	(\$114,984.18)	301	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
347 WORLDCOM INC	487.314	9/29/2000	(\$26,523.68)	8/13/2001	\$6,648.66 U	(\$19,875.02)	318	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
348 PSINET INC	20,000.000	10/2/2000	(\$801,204.50)	4/30/2002	\$20.00	(\$801,184.50)	575	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
349 PSINET INC	500.000	10/10/2000	(\$3,835.75) U	4/30/2002	\$0.50	(\$3,835.25)	567	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
350 PSINET INC	1,100.000	10/12/2000	(\$38,614.50)	4/30/2002	\$1.10	(\$38,613.40)	565	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
351 WORLDCOM INC	12.686	10/12/2000	(\$615.58)	8/13/2001	\$173.08 U	(\$442.50)	305	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
352 WORLDCOM INC	68.000	10/12/2000	(\$3,299.68)	8/13/2001	\$756.81 U	(\$2,542.87)	305	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
353 WORLDCOM INC	75.681	10/12/2000	(\$3,672.39)	8/24/2001	\$1,012.17 U	(\$2,660.23)	316	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
354 NOVELL INC	2,500.000	10/13/2000	(\$75,560.29)	10/18/2000	\$19,669.77 U	(\$55,890.52)	5	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
355 ENERGY CONVERSION DEVICES	300.000	10/16/2000	(\$9,654.49) U	2/14/2001	\$6,991.24 U	(\$2,663.25)	121	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
356 GLOBAL CROSSING LTD	500.000	10/16/2000	(\$10,867.00) U	11/15/2000	\$8,667.56 U	(\$2,199.44)	30	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
357 INTL BUSINESS MACH 200301 160 CALL	30.000	10/16/2000	\$39,093.83	12/21/2000	(\$18,308.64)U	\$20,785.19		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
358 INTL BUSINESS MACH 200301 160 CALL	50.000	10/16/2000	\$65,156.39 U	3/1/2001	(\$38,485.02)U	\$26,671.37		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C

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Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
359 INTL BUSINESS MACH 200301 160 CALL	20.000	10/16/2000	\$26,062.55	U 9/7/2001	(\$3,176.06)U	\$22,886.49		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
360 INTL BUSINESS MACH 200301 160 CALL	30.000	10/16/2000	\$39,093.83	9/24/2001	(\$4,553.48)U	\$34,540.35		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
361 QUALCOMM INC 200301 100 CALL	100.000	10/16/2000	\$216,323.73	U 12/11/2000	(\$443,323.44)U	(\$226,999.71)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
362 QWEST COMMUNICATIONS INTL INC 200301 5	67.000	10/16/2000	\$70,548.06	U 11/22/2000	(\$55,855.28)U	\$14,692.78		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
363 WORLDCOM INC 200301 25 CALL	300.000	10/16/2000	\$245,677.55	U 10/17/2000	(\$290,254.50)U	(\$44,576.95)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
364 WORLDCOM INC 200301 25 CALL	400.000	10/16/2000	\$327,570.07	U 10/20/2000	(\$463,191.57)U	(\$135,621.50)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
365 WORLDCOM INC 200301 25 CALL	300.000	10/16/2000	\$245,677.55	U 11/14/2000	(\$110,011.59)U	\$135,665.96		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
366 WORLDCOM INC 200301 50 CALL	300.000	10/17/2000	\$114,741.62	U 11/10/2000	(\$42,301.96)U	\$72,439.66		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
367 TERAYON COMMUNICATIONS 200011 20 CALL	5.000	10/20/2000	\$1,582.93	11/20/2000	\$0.00 U	\$1,582.93		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
368 WORLDCOM INC	938.198	10/20/2000	(\$42,371.05)	8/24/2001	\$12,547.56 U	(\$29,823.50)	308	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
369 WORLDCOM INC 200301 50 CALL	400.000	10/20/2000	\$197,729.68	U 11/10/2000	(\$56,402.62)	\$141,327.06		(Short open)COMM CALC (BONDS @ 2.5%) OPEN; COM
370 TERAYON COMMUNICATIONS SYS	100.000	10/24/2000	(\$1,948.53)	U 12/29/2000	\$363.46 U	(\$1,585.07)	66	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
371 TERAYON COMMUNICATIONS SYS	400.000	10/24/2000	(\$7,823.60)	U 12/29/2000	\$1,453.85 U	(\$6,369.75)	66	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
372 INFOSPACE INC	500.000	10/30/2000	(\$10,601.83)	U 12/29/2000	\$3,125.17 U	(\$7,476.66)	60	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
373 ABGENIX INC 200101 100 CALL	5.000	11/3/2000	\$5,072.47	U 11/24/2000	(\$771.44)U	\$4,301.03		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
374 PSINET INC	10,800.000	11/3/2000	(\$378,760.50)	4/30/2002	\$10.80	(\$378,749.70)	543	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
375 WORLDCOM INC 200301 25 CALL	300.000	11/3/2000	\$148,598.29	U 11/14/2000	(\$110,011.59)U	\$38,586.70		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
376 WORLDCOM INC 200301 25 CALL	200.000	11/3/2000	\$99,065.53	U 4/4/2001	(\$76,024.03)U	\$23,041.50		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
377 GLOBALSTAR TELECOMM	9,800.000	11/6/2000	(\$294,886.50)	11/15/2000	\$21,755.26 U	(\$273,131.24)	9	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
378 NOVELL INC	300.000	11/6/2000	(\$9,039.49)	8/16/2001	\$1,421.95 U	(\$7,617.54)	283	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
379 GLOBALSTAR TELECOMM	1,500.000	11/8/2000	(\$37,577.70)	11/15/2000	\$3,329.89 U	(\$34,247.81)	7	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
380 GLOBALSTAR TELECOMM	1,000.000	11/8/2000	(\$25,051.80)	11/15/2000	\$2,246.67 U	(\$22,805.13)	7	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
381 GLOBAL CROSSING LTD	975.000	11/9/2000	(\$39,050.50)	11/15/2000	\$16,901.74 U	(\$22,148.77)	6	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
382 GLOBAL CROSSING LTD	525.000	11/9/2000	(\$21,027.20)	11/20/2000	\$8,183.60 U	(\$12,843.60)	11	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
383 GLOBAL CROSSING LTD	500.000	11/9/2000	(\$20,025.90)	12/4/2000	\$6,097.71 U	(\$13,928.19)	25	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
384 GLOBAL CROSSING LTD	500.000	11/9/2000	(\$20,025.90)	12/26/2000	\$6,751.91 U	(\$13,273.99)	47	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
385 PSINET INC	2,900.000	11/10/2000	(\$101,649.50)	4/30/2002	\$2.90	(\$101,646.60)	536	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
386 WORLDCOM INC	4,847.353	11/10/2000	(\$232,969.50)	8/24/2001	\$64,828.99 U	(\$168,140.51)	287	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
387 WORLDCOM INC 200301 20 CALL	300.000	11/10/2000	\$159,835.77	1/11/2001	(\$260,696.39)U	(\$100,860.62)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
388 WORLDCOM INC 200301 20 CALL	400.000	11/10/2000	\$213,114.35 U	1/12/2001	(\$372,631.55)U	(\$159,517.20)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
389 PSINET INC	3,500.000	11/13/2000	(\$122,854.50)	4/30/2002	\$3.50	(\$122,851.00)	533	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
390 WORLDCOM INC	4,138.768	11/13/2000	(\$212,411.83)	8/24/2001	\$55,352.30 U	(\$157,059.53)	284	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
391 WORLDCOM INC	2,741.346	11/13/2000	(\$140,692.67)	2/8/2002	\$18,863.72 U	(\$121,828.95)	452	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
392 GLOBALSTAR TELECOMM	2,500.000	11/14/2000	(\$75,129.50)	3/23/2001	\$1,615.56 U	(\$73,513.94)	129	COMM CALC (Bonds @ 2.5%);
393 WORLDCOM INC	3,127.325	11/14/2000	(\$120,743.60)	2/8/2002	\$21,519.71 U	(\$99,223.89)	451	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
394 GLOBALSTAR TELECOMM	4,200.000	11/15/2000	(\$126,420.00)	3/23/2001	\$2,714.14 U	(\$123,705.86)	128	COMM CALC (Bonds @ 2.5%);
395 GLOBALSTAR TELECOMM	6,400.000	11/15/2000	(\$160,772.50)	3/23/2001	\$4,135.84 U	(\$156,636.66)	128	COMM CALC (Bonds @ 2.5%);
396 GLOBALSTAR TELECOMM	1,500.000	11/16/2000	(\$52,862.88)	3/23/2001	\$969.34 U	(\$51,893.54)	127	COMM CALC (Bonds @ 2.5%);
397 WORLDCOM INC	312.732	11/17/2000	(\$17,092.98)	2/8/2002	\$2,151.97 U	(\$14,941.01)	448	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
398 WORLDCOM INC	1,563.662	11/17/2000	(\$75,532.35)	2/8/2002	\$10,759.85 U	(\$64,772.50)	448	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
399 PSINET INC	1,000.000	11/20/2000	(\$35,297.59)	4/30/2002	\$1.00	(\$35,296.59)	526	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
300 WORLDCOM INC	469.099	11/20/2000	(\$22,615.92)	2/8/2002	\$3,227.96 U	(\$19,387.96)	445	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
301 WORLDCOM INC	7,661.946	11/20/2000	(\$394,204.10)	2/8/2002	\$52,723.30 U	(\$341,480.80)	445	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
302 NOVELL INC	5,400.000	11/22/2000	(\$162,814.42)	8/16/2001	\$25,595.11 U	(\$137,219.31)	267	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
303 NOVELL INC	100.000	11/22/2000	(\$3,015.08)	8/16/2001	\$476.87 U	(\$2,538.21)	267	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
304 WORLDCOM INC	625.464	11/22/2000	(\$30,258.66)	2/8/2002	\$4,303.94 U	(\$25,954.72)	443	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
305 ABGENIX INC 200104 80 CALL	5.000	11/24/2000	\$3,788.85 U	3/15/2001	(\$46.57)U	\$3,742.28		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
306 QUALCOMM INC 200201 150 CALL	5.000	11/24/2000	\$4,902.37	12/1/2000	(\$5,223.54)	(\$321.17)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
307 GLOBAL CROSSING LTD	200.000	12/1/2000	(\$7,054.50)	12/26/2000	\$2,700.76 U	(\$4,353.74)	25	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
308 QUALCOMM INC 200301 140 CALL	5.000	12/1/2000	\$10,044.60 U	2/26/2001	(\$3,343.46)	\$6,701.14		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
309 WORLDCOM INC	498.426	12/4/2000	(\$19,174.58)	2/8/2002	\$3,429.76 U	(\$15,744.82)	431	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
310 WORLDCOM INC	1,065.236	12/4/2000	(\$40,979.92)	2/8/2002	\$11,538.27 U	(\$29,441.65)	431	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2

# Open / Close Analysis (by Open)

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Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
311 GLOBAL CROSSING LTD	2,400.000	12/5/2000	(\$96,526.33)	12/26/2000	\$32,409.17 U	(\$64,117.16)	21	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
312 NOVELL INC	700.000	12/5/2000	(\$21,213.77)	8/16/2001	\$3,338.10 U	(\$17,875.67)	254	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
313 GLOBAL CROSSING LTD	1,900.000	12/8/2000	(\$76,289.50)	12/26/2000	\$25,657.26 U	(\$50,632.24)	18	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
314 MSH ENTERTAINMENT CORP	5,000.000	12/8/2000	(\$125.00)	4/30/2002	\$50.00	(\$75.00)	508	VALUED PER STMT TRANS; FM XY-98765; VALUED PER
315 ORACLE CORP	1,400.000	12/8/2000	(\$42,910.00) U	4/30/2002	\$14,056.00	(\$28,854.00)	508	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
316 ORACLE CORP	3,600.000	12/8/2000	(\$110,569.50) U	4/30/2002	\$36,144.00	(\$74,425.50)	508	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
317 PSINET INC	2,000.000	12/8/2000	(\$1,938.00)	4/30/2002	\$2.00	(\$1,936.00)	508	VALUED PER STMT TRANS; FM XY-98765; VALUED PER
318 WORLDCOM INC	2,000.000	12/8/2000	(\$30,376.00)	2/8/2002	\$21,663.31 U	(\$8,712.69)	427	VALUED PER STMT TRANS; FM XY-98765; COMM CALC
319 QUALCOMM INC 200301 130 CALL	100.000	12/11/2000	\$348,240.55	2/26/2001	(\$80,784.16)U	\$267,456.39		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
320 GLOBAL CROSSING LTD	100.000	12/12/2000	(\$4,039.49)	12/26/2000	\$1,350.38 U	(\$2,689.11)	14	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
321 WORLDCOM INC	134.764	12/12/2000	(\$6,919.66)	2/8/2002	\$1,459.72 U	(\$5,459.94)	423	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
322 WORLDCOM INC	2,000.000	12/12/2000	(\$102,692.95)	2/8/2002	\$13,802.38 U	(\$88,890.57)	423	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
323 WORLDCOM INC	1,000.000	12/12/2000	(\$51,346.47)	2/8/2002	\$6,956.05 U	(\$44,390.42)	423	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
324 WORLDCOM INC	3,588.984	12/12/2000	(\$184,281.67)	4/30/2002	\$8,897.09	(\$175,384.58)	504	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
325 GLOBALSTAR TELECOMM	1,600.000	12/15/2000	(\$24,325.06)	3/23/2001	\$1,033.96 U	(\$23,291.10)	98	COMM CALC (Bonds @ 2.5%);
326 GLOBAL CROSSING LTD	1,100.000	12/18/2000	(\$44,302.85)	12/26/2000	\$14,854.20 U	(\$29,448.65)	8	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
327 GLOBAL CROSSING LTD	500.000	12/18/2000	(\$20,137.66)	2/28/2001	\$7,442.37 U	(\$12,695.29)	72	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
328 NOVELL INC	2,000.000	12/20/2000	(\$60,484.04)	8/16/2001	\$9,537.43 U	(\$50,946.61)	239	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
329 GLOBAL CROSSING LTD	600.000	12/22/2000	(\$24,453.32)	4/2/2001	\$8,296.28 U	(\$16,157.04)	101	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
330 GLOBAL CROSSING LTD	300.000	12/27/2000	(\$12,259.73)	4/2/2001	\$4,148.14 U	(\$8,111.59)	96	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
331 KVH INDUSTRIES INC	500.000	12/29/2000	(\$2,924.81) U	2/15/2001	\$3,820.37 U	\$895.56	48	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
332 WIND RIVER SYSTEM INC	300.000	12/29/2000	(\$10,510.49) U	2/28/2001	\$7,572.28 U	(\$2,938.21)	61	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
333 GLOBAL CROSSING LTD	600.000	1/2/2001	(\$24,453.32)	4/2/2001	\$8,296.28 U	(\$16,157.04)	90	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
334 NOVELL INC	500.000	1/3/2001	(\$15,326.79)	8/16/2001	\$2,384.36 U	(\$12,942.43)	225	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
335 AT&T CORP	2,200.000	1/8/2001	(\$110,615.98)	2/27/2001	\$44,327.13 U	(\$66,288.85)	50	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
336 WORLDCOM INC 200301 35 CALL	100.000	1/11/2001	\$44,484.66 U	6/26/2001	(\$4,249.84)U	\$40,234.82		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C



# Open / Close Analysis (by Open)

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Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
337 WORLDCOM INC 200301 35 CALL	200.000	1/11/2001	\$88,969.31	U 7/25/2001	(\$7,375.02)	U \$81,594.29		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
338 GLOBALSTAR TELECOMM	3,200.000	1/12/2001	(\$112,664.16)	3/23/2001	\$2,067.92	U (\$110,596.24)	70	COMM CALC (Bonds @ 2.5%);
339 NOVELL INC	200.000	1/12/2001	(\$6,088.93)	8/16/2001	\$953.74	U (\$5,135.19)	216	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
340 PSINET INC	700.000	1/12/2001	(\$24,759.68)	4/30/2002	\$0.70	(\$24,758.98)	473	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
341 WORLDCOM INC 200301 35 CALL	100.000	1/12/2001	\$49,479.49	U 8/22/2001	(\$3,197.82)	U \$46,281.67		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
342 WORLDCOM INC 200301 35 CALL	300.000	1/12/2001	\$148,438.45	1/23/2002	(\$1,673.00)	U \$146,765.45		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
343 WORLDCOM INC	938.198	1/17/2001	(\$48,401.87)	4/30/2002	\$2,325.79	(\$46,076.08)	468	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
344 GLOBALSTAR TELECOMM	6,600.000	1/19/2001	(\$165,661.26)	3/23/2001	\$4,265.08	U (\$161,396.18)	63	COMM CALC (Bonds @ 2.5%);
345 GLOBALSTAR TELECOMM	4,000.000	1/19/2001	(\$100,400.76)	3/23/2001	\$2,705.40	U (\$97,695.36)	63	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
346 GLOBALSTAR TELECOMM	13,000.000	1/19/2001	(\$326,302.48)	6/28/2001	\$4,305.91	U (\$321,996.57)	160	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
347 KVH INDUSTRIES INC	500.000	1/19/2001	(\$4,677.37)	U 4/11/2001	\$3,162.76	U (\$1,514.61)	82	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
348 GLOBALSTAR TELECOMM	4,000.000	1/22/2001	(\$120,401.08)	6/28/2001	\$1,324.89	U (\$119,076.18)	157	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
349 GLOBALSTAR TELECOMM	12,700.000	1/22/2001	(\$382,273.42)	8/16/2001	\$4,054.77	U (\$378,218.66)	206	COMM CALC (Bonds @ 2.5%);
350 LONDON PAC GROUP LTD SPON ADR	500.000	1/22/2001	(\$5,119.79)	U 2/15/2001	\$4,755.33	U (\$364.46)	24	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
351 LONDON PAC GROUP LTD SPON ADR	500.000	1/22/2001	(\$5,119.79)	U 2/28/2001	\$3,808.32	U (\$1,311.47)	37	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
352 GLOBALSTAR TELECOMM	200.000	1/24/2001	(\$6,081.26)	8/16/2001	\$63.86	U (\$6,017.41)	204	COMM CALC (Bonds @ 2.5%);
353 WORLDCOM INC	312.732	1/24/2001	(\$15,154.83)	4/30/2002	\$775.26	(\$14,379.57)	461	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
354 GLOBALSTAR TELECOMM	1,800.000	1/25/2001	(\$54,292.50)	8/16/2001	\$574.69	U (\$53,717.81)	203	COMM CALC (Bonds @ 2.5%);
355 GLOBALSTAR TELECOMM	2,700.000	1/25/2001	(\$94,932.00)	8/16/2001	\$862.04	U (\$94,069.96)	203	COMM CALC (Bonds @ 2.5%);
356 GLOBALSTAR TELECOMM	4,800.000	1/25/2001	(\$120,768.00)	8/16/2001	\$1,532.51	U (\$119,235.49)	203	COMM CALC (Bonds @ 2.5%);
357 AMER SUPERCONDUCTOR CORP	300.000	1/26/2001	(\$7,580.24)	U 2/14/2001	\$4,941.70	U (\$2,638.54)	19	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
358 GLOBALSTAR TELECOMM	3,000.000	1/26/2001	(\$90,300.00)	8/16/2001	\$957.82	U (\$89,342.18)	202	COMM CALC (Bonds @ 2.5%);
359 GLOBALSTAR TELECOMM	5,700.000	1/26/2001	(\$200,275.20)	8/16/2001	\$1,819.86	U (\$198,455.35)	202	COMM CALC (Bonds @ 2.5%);
360 GLOBALSTAR TELECOMM	1,800.000	1/26/2001	(\$63,244.80)	8/16/2001	\$538.90	U (\$62,705.90)	202	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
361 GLOBALSTAR TELECOMM	7,300.000	1/26/2001	(\$183,232.21)	8/16/2001	\$2,185.54	U (\$181,046.67)	202	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
362 GLOBALSTAR TELECOMM	7,600.000	1/26/2001	(\$190,762.30)	2/8/2002	\$1,060.76	U (\$189,701.54)	378	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2

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		Date	Amount	Date	Amount			
363 FOUNDRY NETWORKS INC	300.000	1/29/2001	(\$6,372.93)	U 2/14/2001	\$4,737.24	U (\$1,635.69)	16	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
364 XCELERA INC	500.000	1/29/2001	(\$5,973.66)	U 2/14/2001	\$3,293.73	U (\$2,679.93)	16	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
365 FOUNDRY NETWORKS INC 200103 17.5 PUT	10.000	2/1/2001	\$1,162.71	U 2/12/2001	(\$3,863.03)	U (\$2,700.32)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
366 AMER SUPERCONDUCTOR CORP 200103 17.5	10.000	2/2/2001	\$1,286.19	U 2/12/2001	(\$2,602.49)	U (\$1,316.30)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
367 GLOBALSTAR TELECOMM	4,100.000	2/2/2001	(\$144,141.43)	2/8/2002	\$572.25	U (\$143,569.18)	371	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
368 GLOBALSTAR TELECOMM	4,800.000	2/2/2001	(\$120,755.70)	2/8/2002	\$669.95	U (\$120,085.75)	371	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
369 POWER ONE INC 200103 35 PUT	5.000	2/2/2001	\$951.78	U 2/13/2001	(\$5,223.54)	U (\$4,271.77)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
370 POWER ONE INC 200103 35 PUT	5.000	2/2/2001	\$951.78	U 2/26/2001	(\$8,229.61)	U (\$7,277.84)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
371 GLOBALSTAR TELECOMM	400.000	2/5/2001	(\$14,110.24)	2/8/2002	\$55.83	U (\$14,054.41)	368	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
372 GLOBALSTAR TELECOMM	800.000	2/5/2001	(\$20,224.98)	2/8/2002	\$111.66	U (\$20,113.32)	368	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
373 GLOBALSTAR TELECOMM	200.000	2/6/2001	(\$6,158.01)	2/8/2002	\$27.92	U (\$6,130.10)	367	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
374 AT&T CORP	2,500.000	2/8/2001	(\$125,738.73)	2/27/2001	\$50,371.74	U (\$75,366.99)	19	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
375 GLOBALSTAR TELECOMM	200.000	2/9/2001	(\$7,037.32)	2/8/2002	\$27.92	U (\$7,009.41)	364	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
376 GLOBALSTAR TELECOMM	600.000	2/9/2001	(\$18,111.84)	2/8/2002	\$83.74	U (\$18,028.10)	364	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
377 GLOBALSTAR TELECOMM	2,000.000	2/9/2001	(\$50,377.30)	2/8/2002	\$279.15	U (\$50,098.15)	364	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
378 AMER SUPERCONDUCTOR CORP 200107 15 P	10.000	2/12/2001	\$2,521.37	U 4/4/2001	(\$5,007.36)	U (\$2,485.99)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
379 FOUNDRY NETWORKS INC 200109 15 PUT	5.000	2/12/2001	\$2,314.19	U 2/27/2001	(\$2,937.92)	U (\$623.73)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
380 FOUNDRY NETWORKS INC 200109 15 PUT	5.000	2/12/2001	\$2,314.19	U 4/5/2001	(\$4,724.67)	U (\$2,410.48)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
381 GLOBALSTAR TELECOMM	200.000	2/16/2001	(\$5,104.72)	2/8/2002	\$27.92	U (\$5,076.81)	357	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
382 GLOBALSTAR TELECOMM	1,000.000	2/16/2001	(\$30,501.09)	2/8/2002	\$139.57	U (\$30,361.52)	357	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
383 WORLDCOM INC	2,032.761	2/16/2001	(\$105,139.61)	4/30/2002	\$5,039.22	(\$100,100.40)	438	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
384 AT&T CORP	2,500.000	2/22/2001	(\$125,129.50)	2/27/2001	\$50,371.74	U (\$74,757.76)	5	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
385 NOVELL INC	500.000	2/22/2001	(\$15,181.76)	8/16/2001	\$2,384.36	U (\$12,797.40)	175	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
386 GLOBAL CROSSING LTD 200201 10 PUT	10.000	2/27/2001	\$1,459.05	U 4/5/2001	(\$3,527.67)	U (\$2,068.62)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
387 GLOBAL CROSSING LTD	2,300.000	3/1/2001	(\$81,070.83)	4/2/2001	\$31,802.41	U (\$49,268.42)	32	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
388 GENESYS INC 200110 12.5 PUT	5.000	3/7/2001	\$882.10	U 4/5/2001	(\$1,472.05)	U (\$589.95)		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
989 GLOBALSTAR TELECOMM	200.000	3/7/2001	(\$3,113.24)	2/8/2002	\$27.92 U	(\$3,085.33)	338	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
990 NEWFOCUS INC 200110 20 CALL	5.000	3/8/2001	\$3,417.03	4/12/2001	(\$1,065.02)U	\$2,352.01		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; COMM C
991 GLOBALSTAR TELECOMM	400.000	3/9/2001	(\$14,024.00)	2/8/2002	\$55.83 U	(\$13,968.17)	336	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
992 GLOBALSTAR TELECOMM	30,000.000	3/9/2001	(\$451,804.50)	2/8/2002	\$4,187.20 U	(\$447,617.30)	336	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
993 NEWFOCUS INC	500.000	3/9/2001	(\$9,810.21) U	4/6/2001	\$5,037.34 U	(\$4,772.87)	28	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
994 ABGENIX INC 200110 45 CALL	5.000	3/15/2001	\$974.71	10/22/2001	\$0.00 U	\$974.71		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; EXPIRE
995 GLOBALSTAR TELECOMM	2,400.000	3/16/2001	(\$36,388.76)	2/8/2002	\$334.98 U	(\$36,053.78)	329	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
996 WORLDCOM INC	1,407.296	3/16/2001	(\$59,003.34) U	4/30/2002	\$3,488.69	(\$55,514.65)	410	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
997 GLOBALSTAR TELECOMM	1,200.000	3/29/2001	(\$18,238.86)	2/8/2002	\$167.49 U	(\$18,071.37)	316	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
998 GLOBALSTAR TELECOMM	2,500.000	3/30/2001	(\$37,934.07)	2/8/2002	\$348.93 U	(\$37,585.14)	315	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
999 WIND RIVER SYSTEM INC 200104 20 CALL	10.000	4/5/2001	(\$1,090.82) U	4/12/2001	\$2,992.35 U	\$1,901.53		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
000 AT&T CORP	1,189.597	4/6/2001	(\$45,389.30)	9/11/2001	\$15,733.94 U	(\$29,655.36)	158	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
001 GLOBALSTAR TELECOMM	100.000	4/6/2001	(\$1,554.49)	2/8/2002	\$13.96 U	(\$1,540.53)	308	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
002 GLOBAL CROSSING LTD	1,000.000	4/9/2001	(\$35,251.73)	4/11/2001	\$9,504.10 U	(\$25,747.63)	2	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
003 GLOBAL CROSSING LTD	1,200.000	4/9/2001	(\$42,302.07)	4/9/2002	\$99.28	(\$42,202.79)	365	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
004 GLOBALSTAR TELECOMM	500.000	4/9/2001	(\$7,621.08)	2/8/2002	\$69.79 U	(\$7,551.29)	305	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
005 GLOBALSTAR TELECOMM	600.000	4/10/2001	(\$9,248.92)	2/8/2002	\$83.74 U	(\$9,165.18)	304	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
006 GLOBAL CROSSING LTD	1,000.000	4/11/2001	(\$35,356.20)	4/9/2002	\$82.74	(\$35,273.46)	363	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
007 GLOBAL CROSSING LTD	500.000	4/12/2001	(\$17,762.12)	4/10/2002	\$36.25	(\$17,725.87)	363	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
008 GLOBAL CROSSING LTD	800.000	4/12/2001	(\$28,419.40)	4/30/2002	\$45.60	(\$28,373.80)	383	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
009 GLOBALSTAR TELECOMM	900.000	4/17/2001	(\$13,842.47)	2/8/2002	\$125.62 U	(\$13,716.85)	297	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
010 LEXICON GENETICS INC	500.000	4/20/2001	(\$3,724.40) U	5/23/2001	\$3,585.31	(\$139.09)	33	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
011 LEXICON GENETICS INC 200105 7.5 CALL	5.000	4/23/2001	\$779.23	5/21/2001	\$0.00 U	\$779.23		(Short open)COMM CALC (Bonds @ 2.5%) OPEN; ASSIGN
012 JDS UNIPHASE CORP	1,100.000	4/24/2001	(\$27,965.70) U	2/8/2002	\$6,604.38 U	(\$21,361.32)	290	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
013 JDS UNIPHASE CORP	900.000	4/24/2001	(\$22,881.03) U	2/8/2002	\$5,398.20 U	(\$17,482.83)	290	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
014 SUN MICROSYSTEMS INC	2,000.000	4/24/2001	(\$40,335.31) U	4/30/2002	\$16,360.00	(\$23,975.31)	371	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
J15 WORLDCOM INC	781.831	5/4/2001	(\$33,079.50)	4/30/2002	\$1,938.16	(\$31,141.34)	361	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J16 GLOBAL CROSSING LTD	1,200.000	5/14/2001	(\$42,532.72)	4/30/2002	\$68.40	(\$42,464.32)	351	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J17 GLOBAL CROSSING LTD	1,600.000	5/18/2001	(\$56,717.92)	4/30/2002	\$91.20	(\$56,626.72)	347	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J18 INFOSPACE INC	500.000	5/23/2001	(\$2,177.80) U	6/13/2001	\$1,805.43 U	(\$372.37)	21	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J19 AT&T CORP	1,586.129	5/31/2001	(\$60,484.63)	9/11/2001	\$20,971.56 U	(\$39,513.07)	103	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J20 AT&T CORP	1,342.274	6/1/2001	(\$51,056.87)	9/11/2001	\$17,747.35 U	(\$33,309.52)	102	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J21 AT&T CORP	2,800.000	6/1/2001	(\$106,505.25)	9/11/2001	\$50,848.24 U	(\$55,657.01)	102	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J22 AT&T CORP	3,259.661	6/1/2001	(\$123,989.64)	2/11/2002	\$49,957.07 U	(\$74,032.57)	255	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J23 WORLDCOM INC	938.198	6/4/2001	(\$39,463.86)	4/30/2002	\$2,325.79	(\$37,138.07)	330	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J24 GLOBAL CROSSING LTD	900.000	6/8/2001	(\$32,057.69)	4/30/2002	\$51.30	(\$32,006.39)	326	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J25 AT&T CORP	1,057.419	6/11/2001	(\$40,393.25)	2/11/2002	\$16,205.84 U	(\$24,187.41)	245	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J26 GLOBAL CROSSING LTD	1,600.000	6/14/2001	(\$56,488.85)	4/30/2002	\$91.20	(\$56,397.65)	320	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J27 AT&T CORP	1,189.597	6/15/2001	(\$45,421.36)	2/11/2002	\$18,231.58 U	(\$27,189.78)	241	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J28 GLOBAL CROSSING LTD	2,500.000	6/15/2001	(\$88,154.93)	4/30/2002	\$142.50	(\$88,012.43)	319	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J29 AT&T CORP	3,965.323	6/19/2001	(\$151,114.78)	2/11/2002	\$60,771.93 U	(\$90,342.85)	237	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J30 GLOBAL CROSSING LTD	5,000.000	6/22/2001	(\$176,030.74)	4/30/2002	\$285.00	(\$175,745.74)	312	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J31 GLOBAL CROSSING LTD	500.000	6/25/2001	(\$3,588.18) U	4/30/2002	\$28.50	(\$3,559.68)	309	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J32 GLOBAL CROSSING LTD	2,500.000	6/29/2001	(\$88,154.93)	4/30/2002	\$142.50	(\$88,012.43)	305	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J33 AVANEX CORP	500.000	7/2/2001	(\$3,961.54) U	7/18/2001	\$3,585.31 U	(\$376.23)	16	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J34 GLOBAL CROSSING LTD	500.000	7/20/2001	(\$17,735.07)	4/30/2002	\$28.50	(\$17,706.57)	284	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J35 GLOBAL CROSSING LTD	400.000	7/23/2001	(\$14,299.75)	4/30/2002	\$22.80	(\$14,276.95)	281	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO
J36 LONDON PAC GROUP LTD SPON ADR	500.000	7/25/2001	(\$2,762.78) U	9/27/2001	\$1,300.45 U	(\$1,462.33)	64	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J37 AT&T WIRELESS SERVICES INC	128.000	8/1/2001	(\$4,107.91)	2/11/2002	\$1,961.71 U	(\$2,146.20)	194	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J38 AT&T CORP	400.000	8/1/2001	(\$16,318.04)	2/11/2002	\$6,130.34 U	(\$10,187.70)	194	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2
J39 WORLDCOM INC 200202 10 CALL	50.000	1/31/2002	(\$2,710.42) U	2/19/2002	\$0.00	(\$2,710.42)		COMM CALC (Bonds @ 2.5%) OPEN; EXPIRED
J40 E-LOAN INC	1,000.000	2/8/2002	(\$1,320.00)	4/30/2002	\$1,230.00	(\$90.00)	81	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			
141 GLOBALSTAR TELECOMM	6,000.000	2/8/2002	(\$846.00)	4/30/2002	\$822.00	(\$24.00)	81	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
142 PSINET INC	5,000.000	2/8/2002	(\$5.00)	4/30/2002	\$5.00	\$0.00	81	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
143 WALT DISNEY CO	387.000	2/8/2002	(\$9,063.54)	4/30/2002	\$8,970.66	(\$92.88)	81	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
144 WORLDCOM INC GA NEW MCI GROUP	10,000.000	2/8/2002	(\$81,707.69)	4/30/2002	\$24,790.00	(\$56,917.69)	81	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
145 WORLDCOM INC GA NEW MCI GROUP	400.000	2/8/2002	(\$3,268.31)	4/30/2002	\$1,500.40	(\$1,767.91)	81	VALUED PER STMT TRANS; VALUED PER 04/30/02 POR
146 ORACLE SYSTEMS CORP 200204 12.5 CALL	18.000	3/21/2002	(\$2,049.91)	4/3/2002	\$871.31	(\$1,178.60)		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
147 ORACLE SYSTEMS CORP 200204 12.5 CALL	32.000	3/21/2002	(\$3,644.28)	4/4/2002	\$1,643.64 U	(\$2,000.63)		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
148 AMDOCS LTD 200204 30 CALL	40.000	3/22/2002	(\$3,047.15) U	4/4/2002	\$362.22 U	(\$2,684.93)		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
149 AMDOCS LTD 200204 30 CALL	10.000	3/22/2002	(\$761.79) U	4/4/2002	\$35.30 U	(\$726.49)		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
150 ORACLE SYSTEMS CORP 200204 12.5 CALL	50.000	3/22/2002	(\$4,112.69) U	4/4/2002	\$2,568.19 U	(\$1,544.50)		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
151 AMDOCS LTD 200204 25 CALL	35.000	3/26/2002	(\$6,183.79) U	4/5/2002	\$3,114.03	(\$3,069.76)		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
152 AMDOCS LTD 200204 25 CALL	5.000	3/26/2002	(\$883.40) U	4/5/2002	\$320.62	(\$562.78)		COMM CALC (Bonds @ 2.5%) OPEN; CLOSE
153 ASM LITHOGRAPHY 200204 25 CALL	16.000	4/1/2002	(\$2,275.06) U	4/4/2002	\$1,087.36 U	(\$1,187.70)		COMM CALC (Bonds @ 2.5%) OPEN; COMM CALC (Bo
154 AOL TIME WARNER INC	4,000.000	4/16/2002	(\$79,897.40) U	4/30/2002	\$76,080.00	(\$3,817.40)	14	COMM CALC (Bonds @ 2.5%); VALUED PER 04/30/02 PO

## Total:

Average Days Held :	256
Net Trading Result :	(\$8,709,253.21)
Income :	\$316,172.61
Margin Interest :	(\$760,791.05)
Miscellaneous :	(\$455.00)
Net Loss :	(\$9,154,326.65)

## Realized

Trading Result :	(\$7,598,697.90)
Average Days Held :	222

Received, Open: Original Valuation

Delivered, In Acct:

Note: Data has been modified from its original form to match buy and sell transactions on a first-in first-out basis.

# Open / Close Analysis (by Open)

Portfolio Perspective Interactive Edition

Case Name: INVESTOR V. BROKERAGE FIRM

Account Name JOHN Q. INVESTOR

Account Number AB-12345-COMB

Date Range: 8/29/1997 to 4/30/2002

Investment	Quantity	Open		Close		Trading Result	Days Held	Comments
		Date	Amount	Date	Amount			

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
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### SECURITIES RECEIVED IN

03/30/1999	500.000	RECV	WALT DISNEY CO HOLDING CO			\$16,406.50	VALUED PER STMT TRANS
03/30/1999	400.000	RECV	CITIGROUP INC			\$25,650.00	VALUED PER STMT TRANS
03/30/1999	2000.000	RECV	MCI WORLDCOM INC			\$184,250.00	VALUED PER STMT TRANS
05/05/2000	30000.000	RECV	GLOBALSTAR TELECOMM			\$301,890.00	VALUED PER STMT TRANS
05/05/2000	118500.000	RECV	WORLDPORT COMMUNICATIONS INC			\$322,201.50	VALUED PER STMT TRANS
05/05/2000	5054.000	RECV	ALLSTATE CORP			\$115,610.25	VALUED PER STMT TRANS
05/05/2000	509.000	RECV	ALLTEL CORP			\$33,053.44	VALUED PER STMT TRANS
05/05/2000	196.000	RECV	ALLTEL CORP			\$12,727.84	VALUED PER STMT TRANS
05/05/2000	2000.000	RECV	AMER ELECTRIC POWER CO			\$69,250.00	VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	AMEREN CORP			\$35,500.00	VALUED PER STMT TRANS
05/05/2000	15000.000	RECV	BANK ONE CORP			\$435,945.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	BOSTON PROPERTIES INC			\$144,500.00	VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	CONS EDISON CO			\$34,000.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	CHASE MANHATTAN CORP NEW			\$360,940.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	CHASE MANHATTAN CORP NEW			\$360,940.00	VALUED PER STMT TRANS
05/05/2000	750.000	RECV	CINERGY CORP			\$19,500.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	CISCO SYS INC			\$338,750.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	COLONIAL PROPERTIES TR			\$101,752.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	COMERICA INC			\$202,500.00	VALUED PER STMT TRANS
05/05/2000	3000.000	RECV	CORUS BANKSHARES INC			\$75,189.00	VALUED PER STMT TRANS
05/05/2000	2000.000	RECV	CBS CORP			\$116,874.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	WALT DISNEY CO			\$395,630.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	WALT DISNEY CO			\$197,815.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	WALT DISNEY CO GO.COM			\$145,000.00	VALUED PER STMT TRANS
05/05/2000	524.000	RECV	FIRSTENERGY CORP			\$12,543.51	VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	EASTMAN KODAK CO			\$54,563.00	VALUED PER STMT TRANS
05/05/2000	4300.000	RECV	ELOYALTY CORP			\$86,000.00	VALUED PER STMT TRANS
05/05/2000	15000.000	RECV	ELOYALTY CORP			\$300,000.00	VALUED PER STMT TRANS
05/05/2000	100000.000	RECV	E.SPIRE COMMUNICATIONS INC			\$493,800.00	VALUED PER STMT TRANS
05/05/2000	216800.000	RECV	E.SPIRE COMMUNICATIONS INC			\$1,070,558.40	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	ENZON INC			\$357,500.00	VALUED PER STMT TRANS

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
05/05/2000	4000.000	RECV	ENZON INC			\$143,000.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	FIRST UNION CORP			\$156,250.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	GLOBALSTAR TELECOMM			\$201,260.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	GLOBAL CROSSING LTD			\$695,000.00	VALUED PER STMT TRANS
05/05/2000	10250.000	RECV	GLOBAL CROSSING LTD			\$356,187.50	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	CITIGROUP INC			\$595,630.00	VALUED PER STMT TRANS
05/05/2000	10500.000	RECV	CITIGROUP INC			\$625,411.50	VALUED PER STMT TRANS
05/05/2000	11100.000	RECV	HUMANA INC			\$73,537.50	VALUED PER STMT TRANS
05/05/2000	15000.000	RECV	INTL BUSINESS MACH			\$1,618,125.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	INTL BUSINESS MACH			\$431,500.00	VALUED PER STMT TRANS
05/05/2000	5460.000	RECV	KIMBERLY CLARK CORP			\$321,801.48	VALUED PER STMT TRANS
05/05/2000	31200.000	RECV	KROGER COMPANY			\$610,365.60	VALUED PER STMT TRANS
05/05/2000	200.000	RECV	LEHMAN BROS HOLDINGS INC			\$16,412.60	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	LIGHTPATH TECHNOLOGIES INC CL A			\$142,500.00	VALUED PER STMT TRANS
05/05/2000	8000.000	RECV	MANAGED MUNI PORTFOLIO II INC			\$74,000.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	AMERICA ONLINE INC DEL			\$1,137,500.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	AMERICA ONLINE INC DEL			\$227,500.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	METAL MANAGEMENT INC			\$16,560.00	VALUED PER STMT TRANS
05/05/2000	8000.000	RECV	MAIL.COM INC CL A			\$55,752.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	MANUFACTURED HOME COMMUNITIES INC			\$101,500.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	MERCK & CO			\$692,500.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	MID-AMER WASTE SYS INC				NOT VALUED PER STMT TRANS
05/05/2000	53400.000	RECV	MCI WORLDCOM INC			\$2,289,525.00	VALUED PER STMT TRANS
05/05/2000	140074.000	RECV	MCI WORLDCOM INC			\$6,005,672.75	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	FIRST PACIFIC NETWORKS INC			\$20.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	NOVELL INC			\$55,000.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	NOVELL INC			\$110,000.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	NORTHEAST OPTIC NETWORK INC			\$468,750.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	ORACLE CORP			\$768,130.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	PSINET INC			\$450,000.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	PSINET INC			\$450,000.00	VALUED PER STMT TRANS
05/05/2000	60000.000	RECV	QUALCOMM INC			\$6,585,000.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	QWEST COMMUNICATIONS INTL INC			\$224,375.00	VALUED PER STMT TRANS



## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
05/05/2000	600.000	RECV	ROHN INDUSTRIES INC			\$2,062.80	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	SL GREEN REALTY CORP			\$104,000.00	VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	SEARS ROEBUCK			\$37,813.00	VALUED PER STMT TRANS
05/05/2000	1042.000	RECV	SHIRE PHARMA GROUP PLC ADR			\$47,280.75	VALUED PER STMT TRANS
05/05/2000	2070.000	RECV	SPRINT CORP PCS GROUP			\$123,423.75	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	STAR TELECOMMUNICATIONS			\$88,760.00	VALUED PER STMT TRANS
05/05/2000	6000.000	RECV	STARWOOD HOTELS & RESORTS WORLDWIDE INC			\$176,250.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	TEKELEC			\$176,250.00	VALUED PER STMT TRANS
05/05/2000	8050.000	RECV	3COM CORP			\$347,156.25	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	SYMYX TECHNOLOGIES INC			\$112,500.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	TECHNOLOGY SOLUTIONS CO			\$29,065.00	VALUED PER STMT TRANS
05/05/2000	15000.000	RECV	TECHNOLOGY SOLUTIONS CO			\$87,195.00	VALUED PER STMT TRANS
05/05/2000	4140.000	RECV	SPRINT CORP			\$250,730.82	VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	US WEST INC NEW			\$72,938.00	VALUED PER STMT TRANS
05/05/2000	12000.000	RECV	WELLS FARGO & CO NEW			\$478,500.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	WORLD ACCESS INC			\$161,880.00	VALUED PER STMT TRANS
05/05/2000	200.000	RECV	MCI WORLDCOM INC 200101 53.375 CALL			\$78,750.00	VALUED PER STMT TRANS
05/05/2000	RECV SHORT	600.000	QUALCOMM INC 200201 195 CALL		\$1,350,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	GLOBAL CROSSING LTD 200201 35 PUT		\$227,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	300.000	GLOBAL CROSSING LTD 200201 40 PUT		\$438,750.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	GLOBAL CROSSING LTD 200101 40 PUT		\$116,250.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	255.000	FEDERAL HOME LN MTG 200101 45 PUT		\$176,906.25		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	300.000	INTL BUSINESS MACH 200201 80 PUT		\$202,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000	MCI WORLDCOM INC 200201 35 PUT		\$197,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	COMPAQ COMPUTER CORP 200101 25 PUT		\$32,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	LEVEL 3 COMMUNICATIONS 200201 70 PUT		\$167,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000	GLOBALSTAR TELECOMM 200201 15 PUT		\$385,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	QUALCOMM INC 200201 100 PUT		\$265,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	LUCENT TECHNOLOGIES INC 200201 45 PUT		\$118,750.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	AT&T CORP 200201 50 PUT		\$285,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	QUALCOMM INC 200201 80 PUT		\$161,250.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	PSINET INC 200201 40 PUT		\$425,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	FEDERAL HOME LN MTG 200201 40 PUT		\$123,750.00		VALUED PER STMT TRANS

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
05/05/2000	RECV SHORT	200.000	FEDERAL HOME LN MTG 200201 50 PUT		\$235,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	300.000	INTL BUSINESS MACH 200201 90 PUT		\$300,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	QUALCOMM INC 200201 75 PUT		\$280,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	COMPAQ COMPUTER CORP 200201 30 PUT		\$156,250.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	1000.000	MCI WORLDCOM INC 200201 43.375 PUT		\$875,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	MCI WORLDCOM INC 200201 53.375 PUT		\$297,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	CISCO SYS INC 200201 50 PUT		\$165,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	CISCO SYS INC 200201 60 PUT		\$121,250.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000	PSINET INC 200201 30 PUT		\$550,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	PSINET INC 200201 35 PUT		\$347,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 33.375 PUT		\$25,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	280.000	MCI WORLDCOM INC 200101 46.625 PUT		\$227,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 50 PUT		\$105,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 56.625 PUT		\$153,750.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	NOVELL INC 200201 30 PUT		\$392,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	600.000	GLOBALSTAR TELECOMM 200201 25 PUT		\$1,095,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000	GLOBALSTAR TELECOMM 200201 30 PUT		\$910,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	GLOBALSTAR TELECOMM 200201 35 PUT		\$545,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	BANK ONE CORP 200201 30 PUT		\$135,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	AMERICA ONLINE INC DEL 200201 27.5 PUT		\$37,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	600.000	GLOBAL CROSSING LTD 200201 30 PUT		\$510,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200201 40 PUT		\$69,375.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	AMERICA ONLINE INC DEL 200101 55 PUT		\$167,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	AMERICA ONLINE INC DEL 200101 50 PUT		\$118,750.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	ENZON INC 200011 35 PUT		\$192,500.00		VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	AMER EXPRESS CO			\$730,625.00	VALUED PER STMT TRANS
05/08/2000	10850.000	RECV	VIACOM INC B NON VTG			\$591,325.00	VALUED PER STMT TRANS
05/11/2000	2000.000	RECV	WORLDPORT COMMUNICATIONS INC			\$4,620.00	VALUED PER STMT TRANS
05/11/2000	500.000	RECV	WALT DISNEY CO HOLDING CO			\$20,562.50	VALUED PER STMT TRANS
05/11/2000	1025.000	RECV	GLOBAL CROSSING LTD			\$32,992.70	VALUED PER STMT TRANS
05/11/2000	600.000	RECV	CITIGROUP INC			\$35,362.80	VALUED PER STMT TRANS
05/11/2000	800.000	RECV	AMERICA ONLINE INC			\$42,950.40	VALUED PER STMT TRANS
05/11/2000	800.000	RECV	QUALCOMM INC			\$79,450.40	VALUED PER STMT TRANS

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
05/11/2000	3000.000	RECV	STAR TELECOMMUNICATIONS			\$11,250.00	VALUED PER STMT TRANS
05/11/2000	1000.000	RECV	3COM CORP			\$42,688.00	VALUED PER STMT TRANS
05/11/2000	542.000	RECV	VIACOM INC B NON VTG			\$28,150.39	VALUED PER STMT TRANS
05/11/2000	500.000	RECV	WIND RIVER SYSTEMS INC			\$18,344.00	VALUED PER STMT TRANS
05/11/2000	3000.000	RECV	WORLDCOM INC			\$126,939.00	VALUED PER STMT TRANS
05/11/2000	3000.000	RECV	WORLDPORT COMMUNICATIONS INC			\$6,930.00	VALUED PER STMT TRANS
05/11/2000	RECV SHORT	10.000	MCI WORLDCOM INC 200101 46.625 PUT 150 SHS				NOT VALUED PER STMT TRANS
05/12/2000	10000.000	RECV	AMER EXPRESS CO				NOT VALUED, STOCK SPLIT SHARES
05/12/2000	RECV SHORT	10.000	MCI WORLDCOM INC 200101 40 PUT 150 SHS				NOT VALUED PER STMT TRANS
05/15/2000	RECV SHORT	50.000	GLOBAL CROSSING LTD 200201 35 PUT		\$58,750.00		VALUED PER STMT TRANS
05/15/2000	RECV SHORT	20.000	MCI WORLDCOM INC 200201 43.375 PUT 150 SHS		\$18,250.00		VALUED PER STMT TRANS
05/22/2000	RECV SHORT	20.000	WORLDCOM INC 200201 40 PUT 150 SHS		\$16,750.00		VALUED PER STMT TRANS
09/05/2000	800.000	RECV	ABGENIX INC			\$58,150.40	VALUED PER STMT TRANS; FM XY-98765
09/14/2000	800.000	RECV	ABGENIX INC			\$67,600.00	VALUED PER STMT TRANS
12/08/2000	5000.000	RECV	MSH ENTERTAINMENT CORP			\$125.00	VALUED PER STMT TRANS; FM XY-98765
12/08/2000	2000.000	RECV	PSINET INC			\$1,938.00	VALUED PER STMT TRANS; FM XY-98765
12/08/2000	2000.000	RECV	WORLDCOM INC			\$30,376.00	VALUED PER STMT TRANS; FM XY-98765
02/08/2002	1000.000	RECV	E-LOAN INC			\$1,320.00	VALUED PER STMT TRANS
02/08/2002	387.000	RECV	WALT DISNEY CO			\$9,063.54	VALUED PER STMT TRANS
02/08/2002	6000.000	RECV	GLOBALSTAR TELECOMM			\$846.00	VALUED PER STMT TRANS
02/08/2002	5000.000	RECV	PSINET INC			\$5.00	VALUED PER STMT TRANS
02/08/2002	10000.000	RECV	WORLDCOM INC			\$81,800.00	VALUED PER STMT TRANS
02/08/2002	400.000	RECV	WORLDCOM INC GA NEW MCI GROUP			\$3,176.00	VALUED PER STMT TRANS
<b>TOTAL FOR SECURITIES RECEIVED IN</b>						<b>\$23,741,969.62</b>	

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
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### DEPOSITS

12/30/1998			PERSONAL CHECK DEPOSITED			\$19,000.00	
02/08/1999			PERSONAL CHECK DEPOSITED			\$20,934.33	
01/05/2000			PERSONAL CHECK DEPOSITED			\$10,000.00	
05/11/2000			CREDIT CASH BALANCE			\$107.31	
05/15/2000			CREDIT CASH BALANCE			\$2,400.00	
05/18/2000			CREDIT CASH BALANCE			\$1,924.55	
05/19/2000			CREDIT CASH BALANCE			\$28.08	
05/31/2000			CREDIT CASH BALANCE			\$1,680.00	
05/31/2000			CREDIT CASH BALANCE			\$96.00	
12/22/2000			LOCAL CHECK			\$9,000.00	
03/20/2001			LOCAL CHECK			\$8,000.00	
03/22/2001			LOCAL CHECK DEPOSITED			\$5,000.00	
04/10/2001			LOCAL CHECK			\$5,000.00	
06/20/2001			LOCAL CHECK			\$10,000.00	
08/15/2001			LOCAL CHECK			\$5,000.00	
09/18/2001			LOCAL CHECK			\$2,384.00	
09/26/2001			LOCAL CHECK			\$3,701.00	
10/03/2001			LOCAL CHECK			\$1,274.00	
10/03/2001			LOCAL CHECK DEPOSITED			\$555.00	
01/29/2002			LOCAL CHECK			\$500.00	
02/06/2002			FEDERAL FUNDS DEPOSITED			\$2,600.00	
02/06/2002			FEDERAL FUNDS DEPOSITED			\$3,500.00	
03/25/2002			FEDERAL FUNDS DEPOSITED			\$12,715.00	

<b>TOTAL FOR DEPOSITS</b>						<b>\$125,399.27</b>	

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
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### CASH TRANSFERRED IN

04/13/1999			FROM XY-98765			\$1,347,185.72	
05/08/2000			FROM XY-98765			\$2,142.79	
05/09/2000			FROM XY-98765			\$880,681.23	
05/16/2000			FROM XY-98765			\$337.50	
06/22/2000			FM XY-98765			\$33,350.00	
11/16/2000			FM XY-98765			\$10,000.00	
11/16/2000			FM XY-98765			\$2,700.00	
03/21/2001			FROM XY-98765			\$51,330.00	
07/16/2001			FROM XY-98765			\$8,985.00	
08/13/2001			FROM XY-98765			\$20,000.00	
02/07/2002			FROM XY-98765			\$20,300.00	

<b>TOTAL FOR CASH TRANSFERRED IN</b>	<b>\$2,377,012.24</b>
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### DIVIDENDS

09/10/1997			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
09/10/1997			INTL BUSINESS MACH			\$1,200.00	DIVIDEND
09/15/1997			CONS EDISON			\$525.00	DIVIDEND
09/15/1997			SUNBEAM CORP			\$40.00	DIVIDEND
09/26/1997			MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND
09/29/1997			SPRINT CORP			\$517.50	DIVIDEND
10/01/1997			ALLSTATE CORP			\$222.48	DIVIDEND
10/01/1997			EASTMAN KODAK CO			\$440.00	DIVIDEND
10/01/1997			MERCK & CO			\$1,350.00	DIVIDEND
10/01/1997			SEARS ROEBUCK			\$230.00	DIVIDEND
10/02/1997			KIMBERLY CLARK CORP			\$1,310.40	DIVIDEND
10/30/1997			CHASE MANHATTAN CORP NEW			\$930.00	DIVIDEND
10/30/1997			MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND
11/03/1997			US WEST COMMUNICATIONS GROUP			\$535.00	DIVIDEND
11/10/1997			AMER EXPRESS CO			\$1,125.00	DIVIDEND
11/17/1997			CINERGY CORP			\$337.50	DIVIDEND

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
11/20/1997			WELLS FARGO & CO			\$1,560.00	DIVIDEND
11/21/1997			WALT DISNEY CO			\$132.50	DIVIDEND
11/26/1997			LEHMAN BROS HOLDINGS INC			\$12.00	DIVIDEND
11/26/1997			MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND
12/10/1997			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
12/10/1997			INTL BUSINESS MACH			\$1,200.00	DIVIDEND
12/15/1997			CONS EDISON			\$525.00	DIVIDEND
12/15/1997			SUNBEAM CORP			\$40.00	DIVIDEND
12/26/1997			MANAGED MUNI PORTFOLIO II INC			\$1,280.00	DIVIDEND STG
12/26/1997			MANAGED MUNI PORTFOLIO II INC			\$80.00	DIVIDEND LTG
12/29/1997			SPRINT CORP			\$517.50	DIVIDEND
12/29/1997			UNR INDUSTRIES INC			\$60.00	DIVIDEND
01/02/1998			ALLSTATE CORP			\$222.48	DIVIDEND
01/02/1998			EASTMAN KODAK CO			\$440.00	DIVIDEND
01/02/1998			MERCK & CO			\$1,350.00	DIVIDEND
01/02/1998			SEARS ROEBUCK			\$230.00	DIVIDEND
01/05/1998			KIMBERLY CLARK CORP			\$1,310.40	DIVIDEND
01/29/1998			MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND
02/02/1998			CHASE MANHATTAN CORP NEW			\$930.00	DIVIDEND
02/02/1998			US WEST COMMUNICATIONS GROUP			\$535.00	DIVIDEND
02/10/1998			AMER EXPRESS CO			\$1,125.00	DIVIDEND
02/17/1998			CINERGY CORP			\$337.50	DIVIDEND
02/20/1998			WALT DISNEY CO			\$132.50	DIVIDEND
02/20/1998			WELLS FARGO & CO			\$1,560.00	DIVIDEND
02/26/1998			LEHMAN BROS HOLDINGS INC			\$15.00	DIVIDEND
02/26/1998			MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND
03/10/1998			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
03/10/1998			INTL BUSINESS MACH			\$1,200.00	DIVIDEND
03/16/1998			CONS EDISON CO			\$530.00	DIVIDEND
03/16/1998			SUNBEAM CORP			\$40.00	DIVIDEND
03/27/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
03/30/1998			SPRINT CORP			\$517.50	DIVIDEND
04/01/1998			ALLSTATE CORP			\$250.29	DIVIDEND

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
04/01/1998			EASTMAN KODAK CO			\$440.00	DIVIDEND
04/01/1998			MERCK & CO			\$1,350.00	DIVIDEND
04/01/1998			SEARS ROEBUCK			\$230.00	DIVIDEND
04/02/1998			KIMBERLY CLARK CORP			\$1,365.00	DIVIDEND
04/24/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
04/29/1998			CHASE MANHATTAN CORP NEW			\$1,080.00	DIVIDEND
05/01/1998			US WEST COMMUNICATIONS GROUP			\$535.00	DIVIDEND
05/08/1998			AMER EXPRESS CO			\$1,125.00	DIVIDEND
05/15/1998			CINERGY CORP			\$337.50	DIVIDEND
05/20/1998			WELLS FARGO & CO			\$1,560.00	DIVIDEND
05/22/1998			WALT DISNEY CO			\$157.50	DIVIDEND
05/28/1998			LEHMAN BROS HOLDINGS INC			\$15.00	DIVIDEND
05/28/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
06/10/1998			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
06/10/1998			INTL BUSINESS MACH			\$1,320.00	DIVIDEND
06/12/1998			AMERICA ONLINE INC DEL			\$1.25	DIVIDEND
06/15/1998			CONS EDISON CO			\$530.00	DIVIDEND
06/16/1998			SUNBEAM CORP			\$40.00	DIVIDEND
06/26/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
06/29/1998			SPRINT CORP			\$517.50	DIVIDEND
07/01/1998			ALLSTATE CORP			\$250.29	DIVIDEND
07/01/1998			EASTMAN KODAK CO			\$440.00	DIVIDEND
07/01/1998			MERCK & CO			\$1,350.00	DIVIDEND
07/01/1998			SEARS ROEBUCK			\$230.00	DIVIDEND
07/02/1998			KIMBERLY CLARK CORP			\$1,365.00	DIVIDEND
07/30/1998			CHASE MANHATTAN CORP NEW			\$1,080.00	DIVIDEND
07/30/1998			MANAGED MUNI PORTFOLIO II INC			\$384.00	DIVIDEND
08/03/1998			US WEST INC NEW			\$535.00	DIVIDEND
08/10/1998			AMER EXPRESS CO			\$1,125.00	DIVIDEND
08/17/1998			CINERGY CORP			\$337.50	DIVIDEND
08/20/1998			WELLS FARGO & CO			\$1,560.00	DIVIDEND
08/21/1998			WALT DISNEY CO			\$204.75	DIVIDEND
08/28/1998			MANAGED MUNI PORTFOLIO II INC			\$384.00	DIVIDEND

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
08/28/1998			LEHMAN BROS HOLDINGS INC			\$15.00	DIVIDEND
09/10/1998			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
09/10/1998			INTL BUSINESS MACH			\$1,320.00	DIVIDEND
09/15/1998			CONS EDISON CO			\$530.00	DIVIDEND
09/25/1998			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
09/29/1998			SPRINT CORP			\$517.50	DIVIDEND
10/01/1998			ALLSTATE CORP			\$250.29	DIVIDEND
10/01/1998			EASTMAN KODAK CO			\$440.00	DIVIDEND
10/01/1998			MERCK & CO			\$1,620.00	DIVIDEND
10/01/1998			SEARS ROEBUCK			\$230.00	DIVIDEND
10/02/1998			KIMBERLY CLARK CORP			\$1,365.00	DIVIDEND
10/05/1998			ALLTEL CORP			\$147.61	DIVIDEND
10/29/1998			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
11/02/1998			CHASE MANHATTAN CORP NEW			\$1,800.00	DIVIDEND
11/02/1998			US WEST INC NEW			\$535.00	DIVIDEND
11/10/1998			AMER EXPRESS CO			\$1,125.00	DIVIDEND
11/16/1998			CINERGY CORP			\$337.50	DIVIDEND
11/20/1998			WALT DISNEY CO			\$204.75	DIVIDEND
11/20/1998			WELLS FARGO & CO			\$1,560.00	DIVIDEND
11/25/1998			CITIGROUP INC			\$540.00	DIVIDEND
11/27/1998			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
11/27/1998			LEHMAN BROS HOLDINGS INC			\$15.00	DIVIDEND
12/10/1998			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
12/10/1998			INTL BUSINESS MACH			\$1,320.00	DIVIDEND
12/15/1998			CONS EDISON CO			\$530.00	DIVIDEND
12/24/1998			MANAGED MUNI PORTFOLIO II INC			\$1,416.00	DIVIDEND STG
12/28/1998			SPRINT CORP			\$517.50	DIVIDEND
01/04/1999			ALLSTATE CORP			\$250.29	DIVIDEND
01/04/1999			ALLTEL CORP			\$155.25	DIVIDEND
01/04/1999			EASTMAN KODAK CO			\$440.00	DIVIDEND
01/04/1999			MERCK & CO			\$1,620.00	DIVIDEND
01/04/1999			SEARS ROEBUCK			\$230.00	DIVIDEND
01/05/1999			KIMBERLY CLARK CORP			\$1,365.00	DIVIDEND



## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
01/28/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
02/01/1999			CHASE MANHATTAN CORP NEW			\$1,800.00	DIVIDEND
02/01/1999			US WEST INC NEW			\$535.00	DIVIDEND
02/10/1999			AMER EXPRESS CO			\$1,125.00	DIVIDEND
02/16/1999			CINERGY CORP			\$337.50	DIVIDEND
02/25/1999			CITIGROUP INC			\$540.00	DIVIDEND
02/25/1999			LEHMAN BROS HOLDINGS INC			\$18.00	DIVIDEND
03/01/1999			WELLS FARGO & CO NEW			\$2,220.00	DIVIDEND
03/10/1999			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
03/10/1999			INTL BUSINESS MACH			\$1,320.00	DIVIDEND
03/15/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
03/15/1999			CONS EDISON CO			\$535.00	DIVIDEND
03/26/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
03/30/1999			SPRINT CORP			\$517.50	DIVIDEND
04/01/1999			ALLSTATE CORP			\$278.10	DIVIDEND
04/01/1999			EASTMAN KODAK CO			\$440.00	DIVIDEND
04/01/1999			MERCK & CO			\$1,620.00	DIVIDEND
04/01/1999			SEARS ROEBUCK			\$230.00	DIVIDEND
04/05/1999			KIMBERLY CLARK CORP			\$1,419.60	DIVIDEND
04/05/1999			ALLTEL CORP			\$155.25	DIVIDEND
04/29/1999			CHASE MANHATTAN CORP NEW			\$2,050.00	DIVIDEND
04/29/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
05/03/1999			US WEST INC NEW			\$535.00	DIVIDEND
05/03/1999			FRONTIER CORP			\$25.00	DIVIDEND
05/10/1999			AMER EXPRESS CO			\$1,125.00	DIVIDEND
05/17/1999			CINERGY CORP			\$337.50	DIVIDEND
05/27/1999			CITIGROUP INC			\$1,050.00	DIVIDEND
05/27/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
05/27/1999			CITIGROUP INC			\$84.00	DIVIDEND
06/01/1999			LEHMAN BROS HOLDINGS INC			\$18.00	DIVIDEND
06/01/1999			WELLS FARGO & CO NEW			\$2,400.00	DIVIDEND
06/10/1999			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
06/10/1999			INTL BUSINESS MACH			\$1,440.00	DIVIDEND

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
06/15/1999			CONS EDISON CO			\$535.00	DIVIDEND
06/25/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
06/29/1999			SPRINT CORP			\$517.50	DIVIDEND
07/01/1999			ALLSTATE CORP			\$758.10	DIVIDEND
07/01/1999			EASTMAN KODAK CO			\$440.00	DIVIDEND
07/01/1999			MERCK & CO			\$1,620.00	DIVIDEND
07/01/1999			SEARS ROEBUCK			\$230.00	DIVIDEND
07/02/1999			KIMBERLY CLARK CORP			\$1,419.60	DIVIDEND
07/06/1999			ALLTEL CORP			\$155.25	DIVIDEND
07/20/1999			COMPAQ COMPUTER CORP			\$200.00	DIVIDEND
07/29/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
08/02/1999			CHASE MANHATTAN CORP NEW			\$2,050.00	DIVIDEND
08/02/1999			US WEST INC NEW			\$750.00	DIVIDEND
08/02/1999			FRONTIER CORP			\$25.00	DIVIDEND
08/10/1999			AMER EXPRESS CO			\$1,125.00	DIVIDEND
08/16/1999			CINERGY CORP			\$337.50	DIVIDEND
08/27/1999			CITIGROUP INC			\$1,400.00	DIVIDEND
08/27/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
08/27/1999			CITIGROUP INC			\$84.00	DIVIDEND
08/30/1999			LEHMAN BROS HOLDINGS INC			\$18.00	DIVIDEND
09/02/1999			WELLS FARGO & CO NEW			\$2,400.00	DIVIDEND
09/10/1999			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
09/10/1999			INTL BUSINESS MACH			\$1,440.00	DIVIDEND
09/15/1999			CONS EDISON CO			\$535.00	DIVIDEND
09/24/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
09/29/1999			SPRINT CORP			\$517.50	DIVIDEND
10/01/1999			ALLSTATE CORP			\$758.10	DIVIDEND
10/01/1999			EASTMAN KODAK CO			\$440.00	DIVIDEND
10/01/1999			MERCK & CO			\$1,740.00	DIVIDEND
10/01/1999			SEARS ROEBUCK			\$230.00	DIVIDEND
10/04/1999			ALLTEL CORP			\$155.25	DIVIDEND
10/04/1999			KIMBERLY CLARK CORP			\$1,419.60	DIVIDEND
10/20/1999			COMPAQ COMPUTER CORP			\$200.00	DIVIDEND

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
10/28/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
11/01/1999			CHASE MANHATTAN CORP NEW			\$2,050.00	DIVIDEND
11/01/1999			US WEST INC NEW			\$535.00	DIVIDEND
11/10/1999			AMER EXPRESS CO			\$1,125.00	DIVIDEND
11/15/1999			CINERGY CORP			\$337.50	DIVIDEND
11/24/1999			CITIGROUP INC			\$1,400.00	DIVIDEND
11/24/1999			CITIGROUP INC			\$84.00	DIVIDEND
11/26/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
11/29/1999			LEHMAN BROS HOLDINGS INC			\$18.00	DIVIDEND
12/01/1999			WELLS FARGO & CO NEW			\$2,400.00	DIVIDEND
12/10/1999			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
12/10/1999			INTL BUSINESS MACH			\$1,440.00	DIVIDEND
12/15/1999			CONS EDISON CO			\$535.00	DIVIDEND
12/17/1999			WALT DISNEY CO			\$2,100.00	DIVIDEND
12/17/1999			WALT DISNEY CO HOLDING CO			\$105.00	DIVIDEND
12/29/1999			SPRINT CORP			\$517.50	DIVIDEND
12/30/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
01/03/2000			ALLSTATE CORP			\$758.10	DIVIDEND
01/03/2000			ALLTEL CORP			\$162.88	DIVIDEND
01/03/2000			EASTMAN KODAK CO			\$440.00	DIVIDEND
01/03/2000			MERCK & CO			\$1,740.00	DIVIDEND
01/03/2000			SEARS ROEBUCK			\$230.00	DIVIDEND
01/04/2000			KIMBERLY CLARK CORP			\$1,419.60	DIVIDEND
01/20/2000			COMPAQ COMPUTER CORP			\$500.00	DIVIDEND
01/28/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
01/28/2000			CHASE MANHATTAN CORP NEW			\$2,050.00	DIVIDEND
02/01/2000			US WEST INC NEW			\$535.00	DIVIDEND
02/10/2000			AMER EXPRESS CO			\$1,125.00	DIVIDEND
02/15/2000			CINERGY CORP			\$337.50	DIVIDEND
02/25/2000			CITIGROUP INC			\$1,600.00	DIVIDEND
02/25/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
02/25/2000			CITIGROUP INC			\$96.00	DIVIDEND
02/28/2000			LEHMAN BROS HOLDINGS INC			\$22.00	DIVIDEND

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
03/01/2000			WELLS FARGO & CO NEW			\$2,640.00	DIVIDEND
03/02/2000			ECI TELECOM LTD ISRAEL			\$7.50	DIVIDEND
03/10/2000			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
03/10/2000			INTL BUSINESS MACH			\$1,800.00	DIVIDEND
03/15/2000			CONS EDISON CO			\$545.00	DIVIDEND
03/30/2000			SPRINT CORP			\$517.50	DIVIDEND
03/30/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
04/03/2000			ALLSTATE CORP			\$859.18	DIVIDEND
04/03/2000			ALLTEL CORP			\$162.88	DIVIDEND
04/03/2000			EASTMAN KODAK CO			\$440.00	DIVIDEND
04/03/2000			MERCK & CO			\$1,740.00	DIVIDEND
04/03/2000			SEARS ROEBUCK			\$230.00	DIVIDEND
04/04/2000			KIMBERLY CLARK CORP			\$1,474.20	DIVIDEND
05/26/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
05/30/2000			LEHMAN BROS HOLDINGS INC			\$22.00	DIVIDEND
06/01/2000			FIRSTENERGY CORP			\$196.50	DIVIDEND
06/01/2000			WELLS FARGO & CO NEW			\$2,640.00	DIVIDEND
06/09/2000			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
06/12/2000			INTL BUSINESS MACH			\$2,470.00	DIVIDEND
06/15/2000			CONS EDISON CO			\$545.00	DIVIDEND
06/15/2000			FIRST UNION CORP			\$2,400.00	DIVIDEND
06/29/2000			AMEREN CORP			\$635.00	DIVIDEND
06/29/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
06/29/2000			SPRINT CORP			\$517.50	DIVIDEND
07/03/2000			BANK ONE CORP			\$6,300.00	DIVIDEND
07/03/2000			COMERICA INC			\$2,000.00	DIVIDEND
07/03/2000			ALLSTATE CORP			\$859.18	DIVIDEND
07/03/2000			ALLTEL CORP			\$225.60	DIVIDEND
07/03/2000			EASTMAN KODAK CO			\$440.00	DIVIDEND
07/03/2000			MERCK & CO			\$2,900.00	DIVIDEND
07/03/2000			SEARS ROEBUCK			\$230.00	DIVIDEND
07/06/2000			KIMBERLY CLARK CORP			\$1,474.20	DIVIDEND
07/14/2000			MANUFACTURED HOME COMMUNITIES INC			\$1,660.00	DIVIDEND

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
07/17/2000			SL GREEN REALTY CORP			\$1,450.00	DIVIDEND
07/28/2000			BOSTON PROPERTIES INC			\$2,120.00	DIVIDEND
07/28/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
07/28/2000			CHASE MANHATTAN CORP NEW			\$4,800.00	DIVIDEND
08/04/2000			COLONIAL PROPERTIES TR			\$2,400.00	DIVIDEND
08/10/2000			AMER EXPRESS CO			\$1,200.00	DIVIDEND
08/15/2000			CINERGY CORP			\$337.50	DIVIDEND
08/25/2000			CITIGROUP INC			\$3,826.67	DIVIDEND
08/25/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
08/25/2000			CITIGROUP INC			\$112.00	DIVIDEND
08/30/2000			LEHMAN BROS HOLDINGS INC			\$22.00	DIVIDEND
09/01/2000			FIRSTENERGY CORP			\$196.50	DIVIDEND
09/01/2000			WELLS FARGO & CO NEW			\$2,640.00	DIVIDEND
09/08/2000			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
09/11/2000			INTL BUSINESS MACH			\$2,080.00	DIVIDEND
09/15/2000			CONS EDISON CO			\$545.00	DIVIDEND
09/15/2000			FIRST UNION CORP			\$2,400.00	DIVIDEND
09/28/2000			AMEREN CORP			\$635.00	DIVIDEND
09/28/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
09/28/2000			SPRINT CORP			\$517.50	DIVIDEND
10/02/2000			BANK ONE CORP			\$3,150.00	DIVIDEND
10/02/2000			COMERICA INC			\$2,000.00	DIVIDEND
10/02/2000			EASTMAN KODAK CO			\$440.00	DIVIDEND
10/02/2000			MERCK & CO			\$3,400.00	DIVIDEND
10/02/2000			SEARS ROEBUCK			\$230.00	DIVIDEND
10/03/2000			ALLTEL CORP			\$225.60	DIVIDEND
10/03/2000			KIMBERLY CLARK CORP			\$1,474.20	DIVIDEND
10/13/2000			MANUFACTURED HOME COMMUNITIES INC			\$1,660.00	DIVIDEND
10/16/2000			SL GREEN REALTY CORP			\$1,450.00	DIVIDEND
10/27/2000			BOSTON PROPERTIES INC			\$2,120.00	DIVIDEND
10/30/2000			CHASE MANHATTAN CORP NEW			\$4,800.00	DIVIDEND
11/10/2000			AMER EXPRESS CO			\$1,200.00	DIVIDEND
11/15/2000			CINERGY CORP			\$337.50	DIVIDEND

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
11/22/2000			CITIGROUP INC			\$2,846.62	DIVIDEND
11/22/2000			CITIGROUP INC			\$112.00	DIVIDEND
11/29/2000			LEHMAN BROS HOLDINGS INC			\$22.00	DIVIDEND
12/01/2000			FIRSTENERGY CORP			\$196.50	DIVIDEND
12/01/2000			WELLS FARGO & CO NEW			\$2,880.00	DIVIDEND
12/08/2000			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
12/11/2000			INTL BUSINESS MACH			\$1,690.00	DIVIDEND
12/15/2000			CONS EDISON CO			\$545.00	DIVIDEND
12/28/2000			SPRINT CORP			\$517.50	DIVIDEND
12/28/2000			AMEREN CORP			\$635.00	DIVIDEND
01/02/2001			MERCK & CO			\$2,040.00	DIVIDEND
01/03/2001			ALLTEL CORP			\$232.65	DIVIDEND
01/03/2001			KIMBERLY CLARK CORP			\$1,474.20	DIVIDEND
01/31/2001			JP MORGAN CHASE & CO			\$1,600.00	DIVIDEND
02/09/2001			AMER EXPRESS CO			\$800.00	DIVIDEND
02/15/2001			CINERGY CORP			\$337.50	DIVIDEND
02/23/2001			CITIGROUP INC			\$2,146.62	DIVIDEND
02/23/2001			CITIGROUP INC			\$112.00	DIVIDEND
02/27/2001			LEHMAN BROS HOLDINGS INC			\$28.00	DIVIDEND
03/01/2001			FIRSTENERGY CORP			\$196.50	DIVIDEND
03/01/2001			WELLS FARGO & CO NEW			\$2,880.00	DIVIDEND
03/09/2001			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
03/12/2001			INTL BUSINESS MACH			\$1,300.00	DIVIDEND
03/15/2001			CONS EDISON CO			\$550.00	DIVIDEND
03/29/2001			AMEREN CORP			\$635.00	DIVIDEND
03/29/2001			SPRINT CORP			\$517.50	DIVIDEND
04/03/2001			ALLTEL CORP			\$232.65	DIVIDEND
04/27/2001			JP MORGAN CHASE & CO			\$1,700.00	DIVIDEND
05/10/2001			AMER EXPRESS CO			\$400.00	DIVIDEND
05/15/2001			CINERGY CORP			\$337.50	DIVIDEND
05/25/2001			CITIGROUP INC			\$746.62	DIVIDEND
05/30/2001			LEHMAN BROS HOLDINGS INC			\$28.00	DIVIDEND
06/01/2001			FIRSTENERGY CORP			\$196.50	DIVIDEND

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
06/01/2001			WELLS FARGO & CO NEW			\$1,920.00	DIVIDEND
06/08/2001			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
06/11/2001			INTL BUSINESS MACH			\$700.00	DIVIDEND
06/15/2001			CONS EDISON CO			\$550.00	DIVIDEND
06/28/2001			AMEREN CORP			\$635.00	DIVIDEND
06/28/2001			SPRINT CORP			\$517.50	DIVIDEND
07/03/2001			ALLTEL CORP			\$232.65	DIVIDEND
07/30/2001			JP MORGAN CHASE & CO			\$1,700.00	DIVIDEND
08/01/2001			AT&T CORP			\$465.00	DIVIDEND
08/10/2001			AMER EXPRESS CO			\$400.00	DIVIDEND
08/15/2001			CINERGY CORP			\$337.50	DIVIDEND
08/24/2001			CITIGROUP INC			\$853.28	DIVIDEND
08/30/2001			LEHMAN BROS HOLDINGS INC			\$28.00	DIVIDEND
09/04/2001			FIRSTENERGY CORP			\$196.50	DIVIDEND
09/04/2001			WELLS FARGO & CO NEW			\$2,080.00	DIVIDEND
09/07/2001			LONDON PAC GROUP LTD SPON ADR			\$44.00	DIVIDEND
09/10/2001			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
09/10/2001			INTL BUSINESS MACH			\$700.00	DIVIDEND
09/17/2001			CONS EDISON CO			\$550.00	DIVIDEND
09/27/2001			AMEREN CORP			\$635.00	DIVIDEND
09/27/2001			SPRINT CORP			\$392.50	DIVIDEND
10/03/2001			ALLTEL CORP			\$232.65	DIVIDEND
10/15/2001			WORLDCOM INC GA NEW MCI GROUP			\$1,920.00	DIVIDEND
10/30/2001			JP MORGAN CHASE & CO			\$1,020.00	DIVIDEND
11/01/2001			AT&T CORP			\$375.00	DIVIDEND
11/15/2001			CINERGY CORP			\$337.50	DIVIDEND
11/29/2001			LEHMAN BROS HOLDINGS INC			\$28.00	DIVIDEND
12/03/2001			FIRSTENERGY CORP			\$146.30	DIVIDEND
12/03/2001			WELLS FARGO & CO NEW			\$2,080.00	DIVIDEND
12/07/2001			FIRSTENERGY CORP			\$50.20	DIVIDEND
12/10/2001			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
12/10/2001			INTL BUSINESS MACH			\$140.00	DIVIDEND
12/17/2001			CONS EDISON CO			\$550.00	DIVIDEND

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
12/28/2001			SPRINT CORP			\$392.50	DIVIDEND
12/28/2001			AMEREN CORP			\$635.00	DIVIDEND
01/03/2002			ALLTEL CORP			\$239.70	DIVIDEND
01/15/2002			WORLDCOM INC GA NEW MCI GROUP			\$1,920.00	DIVIDEND
01/30/2002			JP MORGAN CHASE & CO			\$1,020.00	DIVIDEND
02/01/2002			AT&T CORP			\$375.00	DIVIDEND
02/15/2002			CINERGY CORP			\$337.50	DIVIDEND
02/27/2002			LEHMAN BROS HOLDINGS INC			\$36.00	DIVIDEND
03/01/2002			FIRSTENERGY CORP			\$196.50	DIVIDEND
03/01/2002			WELLS FARGO & CO NEW			\$2,080.00	DIVIDEND
03/11/2002			INTL BUSINESS MACH			\$140.00	DIVIDEND
04/01/2002			AMEREN CORP			\$635.00	DIVIDEND
04/03/2002			ALLTEL CORP			\$239.70	DIVIDEND
04/15/2002			WORLDCOM INC GA NEW MCI GROUP			\$240.00	DIVIDEND
04/29/2002			JP MORGAN CHASE & CO			\$1,020.00	DIVIDEND
<b>TOTAL FOR DIVIDENDS</b>						<b>\$307,996.51</b>	
<b>INTEREST INCOME</b>							
11/17/1997			COOK CO ILL GENL OBLIG 5% 111598			\$2,500.00	INTEREST
05/15/1998			COOK CO ILL GENL OBLIG 5% 111598			\$2,500.00	INTEREST
11/16/1998			COOK CO ILL GENL OBLIG 5% 111598			\$2,500.00	INTEREST
<b>TOTAL FOR INTEREST INCOME</b>						<b>\$7,500.00</b>	



## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
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### DEBITS TRANSFERRED OUT

03/29/2000			DEBIT CASH BALANCE			\$50.00	
03/30/2000			DEBIT CASH BALANCE			\$50.00	
03/30/2000			DEBIT CASH BALANCE			\$58,270.25	
03/30/2000			DEBIT CASH BALANCE			\$50.00	
03/30/2000			DEBIT CASH BALANCE			\$125,326.17	

<b>TOTAL FOR DEBITS TRANSFERRED OUT</b>	<b>\$183,746.42</b>
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### SECURITIES DELIVERED OUT

03/10/1999	DELV	1500.000	3COM CORP			\$36,937.50	VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	ELOYALTY CORP			\$125,625.00	VALUED PER STMT TRANS
03/29/2000	DELV	4000.000	SYMYX TECHNOLOGIES INC			\$162,000.00	VALUED PER STMT TRANS
03/29/2000	DELV	129500.000	WORLDPORT COMMUNICATIONS INC			\$394,975.00	VALUED PER STMT TRANS
03/29/2000	DELV	200.000	MCI WORLDCOM INC 200101 53.375 CALL			\$88,750.00	VALUED PER STMT TRANS
03/29/2000	DELV	5054.000	ALLSTATE CORP			\$114,346.75	VALUED PER STMT TRANS
03/29/2000	DELV	1000.000	AMAZON.COM INC			\$66,250.00	VALUED PER STMT TRANS
03/29/2000	DELV	509.000	ALLTEL CORP			\$32,703.25	VALUED PER STMT TRANS
03/29/2000	DELV	2000.000	AMER ELECTRIC POWER CO			\$59,750.00	VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	AMER EXPRESS CO			\$758,125.00	VALUED PER STMT TRANS
03/29/2000	DELV	1000.000	CONS EDISON CO			\$29,000.00	VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	CHASE MANHATTAN CORP NEW			\$451,875.00	VALUED PER STMT TRANS
03/29/2000	DELV	25000.000	COMPAQ COMPUTER CORP			\$701,575.00	VALUED PER STMT TRANS
03/29/2000	DELV	750.000	CINERGY CORP			\$15,750.00	VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	CBS CORP			\$591,250.00	VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	WALT DISNEY CO			\$408,130.00	VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	WALT DISNEY CO GO.COM			\$208,130.00	VALUED PER STMT TRANS
03/29/2000	DELV	1000.000	EASTMAN KODAK CO			\$54,813.00	VALUED PER STMT TRANS
03/29/2000	DELV	100000.000	E.SPIRE COMMUNICATIONS INC			\$1,043,800.00	VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	ENZON INC			\$409,380.00	VALUED PER STMT TRANS
03/29/2000	DELV	15000.000	GLOBALSTAR TELECOMM			\$208,125.00	VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	GLOBAL CROSSING LTD			\$430,630.00	VALUED PER STMT TRANS

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
03/29/2000	DELV	10000.000	CITIGROUP INC			\$607,500.00	VALUED PER STMT TRANS
03/29/2000	DELV	11100.000	HUMANA INC			\$83,250.00	VALUED PER STMT TRANS
03/29/2000	DELV	15000.000	INTL BUSINESS MACH			\$1,792,500.00	VALUED PER STMT TRANS
03/29/2000	DELV	5460.000	KIMBERLY CLARK CORP			\$290,062.50	VALUED PER STMT TRANS
03/29/2000	DELV	31200.000	KROGER COMPANY			\$551,865.60	VALUED PER STMT TRANS
03/29/2000	DELV	200.000	LEHMAN BROS HOLDINGS INC			\$20,250.00	VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	LIGHTPATH TECHNOLOGIES INC CL A			\$234,065.00	VALUED PER STMT TRANS
03/29/2000	DELV	8000.000	MANAGED MUNI PORTFOLIO II INC			\$74,000.00	VALUED PER STMT TRANS
03/29/2000	DELV	20000.000	AMERICA ONLINE INC DEL			\$1,357,500.00	VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	METAL MANAGEMENT INC			\$22,500.00	VALUED PER STMT TRANS
03/29/2000	DELV	8000.000	MAIL.COM INC CL A			\$145,000.00	VALUED PER STMT TRANS
03/29/2000	DELV	6000.000	MERCK & CO			\$385,500.00	VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	MID-AMER WASTE SYS INC			\$5.00	VALUED PER STMT TRANS
03/29/2000	DELV	53400.000	MCI WORLDCOM INC			\$2,216,100.00	VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	FIRST PACIFIC NETWORKS INC			\$15.00	VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	NOVELL INC			\$144,375.00	VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	ORACLE CORP			\$825,000.00	VALUED PER STMT TRANS
03/29/2000	DELV	20000.000	PSINET INC			\$757,500.00	VALUED PER STMT TRANS
03/29/2000	DELV	600.000	ROHN INDUSTRIES INC			\$2,100.00	VALUED PER STMT TRANS
03/29/2000	DELV	1000.000	SEARS ROEBUCK			\$29,750.00	VALUED PER STMT TRANS
03/29/2000	DELV	1042.000	SHIRE PHARMA GROUP PLC ADR			\$52,100.00	VALUED PER STMT TRANS
03/29/2000	DELV	2070.000	SPRINT CORP PCS GROUP			\$134,291.25	VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	TEKELEC			\$222,190.00	VALUED PER STMT TRANS
03/29/2000	DELV	8050.000	3COM CORP			\$508,663.40	VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	TECHNOLOGY SOLUTIONS CO			\$45,000.00	VALUED PER STMT TRANS
03/29/2000	DELV	4140.000	SPRINT CORP			\$246,330.00	VALUED PER STMT TRANS
03/29/2000	DELV	1000.000	US WEST INC NEW			\$74,375.00	VALUED PER STMT TRANS
03/29/2000	DELV	12000.000	WELLS FARGO & CO NEW			\$473,256.00	VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	GLOBAL CROSSING LTD 200201 35 PUT		\$140,000.00		VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	GLOBAL CROSSING LTD 200201 40 PUT		\$288,750.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200101 40 PUT		\$76,250.00		VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	FEDERAL HOME LN MTG 200101 45 PUT		\$166,875.00		VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	INTL BUSINESS MACH 200201 80 PUT		\$159,375.00		VALUED PER STMT TRANS

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
03/29/2000	100.000	DELV SHRT	COMPAQ COMPUTER CORP 200101 25 PUT		\$35,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	CITIGROUP INC 200201 45 PUT		\$78,750.00		VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 15 PUT		\$310,000.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	QUALCOMM INC 200201 100 PUT		\$160,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	LUCENT TECHNOLOGIES INC 200201 45 PUT		\$101,250.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AT&T CORP 200201 50 PUT		\$112,500.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	QUALCOMM INC 200201 80 PUT		\$90,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	FEDERAL HOME LN MTG 200201 40 PUT		\$101,250.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	FEDERAL HOME LN MTG 200201 50 PUT		\$198,750.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	CHASE MANHATTAN CORP NEW 200101 60 PUT		\$20,625.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	CHASE MANHATTAN CORP NEW 200101 70 PUT		\$41,875.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	WALT DISNEY CO 200101 30 PUT		\$11,250.00		VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	INTL BUSINESS MACH 200201 90 PUT		\$234,375.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	WALT DISNEY CO 200101 25 PUT		\$11,250.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	QUALCOMM INC 200201 75 PUT		\$147,500.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	CISCO SYS INC 200201 27.5 PUT		\$25,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	COMPAQ COMPUTER CORP 200201 30 PUT		\$153,750.00		VALUED PER STMT TRANS
03/29/2000	1500.000	DELV SHRT	MCI WORLDCOM INC 200201 40 PUT		\$1,190,625.00		VALUED PER STMT TRANS
03/29/2000	1000.000	DELV SHRT	MCI WORLDCOM INC 200201 43.375 PUT		\$975,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	MCI WORLDCOM INC 200201 53.375 PUT		\$325,000.00		VALUED PER STMT TRANS
03/29/2000	80.000	DELV SHRT	CITIGROUP INC 200101 26.625 PUT		\$1,500.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	CITIGROUP INC 200101 40 PUT		\$11,250.00		VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	QUALCOMM INC 200101 22.5 PUT		\$20,000.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 33.375 PUT		\$31,875.00		VALUED PER STMT TRANS
03/29/2000	600.000	DELV SHRT	MCI WORLDCOM INC 200101 40 PUT		\$356,250.00		VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	MCI WORLDCOM INC 200101 46.625 PUT		\$273,750.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 50 PUT		\$113,750.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 56.625 PUT		\$163,750.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	NOVELL INC 200201 30 PUT		\$170,000.00		VALUED PER STMT TRANS
03/29/2000	600.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 25 PUT		\$915,000.00		VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 30 PUT		\$775,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 35 PUT		\$472,500.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200201 27.5 PUT		\$30,000.00		VALUED PER STMT TRANS

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
03/29/2000	600.000	DELV SHRT	GLOBAL CROSSING LTD 200201 30 PUT		\$288,750.00		VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 25 PUT		\$15,000.00		VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 40 PUT		\$73,750.00		VALUED PER STMT TRANS
03/29/2000	420.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 30 PUT		\$27,562.50		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200201 40 PUT		\$78,750.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 55 PUT		\$113,750.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 50 PUT		\$83,750.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	PSINET INC 200004 15 PUT		\$1,250.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	PSINET INC 200004 17.5 PUT		\$5,000.00		VALUED PER STMT TRANS
03/29/2000	450.000	DELV SHRT	PSINET INC 200004 20 PUT		\$11,250.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200004 25 PUT		\$2,500.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200004 30 PUT		\$1,875.00		VALUED PER STMT TRANS
03/30/2000	DELV	2000.000	WORLDPORT COMMUNICATIONS INC			\$6,250.00	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	CBS CORP			\$28,750.00	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	WALT DISNEY CO HOLDING CO			\$20,125.00	VALUED PER STMT TRANS
03/30/2000	DELV	1025.000	GLOBAL CROSSING LTD			\$44,395.82	VALUED PER STMT TRANS
03/30/2000	DELV	600.000	CITIGROUP INC			\$35,700.00	VALUED PER STMT TRANS
03/30/2000	DELV	800.000	AMERICA ONLINE INC			\$51,900.00	VALUED PER STMT TRANS
03/30/2000	DELV	800.000	QUALCOMM INC			\$116,187.20	VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	STAR TELECOMMUNICATIONS			\$17,952.00	VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	MCI WORLDCOM INC			\$127,689.00	VALUED PER STMT TRANS
03/30/2000	DELV	1000.000	3COM CORP			\$58,813.00	VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	WORLDPORT COMMUNICATIONS INC			\$9,375.00	VALUED PER STMT TRANS
03/30/2000	50.000	DELV SHRT	GLOBAL CROSSING LTD 200201 35 PUT		\$35,000.00		VALUED PER STMT TRANS
03/30/2000	20.000	DELV SHRT	MCI WORLDCOM INC 200201 40 PUT 150 SHS		\$15,875.00		VALUED PER STMT TRANS
03/30/2000	20.000	DELV SHRT	MCI WORLDCOM INC 200201 43.375 PUT 150 SHS		\$19,500.00		VALUED PER STMT TRANS
03/30/2000	10.000	DELV SHRT	MCI WORLDCOM INC 200101 40 PUT 150 SHS		\$5,937.50		VALUED PER STMT TRANS
03/30/2000	10.000	DELV SHRT	MCI WORLDCOM INC 200101 46.625 PUT 150 SHS		\$9,125.00		VALUED PER STMT TRANS
03/30/2000	DELV	5000.000	CYCOMM INTL INC NEW			\$11,875.00	VALUED PER STMT TRANS
03/30/2000	DELV	5000.000	MSH ENTERTAINMENT CORP			\$1,150.00	VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	WORLDPORT COMMUNICATIONS INC			\$9,375.00	VALUED PER STMT TRANS
03/30/2000	DELV	1000.000	WORLD WIDE WIRELESS COMM INC			\$4,844.00	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	ACACIA RESH CORP			\$21,531.50	VALUED PER STMT TRANS

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
03/30/2000	DELV	200.000	BROADWING INC			\$6,725.00	VALUED PER STMT TRANS
03/30/2000	DELV	300.000	EAGLE WIRELESS INTL INC			\$3,543.90	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	E DIGITAL CORP			\$5,078.00	VALUED PER STMT TRANS
03/30/2000	DELV	200.000	ECI TELECOM LTD ISRAEL			\$6,212.60	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	GLOBALSTAR TELECOMM			\$6,875.00	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	IRVINE SENSORS CORP			\$4,129.00	VALUED PER STMT TRANS
03/30/2000	DELV	1000.000	MAGAININ PHARMACEUTICALS INC			\$4,375.00	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	MEDAREX INC			\$22,125.00	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	NEXELL THERAPEUTICS INC			\$2,094.00	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	NET PERCEPTIONS INC			\$18,750.00	VALUED PER STMT TRANS
03/30/2000	DELV	200.000	NEW ERA OF NETWORKS INC			\$7,800.00	VALUED PER STMT TRANS
03/30/2000	DELV	200.000	PARADYNE NETWORKS INC			\$5,575.00	VALUED PER STMT TRANS
03/30/2000	DELV	2000.000	PSINET INC			\$68,126.00	VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	STAR TELECOMMUNICATIONS			\$17,952.00	VALUED PER STMT TRANS
03/30/2000	DELV	100.000	SYMYX TECHNOLOGIES INC			\$4,387.50	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	WIND RIVER SYSTEM INC			\$20,937.50	VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 40 CALL		\$4,062.50		VALUED PER STMT TRANS
03/30/2000	10.000	DELV SHRT	PSINET INC 200007 50 CALL		\$4,062.50		VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	NET PERCEPTIONS INC 200008 65 CALL		\$1,594.75		VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 10 PUT		\$2,218.75		VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	NEW ERA OF NETWORKS INC 200007 45 PUT		\$6,250.00		VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	NEW ERA OF NETWORKS INC 200007 40 PUT		\$4,750.00		VALUED PER STMT TRANS
03/30/2000	10.000	DELV SHRT	NET PERCEPTIONS INC 200008 25 PUT		\$3,437.50		VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	NET PERCEPTIONS INC 200008 35 PUT		\$4,375.00		VALUED PER STMT TRANS
05/05/2000	1000.000	DELV SHRT	MCI WORLDCOM INC 200201 43.375 PUT		\$875,000.00		VALUED PER STMT TRANS
<b>TOTAL FOR SECURITIES DELIVERED OUT</b>						<b>\$8,273,561.27</b>	

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
<b>CASH WITHDRAWN</b>							
11/07/1997			CHECK PAID		\$50,000.00		
04/09/1999			CHECK XY-98765		\$4,000.00		
04/21/1999			CHECK PAID		\$1,700,000.00		
04/23/1999			CHECK PAID		\$136,000.00		
06/09/1999			CHECK PAID		\$45,000.00		
06/18/1999			CHECK PAID		\$50,000.00		
06/22/1999			CHECK PAID		\$5,000.00		
07/07/1999			CHECK XY-98765		\$5,000.00		
08/09/1999			CHECK PAID		\$355,000.00		
09/22/1999			CHECK PAID		\$25,000.00		
09/24/1999			CHECK PAID		\$2,500.00		
10/25/1999			CHECK PAID		\$67,559.00		
10/26/1999			CHECK PAID		\$2,345.00		
03/29/2000			CREDIT CASH BALANCE		\$56,370.75		
04/04/2000			CREDIT CASH BALANCE		\$917.50		
04/10/2000			CREDIT CASH BALANCE		\$4,906.26		
04/27/2000			CREDIT CASH BALANCE		\$406.57		
05/11/2000			DEBIT CASH BALANCE		\$81,671.91		
09/14/2000			DEBIT CASH BALANCE		\$50.00		
02/13/2002			CHECK XY-98765		\$3,000.00		
<b>TOTAL FOR CASH WITHDRAWN</b>					<b>(\$2,594,726.99)</b>		

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
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### CASH TRANSFERRED OUT

12/04/1998			TO XY-98765		\$32,656.25		
05/05/2000			TO XY-98765		\$2,040,351.00		
05/23/2000			TO XY-98765		\$2,301.65		
08/09/2000			TO XY-98765		\$3,000.00		
08/21/2000			TO XY-98765		\$10,000.00		
09/13/2000			TO XY-98765		\$20,000.00		
09/18/2000			TO XY-98765		\$17,000.00		
12/08/2000			FM XY-98765		\$21,016.05		
01/05/2001			TO XY-98765		\$211.00		
05/03/2001			TO XY-98765		\$44.22		
02/26/2002			TO XY-98765		\$255.11		

<b>TOTAL FOR CASH TRANSFERRED OUT</b>	<b>(\$2,146,835.28)</b>
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### INTEREST CHARGES

09/22/1997			LOAN INTEREST CHARGED		\$9,579.61		
10/22/1997			LOAN INTEREST CHARGED		\$9,443.08		
11/24/1997			LOAN INTEREST CHARGED		\$10,624.81		
12/31/1997			LOAN INTEREST CHARGED		\$14,875.46		
01/22/1998			LOAN INTEREST CHARGED		\$8,374.24		
02/23/1998			LOAN INTEREST CHARGED		\$12,852.04		
03/23/1998			LOAN INTEREST CHARGED		\$11,501.69		
04/22/1998			LOAN INTEREST CHARGED		\$12,413.30		
05/22/1998			LOAN INTEREST CHARGED		\$11,679.72		
06/22/1998			LOAN INTEREST CHARGED		\$12,779.14		
07/22/1998			LOAN INTEREST CHARGED		\$15,596.68		
08/24/1998			LOAN INTEREST CHARGED		\$15,306.10		
09/22/1998			LOAN INTEREST CHARGED		\$13,249.25		
10/22/1998			LOAN INTEREST CHARGED		\$13,982.45		
11/23/1998			LOAN INTEREST CHARGED		\$14,593.05		
12/31/1998			LOAN INTEREST CHARGED		\$16,272.18		

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
12/31/1998			LOAN INTEREST CHARGED		\$1.72		
01/22/1999			LOAN INTEREST CHARGED		\$8,493.72		
01/22/1999			LOAN INTEREST CHARGED		\$36.18		
02/22/1999			LOAN INTEREST CHARGED		\$14,114.88		
02/22/1999			LOAN INTEREST CHARGED		\$37.65		
03/22/1999			LOAN INTEREST CHARGED		\$15,750.86		
03/22/1999			LOAN INTEREST CHARGED		\$8.56		
03/22/1999			LOAN INTEREST CHARGED		\$76.35		
04/22/1999			LOAN INTEREST CHARGED		\$12,443.62		
04/22/1999			LOAN INTEREST CHARGED		\$163.97		
04/22/1999			LOAN INTEREST CHARGED		\$180.39		
05/24/1999			LOAN INTEREST CHARGED		\$16,650.12		
05/24/1999			LOAN INTEREST CHARGED		\$476.19		
05/24/1999			LOAN INTEREST CHARGED		\$26.74		
06/22/1999			LOAN INTEREST CHARGED		\$11,809.79		
06/22/1999			LOAN INTEREST CHARGED		\$457.15		
06/22/1999			LOAN INTEREST CHARGED		\$9.73		
06/24/1999			LOAN INTEREST CHARGED		\$8,008.73		AS OF 9/22/98
06/24/1999			LOAN INTEREST CHARGED		\$12,671.59		AS OF 10/22/98
06/24/1999			LOAN INTEREST CHARGED		\$12,971.60		AS OF 11/22/98
06/24/1999			LOAN INTEREST CHARGED			\$13,249.25	CXL INT
06/24/1999			LOAN INTEREST CHARGED			\$13,982.45	CXL INT
06/24/1999			LOAN INTEREST CHARGED			\$14,593.05	CXL INT
07/22/1999			LOAN INTEREST CHARGED		\$15,377.13		
07/22/1999			LOAN INTEREST CHARGED		\$604.07		
08/23/1999			LOAN INTEREST CHARGED		\$16,072.17		
08/23/1999			LOAN INTEREST CHARGED		\$737.58		
09/22/1999			LOAN INTEREST CHARGED		\$15,082.58		
09/22/1999			LOAN INTEREST CHARGED		\$785.33		
10/22/1999			LOAN INTEREST CHARGED		\$11,220.75		
10/22/1999			LOAN INTEREST CHARGED		\$724.44		
10/22/1999			LOAN INTEREST CHARGED		\$45.48		
11/22/1999			LOAN INTEREST CHARGED		\$9,109.23		



## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
11/22/1999			LOAN INTEREST CHARGED		\$753.98		
11/22/1999			LOAN INTEREST CHARGED		\$139.29		
12/31/1999			LOAN INTEREST CHARGED		\$8,001.44		
12/31/1999			LOAN INTEREST CHARGED		\$1,064.17		
12/31/1999			LOAN INTEREST CHARGED		\$305.87		
01/24/2000			LOAN INTEREST CHARGED		\$1,792.08		
01/24/2000			LOAN INTEREST CHARGED		\$500.95		
01/24/2000			LOAN INTEREST CHARGED		\$238.76		
02/15/2000			LOAN INTEREST CHARGED		\$615.60		
02/20/2000			LOAN INTEREST CHARGED		\$661.25		
02/22/2000			LOAN INTEREST CHARGED		\$396.57		
03/22/2000			LOAN INTEREST CHARGED		\$40.17		
03/22/2000			LOAN INTEREST CHARGED		\$455.72		
03/22/2000			LOAN INTEREST CHARGED		\$695.30		
04/24/2000			LOAN INTEREST CHARGED		\$129.93		
04/24/2000			LOAN INTEREST CHARGED		\$258.79		
05/22/2000			LOAN INTEREST CHARGED		\$24,652.99		
05/22/2000			LOAN INTEREST CHARGED		\$243.12		
06/22/2000			LOAN INTEREST CHARGED		\$35,244.50		
06/22/2000			LOAN INTEREST CHARGED		\$540.91		
07/24/2000			LOAN INTEREST CHARGED		\$30,768.35		
07/24/2000			LOAN INTEREST CHARGED		\$453.11		
08/22/2000			LOAN INTEREST CHARGED		\$34,040.82		
08/22/2000			LOAN INTEREST CHARGED		\$474.19		
09/22/2000			LOAN INTEREST CHARGED		\$41,857.90		
09/22/2000			LOAN INTEREST CHARGED		\$548.82		
09/22/2000			LOAN INTEREST CHARGED		\$3.78		
10/23/2000			LOAN INTEREST CHARGED		\$48,742.11		
10/23/2000			LOAN INTEREST CHARGED		\$889.51		
10/23/2000			LOAN INTEREST CHARGED		\$0.50		
11/20/2000			LOAN INTEREST CHARGED		\$74.40		
11/22/2000			LOAN INTEREST CHARGED		\$23,157.54		
11/22/2000			LOAN INTEREST CHARGED		\$611.30		

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
12/29/2000			LOAN INTEREST CHARGED		\$15,226.17		
12/29/2000			LOAN INTEREST CHARGED		\$131.02		
01/26/2001			LOAN INTEREST CHARGED		\$5,740.30		
01/26/2001			LOAN INTEREST CHARGED		\$71.85		
02/22/2001			LOAN INTEREST CHARGED		\$22,668.75		
02/22/2001			LOAN INTEREST CHARGED		\$181.76		
03/22/2001			LOAN INTEREST CHARGED		\$16,724.98		
03/22/2001			LOAN INTEREST CHARGED		\$10.19		
04/24/2001			LOAN INTEREST CHARGED		\$11,945.05		
04/24/2001			LOAN INTEREST CHARGED		\$0.96		
05/22/2001			LOAN INTEREST CHARGED		\$10,746.66		
05/22/2001			LOAN INTEREST CHARGED		\$41.33		
06/22/2001			LOAN INTEREST CHARGED		\$12,231.02		
06/22/2001			LOAN INTEREST CHARGED		\$370.21		
07/23/2001			LOAN INTEREST CHARGED		\$12,819.47		
07/23/2001			LOAN INTEREST CHARGED		\$225.17		
07/23/2001			LOAN INTEREST CHARGED		\$10.75		
08/22/2001			LOAN INTEREST CHARGED		\$11,386.46		
08/22/2001			LOAN INTEREST CHARGED		\$205.72		
08/22/2001			LOAN INTEREST CHARGED		\$12.69		
09/24/2001			LOAN INTEREST CHARGED		\$8,334.09		
09/24/2001			LOAN INTEREST CHARGED		\$144.46		
09/24/2001			LOAN INTEREST CHARGED		\$13.97		
10/22/2001			LOAN INTEREST CHARGED		\$5,383.79		
10/22/2001			LOAN INTEREST CHARGED		\$92.06		
10/22/2001			LOAN INTEREST CHARGED		\$2.93		
11/23/2001			LOAN INTEREST CHARGED		\$4,902.44		
11/23/2001			LOAN INTEREST CHARGED		\$84.96		
12/31/2001			LOAN INTEREST CHARGED		\$5,891.05		
12/31/2001			LOAN INTEREST CHARGED		\$106.38		
01/22/2002			LOAN INTEREST CHARGED		\$2,955.97		
01/22/2002			LOAN INTEREST CHARGED		\$55.03		
02/22/2002			LOAN INTEREST CHARGED		\$3,484.64		

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
02/22/2002			LOAN INTEREST CHARGED		\$63.58		
03/22/2002			LOAN INTEREST CHARGED		\$2,194.52		
03/22/2002			LOAN INTEREST CHARGED		\$44.12		
04/22/2002			LOAN INTEREST CHARGED		\$2,430.38		
04/22/2002			LOAN INTEREST CHARGED		\$30.34		
04/22/2002			LOAN INTEREST CHARGED		\$25.76		
<b>TOTAL FOR INTEREST CHARGES</b>					<b>( \$760,791.05)</b>		
<b>DEBITS TRANSFERRED IN</b>							
05/05/2000			DEBIT CASH BALANCE		\$7,401,308.40		
<b>TOTAL FOR DEBITS TRANSFERRED IN</b>					<b>( \$7,401,308.40)</b>		
<b>MISCELLANEOUS CHARGES</b>							
06/25/1998			RMA SERVICES FEE		\$45.00		
06/25/1999			RMA SERVICES FEE		\$45.00		
12/28/1999			RMA SERVICES FEE		\$85.00		
03/29/2000			ACCOUNT TRANSFER FEE		\$50.00		
03/30/2000			ACCOUNT TRANSFER FEE		\$50.00		
03/30/2000			ACCOUNT TRANSFER FEE		\$50.00		
05/25/2001			RMA SERVICES FEE		\$45.00		
05/25/2001			RMA SERVICES FEE		\$85.00		
<b>TOTAL FOR MISCELLANEOUS CHARGES</b>					<b>( \$455.00)</b>		

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
<b>MONEY MARKET FUND INCOME</b>							
02/19/1999			RMA MONEY MKT PORTFOLIO			\$20.47	DIVIDEND
03/19/1999			RMA MONEY MKT PORTFOLIO			\$65.88	DIVIDEND
06/23/1999			PAIN WEBBER CASHFUND			\$76.11	DIVIDEND
07/28/1999			PAIN WEBBER CASHFUND			\$121.95	DIVIDEND
08/10/1999			PAIN WEBBER CASHFUND			\$34.12	DIVIDEND
08/16/1999			PAIN WEBBER CASHFUND			\$7.95	DIVIDEND
08/23/1999			PAIN WEBBER CASHFUND			\$1.05	DIVIDEND
09/22/1999			PAIN WEBBER CASHFUND			\$9.34	DIVIDEND
09/30/1999			PAIN WEBBER CASHFUND			\$13.34	DIVIDEND
04/26/2000			PAIN WEBBER CASHFUND			\$4.21	DIVIDEND
05/24/2000			PAIN WEBBER CASHFUND			\$3.77	DIVIDEND
06/30/2000			PAIN WEBBER CASHFUND			\$4.96	DIVIDEND
07/26/2000			PAIN WEBBER CASHFUND			\$4.20	DIVIDEND
08/23/2000			PAIN WEBBER CASHFUND			\$4.20	DIVIDEND
09/20/2000			PAIN WEBBER CASHFUND			\$4.35	DIVIDEND
09/27/2000			PAIN WEBBER CASHFUND			\$0.60	DIVIDEND
10/10/2000			PAIN WEBBER CASHFUND			\$8.40	DIVIDEND
10/25/2000			PAIN WEBBER CASHFUND			\$10.55	DIVIDEND
10/30/2000			PAIN WEBBER CASHFUND			\$0.60	DIVIDEND
12/22/2000			RMA MONEY MKT PORTFOLIO			\$47.00	DIVIDEND
01/02/2001			RMA MONEY MKT PORTFOLIO			\$31.37	DIVIDEND
01/19/2001			RMA MONEY MKT PORTFOLIO			\$56.97	DIVIDEND
02/16/2001			RMA MONEY MKT PORTFOLIO			\$56.60	DIVIDEND
03/07/2001			PAIN WEBBER CASHFUND			\$2.51	DIVIDEND
03/13/2001			PAIN WEBBER CASHFUND			\$1.31	DIVIDEND
03/23/2001			RMA MONEY MKT PORTFOLIO			\$26.80	DIVIDEND
03/28/2001			PAIN WEBBER CASHFUND			\$0.51	DIVIDEND
04/03/2001			PAIN WEBBER CASHFUND			\$2.57	DIVIDEND
04/20/2001			RMA MONEY MKT PORTFOLIO			\$6.18	DIVIDEND
04/25/2001			PAIN WEBBER CASHFUND			\$2.54	DIVIDEND
05/18/2001			RMA MONEY MKT PORTFOLIO			\$26.86	DIVIDEND

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
05/23/2001			PAIN WEBBER CASHFUND			\$5.40	DIVIDEND
06/27/2001			PAIN WEBBER CASHFUND			\$12.11	DIVIDEND
07/02/2001			PAIN WEBBER CASHFUND			\$0.78	DIVIDEND
07/25/2001			PAIN WEBBER CASHFUND			\$0.45	DIVIDEND
07/26/2001			PAIN WEBBER CASHFUND			\$0.09	DIVIDEND

**TOTAL FOR MONEY MARKET FUND INCOME**                      **\$676.10**

### SECURITIES OPEN IN ACCOUNT

08/29/1997	927.000	OPEN	ALLSTATE CORP	\$73.063		\$67,729.40	VALUED PER 08/29/97 PORT
08/29/1997	2000.000	OPEN	AMER ELECTRIC POWER CO	\$43.688		\$87,376.00	VALUED PER 08/29/97 PORT
08/29/1997	5000.000	OPEN	AMER EXPRESS CO	\$77.750		\$388,750.00	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	AMERICA ONLINE INC DEL	\$64.500		\$64,500.00	VALUED PER 08/29/97 PORT
08/29/1997	3765.000	OPEN	CASINO AMER INC	\$2.750		\$10,353.75	VALUED PER 08/29/97 PORT
08/29/1997	1500.000	OPEN	CHASE MANHATTAN CORP NEW	\$111.188		\$166,782.00	VALUED PER 08/29/97 PORT
08/29/1997	750.000	OPEN	CINERGY CORP	\$33.063		\$24,797.25	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	CONS EDISON	\$30.625		\$30,625.00	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	EASTMAN KODAK CO	\$65.375		\$65,375.00	VALUED PER 08/29/97 PORT
08/29/1997	5000.000	OPEN	FIRST PACIFIC NETWORKS INC	\$0.020		\$100.00	VALUED PER 08/29/97 PORT
08/29/1997	11100.000	OPEN	HUMANA INC	\$23.563		\$261,549.30	VALUED PER 08/29/97 PORT
08/29/1997	6000.000	OPEN	INTL BUSINESS MACH	\$101.375		\$608,250.00	VALUED PER 08/29/97 PORT
08/29/1997	10000.000	OPEN	KMART CORP	\$13.875		\$138,750.00	VALUED PER 08/29/97 PORT
08/29/1997	5460.000	OPEN	KIMBERLY CLARK CORP	\$47.438		\$259,011.48	VALUED PER 08/29/97 PORT
08/29/1997	10600.000	OPEN	KROGER COMPANY	\$30.125		\$319,325.00	VALUED PER 08/29/97 PORT
08/29/1997	200.000	OPEN	LEHMAN BROS HOLDINGS INC	\$43.750		\$8,750.00	VALUED PER 08/29/97 PORT
08/29/1997	3000.000	OPEN	MERCK & CO	\$91.813		\$275,439.00	VALUED PER 08/29/97 PORT
08/29/1997	5000.000	OPEN	MID-AMER WASTE SYS INC	\$0.010		\$50.00	VALUED PER 08/29/97 PORT
08/29/1997	12000.000	OPEN	PLATINUM TECHNOLOGY INC	\$23.750		\$285,000.00	VALUED PER 08/29/97 PORT
08/29/1997	2000.000	OPEN	PSINET INC	\$8.188		\$16,376.00	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	ROBERTS PHARMACEUTICAL CORP	\$11.188		\$11,188.00	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	SEARS ROEBUCK	\$56.750		\$56,750.00	VALUED PER 08/29/97 PORT
08/29/1997	2070.000	OPEN	SPRINT CORP	\$47.000		\$97,290.00	VALUED PER 08/29/97 PORT
08/29/1997	4000.000	OPEN	SUNBEAM CORP	\$43.875		\$175,500.00	VALUED PER 08/29/97 PORT

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
08/29/1997	1000.000	OPEN	US WEST COMMUNICATIONS GROUP	\$35.813		\$35,813.00	VALUED PER 08/29/97 PORT
08/29/1997	600.000	OPEN	UNR INDUSTRIES INC	\$4.625		\$2,775.00	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	WALT DISNEY CO	\$76.813		\$76,813.00	VALUED PER 08/29/97 PORT
08/29/1997	1200.000	OPEN	WELLS FARGO & CO	\$254.250		\$305,100.00	VALUED PER 08/29/97 PORT
08/29/1997	21600.000	OPEN	WORLDCOM INC	\$29.938		\$646,660.80	VALUED PER 08/29/97 PORT
08/29/1997	3950.000	OPEN	3COM CORP	\$49.938		\$197,255.10	VALUED PER 08/29/97 PORT
08/29/1997	689.000	OPEN	360 COMMUNICATIONS CO	\$18.375		\$12,660.37	VALUED PER 08/29/97 PORT
08/29/1997	8000.000	OPEN	MANAGED MUNI PORTFOLIO II INC	\$11.688		\$93,504.00	VALUED PER 08/29/97 PORT
08/29/1997	100000.000	OPEN	COOK CO ILL GENL OBLIG 5% 111598	\$101.186		\$101,186.00	VALUED PER 08/29/97 PORT
08/29/1997	30.000	OPEN	WORLDCOM INC 199709 22.5 CALL	\$7.625		\$22,875.00	VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	50.000	NCR CORP NEW 199710 35 PUT	\$1.906	\$9,531.25		VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	20.000	MERCK & CO 199801 90 PUT	\$5.625	\$11,250.00		VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	50.000	AMERICA ONLINE INC DEL 199801 65 PUT	\$8.562	\$42,812.50		VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	30.000	3COM CORP 199804 55 PUT	\$10.625	\$31,875.00		VALUED PER 08/29/97 PORT
<b>TOTAL FOR SECURITIES OPEN IN ACCOUNT</b>						<b>\$4,818,790.70</b>	

### SECURITIES IN ACCOUNT

04/30/2002	IN ACCT	705.000	ALLTEL CORP	\$49.500		\$34,897.50	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	1000.000	AMEREN CORP	\$41.760		\$41,760.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	4000.000	AOL TIME WARNER INC	\$19.020		\$76,080.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	750.000	CINERGY CORP	\$35.530		\$26,647.50	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	25000.000	E.SPIRE COMMUNICATIONS INC	\$0.001		\$25.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	1000.000	E-LOAN INC	\$1.230		\$1,230.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	3000.000	ENZON INC	\$37.240		\$111,720.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	5000.000	FIRST PACIFIC NETWORKS INC				NOT VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	524.000	FIRSTENERGY CORP	\$33.300		\$17,449.20	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	17500.000	GLOBAL CROSSING LTD	\$0.057		\$997.50	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	6000.000	GLOBALSTAR TELECOMM	\$0.137		\$822.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	5000.000	MID-AMER WASTE SYS INC				NOT VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	10000.000	ORACLE CORP	\$10.040		\$100,400.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	55400.000	PSINET INC	\$0.001		\$55.40	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	600.000	ROHN INDUSTRIES INC	\$1.259		\$755.40	VALUED PER 04/30/02 PORT

## Cash Transactions

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
04/30/2002	IN ACCT	2000.000	SUN MICROSYSTEMS INC	\$8.180		\$16,360.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	2020.000	VIACOM INC B NON VTG	\$47.100		\$95,142.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	387.000	WALT DISNEY CO	\$23.180		\$8,970.66	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	8000.000	WELLS FARGO & CO NEW	\$51.150		\$409,200.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	20000.000	WORLDCOM INC	\$2.479		\$49,580.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	400.000	WORLDCOM INC GA NEW MCI GROUP	\$3.751		\$1,500.40	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	5000.000	MSH ENTERTAINMENT CORP	\$0.010		\$50.00	VALUED PER 4/30/02 PORT
04/30/2002	IN ACCT	45.000	QUALCOMM INC	\$30.160		\$1,357.20	VALUED PER 4/30/02 PORT
<b>TOTAL FOR SECURITIES IN ACCOUNT</b>						<b>\$994,999.76</b>	

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
08/29/1997	689.000	OPEN	360 COMMUNICATIONS CO	\$18.375	\$12,660.37				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	3950.000	OPEN	3COM CORP	\$49.938	\$197,255.10				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	30.000	3COM CORP 199804 55 PUT	\$10.625		\$31,875.00			\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	927.000	OPEN	ALLSTATE CORP	\$73.063	\$67,729.40				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	2000.000	OPEN	AMER ELECTRIC POWER CO	\$43.688	\$87,376.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	5000.000	OPEN	AMER EXPRESS CO	\$77.750	\$388,750.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	AMERICA ONLINE INC DEL	\$64.500	\$64,500.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	50.000	AMERICA ONLINE INC DEL 199801 65 PUT	\$8.562		\$42,812.50			\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	3765.000	OPEN	CASINO AMER INC	\$2.750	\$10,353.75				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	1500.000	OPEN	CHASE MANHATTAN CORP NEW	\$111.188	\$166,782.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	750.000	OPEN	CINERGY CORP	\$33.063	\$24,797.25				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	CONS EDISON	\$30.625	\$30,625.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	100000.000	OPEN	COOK CO ILL GENL OBLIG 5% 111598	\$101.186	\$101,186.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	EASTMAN KODAK CO	\$65.375	\$65,375.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	5000.000	OPEN	FIRST PACIFIC NETWORKS INC	\$0.020	\$100.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	11100.000	OPEN	HUMANA INC	\$23.563	\$261,549.30				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	6000.000	OPEN	INTL BUSINESS MACH	\$101.375	\$608,250.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	5460.000	OPEN	KIMBERLY CLARK CORP	\$47.438	\$259,011.48				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	10000.000	OPEN	KMART CORP	\$13.875	\$138,750.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	10600.000	OPEN	KROGER COMPANY	\$30.125	\$319,325.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	200.000	OPEN	LEHMAN BROS HOLDINGS INC	\$43.750	\$8,750.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	8000.000	OPEN	MANAGED MUNI PORTFOLIO II INC	\$11.688	\$93,504.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	3000.000	OPEN	MERCK & CO	\$91.813	\$275,439.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	20.000	MERCK & CO 199801 90 PUT	\$5.625		\$11,250.00			\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	5000.000	OPEN	MID-AMER WASTE SYS INC	\$0.010	\$50.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	50.000	NCR CORP NEW 199710 35 PUT	\$1.906		\$9,531.25			\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	12000.000	OPEN	PLATINUM TECHNOLOGY INC	\$23.750	\$285,000.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	2000.000	OPEN	PSINET INC	\$8.188	\$16,376.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	ROBERTS PHARMACEUTICAL CORP	\$11.188	\$11,188.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	SEARS ROEBUCK	\$56.750	\$56,750.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	2070.000	OPEN	SPRINT CORP	\$47.000	\$97,290.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	4000.000	OPEN	SUNBEAM CORP	\$43.875	\$175,500.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	600.000	OPEN	UNR INDUSTRIES INC	\$4.625	\$2,775.00				\$0.00 VALUED PER 08/29/97 PORT



## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
08/29/1997	1000.000	OPEN	US WEST COMMUNICATIONS GROUP	\$35.813	\$35,813.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	WALT DISNEY CO	\$76.813	\$76,813.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	1200.000	OPEN	WELLS FARGO & CO	\$254.250	\$305,100.00				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	21600.000	OPEN	WORLDCOM INC	\$29.938	\$646,660.80				\$0.00 VALUED PER 08/29/97 PORT
08/29/1997	30.000	OPEN	WORLDCOM INC 199709 22.5 CALL	\$7.625	\$22,875.00				\$0.00 VALUED PER 08/29/97 PORT
09/02/1997	1000.000		REPUBLIC INDS INC	\$25.500U	\$25,832.44				\$332.44 COMM CALC (Bonds @ 2.5%)
09/02/1997		100.000	WORLDCOM INC 199803 32.5 PUT	\$4.000		\$38,888.32			\$1,111.68 COMM CALC (Bonds @ 2.5%)
09/03/1997	50.000		LERNOUT & HAUSPIE 199712 20 PUT	\$1.000	\$5,287.45				\$287.45 COMM CALC (Bonds @ 2.5%)
09/03/1997		100.000	WORLDCOM INC 199803 32.5 PUT	\$4.000U		\$39,275.36			\$724.64 COMM CALC (Bonds @ 2.5%)
09/04/1997	2000.000		ORACLE CORP	\$36.937	\$74,452.64				\$577.64 COMM CALC (Bonds @ 2.5%)
09/12/1997		50.000	WORLDCOM INC 199803 40 PUT	\$6.250		\$30,752.37			\$497.63 COMM CALC (Bonds @ 2.5%)
09/19/1997		30.000	WORLDCOM INC 199709 22.5 CALL						\$0.00 EXERCISED
09/24/1997	3000.000		WORLDCOM INC	\$22.500	\$68,102.21				\$602.21 COMM CALC (Bonds @ 2.5%)
09/25/1997		50.000	3COM CORP 199804 55 PUT	\$9.000U		\$44,455.16			\$544.84 COMM CALC (Bonds @ 2.5%)
09/25/1997		50.000	ORACLE CORP 199803 45 PUT	\$7.750U		\$38,220.48			\$529.52 COMM CALC (Bonds @ 2.5%)
09/29/1997	1500.000		ORACLE CORP	\$38.500	\$58,240.70				\$490.70 COMM CALC (Bonds @ 2.5%)
10/20/1997	3000.000		ENZON INC	\$6.062	\$18,559.13				\$371.63 COMM CALC (Bonds @ 2.5%)
10/20/1997		20.000	MERCK & CO 199804 105 PUT	\$12.750U					\$0.00 CXL
10/20/1997	50.000		NCR CORP NEW 199710 35 PUT	\$2.000U	\$10,334.55				\$334.55 COMM CALC (Bonds @ 2.5%)
10/21/1997	40.000		WORLDCOM INC 199711 35 CALL	\$1.375	\$5,754.20				\$254.20 COMM CALC (Bonds @ 2.5%)
10/22/1997	2000.000		ENZON INC	\$6.875U	\$14,031.30				\$281.30 COMM CALC (Bonds @ 2.5%)
10/22/1997	700.000		ORACLE CORP	\$33.000U	\$23,821.41				\$721.41 COMM CALC (Bonds @ 2.5%)
10/22/1997	100.000		ORACLE CORP	\$33.750U	\$3,402.43				\$27.43 COMM CALC (Bonds @ 2.5%)
10/22/1997	400.000		ORACLE CORP	\$33.750U	\$13,609.72				\$109.72 COMM CALC (Bonds @ 2.5%)
10/22/1997	300.000		ORACLE CORP	\$33.750U	\$10,207.29				\$82.29 COMM CALC (Bonds @ 2.5%)
10/22/1997	100.000		ORACLE CORP	\$33.750U	\$3,402.43				\$27.43 COMM CALC (Bonds @ 2.5%)
10/22/1997	400.000		ORACLE CORP	\$33.750U	\$13,609.72				\$109.72 COMM CALC (Bonds @ 2.5%)
10/29/1997	60.000		WORLDCOM INC 199711 35 CALL	\$0.500	\$3,197.82				\$197.82 COMM CALC (Bonds @ 2.5%)
11/07/1997	20.000	CXL	MERCK & CO 199804 105 PUT	\$12.750					\$0.00 CXL
11/07/1997		20.000	MERCK & CO 199804 105 PUT	\$12.750U		\$25,072.04			\$427.96 COMM CALC (Bonds @ 2.5%)
11/12/1997		200.000	WORLDCOM INC 199806 30 PUT	\$3.250U		\$63,827.09			\$1,172.91 COMM CALC (Bonds @ 2.5%)
11/13/1997	2.000		3COM CORP 199804 55 PUT						\$0.00 ASSIGNED
11/13/1997	4.000		3COM CORP 199804 55 PUT						\$0.00 ASSIGNED

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
11/13/1997		100.000	WORLDCOM INC 199806 30 PUT	\$4.250 U		\$41,765.44			\$734.56 COMM CALC (Bonds @ 2.5%)
11/14/1997	25.000		3COM CORP 199804 55 PUT						\$0.00 ASSIGNED
11/17/1997	200.000		3COM CORP	\$55.000	\$11,183.68				\$183.68 COMM CALC (Bonds @ 2.5%)
11/17/1997	400.000		3COM CORP	\$55.000	\$22,358.33				\$358.33 COMM CALC (Bonds @ 2.5%)
11/18/1997	2500.000		3COM CORP	\$55.000	\$138,348.25				\$848.25 COMM CALC (Bonds @ 2.5%)
11/24/1997		100.000	WORLDCOM INC 199711 35 CALL						\$0.00 EXPIRED
12/05/1997		3765.000	CASINO AMER INC	\$2.937 U		\$10,718.95			\$340.74 COMM CALC (Bonds @ 2.5%)
12/10/1997	5.000		ORACLE CORP 199803 45 PUT						\$0.00 ASSIGNED
12/11/1997	17.000		ORACLE CORP 199803 45 PUT						\$0.00 ASSIGNED
12/12/1997	500.000		ORACLE CORP	\$45.000	\$22,777.25				\$277.25 COMM CALC (Bonds @ 2.5%)
12/15/1997		3000.000	3COM CORP	\$35.625 U		\$106,116.99			\$758.01 COMM CALC (Bonds @ 2.5%)
12/15/1997	1700.000		ORACLE CORP	\$45.000	\$77,073.03				\$573.03 COMM CALC (Bonds @ 2.5%)
12/18/1997	28.000		ORACLE CORP 199803 45 PUT						\$0.00 ASSIGNED
12/22/1997		50.000	LERNOUT & HAUSPIE 199712 20 PUT						\$0.00 EXPIRED
12/22/1997	2800.000		ORACLE CORP	\$45.000	\$126,818.55				\$818.55 COMM CALC (Bonds @ 2.5%)
12/24/1997	600.000		ROHN INDUSTRIES INC						\$0.00 UNR INDUSTRIES INC
12/24/1997		600.000	UNR INDUSTRIES INC						\$0.00 ROHN INDUSTRIES INC
01/12/1998		1000.000	CONS EDISON						\$0.00 CONS EDISON CO
01/12/1998	1000.000		CONS EDISON CO						\$0.00 CONS EDISON
01/13/1998	22.000		3COM CORP 199804 55 PUT						\$0.00 ASSIGNED
01/15/1998	2200.000		3COM CORP	\$55.000	\$121,769.69				\$769.69 COMM CALC (Bonds @ 2.5%)
01/16/1998		1200.000	3COM CORP	\$31.000		\$36,794.13			\$405.87 COMM CALC (Bonds @ 2.5%)
01/20/1998	50.000		AMERICA ONLINE INC DEL 199801 65 PUT						\$0.00 EXPIRED
01/20/1998	20.000		MERCK & CO 199801 90 PUT						\$0.00 EXPIRED
01/23/1998	6.000		3COM CORP 199804 55 PUT						\$0.00 ASSIGNED
01/26/1998		50.000	CBS CORP 199807 30 PUT	\$3.125		\$15,236.93			\$388.07 COMM CALC (Bonds @ 2.5%)
01/27/1998	600.000		3COM CORP	\$55.000	\$33,353.88				\$353.88 COMM CALC (Bonds @ 2.5%)
02/12/1998		30.000	INTL BUSINESS MACH 199901 100 PUT	\$9.000 U		\$26,603.89			\$396.11 COMM CALC (Bonds @ 2.5%)
03/05/1998		100.000	CBS CORP 199901 30 PUT	\$2.250 U		\$21,866.47			\$633.53 COMM CALC (Bonds @ 2.5%)
03/17/1998	1000.000		AMERICA ONLINE INC DEL						\$0.00 STOCK DIVIDEND
03/23/1998	200.000		WORLDCOM INC 199803 32.5 PUT						\$0.00 EXPIRED
03/23/1998	50.000		WORLDCOM INC 199803 40 PUT						\$0.00 EXPIRED
03/26/1998		60.000	CBS CORP 199901 35 PUT	\$4.000 U		\$23,508.88			\$491.12 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
03/31/1998		40.000	CBS CORP 199901 35 PUT	\$4.125		\$16,141.64		\$358.36	COMM CALC (Bonds @ 2.5%)
04/07/1998	2.000		3COM CORP 199804 55 PUT					\$0.00	ASSIGNED
04/07/1998		100.000	SUNBEAM CORP 199810 30 PUT	\$3.000		\$28,953.55		\$1,046.45	COMM CALC (Bonds @ 2.5%)
04/07/1998		50.000	SUNBEAM CORP 199810 35 PUT	\$5.375 U		\$26,403.74		\$471.26	COMM CALC (Bonds @ 2.5%)
04/08/1998	1.000		3COM CORP 199804 55 PUT					\$0.00	ASSIGNED
04/09/1998	200.000		3COM CORP	\$55.000	\$11,226.24			\$226.24	COMM CALC (Bonds @ 2.5%)
04/09/1998	18.000		3COM CORP 199804 55 PUT					\$0.00	ASSIGNED
04/13/1998	100.000		3COM CORP	\$55.000	\$5,615.37			\$115.37	COMM CALC (Bonds @ 2.5%)
04/14/1998	1800.000		3COM CORP	\$55.000	\$99,563.34			\$563.34	COMM CALC (Bonds @ 2.5%)
04/14/1998		700.000	3COM CORP	\$32.687 U		\$22,588.06		\$293.19	COMM CALC (Bonds @ 2.5%)
04/15/1998		100.000	SUNBEAM CORP 199810 30 PUT	\$4.125 U		\$40,520.05		\$729.95	COMM CALC (Bonds @ 2.5%)
04/20/1998	20.000		MERCK & CO 199804 105 PUT					\$0.00	EXPIRED
04/22/1998		50.000	WORLDCOM INC 199901 45 PUT	\$4.250 U		\$20,880.47		\$369.53	COMM CALC (Bonds @ 2.5%)
04/22/1998		50.000	WORLDCOM INC 199901 45 PUT	\$4.250		\$20,884.97		\$365.03	COMM CALC (Bonds @ 2.5%)
05/11/1998		100.000	SUNBEAM CORP 199810 30 PUT	\$4.875		\$48,015.23		\$734.77	COMM CALC (Bonds @ 2.5%)
05/29/1998		100.000	WORLDCOM INC 199901 45 PUT	\$3.125 U		\$30,562.56		\$687.44	COMM CALC (Bonds @ 2.5%)
06/09/1998	1000.000		WORLDCOM INC	\$44.750	\$45,176.64			\$426.64	COMM CALC (Bonds @ 2.5%)
06/10/1998	3000.000		OPEN MARKET INC	\$16.875	\$51,161.96			\$536.96	COMM CALC (Bonds @ 2.5%)
06/10/1998	50.000		SUNBEAM CORP 199810 35 PUT					\$0.00	ASSIGNED
06/12/1998	5000.000		SUNBEAM CORP	\$35.000	\$176,116.26			\$1,116.26	COMM CALC (Bonds @ 2.5%)
06/15/1998	1500.000		CHASE MANHATTAN CORP NEW					\$0.00	STOCK DIVIDEND
06/15/1998		1000.000	US WEST COMMUNICATIONS GROUP					\$0.00	US WEST INC NEW
06/15/1998	1000.000		US WEST INC NEW					\$0.00	US WEST COMMUNICATIONS
06/16/1998		5000.000	SUNBEAM CORP	\$18.375		\$91,077.07		\$797.93	COMM CALC (Bonds @ 2.5%)
06/17/1998	100.000		SUNBEAM CORP 199810 30 PUT	\$16.500 U	\$166,067.51			\$1,067.51	COMM CALC (Bonds @ 2.5%)
06/17/1998	200.000		SUNBEAM CORP 199810 30 PUT	\$16.250	\$327,126.04			\$2,126.04	COMM CALC (Bonds @ 2.5%)
06/19/1998		4000.000	SUNBEAM CORP	\$14.000		\$55,391.18		\$608.82	COMM CALC (Bonds @ 2.5%)
06/22/1998	300.000		WORLDCOM INC 199806 30 PUT					\$0.00	EXPIRED
07/01/1998		689.000	360 COMMUNICATIONS CO					\$0.00	ALLTEL CORP
07/01/1998			ALLTEL CORP			\$39.18		\$0.00	CIL
07/01/1998	509.000		ALLTEL CORP					\$0.00	360 COMMUNICATIONS CO
07/01/1998		1000.000	REPUBLIC INDS INC	\$23.625		\$23,305.12		\$319.88	COMM CALC (Bonds @ 2.5%)
07/01/1998	300.000		WALT DISNEY CO	\$112.187	\$33,880.08			\$223.83	COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
07/02/1998	927.000		ALLSTATE CORP						\$0.00 STOCK DIVIDEND
07/13/1998	2600.000		WALT DISNEY CO						\$0.00 STOCK DIVIDEND
07/20/1998	50.000		CBS CORP 199807 30 PUT						\$0.00 EXPIRED
07/24/1998		100.000	WORLDCOM INC 199903 55 PUT	\$5.250		\$51,755.45			\$744.55 COMM CALC (Bonds @ 2.5%)
07/28/1998		100.000	WORLDCOM INC 199903 55 PUT	\$6.000 U		\$59,226.20			\$773.80 COMM CALC (Bonds @ 2.5%)
07/29/1998		50.000	MERCK & CO 199901 120 PUT	\$6.125 U		\$30,130.02			\$494.98 COMM CALC (Bonds @ 2.5%)
08/17/1998		3000.000	OPEN MARKET INC	\$12.242 U		\$36,235.35			\$492.45 COMM CALC (Bonds @ 2.5%)
08/18/1998		100.000	WORLDCOM INC 199903 50 PUT	\$4.625		\$45,515.31			\$734.69 COMM CALC (Bonds @ 2.5%)
08/31/1998		100.000	WORLDCOM INC 199903 45 PUT	\$3.750 U		\$36,786.00			\$714.00 COMM CALC (Bonds @ 2.5%)
09/03/1998		2700.000	3COM CORP	\$23.875 U		\$63,884.65			\$577.85 COMM CALC (Bonds @ 2.5%)
09/03/1998		10000.000	KMART CORP	\$12.562 U		\$124,449.41			\$1,175.59 COMM CALC (Bonds @ 2.5%)
09/03/1998		10500.000	ORACLE CORP	\$20.187		\$210,431.84			\$1,536.91 COMM CALC (Bonds @ 2.5%)
09/03/1998		4000.000	PLATINUM TECHNOLOGY INC	\$18.250		\$72,324.88			\$675.12 COMM CALC (Bonds @ 2.5%)
09/11/1998	900.000		PSINET INC	\$13.875	\$12,644.01				\$156.51 COMM CALC (Bonds @ 2.5%)
09/11/1998	1000.000		PSINET INC	\$13.750	\$13,918.90				\$168.90 COMM CALC (Bonds @ 2.5%)
09/11/1998	100.000		PSINET INC	\$13.750	\$1,391.89				\$16.89 COMM CALC (Bonds @ 2.5%)
09/11/1998	1000.000		PSINET INC	\$13.875	\$14,044.00				\$169.00 COMM CALC (Bonds @ 2.5%)
09/16/1998	2000.000		CHASE MANHATTAN CORP NEW	\$45.000 U	\$90,639.99				\$639.99 COMM CALC (Bonds @ 2.5%)
09/16/1998	2000.000		TRAVELERS GROUP INC	\$39.312 U	\$79,159.50				\$534.50 COMM CALC (Bonds @ 2.5%)
09/16/1998	1000.000		TRAVELERS GROUP INC	\$39.875 U	\$40,139.89				\$264.89 COMM CALC (Bonds @ 2.5%)
09/16/1998	5000.000		WORLDCOM INC	\$47.500 U	\$238,857.90				\$1,357.90 COMM CALC (Bonds @ 2.5%)
09/17/1998	30600.000		MCI WORLDCOM INC						\$0.00 WORLDCOM INC
09/17/1998		30600.000	WORLDCOM INC						\$0.00 MCI WORLDCOM INC
10/09/1998	3000.000		CITIGROUP INC						\$0.00 TRAVELERS GROUP INC
10/09/1998		3000.000	TRAVELERS GROUP INC						\$0.00 CITIGROUP INC
10/22/1998	21.000		CBS CORP 199901 35 PUT						\$0.00 ASSIGNED
10/27/1998	2100.000		CBS CORP	\$35.000	\$74,081.12				\$581.12 COMM CALC (Bonds @ 2.5%)
11/05/1998		1200.000	WELLS FARGO & CO						\$0.00 WELLS FARGO & CO NEW
11/05/1998	12000.000		WELLS FARGO & CO NEW						\$0.00 WELLS FARGO & CO
11/16/1998		100000.000	COOK CO ILL GENL OBLIG 5% 111598			\$100,000.00			\$0.00 REDEEMED
11/18/1998	2000.000		AMERICA ONLINE INC DEL						\$0.00 STOCK DIVIDEND
11/24/1998	1035.000		SPRINT CORP PCS GROUP						\$0.00 STOCK DIVIDEND
11/27/1998	2000.000		E.SPIRE COMMUNICATIONS INC	\$7.625	\$15,520.58				\$270.58 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
11/27/1998	600.000		E.SPIRE COMMUNICATIONS INC	\$7.625	\$4,654.80			\$79.80	COMM CALC (Bonds @ 2.5%)
11/27/1998	100.000		E.SPIRE COMMUNICATIONS INC	\$7.625	\$775.80			\$13.30	COMM CALC (Bonds @ 2.5%)
11/27/1998	500.000		E.SPIRE COMMUNICATIONS INC	\$7.625	\$3,879.00			\$66.50	COMM CALC (Bonds @ 2.5%)
11/27/1998	100.000		E.SPIRE COMMUNICATIONS INC	\$7.625	\$775.80			\$13.30	COMM CALC (Bonds @ 2.5%)
11/30/1998	700.000		E.SPIRE COMMUNICATIONS INC	\$7.812	\$5,603.65			\$134.90	COMM CALC (Bonds @ 2.5%)
11/30/1998	2200.000		WALT DISNEY CO	\$29.687				\$0.00	CXL
12/01/1998	1800.000		S3 INC	\$5.750	\$10,550.71			\$200.71	COMM CALC (Bonds @ 2.5%)
12/01/1998	1000.000		S3 INC	\$5.750	\$5,859.10			\$109.10	COMM CALC (Bonds @ 2.5%)
12/01/1998	500.000		S3 INC	\$5.750	\$2,929.55			\$54.55	COMM CALC (Bonds @ 2.5%)
12/01/1998	1700.000		S3 INC	\$5.750	\$9,960.47			\$185.47	COMM CALC (Bonds @ 2.5%)
12/04/1998	CXL	2200.000	WALT DISNEY CO	\$29.687				\$0.00	CXL
12/07/1998	1100.000		WALT DISNEY CO	\$29.687	\$33,038.89			\$382.64	COMM CALC (Bonds @ 2.5%)
12/17/1998		100.000	MCI WORLDCOM INC 200101 50 PUT	\$4.500		\$44,265.36		\$734.64	COMM CALC (Bonds @ 2.5%)
12/31/1998	1000.000		PSINET INC					\$0.00	CXL
01/08/1999		100.000	WALT DISNEY CO 200101 30 PUT	\$4.875		\$48,015.23		\$734.77	COMM CALC (Bonds @ 2.5%)
01/11/1999	700.000		3COM CORP	\$47.375	\$33,524.44			\$361.94	COMM CALC (Bonds @ 2.5%)
01/14/1999		100.000	CITIGROUP INC 200101 40 PUT	\$5.500		\$54,245.69		\$754.31	COMM CALC (Bonds @ 2.5%)
01/19/1999	100.000		CBS CORP 199901 30 PUT					\$0.00	EXPIRED
01/19/1999	79.000		CBS CORP 199901 35 PUT					\$0.00	ASSIGNED
01/19/1999	30.000		INTL BUSINESS MACH 199901 100 PUT					\$0.00	EXPIRED
01/19/1999	50.000		MERCK & CO 199901 120 PUT					\$0.00	EXPIRED
01/19/1999	200.000		WORLDCOM INC 199901 45 PUT					\$0.00	EXPIRED
01/22/1999	7900.000		CBS CORP	\$35.000	\$277,294.50			\$794.50	COMM CALC (Bonds @ 2.5%)
01/27/1999	100.000		3COM CORP 199902 60 CALL	\$0.250	\$2,648.68			\$148.68	COMM CALC (Bonds @ 2.5%)
01/27/1999	100.000		3COM CORP 199902 60 CALL	\$0.250	\$2,653.18			\$153.18	COMM CALC (Bonds @ 2.5%)
01/27/1999	100.000		3COM CORP 199903 55 CALL	\$1.750	\$18,095.00			\$595.00	COMM CALC (Bonds @ 2.5%)
02/03/1999	4000.000		E.SPIRE COMMUNICATIONS INC	\$5.062	\$20,673.09			\$423.09	COMM CALC (Bonds @ 2.5%)
02/03/1999	1000.000		E.SPIRE COMMUNICATIONS INC	\$5.000	\$5,104.60			\$104.60	COMM CALC (Bonds @ 2.5%)
02/10/1999	1000.000		PSINET INC	\$23.125	\$23,439.87			\$314.87	COMM CALC (Bonds @ 2.5%)
02/10/1999	CXL	1000.000	PSINET INC					\$0.00	CXL
02/17/1999	3000.000		MERCK & CO					\$0.00	STOCK DIVIDEND
02/22/1999		100.000	3COM CORP 199902 60 CALL					\$0.00	EXPIRED
02/22/1999		100.000	3COM CORP 199902 60 CALL					\$0.00	EXPIRED

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
02/22/1999	100.000		E.SPIRE COMMUNICATIONS INC	\$5.312	\$546.38				\$15.13 COMM CALC (Bonds @ 2.5%)
02/22/1999	100.000		E.SPIRE COMMUNICATIONS INC	\$5.312	\$541.88				\$10.63 COMM CALC (Bonds @ 2.5%)
02/22/1999	500.000		E.SPIRE COMMUNICATIONS INC	\$5.312	\$2,709.40				\$53.15 COMM CALC (Bonds @ 2.5%)
02/22/1999	500.000		E.SPIRE COMMUNICATIONS INC	\$5.312	\$2,709.40				\$53.15 COMM CALC (Bonds @ 2.5%)
02/22/1999	500.000		E.SPIRE COMMUNICATIONS INC	\$5.312	\$2,709.40				\$53.15 COMM CALC (Bonds @ 2.5%)
02/22/1999	1000.000		E.SPIRE COMMUNICATIONS INC	\$5.312	\$5,418.80				\$106.30 COMM CALC (Bonds @ 2.5%)
02/22/1999	500.000		E.SPIRE COMMUNICATIONS INC	\$5.312	\$2,709.40				\$53.15 COMM CALC (Bonds @ 2.5%)
02/22/1999	1800.000		E.SPIRE COMMUNICATIONS INC	\$5.312	\$9,753.83				\$191.33 COMM CALC (Bonds @ 2.5%)
02/22/1999		100.000	E.SPIRE COMMUNICATIONS INC 199909 5 PUT	\$1.625		\$15,666.22			\$583.78 COMM CALC (Bonds @ 2.5%)
02/22/1999	2000.000		ESPIRE COMMUNICATIONS INC	\$5.372	\$10,998.15				\$253.95 COMM CALC (Bonds @ 2.5%)
02/23/1999	4000.000		AMERICA ONLINE INC DEL						\$0.00 STOCK DIVIDEND
02/23/1999	100.000		PLATINUM TECHNOLOGY INC 199903 15 CALL	\$1.209	\$12,932.89				\$834.89 COMM CALC (Bonds @ 2.5%)
02/25/1999	16000.000		E.SPIRE COMMUNICATIONS INC	\$4.812	\$77,964.50				\$964.50 COMM CALC (Bonds @ 2.5%)
02/25/1999	25000.000		E.SPIRE COMMUNICATIONS INC	\$4.848	\$122,710.00				\$1,500.00 COMM CALC (Bonds @ 2.5%)
02/25/1999	20000.000		E.SPIRE COMMUNICATIONS INC	\$4.848	\$98,168.00				\$1,200.00 COMM CALC (Bonds @ 2.5%)
02/25/1999	50000.000		E.SPIRE COMMUNICATIONS INC	\$4.848	\$245,420.00				\$3,000.00 COMM CALC (Bonds @ 2.5%)
03/08/1999	500.000		3COM CORP	\$47.125	\$23,848.72				\$286.22 COMM CALC (Bonds @ 2.5%)
03/08/1999	500.000		3COM CORP	\$47.125	\$23,778.33				\$215.83 COMM CALC (Bonds @ 2.5%)
03/08/1999	500.000		3COM CORP	\$47.125	\$23,778.30				\$215.80 COMM CALC (Bonds @ 2.5%)
03/10/1999	DELV	1500.000	3COM CORP			\$36,937.50			\$0.00 VALUED PER STMT TRANS
03/12/1999		2000.000	ESPIRE COMMUNICATIONS INC	\$8.174 U		\$16,222.95			\$125.05 COMM CALC (Bonds @ 2.5%)
03/15/1999	5000.000		ENZON INC	\$16.361 U	\$82,210.50				\$404.50 COMM CALC (Bonds @ 2.5%)
03/16/1999	1000.000		3COM CORP	\$26.062 U	\$26,192.00				\$129.50 COMM CALC (Bonds @ 2.5%)
03/16/1999		25000.000	E.SPIRE COMMUNICATIONS INC	\$9.850 U		\$244,737.29			\$1,512.71 COMM CALC (Bonds @ 2.5%)
03/22/1999		100.000	3COM CORP 199903 55 CALL						\$0.00 EXPIRED
03/22/1999		100.000	PLATINUM TECHNOLOGY INC 199903 15 CALL						\$0.00 EXPIRED
03/22/1999	100.000		WORLDCOM INC 199903 45 PUT						\$0.00 EXPIRED
03/22/1999	100.000		WORLDCOM INC 199903 50 PUT						\$0.00 EXPIRED
03/22/1999	200.000		WORLDCOM INC 199903 55 PUT						\$0.00 EXPIRED
03/23/1999	2000.000		PLATINUM TECHNOLOGY INTL INC	\$10.333	\$20,871.10				\$205.10 COMM CALC (Bonds @ 2.5%)
03/25/1999		100.000	AMERICA ONLINE INC DEL 200101 110 PUT	\$32.250		\$320,702.53			\$1,797.47 COMM CALC (Bonds @ 2.5%)
03/25/1999		100.000	MCI WORLDCOM INC 200101 60 PUT	\$4.625		\$45,515.31			\$734.69 COMM CALC (Bonds @ 2.5%)
03/29/1999		100.000	PSINET INC 199910 35 PUT	\$5.750		\$56,794.23			\$705.77 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
03/30/1999	400.000	RECV	CITIGROUP INC		\$25,650.00				\$0.00 VALUED PER STMT TRANS
03/30/1999	2000.000	RECV	MCI WORLDCOM INC		\$184,250.00				\$0.00 VALUED PER STMT TRANS
03/30/1999	500.000	RECV	WALT DISNEY CO HOLDING CO		\$16,406.50				\$0.00 VALUED PER STMT TRANS
04/08/1999		2000.000	S3 INC	\$7.500		\$14,695.00			\$305.00 COMM CALC (Bonds @ 2.5%)
04/08/1999		3000.000	S3 INC	\$7.531		\$22,142.99			\$450.61 COMM CALC (Bonds @ 2.5%)
04/09/1999		100.000	MCI WORLDCOM INC 200101 75 PUT	\$9.250U		\$91,668.14			\$831.86 COMM CALC (Bonds @ 2.5%)
04/12/1999	500.000		CBS CORP	\$43.437	\$21,773.25				\$54.50 COMM CALC (Bonds @ 2.5%)
04/12/1999	500.000		FRONTIER CORP	\$47.312	\$23,878.07				\$221.82 COMM CALC (Bonds @ 2.5%)
04/21/1999	2000.000		CITIGROUP INC	\$70.625	\$141,895.77				\$645.77 COMM CALC (Bonds @ 2.5%)
04/21/1999	10000.000		COMPAQ COMPUTER CORP	\$23.937	\$240,129.50				\$754.50 COMM CALC (Bonds @ 2.5%)
04/22/1999		100.000	GLOBAL CROSSING LTD 199910 40 PUT	\$6.000U		\$59,285.22			\$714.78 COMM CALC (Bonds @ 2.5%)
04/29/1999		100.000	MCI WORLDCOM INC 200101 85 PUT	\$13.750U		\$136,506.05			\$993.95 COMM CALC (Bonds @ 2.5%)
05/05/1999	3200.000		ALLSTATE CORP	\$37.125	\$119,554.50				\$754.50 COMM CALC (Bonds @ 2.5%)
05/05/1999	50.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$0.725	\$3,837.96				\$212.96 COMM CALC (Bonds @ 2.5%)
05/06/1999	17.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$0.750U	\$1,352.54				\$77.54 COMM CALC (Bonds @ 2.5%)
05/06/1999	50.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$0.875	\$4,607.55				\$232.55 COMM CALC (Bonds @ 2.5%)
05/06/1999	2.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$0.937U	\$196.62				\$0.00 OPEN
05/07/1999	200.000		AMERICA ONLINE INC	\$133.750	\$26,814.50				\$64.50 COMM CALC (Bonds @ 2.5%)
05/07/1999	6.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$1.125	\$711.65				\$36.65 COMM CALC (Bonds @ 2.5%)
05/07/1999	25.000		E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$1.187U	\$3,102.72				\$133.97 COMM CALC (Bonds @ 2.5%)
05/07/1999		10.000	PSINET INC 199910 45 PUT	\$9.156U		\$9,007.38			\$148.82 COMM CALC (Bonds @ 2.5%)
05/11/1999		100.000	PSINET INC 199910 40 PUT	\$7.750U		\$76,770.08			\$729.92 COMM CALC (Bonds @ 2.5%)
05/11/1999		50.000	PSINET INC 199910 40 PUT	\$7.750U		\$38,387.29			\$362.71 COMM CALC (Bonds @ 2.5%)
05/13/1999		50.000	E.SPIRE COMMUNICATIONS INC 199905 12.5 CAL	\$1.750U		\$8,475.89			\$274.11 COMM CALC (Bonds @ 2.5%)
05/18/1999		50.000	AMERICA ONLINE INC DEL 200101 100 PUT	\$25.000U		\$124,290.03			\$709.97 COMM CALC (Bonds @ 2.5%)
05/18/1999		100.000	CITIGROUP INC 200101 60 PUT	\$7.375U		\$73,048.80			\$701.20 COMM CALC (Bonds @ 2.5%)
05/18/1999		100.000	COMPAQ COMPUTER CORP 200101 25 PUT	\$5.500U		\$54,360.76			\$639.24 COMM CALC (Bonds @ 2.5%)
05/20/1999		25.000	E.SPIRE COMMUNICATIONS INC 199905 12.5	\$1.750U		\$4,230.71			\$144.29 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
05/20/1999		25.000	CAL E.SPIRE COMMUNICATIONS INC 199905 12.5	\$2.250U		\$5,485.18			\$139.82 COMM CALC (Bonds @ 2.5%)
05/21/1999		25.000	CAL E.SPIRE COMMUNICATIONS INC 199905 12.5	\$1.500U		\$3,597.70			\$152.30 COMM CALC (Bonds @ 2.5%)
05/24/1999		25.000	CAL E.SPIRE COMMUNICATIONS INC 199905 12.5	\$0.375		\$805.95			\$131.55 COMM CALC (Bonds @ 2.5%)
05/24/1999		10.000	MCI WORLDCOM INC 200101 70 PUT	\$6.750U		\$6,518.21			\$231.79 COMM CALC (Bonds @ 2.5%)
05/24/1999		10.000	MCI WORLDCOM INC 200101 70 PUT	\$6.750U		\$6,518.21			\$231.79 COMM CALC (Bonds @ 2.5%)
05/24/1999		50.000	PSINET INC 199910 30 PUT	\$4.000		\$19,344.30			\$655.70 COMM CALC (Bonds @ 2.5%)
05/26/1999		100.000	PSINET INC 200001 45 PUT	\$11.000U		\$109,179.00			\$821.00 COMM CALC (Bonds @ 2.5%)
05/27/1999		100.000	CHASE MANHATTAN CORP NEW 200101 70 PUT	\$11.250		\$111,670.74			\$829.26 COMM CALC (Bonds @ 2.5%)
05/27/1999	6000.000		INTL BUSINESS MACH						\$0.00 STOCK DIVIDEND
05/27/1999		10.000	PSINET INC 200001 45 PUT	\$11.000		\$10,836.37			\$163.63 COMM CALC (Bonds @ 2.5%)
05/28/1999	200.000		QUALCOMM INC	\$95.062	\$19,127.87				\$115.37 COMM CALC (Bonds @ 2.5%)
06/01/1999		100.000	CHASE MANHATTAN CORP NEW 200101 60 PUT	\$7.750		\$76,786.41			\$713.59 COMM CALC (Bonds @ 2.5%)
06/01/1999	2500.000		CITIGROUP INC						\$0.00 STOCK DIVIDEND
06/01/1999	200.000		CITIGROUP INC						\$0.00 STOCK DIVIDEND
06/01/1999		100.000	CITIGROUP INC 200101 26.625 150 SHS PUT						\$0.00 CITIGROUP INC 200101 40 PUT
06/01/1999		100.000	CITIGROUP INC 200101 40 150 SHS PUT						\$0.00 CITIGROUP INC 200101 60 PUT
06/01/1999	100.000		CITIGROUP INC 200101 40 PUT						\$0.00 CITIGROUP INC 200101 26.625
06/01/1999	100.000		CITIGROUP INC 200101 60 PUT						\$0.00 CITIGROUP INC 200101 40 150
06/01/1999		8000.000	PLATINUM TECHNOLOGY INC			\$234,000.00			\$0.00 TENDERED
06/01/1999		2000.000	PLATINUM TECHNOLOGY INTL INC			\$58,500.00			\$0.00 TENDERED
06/04/1999		10.000	PSINET INC 200001 45 PUT	\$11.000		\$10,836.37			\$163.63 COMM CALC (Bonds @ 2.5%)
06/07/1999	2070.000		SPRINT CORP						\$0.00 STOCK DIVIDEND
06/11/1999		50.000	AMERICA ONLINE INC DEL 200101 100 PUT	\$30.250U		\$150,453.27			\$796.73 COMM CALC (Bonds @ 2.5%)
06/11/1999		200.000	AMERICA ONLINE INC DEL 200101 80 PUT	\$20.076		\$399,413.95			\$2,106.05 COMM CALC (Bonds @ 2.5%)
06/15/1999		100.000	AMERICA ONLINE INC DEL 200101 60 PUT	\$13.125U		\$130,358.77			\$891.23 COMM CALC (Bonds @ 2.5%)
06/17/1999	2000.000		AMERICA ONLINE INC DEL	\$92.000	\$184,304.50				\$304.50 COMM CALC (Bonds @ 2.5%)
06/18/1999	100.000		ORACLE CORP	\$25.125U	\$2,532.00				\$19.50 COMM CALC (Bonds @ 2.5%)
06/18/1999	400.000		ORACLE CORP	\$25.125U	\$10,110.00				\$60.00 COMM CALC (Bonds @ 2.5%)



## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
06/18/1999	1000.000		ORACLE CORP	\$25.125 U	\$25,275.00				\$150.00 COMM CALC (Bonds @ 2.5%)
06/18/1999	2500.000		ORACLE CORP	\$25.125 U	\$63,187.50				\$375.00 COMM CALC (Bonds @ 2.5%)
06/18/1999	1000.000		ORACLE CORP	\$25.150 U	\$25,275.00				\$125.00 COMM CALC (Bonds @ 2.5%)
06/18/1999	5000.000		WORLDPORT COMMUNICATIONS INC	\$9.875 U	\$50,129.50				\$754.50 COMM CALC (Bonds @ 2.5%)
06/18/1999	900.000		WORLDPORT COMMUNICATIONS INC	\$9.750 U	\$8,869.50				\$94.50 COMM CALC (Bonds @ 2.5%)
06/18/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$9.750 U	\$9,854.50				\$104.50 COMM CALC (Bonds @ 2.5%)
06/21/1999	5000.000		3COM CORP	\$26.875 U	\$134,879.50				\$504.50 COMM CALC (Bonds @ 2.5%)
06/21/1999	3000.000		3COM CORP	\$26.875 U	\$80,925.00				\$300.00 COMM CALC (Bonds @ 2.5%)
06/21/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$10.125 U	\$5,142.00				\$79.50 COMM CALC (Bonds @ 2.5%)
06/21/1999	1100.000		WORLDPORT COMMUNICATIONS INC	\$10.000 U	\$11,114.50				\$114.50 COMM CALC (Bonds @ 2.5%)
06/21/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$10.000 U	\$10,104.50				\$104.50 COMM CALC (Bonds @ 2.5%)
06/22/1999		200.000	WALT DISNEY CO 200101 25 PUT	\$2.500 U		\$49,056.09			\$943.91 COMM CALC (Bonds @ 2.5%)
06/22/1999	3500.000		WORLDPORT COMMUNICATIONS INC	\$10.250 U	\$36,404.50				\$529.50 COMM CALC (Bonds @ 2.5%)
06/22/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$10.250 U	\$10,400.00				\$150.00 COMM CALC (Bonds @ 2.5%)
06/24/1999	5000.000		WALT DISNEY CO	\$30.937	\$155,442.00				\$754.50 COMM CALC (Bonds @ 2.5%)
06/24/1999	5000.000		WORLDPORT COMMUNICATIONS INC	\$10.875	\$55,129.50				\$754.50 COMM CALC (Bonds @ 2.5%)
06/24/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$10.875 U	\$5,512.50				\$75.00 COMM CALC (Bonds @ 2.5%)
06/24/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$10.875 U	\$11,025.00				\$150.00 COMM CALC (Bonds @ 2.5%)
06/29/1999		10.000	AMERICA ONLINE INC DEL 200101 60 PUT	\$9.750 U		\$9,596.38			\$153.62 COMM CALC (Bonds @ 2.5%)
06/29/1999	2500.000		CITIGROUP INC	\$44.187 U	\$110,848.25				\$379.50 COMM CALC (Bonds @ 2.5%)
06/29/1999	10600.000		KROGER COMPANY						\$0.00 STOCK DIVIDEND
06/29/1999	700.000		PSINET INC	\$49.937	\$35,065.75				\$109.50 COMM CALC (Bonds @ 2.5%)
06/29/1999	500.000		PSINET INC	\$49.875	\$25,012.50				\$75.00 COMM CALC (Bonds @ 2.5%)
06/29/1999	500.000		PSINET INC	\$49.875	\$25,012.50				\$75.00 COMM CALC (Bonds @ 2.5%)
06/29/1999	300.000		PSINET INC	\$49.937	\$15,026.25				\$45.00 COMM CALC (Bonds @ 2.5%)
06/29/1999	3500.000		WORLDPORT COMMUNICATIONS INC	\$9.375 U	\$33,167.00				\$354.50 COMM CALC (Bonds @ 2.5%)
06/29/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$9.375 U	\$9,475.00				\$100.00 COMM CALC (Bonds @ 2.5%)
06/29/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$9.375 U	\$4,737.50				\$50.00 COMM CALC (Bonds @ 2.5%)
06/29/1999	2000.000		WORLDPORT COMMUNICATIONS INC	\$9.375 U	\$18,950.00				\$200.00 COMM CALC (Bonds @ 2.5%)
06/29/1999	200.000		WORLDPORT COMMUNICATIONS INC	\$9.375 U	\$1,895.00				\$20.00 COMM CALC (Bonds @ 2.5%)
06/29/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$9.375 U	\$4,737.50				\$50.00 COMM CALC (Bonds @ 2.5%)
06/29/1999	800.000		WORLDPORT COMMUNICATIONS INC	\$9.375 U	\$7,580.00				\$80.00 COMM CALC (Bonds @ 2.5%)
07/01/1999	20.000		HEALTH MGMT ASSN 199908 12.5 CALL	\$0.625	\$1,356.78				\$106.78 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
07/01/1999		100.000	MCI WORLDCOM INC 200101 60 PUT	\$4.000U		\$39,385.95			\$614.05 COMM CALC (Bonds @ 2.5%)
07/01/1999		100.000	MCI WORLDCOM INC 200101 70 PUT	\$6.625U		\$65,666.88			\$583.12 COMM CALC (Bonds @ 2.5%)
07/01/1999		200.000	MCI WORLDCOM INC 200101 70 PUT	\$6.375U		\$126,342.93			\$1,157.07 COMM CALC (Bonds @ 2.5%)
07/01/1999	300.000		PSINET INC	\$45.375	\$13,670.58				\$58.08 COMM CALC (Bonds @ 2.5%)
07/01/1999	700.000		PSINET INC	\$45.937	\$32,281.27				\$125.02 COMM CALC (Bonds @ 2.5%)
07/01/1999	800.000		PSINET INC	\$46.062	\$36,992.92				\$142.92 COMM CALC (Bonds @ 2.5%)
07/01/1999	25000.000		WORLDPORT COMMUNICATIONS INC	\$4.150U	\$105,254.50				\$1,504.50 COMM CALC (Bonds @ 2.5%)
07/02/1999	800.000		WORLDPORT COMMUNICATIONS INC	\$4.250U	\$3,484.50				\$84.50 COMM CALC (Bonds @ 2.5%)
07/02/1999	200.000		WORLDPORT COMMUNICATIONS INC	\$4.250U	\$870.00				\$20.00 COMM CALC (Bonds @ 2.5%)
07/02/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$4.250U	\$4,354.50				\$104.50 COMM CALC (Bonds @ 2.5%)
07/06/1999	100.000		HEALTH MGMT ASSN INC NEW CL A	\$11.125U	\$1,166.99				\$54.49 COMM CALC (Bonds @ 2.5%)
07/06/1999	100.000		TRANS WORLD ENTMT CORP	\$10.562U	\$1,110.74				\$54.49 COMM CALC (Bonds @ 2.5%)
07/06/1999	1000.000		WORLD WIDE WIRELESS COMM INC	\$1.760U	\$1,854.50				\$94.50 COMM CALC (Bonds @ 2.5%)
07/06/1999	40000.000		WORLDPORT COMMUNICATIONS INC	\$4.632U	\$187,700.54				\$2,404.54 COMM CALC (Bonds @ 2.5%)
07/07/1999	8100.000		WORLDPORT COMMUNICATIONS INC	\$4.168U	\$34,577.00				\$814.58 COMM CALC (Bonds @ 2.5%)
07/08/1999	3000.000		MAIL.COM INC CL A	\$20.562	\$62,142.00				\$454.50 COMM CALC (Bonds @ 2.5%)
07/08/1999	1200.000		PSINET INC	\$45.125	\$54,334.50				\$184.50 COMM CALC (Bonds @ 2.5%)
07/09/1999	1600.000		MAIL.COM INC CL A	\$22.125	\$35,644.50				\$244.50 COMM CALC (Bonds @ 2.5%)
07/09/1999	200.000		MAIL.COM INC CL A	\$22.000	\$4,430.00				\$30.00 COMM CALC (Bonds @ 2.5%)
07/09/1999	200.000		MAIL.COM INC CL A	\$22.125	\$4,455.00				\$30.00 COMM CALC (Bonds @ 2.5%)
07/09/1999	1400.000		WORLDPORT COMMUNICATIONS INC	\$3.812U	\$5,482.00				\$144.50 COMM CALC (Bonds @ 2.5%)
07/09/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$3.812U	\$1,956.25				\$50.00 COMM CALC (Bonds @ 2.5%)
07/09/1999	1500.000		WORLDPORT COMMUNICATIONS INC	\$3.812U	\$5,868.75				\$150.00 COMM CALC (Bonds @ 2.5%)
07/09/1999	100.000		WORLDPORT COMMUNICATIONS INC	\$3.812U	\$391.25				\$10.00 COMM CALC (Bonds @ 2.5%)
07/09/1999	2000.000		WORLDPORT COMMUNICATIONS INC	\$3.812U	\$7,825.00				\$200.00 COMM CALC (Bonds @ 2.5%)
07/09/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$3.812U	\$1,956.25				\$50.00 COMM CALC (Bonds @ 2.5%)
07/09/1999	900.000		WORLDPORT COMMUNICATIONS INC	\$3.812U	\$3,521.25				\$90.00 COMM CALC (Bonds @ 2.5%)
07/12/1999	10000.000		WORLDPORT COMMUNICATIONS INC	\$3.812U	\$38,879.50				\$754.50 COMM CALC (Bonds @ 2.5%)
07/14/1999	3000.000		WORLDPORT COMMUNICATIONS INC	\$3.531U	\$10,912.05				\$318.45 COMM CALC (Bonds @ 2.5%)
07/14/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$3.500U	\$1,802.30				\$52.30 COMM CALC (Bonds @ 2.5%)
07/14/1999	2000.000		WORLDPORT COMMUNICATIONS INC	\$3.500U	\$7,209.20				\$209.20 COMM CALC (Bonds @ 2.5%)
07/14/1999	1500.000		WORLDPORT COMMUNICATIONS INC	\$3.531U	\$5,453.78				\$156.98 COMM CALC (Bonds @ 2.5%)
07/14/1999	1500.000		WORLDPORT COMMUNICATIONS INC	\$3.531U	\$5,453.78				\$156.98 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
07/14/1999	3500.000		WORLDPORT COMMUNICATIONS INC	\$3.531 U	\$12,724.66				\$365.46 COMM CALC (Bonds @ 2.5%)
07/14/1999	3000.000		WORLDPORT COMMUNICATIONS INC	\$3.531 U	\$10,907.55				\$313.95 COMM CALC (Bonds @ 2.5%)
07/14/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$3.531 U	\$3,635.85				\$104.65 COMM CALC (Bonds @ 2.5%)
07/14/1999	2500.000		WORLDPORT COMMUNICATIONS INC	\$3.531 U	\$9,089.63				\$261.63 COMM CALC (Bonds @ 2.5%)
07/14/1999	5000.000		WORLDPORT COMMUNICATIONS INC	\$3.810 U	\$19,434.19				\$379.69 COMM CALC (Bonds @ 2.5%)
07/14/1999	1500.000		WORLDPORT COMMUNICATIONS INC	\$3.531 U	\$5,453.78				\$156.98 COMM CALC (Bonds @ 2.5%)
07/16/1999	2000.000		MAIL.COM INC CL A	\$25.000 U	\$50,304.50				\$304.50 COMM CALC (Bonds @ 2.5%)
07/16/1999	1000.000		MAIL.COM INC CL A	\$24.500 U	\$24,625.00				\$125.00 COMM CALC (Bonds @ 2.5%)
07/16/1999	5000.000		WORLDPORT COMMUNICATIONS INC	\$3.810 U	\$19,429.69				\$375.19 COMM CALC (Bonds @ 2.5%)
07/21/1999	25.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$0.500	\$1,328.73				\$78.73 COMM CALC (Bonds @ 2.5%)
07/21/1999	25.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$0.562	\$1,480.47				\$74.22 COMM CALC (Bonds @ 2.5%)
07/21/1999	25.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$0.500	\$1,328.73				\$78.73 COMM CALC (Bonds @ 2.5%)
07/21/1999	25.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$0.562	\$1,480.47				\$74.22 COMM CALC (Bonds @ 2.5%)
07/23/1999		5000.000	3COM CORP	\$26.875 U		\$133,616.02			\$758.98 COMM CALC (Bonds @ 2.5%)
07/28/1999	10.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$0.500 U	\$544.95				\$44.95 COMM CALC (Bonds @ 2.5%)
07/28/1999	10.000		STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$0.500	\$544.95				\$44.95 COMM CALC (Bonds @ 2.5%)
07/29/1999		30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$1.812		\$5,278.12			\$159.38 COMM CALC (Bonds @ 2.5%)
07/29/1999		30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$1.812		\$5,273.63			\$163.87 COMM CALC (Bonds @ 2.5%)
07/29/1999		30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$1.812		\$5,273.63			\$163.87 COMM CALC (Bonds @ 2.5%)
07/29/1999		30.000	STAR TELECOMMUNICATIONS 199908 7.5 PUT	\$1.812		\$5,278.12			\$159.38 COMM CALC (Bonds @ 2.5%)
07/30/1999		2000.000	AMERICA ONLINE INC DEL	\$98.062 U		\$195,363.96			\$761.04 COMM CALC (Bonds @ 2.5%)
07/30/1999		200.000	MCI WORLDCOM INC 200101 60 PUT	\$3.875 U		\$76,465.20			\$1,034.80 COMM CALC (BONDS @ 2.5%)
07/30/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$3.656 U	\$1,882.63				\$54.53 COMM CALC (Bonds @ 2.5%)
07/30/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$3.656 U	\$1,878.13				\$50.03 COMM CALC (Bonds @ 2.5%)
07/30/1999	1000.000		WORLDPORT COMMUNICATIONS INC	\$3.656 U	\$3,756.25				\$100.05 COMM CALC (Bonds @ 2.5%)
07/30/1999	500.000		WORLDPORT COMMUNICATIONS INC	\$3.656 U	\$1,878.13				\$50.03 COMM CALC (Bonds @ 2.5%)
07/30/1999	1500.000		WORLDPORT COMMUNICATIONS INC	\$3.656 U	\$5,638.88				\$154.58 COMM CALC (Bonds @ 2.5%)
08/03/1999		10.000	MCI WORLDCOM INC 200101 60 PUT	\$4.000 U		\$3,810.96			\$189.04 COMM CALC (Bonds @ 2.5%)
08/04/1999		10.000	MCI WORLDCOM INC 200101 60 PUT	\$4.000 U		\$3,810.96			\$189.04 COMM CALC (Bonds @ 2.5%)
08/05/1999		200.000	GLOBAL CROSSING LTD 200001 30 PUT	\$5.000 U		\$98,890.84			\$1,109.16 COMM CALC (Bonds @ 2.5%)
08/05/1999		100.000	INTL BUSINESS MACH 200201 90 PUT	\$7.500 U		\$74,294.68			\$705.32 COMM CALC (Bonds @ 2.5%)
08/06/1999		100.000	AMERICA ONLINE INC DEL 200201 55 PUT	\$11.625 U		\$115,408.34			\$841.66 COMM CALC (Bonds @ 2.5%)
08/06/1999		100.000	INTL BUSINESS MACH 200201 90 PUT	\$7.000 U		\$69,311.19			\$688.81 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
08/06/1999		20.000	PSINET INC 200001 35 PUT	\$6.000 U		\$11,796.25			\$203.75 COMM CALC (Bonds @ 2.5%)
08/06/1999	3000.000		STAR TELECOMMUNICATIONS	\$5.531 U	\$16,898.25				\$304.65 COMM CALC (Bonds @ 2.5%)
08/06/1999	3000.000		STAR TELECOMMUNICATIONS	\$5.531 U	\$16,898.25				\$304.65 COMM CALC (Bonds @ 2.5%)
08/10/1999		200.000	MCI WORLDCOM INC 200101 60 PUT	\$4.750 U		\$93,907.37			\$1,092.63 COMM CALC (Bonds @ 2.5%)
08/11/1999	200.000		AMERICA ONLINE INC	\$88.187 U	\$17,692.00				\$54.50 COMM CALC (Bonds @ 2.5%)
08/23/1999		20.000	HEALTH MGMT ASSN 199908 12.5 CALL						\$0.00 EXPIRED
08/31/1999		100.000	MCI WORLDCOM INC 200201 60 PUT	\$7.125 U		\$70,494.69			\$755.31 COMM CALC (Bonds @ 2.5%)
08/31/1999		100.000	MCI WORLDCOM INC 200201 65 PUT	\$9.125 U		\$90,490.96			\$759.04 COMM CALC (Bonds @ 2.5%)
09/01/1999		20.000	MCI WORLDCOM INC 200201 65 PUT	\$9.000 U		\$17,725.80			\$274.20 COMM CALC (Bonds @ 2.5%)
09/07/1999		50.000	PSINET INC 200004 40 PUT	\$7.500 U		\$37,055.56			\$444.44 COMM CALC (Bonds @ 2.5%)
09/08/1999		25.000	PSINET INC 200004 40 PUT	\$6.750 U		\$16,616.16			\$258.84 COMM CALC (Bonds @ 2.5%)
09/09/1999	7500.000		WORLDPORT COMMUNICATIONS INC	\$0.968 U	\$7,504.44				\$238.44 COMM CALC (Bonds @ 2.5%)
09/09/1999	2500.000		WORLDPORT COMMUNICATIONS INC	\$0.937 U	\$2,421.75				\$78.00 COMM CALC (Bonds @ 2.5%)
09/14/1999		200.000	AMERICA ONLINE INC DEL 200101 50 PUT	\$5.500 U		\$108,857.79			\$1,142.21 COMM CALC (Bonds @ 2.5%)
09/14/1999		100.000	QUALCOMM INC 200101 90 PUT	\$7.250 U		\$71,802.93			\$697.07 COMM CALC (Bonds @ 2.5%)
09/15/1999		100.000	GLOBAL CROSSING LTD 200004 30 PUT	\$9.025 U		\$89,494.27			\$755.73 COMM CALC (Bonds @ 2.5%)
09/20/1999	100.000		E.SPIRE COMMUNICATIONS INC 199909 5 PUT						\$0.00 EXPIRED
09/22/1999		200.000	MCI WORLDCOM INC 200201 65 PUT	\$7.031 U		\$139,381.58			\$1,242.42 COMM CALC (Bonds @ 2.5%)
09/24/1999	2000.000		AMERICA ONLINE INC DEL	\$84.562	\$169,879.50				\$754.50 COMM CALC (Bonds @ 2.5%)
09/24/1999	2000.000		INTL BUSINESS MACH	\$126.687	\$253,519.50				\$144.50 COMM CALC (Bonds @ 2.5%)
09/24/1999		2000.000	INTL BUSINESS MACH	\$126.875		\$253,597.04			\$152.96 COMM CALC (Bonds @ 2.5%)
09/27/1999		100.000	AMERICA ONLINE INC DEL 200101 60 PUT	\$7.250 U		\$71,802.93			\$697.07 COMM CALC (Bonds @ 2.5%)
09/27/1999		100.000	MCI WORLDCOM INC 200201 65 PUT	\$8.500 U		\$84,329.13			\$670.87 COMM CALC (Bonds @ 2.5%)
09/27/1999		100.000	MCI WORLDCOM INC 200201 65 PUT	\$8.400 U		\$83,333.67			\$666.33 COMM CALC (Bonds @ 2.5%)
09/30/1999		500.000	FRONTIER CORP						\$0.00 GLOBAL CROSSING LTD
09/30/1999	1025.000		GLOBAL CROSSING LTD						\$0.00 FRONTIER CORP
09/30/1999	20.000		GLOBAL CROSSING LTD 199910 40 PUT						\$0.00 ASSIGNED
10/01/1999	100.000		GLOBAL CROSSING LTD 199910 40 PUT	\$14.250 U	\$143,507.21				\$1,007.21 COMM CALC (Bonds @ 2.5%)
10/01/1999		100.000	GLOBAL CROSSING LTD 200001 40 PUT	\$14.500 U		\$143,979.02			\$1,020.98 COMM CALC (Bonds @ 2.5%)
10/01/1999	10.000		MCI WORLDCOM INC 200101 70 PUT	\$10.250	\$10,254.50				\$0.00 CLOSE
10/01/1999	10.000		PSINET INC 199910 45 PUT	\$9.500 U	\$9,771.41				\$271.41 COMM CALC (Bonds @ 2.5%)
10/04/1999	2000.000		GLOBAL CROSSING LTD	\$40.000	\$80,922.69				\$922.69 COMM CALC (Bonds @ 2.5%)
10/04/1999		100.000	GLOBAL CROSSING LTD 200004 25 PUT	\$4.250 U		\$41,821.49			\$678.51 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
10/04/1999		200.000	MCI WORLDCOM INC 200201 60 PUT	\$8.125 U		\$161,184.29			\$1,315.71 COMM CALC (Bonds @ 2.5%)
10/05/1999		20.000	GLOBAL CROSSING LTD 199910 40 PUT	\$14.625 U		\$28,934.13			\$315.87 COMM CALC (BONDS @ 2.5%)
10/06/1999		100.000	MCI WORLDCOM INC 200201 60 PUT	\$9.250 U		\$91,736.83			\$763.17 COMM CALC (Bonds @ 2.5%)
10/06/1999	100.000		PSINET INC 199910 35 PUT	\$3.375 U	\$34,340.40				\$590.40 COMM CALC (Bonds @ 2.5%)
10/06/1999	100.000		PSINET INC 199910 40 PUT	\$7.375 U	\$74,448.74				\$698.74 COMM CALC (Bonds @ 2.5%)
10/06/1999		100.000	PSINET INC 200004 40 PUT	\$11.625 U		\$115,408.34			\$841.66 COMM CALC (Bonds @ 2.5%)
10/08/1999		100.000	PSINET INC 200004 35 PUT	\$8.437 U		\$83,638.68			\$736.32 COMM CALC (Bonds @ 2.5%)
10/15/1999		100.000	GLOBAL CROSSING LTD 200101 40 PUT	\$10.750 U		\$106,687.26			\$812.74 COMM CALC (BONDS @ 2.5%)
10/18/1999	50.000		PSINET INC 199910 30 PUT						\$0.00 EXPIRED
10/18/1999	50.000		PSINET INC 199910 40 PUT	\$6.375	\$32,336.16				\$461.16 COMM CALC (Bonds @ 2.5%)
10/18/1999		50.000	PSINET INC 200004 40 PUT	\$11.125		\$55,101.18			\$523.82 COMM CALC (Bonds @ 2.5%)
10/19/1999	3000.000		GLOBAL CROSSING LTD	\$37.062	\$111,899.65				\$712.15 COMM CALC (Bonds @ 2.5%)
10/21/1999		100.000	PSINET INC 200004 30 PUT	\$5.250 U		\$51,869.03			\$630.97 COMM CALC (Bonds @ 2.5%)
10/25/1999	10.000		MCI WORLDCOM INC 200101 60 PUT	\$5.625	\$5,840.27				\$215.27 COMM CALC (Bonds @ 2.5%)
10/25/1999	2.000		PSINET INC 200001 45 PUT	\$11.750	\$2,438.70				\$88.70 COMM CALC (Bonds @ 2.5%)
11/05/1999		100.000	INTL BUSINESS MACH 200201 80 PUT	\$9.750 U		\$96,720.32			\$779.68 COMM CALC (Bonds @ 2.5%)
11/08/1999		200.000	FEDERAL HOME LN MTG 200201 50 PUT	\$6.750 U		\$133,775.18			\$1,224.82 COMM CALC (Bonds @ 2.5%)
11/08/1999		200.000	INTL BUSINESS MACH 200201 80 PUT	\$9.625 U		\$191,085.14			\$1,414.86 COMM CALC (Bonds @ 2.5%)
11/09/1999		200.000	CITIGROUP INC 200201 45 PUT	\$5.375 U		\$106,366.05			\$1,133.95 COMM CALC (Bonds @ 2.5%)
11/09/1999	5000.000		MSH ENTERTAINMENT CORP	\$0.250 U	\$1,319.50				\$69.50 COMM CALC (Bonds @ 2.5%)
11/10/1999		100.000	CISCO SYS INC 200201 55 PUT	\$6.750 U		\$66,819.46			\$680.54 COMM CALC (Bonds @ 2.5%)
11/12/1999		200.000	GLOBAL CROSSING LTD 200201 30 PUT	\$7.125 U		\$141,250.39			\$1,249.61 COMM CALC (Bonds @ 2.5%)
11/18/1999	3000.000		GLOBAL CROSSING LTD	\$43.000 U	\$129,454.50				\$454.50 COMM CALC (Bonds @ 2.5%)
11/18/1999	2000.000		GLOBAL CROSSING LTD	\$42.937 U	\$86,175.00				\$300.00 COMM CALC (Bonds @ 2.5%)
11/23/1999	400.000		AMERICA ONLINE INC						\$0.00 STOCK DIVIDEND
11/23/1999	10000.000		AMERICA ONLINE INC DEL						\$0.00 STOCK DIVIDEND
11/23/1999	100.000		AMERICA ONLINE INC DEL 200101 100 PUT						\$0.00 AMERICA ONLINE INC DEL
11/23/1999	100.000		AMERICA ONLINE INC DEL 200101 110 PUT						\$0.00 AMERICA ONLINE INC DEL
11/23/1999		400.000	AMERICA ONLINE INC DEL 200101 25 PUT						\$0.00 AMERICA ONLINE INC DEL
11/23/1999		420.000	AMERICA ONLINE INC DEL 200101 30 PUT						\$0.00 AMERICA ONLINE INC DEL
11/23/1999		400.000	AMERICA ONLINE INC DEL 200101 40 PUT						\$0.00 AMERICA ONLINE INC DEL
11/23/1999	200.000		AMERICA ONLINE INC DEL 200101 50 PUT	U					\$0.00 AMERICA ONLINE INC DEL
11/23/1999		200.000	AMERICA ONLINE INC DEL 200101 50 PUT						\$0.00 AMERICA ONLINE INC DEL

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
11/23/1999		200.000	AMERICA ONLINE INC DEL 200101 55 PUT						\$0.00 AMERICA ONLINE INC DEL
11/23/1999	210.000		AMERICA ONLINE INC DEL 200101 60 PUT						\$0.00 AMERICA ONLINE INC DEL
11/23/1999	200.000		AMERICA ONLINE INC DEL 200101 80 PUT						\$0.00 AMERICA ONLINE INC DEL
11/23/1999		200.000	AMERICA ONLINE INC DEL 200201 27.5 PUT						\$0.00 AMERICA ONLINE INC DEL
11/23/1999	100.000		AMERICA ONLINE INC DEL 200201 55 PUT						\$0.00 AMERICA ONLINE INC DEL
11/23/1999		200.000	AT&T CORP 200201 50 PUT	\$7.375 U		\$146,233.86			\$1,266.14 COMM CALC (Bonds @ 2.5%)
11/23/1999		7500.000	WORLDPORT COMMUNICATIONS INC	\$1.812 U		\$13,218.42			\$375.33 COMM CALC (Bonds @ 2.5%)
11/23/1999		500.000	WORLDPORT COMMUNICATIONS INC	\$1.812 U		\$881.56			\$24.69 COMM CALC (Bonds @ 2.5%)
11/23/1999		10000.000	WORLDPORT COMMUNICATIONS INC	\$1.781 U		\$17,318.90			\$493.10 COMM CALC (Bonds @ 2.5%)
11/23/1999		500.000	WORLDPORT COMMUNICATIONS INC	\$1.781 U		\$865.95			\$24.65 COMM CALC (Bonds @ 2.5%)
11/23/1999		500.000	WORLDPORT COMMUNICATIONS INC	\$1.750		\$850.32			\$24.68 COMM CALC (Bonds @ 2.5%)
11/24/1999	100.000		NET PERCEPTIONS INC	\$30.375 U	\$3,091.99				\$54.49 COMM CALC (Bonds @ 2.5%)
11/24/1999	100.000		NEW ERA OF NETWORKS INC	\$47.000	\$4,759.94				\$59.94 COMM CALC (Bonds @ 2.5%)
11/24/1999		1000.000	WORLDPORT COMMUNICATIONS INC	\$1.875 U		\$1,818.83			\$56.17 COMM CALC (Bonds @ 2.5%)
11/24/1999		500.000	WORLDPORT COMMUNICATIONS INC	\$1.906 U		\$927.04			\$26.06 COMM CALC (Bonds @ 2.5%)
11/24/1999		5000.000	WORLDPORT COMMUNICATIONS INC	\$1.875 U		\$9,116.68			\$258.32 COMM CALC (Bonds @ 2.5%)
12/01/1999	10.000		24/7 MEDIA INC 199912 55 CALL	\$3.500 U	\$3,602.05				\$102.05 COMM CALC (Bonds @ 2.5%)
12/01/1999		100.000	MCI WORLDCOM INC 200201 60 PUT	\$5.375 U		\$53,114.90			\$635.10 COMM CALC (Bonds @ 2.5%)
12/01/1999		300.000	MCI WORLDCOM INC 200201 65 PUT	\$6.750 U		\$200,730.89			\$1,769.11 COMM CALC (Bonds @ 2.5%)
12/02/1999		200.000	MCI WORLDCOM INC 200201 60 PUT	\$6.625 U		\$131,357.50			\$1,142.50 COMM CALC (Bonds @ 2.5%)
12/02/1999		200.000	MCI WORLDCOM INC 200201 60 PUT	\$6.375 U		\$126,362.15			\$1,137.85 COMM CALC (Bonds @ 2.5%)
12/02/1999		5.000	NEW ERA OF NETWORKS INC 200007 35 PUT	\$5.625		\$2,747.44			\$65.06 COMM CALC (Bonds @ 2.5%)
12/03/1999		100.000	INTL BUSINESS MACH 200201 90 PUT	\$10.375 U		\$102,949.66			\$800.34 COMM CALC (Bonds @ 2.5%)
12/06/1999	3000.000		MCI WORLDCOM INC	\$78.000	\$234,754.50				\$754.50 COMM CALC (Bonds @ 2.5%)
12/06/1999	2000.000		MCI WORLDCOM INC	\$78.000	\$156,200.00				\$200.00 COMM CALC (Bonds @ 2.5%)
12/08/1999		300.000	MCI WORLDCOM INC 200201 60 PUT	\$6.500 U		\$193,255.68			\$1,744.32 COMM CALC (Bonds @ 2.5%)
12/08/1999		200.000	MCI WORLDCOM INC 200201 65 PUT	\$8.000 U		\$158,692.54			\$1,307.46 COMM CALC (Bonds @ 2.5%)
12/09/1999	10000.000		KROGER COMPANY	\$15.500 U	\$155,754.50				\$754.50 COMM CALC (Bonds @ 2.5%)
12/10/1999		100.000	HEALTH MGMT ASSN INC NEW CL A	\$12.187		\$1,155.16			\$63.59 COMM CALC (Bonds @ 2.5%)
12/10/1999		100.000	TRANS WORLD ENTMT CORP	\$10.062		\$947.21			\$59.04 COMM CALC (Bonds @ 2.5%)
12/13/1999	200.000		BANYAN SYSTEMS INC	\$16.750 U	\$3,412.23				\$62.23 COMM CALC (Bonds @ 2.5%)
12/13/1999		300.000	FEDERAL HOME LN MTG 200101 45 PUT	\$4.250 U		\$125,978.76			\$1,521.24 COMM CALC (Bonds @ 2.5%)
12/13/1999	10.000		LEVEL 3 COMMUNICATIONS 200001 65 PUT	\$1.375	\$1,463.76				\$88.76 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
12/13/1999		300.000	MCI WORLDCOM INC 200201 60 PUT	\$6.750U		\$200,730.89			\$1,769.11 COMM CALC (Bonds @ 2.5%)
12/13/1999	1000.000		METAL MANAGEMENT INC	\$2.000U	\$2,069.50				\$69.50 COMM CALC (Bonds @ 2.5%)
12/13/1999	900.000		TECHNOLOGY SOLUTIONS CO	\$38.187U	\$34,508.25				\$139.50 COMM CALC (Bonds @ 2.5%)
12/13/1999	800.000		TECHNOLOGY SOLUTIONS CO	\$38.187U	\$30,670.00				\$120.00 COMM CALC (Bonds @ 2.5%)
12/13/1999	700.000		TECHNOLOGY SOLUTIONS CO	\$38.187U	\$26,836.25				\$105.00 COMM CALC (Bonds @ 2.5%)
12/13/1999	200.000		TECHNOLOGY SOLUTIONS CO	\$38.187U	\$7,667.50				\$30.00 COMM CALC (Bonds @ 2.5%)
12/13/1999	1200.000		TECHNOLOGY SOLUTIONS CO	\$38.187U	\$46,005.00				\$180.00 COMM CALC (Bonds @ 2.5%)
12/14/1999	3000.000		INTL BUSINESS MACH	\$113.750U	\$342,004.50				\$754.50 COMM CALC (Bonds @ 2.5%)
12/14/1999	1200.000		TECHNOLOGY SOLUTIONS CO	\$38.625U	\$46,714.50				\$364.50 COMM CALC (Bonds @ 2.5%)
12/16/1999	200.000		MCI WORLDCOM INC 200101 80 CALL	\$13.125U	\$264,137.44				\$1,637.44 COMM CALC (Bonds @ 2.5%)
12/16/1999		200.000	MCI WORLDCOM INC 200201 80 PUT	\$16.125U		\$320,655.51			\$1,844.49 COMM CALC (Bonds @ 2.5%)
12/21/1999		10.000	24/7 MEDIA INC 199912 55 CALL	\$5.750		\$5,532.73			\$217.27 COMM CALC (Bonds @ 2.5%)
12/21/1999	10000.000		METAL MANAGEMENT INC	\$3.812U	\$38,837.91				\$712.91 COMM CALC (Bonds @ 2.5%)
12/23/1999	10.000		NEW ERA OF NETWORKS INC 200002 50 CALL	\$6.250U	\$6,474.32				\$224.32 COMM CALC (Bonds @ 2.5%)
12/23/1999		1000.000	ROBERTS PHARMACEUTICAL CORP						\$0.00 SHIRE PHARMA GROUP PLC
12/23/1999			SHIRE PHARMA GROUP PLC ADR			\$20.53			\$0.00 CIL
12/23/1999	1042.000		SHIRE PHARMA GROUP PLC ADR						\$0.00 ROBERTS PHARMACEUTICAL
12/27/1999	5000.000		COMPAQ COMPUTER CORP	\$27.500U	\$138,254.50				\$754.50 COMM CALC (Bonds @ 2.5%)
12/28/1999	5000.000		COMPAQ COMPUTER CORP	\$28.250	\$142,004.50				\$754.50 COMM CALC (Bonds @ 2.5%)
12/30/1999		10.000	NET PERCEPTIONS INC 200008 25 PUT						\$0.00 CXL
12/31/1999	17800.000		MCI WORLDCOM INC						\$0.00 STOCK DIVIDEND
12/31/1999	1000.000		MCI WORLDCOM INC						\$0.00 STOCK DIVIDEND
12/31/1999		100.000	MCI WORLDCOM INC 200101 33.375 150 SHS PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999		600.000	MCI WORLDCOM INC 200101 40 150 SHS PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999		10.000	MCI WORLDCOM INC 200101 40 150 SHS PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999		300.000	MCI WORLDCOM INC 200101 46.625 150 SHS PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999		10.000	MCI WORLDCOM INC 200101 46.625 150 SHS PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999		100.000	MCI WORLDCOM INC 200101 50 150 SHS PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999	100.000		MCI WORLDCOM INC 200101 50 PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999		100.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT						\$0.00 MCI WORLDCOM INC 200101

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
12/31/1999	600.000		MCI WORLDCOM INC 200101 60 PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999	10.000		MCI WORLDCOM INC 200101 60 PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999	300.000		MCI WORLDCOM INC 200101 70 PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999	10.000		MCI WORLDCOM INC 200101 70 PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999	100.000		MCI WORLDCOM INC 200101 75 PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999		200.000	MCI WORLDCOM INC 200101 80 CALL						\$0.00 MCI WRLDCM INC 200101
12/31/1999	100.000		MCI WORLDCOM INC 200101 85 PUT						\$0.00 MCI WORLDCOM INC 200101
12/31/1999		1500.000	MCI WORLDCOM INC 200201 40 150 SHS PUT						\$0.00 MCI WORLDCOM INC 200201
12/31/1999		1000.000	MCI WORLDCOM INC 200201 43.375 150 SHS PUT						\$0.00 MCI WORLDCOM INC 200201
12/31/1999		20.000	MCI WORLDCOM INC 200201 43.375 150 SHS PUT						\$0.00 MCI WORLDCOM INC 200201
12/31/1999		200.000	MCI WORLDCOM INC 200201 53.375 150 SHS PUT						\$0.00 MCI WORLDCOM INC 200201
12/31/1999	1500.000		MCI WORLDCOM INC 200201 60 PUT						\$0.00 MCI WORLDCOM INC 200201
12/31/1999	1000.000		MCI WORLDCOM INC 200201 65 PUT						\$0.00 MCI WORLDCOM INC 200201
12/31/1999	20.000		MCI WORLDCOM INC 200201 65 PUT						\$0.00 MCI WORLDCOM INC 200201
12/31/1999	200.000		MCI WORLDCOM INC 200201 80 PUT						\$0.00 MCI WORLDCOM INC 200201
12/31/1999	200.000		MCI WRLDCM INC 200101 53.375 150 SHS CALL						\$0.00 MCI WORLDCOM INC 200101
12/31/1999		5.000	NEW ERA OF NETWORKS INC 200007 40 PUT	\$8.125 U		\$4,002.46			\$60.04 COMM CALC (Bonds @ 2.5%)
12/31/1999	600.000		QUALCOMM INC						\$0.00 STOCK DIVIDEND
12/31/1999		400.000	QUALCOMM INC 200101 22.5 PUT						\$0.00 QUALCOMM INC 200101 90
12/31/1999	100.000		QUALCOMM INC 200101 90 PUT						\$0.00 QUALCOMM INC 200101 22.5
01/03/2000	1000.000		AMAZON.COM INC	\$84.687	\$84,842.00				\$154.50 COMM CALC (Bonds @ 2.5%)
01/03/2000	200.000		WIND RIVER SYSTEM INC	\$36.875 U	\$7,483.92				\$108.92 COMM CALC (Bonds @ 2.5%)
01/04/2000		10.000	BANYAN SYSTEMS INC 200007 17.5 PUT	\$4.625 U		\$4,504.43			\$120.57 COMM CALC (Bonds @ 2.5%)
01/04/2000		200.000	COMPAQ COMPUTER CORP 200201 30 PUT	\$7.375 U		\$146,233.86			\$1,266.14 COMM CALC (Bonds @ 2.5%)
01/04/2000		200.000	GLOBALSTAR TELECOMM 200201 30 PUT	\$10.875 U		\$216,002.53			\$1,497.47 COMM CALC (Bonds @ 2.5%)
01/04/2000		200.000	GLOBALSTAR TELECOMM 200201 35 PUT	\$13.875 U		\$275,804.23			\$1,695.77 COMM CALC (Bonds @ 2.5%)
01/04/2000	100.000		MEDAREX INC	\$36.750 U	\$3,736.39				\$61.39 COMM CALC (Bonds @ 2.5%)
01/04/2000		10.000	NET PERCEPTIONS INC 200008 25 PUT	\$7.125		\$6,977.76			\$147.24 COMM CALC (Bonds @ 2.5%)
01/04/2000	10.000	CXL	NET PERCEPTIONS INC 200008 25 PUT						\$0.00 CXL
01/04/2000	100.000		NEW ERA OF NETWORKS INC	\$45.500 U	\$4,621.02				\$71.02 COMM CALC (Bonds @ 2.5%)
01/05/2000		200.000	NOVELL INC 200201 30 PUT	\$7.000 U		\$138,758.65			\$1,241.35 COMM CALC (Bonds @ 2.5%)



## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
01/06/2000	200.000		ACACIA RESH CORP	\$30.000U	\$6,096.61				\$96.61 COMM CALC (Bonds @ 2.5%)
01/06/2000	5000.000		COMPAQ COMPUTER CORP	\$30.062	\$151,067.00				\$754.50 COMM CALC (Bonds @ 2.5%)
01/06/2000		200.000	GLOBALSTAR TELECOMM 200201 25 PUT	\$8.500U		\$168,659.50			\$1,340.50 COMM CALC (Bonds @ 2.5%)
01/06/2000		200.000	GLOBALSTAR TELECOMM 200201 30 PUT	\$11.406U		\$226,592.40			\$1,531.60 COMM CALC (Bonds @ 2.5%)
01/06/2000	100.000		MEDAREX INC	\$33.625U	\$3,436.06				\$73.56 COMM CALC (Bonds @ 2.5%)
01/06/2000	500.000		TIER TECHNOLOGIES INC CL B	\$8.500U	\$4,353.13				\$103.13 COMM CALC (Bonds @ 2.5%)
01/06/2000	10.000		WIND RIVER SYSTEM INC 200002 40 CALL	\$1.437U	\$1,527.03				\$89.53 COMM CALC (Bonds @ 2.5%)
01/07/2000		400.000	GLOBALSTAR TELECOMM 200201 25 PUT	\$8.765U		\$348,045.15			\$2,578.85 COMM CALC (Bonds @ 2.5%)
01/07/2000	3700.000		NOVELL INC	\$34.750	\$129,134.50				\$559.50 COMM CALC (Bonds @ 2.5%)
01/07/2000	400.000		NOVELL INC	\$34.750	\$13,960.00				\$60.00 COMM CALC (Bonds @ 2.5%)
01/07/2000	900.000		NOVELL INC	\$34.750	\$31,410.00				\$135.00 COMM CALC (Bonds @ 2.5%)
01/07/2000	100.000		WIND RIVER SYSTEM INC	\$34.750U	\$3,539.98				\$64.98 COMM CALC (Bonds @ 2.5%)
01/10/2000	2100.000		GLOBALSTAR TELECOMM	\$41.875	\$88,462.50				\$525.00 COMM CALC (Bonds @ 2.5%)
01/10/2000	200.000		GLOBALSTAR TELECOMM	\$41.625	\$8,375.00				\$50.00 COMM CALC (Bonds @ 2.5%)
01/10/2000	2500.000		GLOBALSTAR TELECOMM	\$39.000	\$98,129.50				\$629.50 COMM CALC (Bonds @ 2.5%)
01/10/2000	200.000		GLOBALSTAR TELECOMM	\$39.000	\$7,850.00				\$50.00 COMM CALC (Bonds @ 2.5%)
01/10/2000	100.000		WIND RIVER SYSTEM INC	\$31.062U	\$3,166.98				\$60.73 COMM CALC (Bonds @ 2.5%)
01/12/2000	2000.000		CYCOMM INTL INC NEW	\$1.187U	\$2,450.75				\$75.75 COMM CALC (Bonds @ 2.5%)
01/12/2000		100.000	MCI WORLDCOM INC 200201 40 150 SHS PUT	\$8.125U		\$80,524.02			\$725.98 COMM CALC (Bonds @ 2.5%)
01/12/2000		20.000	MCI WORLDCOM INC 200201 40 150 SHS PUT	\$7.375		\$21,822.30			\$302.70 COMM CALC (Bonds @ 2.5%)
01/14/2000	200.000		PARADYNE NETWORKS INC	\$27.750U	\$5,627.66				\$77.66 COMM CALC (Bonds @ 2.5%)
01/14/2000		5.000	PSINET INC 200007 100 CALL	\$8.000U		\$3,926.55			\$73.45 COMM CALC (Bonds @ 2.5%)
01/19/2000	5000.000		ORACLE CORP						\$0.00 STOCK DIVIDEND
01/20/2000		5.000	NEW ERA OF NETWORKS INC 200002 50 CALL	\$16.250U		\$8,020.11			\$104.89 COMM CALC (Bonds @ 2.5%)
01/21/2000	10.000		BANYAN SYSTEMS INC 200007 17.5 PUT	\$3.750U	\$3,844.94				\$94.94 COMM CALC (Bonds @ 2.5%)
01/24/2000	200.000		GLOBAL CROSSING LTD 200001 30 PUT						\$0.00 EXPIRED
01/24/2000	100.000		GLOBAL CROSSING LTD 200001 40 PUT						\$0.00 EXPIRED
01/24/2000		10.000	LEVEL 3 COMMUNICATIONS 200001 65 PUT						\$0.00 EXPIRED
01/24/2000	20.000		PSINET INC 200001 35 PUT						\$0.00 EXPIRED
01/24/2000	100.000		PSINET INC 200001 45 PUT						\$0.00 EXPIRED
01/24/2000	10.000		PSINET INC 200001 45 PUT						\$0.00 EXPIRED
01/24/2000	8.000		PSINET INC 200001 45 PUT						\$0.00 EXPIRED
01/25/2000	100.000		ACACIA RESH CORP	\$50.937U	\$5,153.69				\$59.94 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
01/25/2000		200.000	BANYAN SYSTEMS INC	\$21.312U		\$4,193.78			\$68.72 COMM CALC (Bonds @ 2.5%)
01/26/2000	3000.000		LIGHTPATH TECHNOLOGIES INC CL A	\$28.060U	\$84,934.49				\$754.49 COMM CALC (Bonds @ 2.5%)
01/26/2000		200.000	LUCENT TECHNOLOGIES INC 200201 45 PUT	\$7.125U		\$141,250.39			\$1,249.61 COMM CALC (Bonds @ 2.5%)
01/26/2000	1000.000		MAGAININ PHARMACEUTICALS INC	\$3.000U	\$3,154.50				\$154.50 COMM CALC (Bonds @ 2.5%)
01/27/2000	500.000		PHEONIX INTL LIFE SCIENCES	\$10.187U	\$5,172.05				\$78.30 COMM CALC (Bonds @ 2.5%)
01/27/2000	500.000		PHEONIX INTL LIFE SCIENCES	\$9.375U	\$4,761.30				\$73.80 COMM CALC (Bonds @ 2.5%)
01/27/2000		100.000	QUALCOMM INC 200201 100 PUT	\$22.000U		\$218,708.85			\$1,291.15 COMM CALC (Bonds @ 2.5%)
01/28/2000	100.000		ACACIA RESH CORP	\$49.250U	\$4,984.94				\$59.94 COMM CALC (Bonds @ 2.5%)
01/28/2000	100.000		WIND RIVER SYSTEM INC	\$34.000U	\$3,454.49				\$54.49 COMM CALC (Bonds @ 2.5%)
01/31/2000	2000.000		CYCOMM INTL INC NEW	\$1.875U	\$3,848.25				\$98.25 COMM CALC (Bonds @ 2.5%)
01/31/2000		5.000	NEW ERA OF NETWORKS INC 200007 45 PUT	\$8.125		\$3,988.61			\$73.89 COMM CALC (Bonds @ 2.5%)
01/31/2000	100.000		SYMYX TECHNOLOGIES INC	\$42.750U	\$4,333.55				\$58.55 COMM CALC (Bonds @ 2.5%)
02/01/2000	200.000		ECI TELECOM LTD ISRAEL	\$28.937U	\$5,867.06				\$79.56 COMM CALC (Bonds @ 2.5%)
02/02/2000	100.000		ACACIA RESH CORP	\$47.000U	\$4,765.48				\$65.48 COMM CALC (Bonds @ 2.5%)
02/02/2000	100.000		MEDAREX INC	\$57.625U	\$5,827.98				\$65.48 COMM CALC (Bonds @ 2.5%)
02/07/2000	1035.000		SPRINT CORP PCS GROUP						\$0.00 STOCK DIVIDEND
02/11/2000	5000.000		WALT DISNEY CO GO.COM	\$29.062U	\$146,067.00				\$754.50 COMM CALC (Bonds @ 2.5%)
02/14/2000	10000.000		PSINET INC						\$0.00 STOCK DIVIDEND
02/14/2000	1000.000		PSINET INC						\$0.00 STOCK DIVIDEND
02/14/2000		200.000	PSINET INC 200004 15 PUT						\$0.00 PSINET INC 200004 30 PUT
02/14/2000		200.000	PSINET INC 200004 17.5 PUT						\$0.00 PSINET INC 200004 35 PUT
02/14/2000		450.000	PSINET INC 200004 20 PUT						\$0.00 PSINET INC 200004 40 PUT
02/14/2000	100.000		PSINET INC 200004 30 PUT						\$0.00 PSINET INC 200004 15 PUT
02/14/2000	100.000		PSINET INC 200004 35 PUT						\$0.00 PSINET INC 200004 17.5 PUT
02/14/2000	225.000		PSINET INC 200004 40 PUT						\$0.00 PSINET INC 200004 20 PUT
02/14/2000	5.000		PSINET INC 200007 100 CALL						\$0.00 PSINET INC 200007 50 CALL
02/14/2000		10.000	PSINET INC 200007 50 CALL						\$0.00 PSINET INC 200007 100 CALL
02/14/2000	5000.000		WALT DISNEY CO GO.COM	\$28.312U	\$142,317.00				\$754.50 COMM CALC (Bonds @ 2.5%)
02/15/2000		200.000	GLOBAL CROSSING LTD 200201 40 PUT	\$7.375U		\$146,233.86			\$1,266.14 COMM CALC (Bonds @ 2.5%)
02/15/2000		5.000	NEW ERA OF NETWORKS INC 200002 50 CALL	\$10.250U		\$5,041.95			\$83.05 COMM CALC (Bonds @ 2.5%)
02/15/2000		100.000	QUALCOMM INC 200201 80 PUT	\$13.000U		\$129,033.06			\$966.94 COMM CALC (Bonds @ 2.5%)
02/15/2000		10.000	WIND RIVER SYSTEM INC 200002 40 CALL	\$2.875U		\$2,786.10			\$88.90 COMM CALC (Bonds @ 2.5%)
02/16/2000	5000.000		ELOYALTY CORP						\$0.00 STOCK DIVIDEND SPINOFF

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
02/16/2000		100.000	GLOBAL CROSSING LTD 200201 40 PUT	\$6.900U		\$68,252.81		\$747.19	COMM CALC (Bonds @ 2.5%)
02/22/2000		200.000	GLOBAL CROSSING LTD 200201 30 PUT	\$4.125U		\$80,594.44		\$1,905.56	COMM CALC (Bonds @ 2.5%)
02/22/2000		200.000	GLOBAL CROSSING LTD 200201 35 PUT	\$6.000U		\$118,824.75		\$1,175.25	COMM CALC (Bonds @ 2.5%)
02/23/2000		200.000	GLOBAL CROSSING LTD 200201 30 PUT	\$4.500U		\$88,923.89		\$1,076.11	COMM CALC (Bonds @ 2.5%)
02/23/2000		2000.000	WORLDPORT COMMUNICATIONS INC	\$2.875U		\$5,457.80		\$292.20	COMM CALC (Bonds @ 2.5%)
02/24/2000	100.000		BROADWING INC	\$31.000U	\$3,154.49			\$54.49	COMM CALC (Bonds @ 2.5%)
02/24/2000		1000.000	METAL MANAGEMENT INC	\$2.500U		\$2,432.91		\$67.09	COMM CALC (Bonds @ 2.5%)
02/24/2000	5.000		NEW ERA OF NETWORKS INC 200007 35 PUT	\$1.375	\$731.84			\$44.34	COMM CALC (Bonds @ 2.5%)
02/25/2000	200.000		DATA TRANSMISSION NETWORK	\$22.062U	\$4,488.89			\$76.39	COMM CALC (Bonds @ 2.5%)
02/25/2000	500.000		GLOBALSTAR TELECOMM	\$25.375U	\$12,767.00			\$79.50	COMM CALC (Bonds @ 2.5%)
02/25/2000	500.000		GLOBALSTAR TELECOMM	\$25.375U	\$12,762.50			\$75.00	COMM CALC (Bonds @ 2.5%)
02/25/2000	3500.000		GLOBALSTAR TELECOMM	\$25.562U	\$89,993.75			\$525.00	COMM CALC (Bonds @ 2.5%)
02/25/2000	500.000		GLOBALSTAR TELECOMM	\$25.562U	\$12,856.25			\$75.00	COMM CALC (Bonds @ 2.5%)
02/28/2000	500.000		NEXELL THERAPEUTICS INC	\$6.500U	\$3,337.48			\$87.48	COMM CALC (Bonds @ 2.5%)
02/28/2000		100.000	QUALCOMM INC 200201 75 PUT	\$9.750U		\$96,782.10		\$717.90	COMM CALC (Bonds @ 2.5%)
02/28/2000		100.000	QUALCOMM INC 200201 75 PUT	\$10.000U		\$99,286.52		\$713.48	COMM CALC (Bonds @ 2.5%)
03/01/2000	100.000		BROADWING INC	\$30.125U	\$3,067.56			\$55.06	COMM CALC (Bonds @ 2.5%)
03/02/2000	2000.000		TEKELEC	\$50.250U	\$101,024.57			\$524.57	COMM CALC (Bonds @ 2.5%)
03/06/2000	1000.000		CYCOMM INTL INC NEW	\$1.875U	\$1,929.50			\$54.50	COMM CALC (Bonds @ 2.5%)
03/06/2000	200.000		E DIGITAL CORP	\$14.000U	\$2,856.95			\$56.95	COMM CALC (Bonds @ 2.5%)
03/06/2000	200.000		IRVINE SENSORS CORP	\$10.031U	\$2,060.74			\$54.50	COMM CALC (Bonds @ 2.5%)
03/06/2000		5.000	NET PERCEPTIONS INC 200008 35 PUT	\$6.250U		\$3,057.72		\$67.28	COMM CALC (Bonds @ 2.5%)
03/06/2000		5.000	NET PERCEPTIONS INC 200008 65 CALL	\$8.375U		\$4,112.73		\$74.77	COMM CALC (Bonds @ 2.5%)
03/07/2000	100.000		NET PERCEPTIONS INC	\$45.000U	\$4,559.94			\$59.94	COMM CALC (Bonds @ 2.5%)
03/07/2000		1000.000	PHEONIX INTL LIFE SCIENCES	\$11.312U		\$11,133.87		\$178.63	COMM CALC (Bonds @ 2.5%)
03/08/2000		400.000	GLOBALSTAR TELECOMM 200201 15 PUT	\$5.500U		\$217,851.84		\$2,148.16	COMM CALC (Bonds @ 2.5%)
03/08/2000	300.000		NET PERCEPTIONS INC	\$47.000U	\$14,246.88			\$146.88	COMM CALC (Bonds @ 2.5%)
03/08/2000		500.000	TIER TECHNOLOGIES INC CL B	\$6.875U		\$3,347.89		\$89.61	COMM CALC (Bonds @ 2.5%)
03/09/2000		200.000	DATA TRANSMISSION NETWORK	\$28.687U		\$5,650.68		\$86.82	COMM CALC (Bonds @ 2.5%)
03/09/2000	100.000		E DIGITAL CORP	\$14.750U	\$1,529.49			\$54.49	COMM CALC (Bonds @ 2.5%)
03/09/2000	400.000		LIGHTPATH TECHNOLOGIES INC CL A	\$59.500U	\$23,931.06			\$131.06	COMM CALC (Bonds @ 2.5%)
03/09/2000	200.000		LIGHTPATH TECHNOLOGIES INC CL A	\$59.500U	\$11,963.28			\$63.28	COMM CALC (Bonds @ 2.5%)
03/09/2000	800.000		LIGHTPATH TECHNOLOGIES INC CL A	\$59.500U	\$47,853.03			\$253.03	COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
03/09/2000	200.000		LIGHTPATH TECHNOLOGIES INC CL A	\$59.500U	\$11,963.28				\$63.28 COMM CALC (Bonds @ 2.5%)
03/09/2000	400.000		LIGHTPATH TECHNOLOGIES INC CL A	\$59.500U	\$23,926.56				\$126.56 COMM CALC (Bonds @ 2.5%)
03/10/2000		50.000	GLOBAL CROSSING LTD 200201 35 PUT	\$5.125U		\$25,196.84			\$428.16 COMM CALC (Bonds @ 2.5%)
03/10/2000		5.000	GLOBALSTAR TELECOMM 200201 10 PUT	\$3.250		\$1,571.07			\$53.93 COMM CALC (Bonds @ 2.5%)
03/10/2000		5.000	GLOBALSTAR TELECOMM 200201 40 CALL	\$6.000		\$2,933.62			\$66.38 COMM CALC (Bonds @ 2.5%)
03/14/2000		200.000	FEDERAL HOME LN MTG 200201 40 PUT	\$7.000U		\$138,758.65			\$1,241.35 COMM CALC (Bonds @ 2.5%)
03/14/2000	200.000		GLOBALSTAR TELECOMM	\$18.250U	\$3,701.71				\$51.71 COMM CALC (Bonds @ 2.5%)
03/14/2000	300.000		GLOBALSTAR TELECOMM	\$18.125U	\$5,508.30				\$70.80 COMM CALC (Bonds @ 2.5%)
03/15/2000	500.000		GLOBALSTAR TELECOMM	\$16.125U	\$8,142.00				\$79.50 COMM CALC (Bonds @ 2.5%)
03/15/2000	4500.000		GLOBALSTAR TELECOMM	\$16.250U	\$73,800.00				\$675.00 COMM CALC (Bonds @ 2.5%)
03/16/2000	300.000		EAGLE WIRELESS INTL INC	\$17.000	\$5,183.78				\$83.78 COMM CALC (Bonds @ 2.5%)
03/16/2000	200.000		IRVINE SENSORS CORP	\$11.937U	\$2,441.99				\$54.49 COMM CALC (Bonds @ 2.5%)
03/17/2000	200.000		E DIGITAL CORP	\$12.625U	\$2,579.49				\$54.49 COMM CALC (Bonds @ 2.5%)
03/17/2000	100.000		MEDAREX INC	\$97.062U	\$9,766.19				\$59.94 COMM CALC (Bonds @ 2.5%)
03/17/2000	100.000		MEDAREX INC	\$102.937U	\$10,349.18				\$55.43 COMM CALC (Bonds @ 2.5%)
03/17/2000	1130.000		SYMYX TECHNOLOGIES INC	\$65.000U	\$73,554.50				\$104.50 COMM CALC (Bonds @ 2.5%)
03/17/2000	200.000		SYMYX TECHNOLOGIES INC	\$65.000U	\$13,100.00				\$100.00 COMM CALC (Bonds @ 2.5%)
03/17/2000	670.000		SYMYX TECHNOLOGIES INC	\$65.000U	\$43,650.00				\$100.00 COMM CALC (Bonds @ 2.5%)
03/17/2000	800.000		SYMYX TECHNOLOGIES INC	\$65.750U	\$52,700.00				\$100.00 COMM CALC (Bonds @ 2.5%)
03/17/2000	700.000		SYMYX TECHNOLOGIES INC	\$65.750U	\$46,125.00				\$100.00 COMM CALC (Bonds @ 2.5%)
03/17/2000	500.000		SYMYX TECHNOLOGIES INC	\$65.750U	\$32,975.00				\$100.00 COMM CALC (Bonds @ 2.5%)
03/17/2000	3000.000		TEKELEC	\$52.500U	\$157,954.50				\$454.50 COMM CALC (Bonds @ 2.5%)
03/20/2000	20.000		CITIGROUP INC 200101 26.625 150 SHS PUT	\$0.250U	\$789.49				\$289.49 COMM CALC (Bonds @ 2.5%)
03/20/2000	100.000		IRVINE SENSORS CORP	\$9.500U	\$1,004.49				\$54.49 COMM CALC (Bonds @ 2.5%)
03/23/2000		200.000	CISCO SYS INC 200201 27.5 PUT						\$0.00 CISCO SYS INC 200201 55 PUT
03/23/2000	100.000		CISCO SYS INC 200201 55 PUT						\$0.00 CISCO SYS INC 200201 27.5
03/29/2000	DELV	8050.000	3COM CORP			\$508,663.40			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	5054.000	ALLSTATE CORP			\$114,346.75			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	509.000	ALLTEL CORP			\$32,703.25			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	1000.000	AMAZON.COM INC			\$66,250.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	2000.000	AMER ELECTRIC POWER CO			\$59,750.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	AMER EXPRESS CO			\$758,125.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	20000.000	AMERICA ONLINE INC DEL			\$1,357,500.00			\$0.00 VALUED PER STMT TRANS

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
03/29/2000	400.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 25 PUT		\$15,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	420.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 30 PUT		\$27,562.50				\$0.00 VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 40 PUT		\$73,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 50 PUT		\$83,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 55 PUT		\$113,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200201 27.5 PUT		\$30,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AT&T CORP 200201 50 PUT		\$112,500.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	CBS CORP			\$591,250.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	CHASE MANHATTAN CORP NEW			\$451,875.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	CHASE MANHATTAN CORP NEW 200101 60 PUT		\$20,625.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	CHASE MANHATTAN CORP NEW 200101 70 PUT		\$41,875.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	750.000	CINERGY CORP			\$15,750.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	CISCO SYS INC 200201 27.5 PUT		\$25,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	CITIGROUP INC			\$607,500.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	80.000	DELV SHRT	CITIGROUP INC 200101 26.625 150 SHS PUT		\$1,500.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	CITIGROUP INC 200101 40 150 SHS PUT		\$11,250.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	CITIGROUP INC 200201 45 PUT		\$78,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	25000.000	COMPAQ COMPUTER CORP			\$701,575.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	COMPAQ COMPUTER CORP 200101 25 PUT		\$35,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	COMPAQ COMPUTER CORP 200201 30 PUT		\$153,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	1000.000	CONS EDISON CO			\$29,000.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	100000.000	E.SPIRE COMMUNICATIONS INC			\$1,043,800.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	1000.000	EASTMAN KODAK CO			\$54,813.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	ELOYALTY CORP			\$125,625.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	ENZON INC			\$409,380.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	FEDERAL HOME LN MTG 200101 45 PUT		\$166,875.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	FEDERAL HOME LN MTG 200201 40 PUT		\$101,250.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	FEDERAL HOME LN MTG 200201 50 PUT		\$198,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	FIRST PACIFIC NETWORKS INC			\$15.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	GLOBAL CROSSING LTD			\$430,630.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200004 25 PUT		\$2,500.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200004 30 PUT		\$1,875.00				\$0.00 VALUED PER STMT TRANS

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200101 40 PUT		\$76,250.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	600.000	DELV SHRT	GLOBAL CROSSING LTD 200201 30 PUT		\$288,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	GLOBAL CROSSING LTD 200201 35 PUT		\$140,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	GLOBAL CROSSING LTD 200201 40 PUT		\$288,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	15000.000	GLOBALSTAR TELECOMM			\$208,125.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 15 PUT		\$310,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	600.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 25 PUT		\$915,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 30 PUT		\$775,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 35 PUT		\$472,500.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	11100.000	HUMANA INC			\$83,250.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	15000.000	INTL BUSINESS MACH			\$1,792,500.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	INTL BUSINESS MACH 200201 80 PUT		\$159,375.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	INTL BUSINESS MACH 200201 90 PUT		\$234,375.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	5460.000	KIMBERLY CLARK CORP			\$290,062.50			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	31200.000	KROGER COMPANY			\$551,865.60			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	200.000	LEHMAN BROS HOLDINGS INC			\$20,250.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	LIGHTPATH TECHNOLOGIES INC CL A			\$234,065.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	LUCENT TECHNOLOGIES INC 200201 45 PUT		\$101,250.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	8000.000	MAIL.COM INC CL A			\$145,000.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	8000.000	MANAGED MUNI PORTFOLIO II INC			\$74,000.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	53400.000	MCI WORLDCOM INC			\$2,216,100.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 33.375 150 SHS PUT		\$31,875.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	600.000	DELV SHRT	MCI WORLDCOM INC 200101 40 150 SHS PUT		\$356,250.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	MCI WORLDCOM INC 200101 46.625 150 SHS PUT		\$273,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 50 150 SHS PUT		\$113,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 56.625 150 SHS PUT		\$163,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	1500.000	DELV SHRT	MCI WORLDCOM INC 200201 40 150 SHS PUT		\$1,190,625.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200201 40 150 SHS PUT		\$78,750.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	1000.000	DELV SHRT	MCI WORLDCOM INC 200201 43.375 150 SHS PUT		\$975,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	MCI WORLDCOM INC 200201 53.375 150 SHS		\$325,000.00				\$0.00 VALUED PER STMT TRANS

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
			PUT						
03/29/2000	DELV	200.000	MCI WRLDCM INC 200101 53.375 150 SHS CALL			\$88,750.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	6000.000	MERCK & CO			\$385,500.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	METAL MANAGEMENT INC			\$22,500.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	MID-AMER WASTE SYS INC			\$5.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	NOVELL INC			\$144,375.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	NOVELL INC 200201 30 PUT		\$170,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	ORACLE CORP			\$825,000.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	20000.000	PSINET INC			\$757,500.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	PSINET INC 200004 15 PUT		\$1,250.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	PSINET INC 200004 17.5 PUT		\$5,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	450.000	DELV SHRT	PSINET INC 200004 20 PUT		\$11,250.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	QUALCOMM INC 200101 22.5 PUT		\$20,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	QUALCOMM INC 200201 100 PUT		\$160,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	QUALCOMM INC 200201 75 PUT		\$147,500.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	QUALCOMM INC 200201 80 PUT		\$90,000.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	600.000	ROHN INDUSTRIES INC			\$2,100.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	1000.000	SEARS ROEBUCK			\$29,750.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	1042.000	SHIRE PHARMA GROUP PLC ADR			\$52,100.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	4140.000	SPRINT CORP			\$246,330.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	2070.000	SPRINT CORP PCS GROUP			\$134,291.25			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	4000.000	SYMYX TECHNOLOGIES INC			\$162,000.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	TECHNOLOGY SOLUTIONS CO			\$45,000.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	5000.000	TEKELEC			\$222,190.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	1000.000	US WEST INC NEW			\$74,375.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	WALT DISNEY CO			\$408,130.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	WALT DISNEY CO 200101 25 PUT		\$11,250.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	WALT DISNEY CO 200101 30 PUT		\$11,250.00				\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	10000.000	WALT DISNEY CO GO.COM			\$208,130.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	12000.000	WELLS FARGO & CO NEW			\$473,256.00			\$0.00 VALUED PER STMT TRANS
03/29/2000	DELV	129500.000	WORLDPORT COMMUNICATIONS INC			\$394,975.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	1000.000	3COM CORP			\$58,813.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	500.000	ACACIA RESH CORP			\$21,531.50			\$0.00 VALUED PER STMT TRANS

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
03/30/2000	DELV	800.000	AMERICA ONLINE INC			\$51,900.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	200.000	BROADWING INC			\$6,725.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	500.000	CBS CORP			\$28,750.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	600.000	CITIGROUP INC			\$35,700.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	5000.000	CYCOMM INTL INC NEW			\$11,875.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	500.000	E DIGITAL CORP			\$5,078.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	300.000	EAGLE WIRELESS INTL INC			\$3,543.90			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	200.000	ECI TELECOM LTD ISRAEL			\$6,212.60			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	1025.000	GLOBAL CROSSING LTD			\$44,395.82			\$0.00 VALUED PER STMT TRANS
03/30/2000	50.000	DELV SHRT	GLOBAL CROSSING LTD 200201 35 PUT		\$35,000.00				\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	500.000	GLOBALSTAR TELECOMM			\$6,875.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 10 PUT		\$2,218.75				\$0.00 VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 40 CALL		\$4,062.50				\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	500.000	IRVINE SENSORS CORP			\$4,129.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	1000.000	MAGAININ PHARMACEUTICALS INC			\$4,375.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	MCI WORLDCOM INC			\$127,689.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	10.000	DELV SHRT	MCI WORLDCOM INC 200101 40 150 SHS PUT		\$5,937.50				\$0.00 VALUED PER STMT TRANS
03/30/2000	10.000	DELV SHRT	MCI WORLDCOM INC 200101 46.625 150 SHS PUT		\$9,125.00				\$0.00 VALUED PER STMT TRANS
03/30/2000	20.000	DELV SHRT	MCI WORLDCOM INC 200201 40 150 SHS PUT		\$15,875.00				\$0.00 VALUED PER STMT TRANS
03/30/2000	20.000	DELV SHRT	MCI WORLDCOM INC 200201 43.375 150 SHS PUT		\$19,500.00				\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	500.000	MEDAREX INC			\$22,125.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	5000.000	MSH ENTERTAINMENT CORP			\$1,150.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	500.000	NET PERCEPTIONS INC			\$18,750.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	10.000	DELV SHRT	NET PERCEPTIONS INC 200008 25 PUT		\$3,437.50				\$0.00 VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	NET PERCEPTIONS INC 200008 35 PUT		\$4,375.00				\$0.00 VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	NET PERCEPTIONS INC 200008 65 CALL		\$1,594.75				\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	200.000	NEW ERA OF NETWORKS INC			\$7,800.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	NEW ERA OF NETWORKS INC 200007 40 PUT		\$4,750.00				\$0.00 VALUED PER STMT TRANS
03/30/2000	5.000	DELV SHRT	NEW ERA OF NETWORKS INC 200007 45 PUT		\$6,250.00				\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	500.000	NEXELL THERAPEUTICS INC			\$2,094.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	200.000	PARADYNE NETWORKS INC			\$5,575.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	2000.000	PSINET INC			\$68,126.00			\$0.00 VALUED PER STMT TRANS



## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
03/30/2000	10.000	DELV SHRT	PSINET INC 200007 50 CALL		\$4,062.50				\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	800.000	QUALCOMM INC			\$116,187.20			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	STAR TELECOMMUNICATIONS			\$17,952.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	STAR TELECOMMUNICATIONS			\$17,952.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	100.000	SYMYX TECHNOLOGIES INC			\$4,387.50			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	500.000	WALT DISNEY CO HOLDING CO			\$20,125.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	500.000	WIND RIVER SYSTEM INC			\$20,937.50			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	1000.000	WORLD WIDE WIRELESS COMM INC			\$4,844.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	2000.000	WORLDPORT COMMUNICATIONS INC			\$6,250.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	WORLDPORT COMMUNICATIONS INC			\$9,375.00			\$0.00 VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	WORLDPORT COMMUNICATIONS INC			\$9,375.00			\$0.00 VALUED PER STMT TRANS
04/27/2000		4800.000	LIGHTPATH TECHNOLOGIES INC CL A	\$19.875 U		\$94,912.32			\$487.68 COMM CALC (Bonds @ 2.5%)
04/27/2000		200.000	LIGHTPATH TECHNOLOGIES INC CL A	\$19.875 U		\$3,954.86			\$20.14 COMM CALC (Bonds @ 2.5%)
04/27/2000		500.000	MAIL.COM INC CL A	\$9.000 U		\$4,445.35			\$54.65 COMM CALC (Bonds @ 2.5%)
04/27/2000		300.000	MAIL.COM INC CL A	\$9.062 U		\$2,688.65			\$30.10 COMM CALC (Bonds @ 2.5%)
04/27/2000		1000.000	MAIL.COM INC CL A	\$9.062 U		\$8,962.19			\$100.31 COMM CALC (Bonds @ 2.5%)
04/27/2000		300.000	MAIL.COM INC CL A	\$9.000 U		\$2,669.91			\$30.09 COMM CALC (Bonds @ 2.5%)
04/27/2000		500.000	TEKELEC	\$30.250 U		\$15,069.99			\$55.01 COMM CALC (Bonds @ 2.5%)
04/27/2000		500.000	TEKELEC	\$30.625 U		\$15,261.98			\$50.52 COMM CALC (Bonds @ 2.5%)
04/27/2000		100.000	TEKELEC	\$30.625 U		\$3,052.39			\$10.11 COMM CALC (Bonds @ 2.5%)
04/27/2000		800.000	TEKELEC	\$30.625 U		\$24,419.18			\$80.82 COMM CALC (Bonds @ 2.5%)
04/27/2000		100.000	TEKELEC	\$30.250 U		\$3,014.89			\$10.11 COMM CALC (Bonds @ 2.5%)
04/27/2000		100.000	TEKELEC	\$30.000 U		\$2,989.90			\$10.10 COMM CALC (Bonds @ 2.5%)
04/27/2000		100.000	TEKELEC	\$30.000 U		\$2,989.90			\$10.10 COMM CALC (Bonds @ 2.5%)
04/27/2000		100.000	TEKELEC	\$30.000 U		\$2,989.90			\$10.10 COMM CALC (Bonds @ 2.5%)
04/27/2000		100.000	TEKELEC	\$30.000 U		\$2,989.90			\$10.10 COMM CALC (Bonds @ 2.5%)
04/27/2000		100.000	TEKELEC	\$30.000 U		\$2,989.90			\$10.10 COMM CALC (Bonds @ 2.5%)
04/27/2000		900.000	TEKELEC	\$30.250 U		\$27,134.09			\$90.91 COMM CALC (Bonds @ 2.5%)
04/27/2000		300.000	TEKELEC	\$30.250 U		\$9,044.69			\$30.31 COMM CALC (Bonds @ 2.5%)
04/27/2000		300.000	TEKELEC	\$30.250 U		\$9,044.69			\$30.31 COMM CALC (Bonds @ 2.5%)
04/27/2000		100.000	TEKELEC	\$30.250 U		\$3,014.89			\$10.11 COMM CALC (Bonds @ 2.5%)
04/27/2000		300.000	TEKELEC	\$30.250 U		\$9,044.69			\$30.31 COMM CALC (Bonds @ 2.5%)
04/27/2000		600.000	TEKELEC	\$30.250 U		\$18,089.39			\$60.61 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
05/04/2000		5300.000	ELOYALTY CORP	\$13.375	U	\$70,350.63			\$536.87 COMM CALC (Bonds @ 2.5%)
05/04/2000		5000.000	ELOYALTY CORP	\$13.625	U	\$67,622.72			\$502.28 COMM CALC (Bonds @ 2.5%)
05/04/2000		100.000	ELOYALTY CORP	\$13.625	U	\$1,352.45			\$10.05 COMM CALC (Bonds @ 2.5%)
05/04/2000		100.000	ELOYALTY CORP	\$13.562	U	\$1,346.20			\$10.05 COMM CALC (Bonds @ 2.5%)
05/04/2000		300.000	ELOYALTY CORP	\$13.500	U	\$4,019.86			\$30.14 COMM CALC (Bonds @ 2.5%)
05/04/2000		3500.000	ELOYALTY CORP	\$13.375	U	\$46,460.93			\$351.57 COMM CALC (Bonds @ 2.5%)
05/05/2000	8050.000	RECV	3COM CORP		\$347,156.25				\$0.00 VALUED PER STMT TRANS
05/05/2000	5054.000	RECV	ALLSTATE CORP		\$115,610.25				\$0.00 VALUED PER STMT TRANS
05/05/2000	509.000	RECV	ALLTEL CORP		\$33,053.44				\$0.00 VALUED PER STMT TRANS
05/05/2000	196.000	RECV	ALLTEL CORP		\$12,727.84				\$0.00 VALUED PER STMT TRANS
05/05/2000	2000.000	RECV	AMER ELECTRIC POWER CO		\$69,250.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	AMER EXPRESS CO		\$730,625.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	AMEREN CORP		\$35,500.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	AMERICA ONLINE INC DEL		\$1,137,500.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	AMERICA ONLINE INC DEL		\$227,500.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	AMERICA ONLINE INC DEL 200101 50 PUT			\$118,750.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	AMERICA ONLINE INC DEL 200101 55 PUT			\$167,500.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	AMERICA ONLINE INC DEL 200201 27.5 PUT			\$37,500.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	AT&T CORP 200201 50 PUT			\$285,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	15000.000	RECV	BANK ONE CORP		\$435,945.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	BANK ONE CORP 200201 30 PUT			\$135,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	BOSTON PROPERTIES INC		\$144,500.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	2000.000	RECV	CBS CORP		\$116,874.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	CHASE MANHATTAN CORP NEW		\$360,940.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	CHASE MANHATTAN CORP NEW		\$360,940.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	750.000	RECV	CINERGY CORP		\$19,500.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	CISCO SYS INC		\$338,750.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	CISCO SYS INC 200201 50 PUT			\$165,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	CISCO SYS INC 200201 60 PUT			\$121,250.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	CITIGROUP INC		\$595,630.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	10500.000	RECV	CITIGROUP INC		\$625,411.50				\$0.00 VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	COLONIAL PROPERTIES TR		\$101,752.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	COMERICA INC		\$202,500.00				\$0.00 VALUED PER STMT TRANS

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
05/05/2000	RECV SHORT	100.000	COMPAQ COMPUTER CORP 200101 25 PUT			\$32,500.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	COMPAQ COMPUTER CORP 200201 30 PUT			\$156,250.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	CONS EDISON CO		\$34,000.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	3000.000	RECV	CORUS BANKSHARES INC		\$75,189.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	100000.000	RECV	E.SPIRE COMMUNICATIONS INC		\$493,800.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	216800.000	RECV	E.SPIRE COMMUNICATIONS INC		\$1,070,558.40				\$0.00 VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	EASTMAN KODAK CO		\$54,563.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	4300.000	RECV	ELOYALTY CORP		\$86,000.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	15000.000	RECV	ELOYALTY CORP		\$300,000.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	ENZON INC		\$357,500.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	ENZON INC		\$143,000.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	ENZON INC 200011 35 PUT			\$192,500.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	255.000	FEDERAL HOME LN MTG 200101 45 PUT			\$176,906.25			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	FEDERAL HOME LN MTG 200201 40 PUT			\$123,750.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	FEDERAL HOME LN MTG 200201 50 PUT			\$235,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	FIRST PACIFIC NETWORKS INC		\$20.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	FIRST UNION CORP		\$156,250.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	524.000	RECV	FIRSTENERGY CORP		\$12,543.51				\$0.00 VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	GLOBAL CROSSING LTD		\$695,000.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	10250.000	RECV	GLOBAL CROSSING LTD		\$356,187.50				\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	GLOBAL CROSSING LTD 200101 40 PUT			\$116,250.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	600.000	GLOBAL CROSSING LTD 200201 30 PUT			\$510,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	GLOBAL CROSSING LTD 200201 35 PUT			\$227,500.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	300.000	GLOBAL CROSSING LTD 200201 40 PUT			\$438,750.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	30000.000	RECV	GLOBALSTAR TELECOMM		\$301,890.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	GLOBALSTAR TELECOMM		\$201,260.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000	GLOBALSTAR TELECOMM 200201 15 PUT			\$385,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	600.000	GLOBALSTAR TELECOMM 200201 25 PUT			\$1,095,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000	GLOBALSTAR TELECOMM 200201 30 PUT			\$910,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	GLOBALSTAR TELECOMM 200201 35 PUT			\$545,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	11100.000	RECV	HUMANA INC		\$73,537.50				\$0.00 VALUED PER STMT TRANS
05/05/2000	15000.000	RECV	INTL BUSINESS MACH		\$1,618,125.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	INTL BUSINESS MACH		\$431,500.00				\$0.00 VALUED PER STMT TRANS

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
05/05/2000	RECV SHORT	300.000	INTL BUSINESS MACH 200201 80 PUT			\$202,500.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	300.000	INTL BUSINESS MACH 200201 90 PUT			\$300,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	5460.000	RECV	KIMBERLY CLARK CORP		\$321,801.48				\$0.00 VALUED PER STMT TRANS
05/05/2000	31200.000	RECV	KROGER COMPANY		\$610,365.60				\$0.00 VALUED PER STMT TRANS
05/05/2000	200.000	RECV	LEHMAN BROS HOLDINGS INC		\$16,412.60				\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	LEVEL 3 COMMUNICATIONS 200201 70 PUT			\$167,500.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	LIGHTPATH TECHNOLOGIES INC CL A		\$142,500.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	LUCENT TECHNOLOGIES INC 200201 45 PUT			\$118,750.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	8000.000	RECV	MAIL.COM INC CL A		\$55,752.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	8000.000	RECV	MANAGED MUNI PORTFOLIO II INC		\$74,000.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	MANUFACTURED HOME COMMUNITIES INC		\$101,500.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	53400.000	RECV	MCI WORLDCOM INC		\$2,289,525.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	140074.000	RECV	MCI WORLDCOM INC		\$6,005,672.75				\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 33.375 150 SHS PUT			\$25,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	280.000	MCI WORLDCOM INC 200101 46.625 150 SHS PUT			\$227,500.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 50 150 SHS PUT			\$105,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 56.625 150 SHS PUT			\$153,750.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000	MCI WORLDCOM INC 200201 35 PUT			\$197,500.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200201 40 150 SHS PUT			\$69,375.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	1000.000	DELV SHRT	MCI WORLDCOM INC 200201 43.375 150 SHS PUT		\$875,000.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	1000.000	MCI WORLDCOM INC 200201 43.375 150 SHS PUT			\$875,000.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	MCI WORLDCOM INC 200201 53.375 150 SHS PUT			\$297,500.00			\$0.00 VALUED PER STMT TRANS
05/05/2000	200.000	RECV	MCI WRLDCM INC 200101 53.375 150 SHS CALL		\$78,750.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	MERCK & CO		\$692,500.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	METAL MANAGEMENT INC		\$16,560.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	MID-AMER WASTE SYS INC						\$0.00 NOT VALUED PER STMT
05/05/2000		700.000	NORTHEAST OPTIC NETWORK INC	\$45.500 U		\$31,774.43			\$75.57 COMM CALC (Bonds @ 2.5%)
05/05/2000		1600.000	NORTHEAST OPTIC NETWORK INC	\$46.000 U		\$73,437.54			\$162.46 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
05/05/2000		500.000	NORTHEAST OPTIC NETWORK INC	\$46.000 U		\$22,949.23		\$50.77	COMM CALC (Bonds @ 2.5%)
05/05/2000		4000.000	NORTHEAST OPTIC NETWORK INC	\$46.000 U		\$183,593.86		\$406.14	COMM CALC (Bonds @ 2.5%)
05/05/2000		1500.000	NORTHEAST OPTIC NETWORK INC	\$45.500 U		\$68,097.72		\$152.28	COMM CALC (Bonds @ 2.5%)
05/05/2000		1500.000	NORTHEAST OPTIC NETWORK INC	\$46.000 U		\$68,847.70		\$152.30	COMM CALC (Bonds @ 2.5%)
05/05/2000		200.000	NORTHEAST OPTIC NETWORK INC	\$48.312 U		\$9,642.17		\$20.33	COMM CALC (Bonds @ 2.5%)
05/05/2000	10000.000	RECV	NORTHEAST OPTIC NETWORK INC		\$468,750.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	NOVELL INC		\$55,000.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	NOVELL INC		\$110,000.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	NOVELL INC 200201 30 PUT			\$392,500.00		\$0.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	ORACLE CORP		\$768,130.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	PSINET INC		\$450,000.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	PSINET INC		\$450,000.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000	PSINET INC 200201 30 PUT			\$550,000.00		\$0.00	VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	PSINET INC 200201 35 PUT			\$347,500.00		\$0.00	VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	PSINET INC 200201 40 PUT			\$425,000.00		\$0.00	VALUED PER STMT TRANS
05/05/2000	60000.000	RECV	QUALCOMM INC		\$6,585,000.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	QUALCOMM INC 200201 100 PUT			\$265,000.00		\$0.00	VALUED PER STMT TRANS
05/05/2000	RECV SHORT	600.000	QUALCOMM INC 200201 195 CALL			\$1,350,000.00		\$0.00	VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	QUALCOMM INC 200201 75 PUT			\$280,000.00		\$0.00	VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	QUALCOMM INC 200201 80 PUT			\$161,250.00		\$0.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	QWEST COMMUNICATIONS INTL INC		\$224,375.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	600.000	RECV	ROHN INDUSTRIES INC		\$2,062.80			\$0.00	VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	SEARS ROEBUCK		\$37,813.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	1042.000	RECV	SHIRE PHARMA GROUP PLC ADR		\$47,280.75			\$0.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	SL GREEN REALTY CORP		\$104,000.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	4140.000	RECV	SPRINT CORP		\$250,730.82			\$0.00	VALUED PER STMT TRANS
05/05/2000	2070.000	RECV	SPRINT CORP PCS GROUP		\$123,423.75			\$0.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	STAR TELECOMMUNICATIONS		\$88,760.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	6000.000	RECV	STARWOOD HOTELS & RESORTS WORLDWIDE INC		\$176,250.00			\$0.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	SYMYX TECHNOLOGIES INC		\$112,500.00			\$0.00	VALUED PER STMT TRANS
05/05/2000		1800.000	TECHNOLOGY SOLUTIONS CO	\$5.875 U		\$10,390.14		\$184.86	COMM CALC (Bonds @ 2.5%)
05/05/2000		900.000	TECHNOLOGY SOLUTIONS CO	\$6.000 U		\$5,309.82		\$90.18	COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
05/05/2000		500.000	TECHNOLOGY SOLUTIONS CO	\$6.000U		\$2,949.90			\$50.10 COMM CALC (Bonds @ 2.5%)
05/05/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$5.875U		\$577.48			\$10.02 COMM CALC (Bonds @ 2.5%)
05/05/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$6.000U		\$589.98			\$10.02 COMM CALC (Bonds @ 2.5%)
05/05/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$5.875U		\$577.48			\$10.02 COMM CALC (Bonds @ 2.5%)
05/05/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$5.875U		\$577.48			\$10.02 COMM CALC (Bonds @ 2.5%)
05/05/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$5.875U		\$577.48			\$10.02 COMM CALC (Bonds @ 2.5%)
05/05/2000		300.000	TECHNOLOGY SOLUTIONS CO	\$5.875U		\$1,732.44			\$30.06 COMM CALC (Bonds @ 2.5%)
05/05/2000		500.000	TECHNOLOGY SOLUTIONS CO	\$5.875U		\$2,887.40			\$50.10 COMM CALC (Bonds @ 2.5%)
05/05/2000		1000.000	TECHNOLOGY SOLUTIONS CO	\$5.875U		\$5,774.80			\$100.20 COMM CALC (Bonds @ 2.5%)
05/05/2000		4600.000	TECHNOLOGY SOLUTIONS CO	\$5.875U		\$26,564.09			\$460.91 COMM CALC (Bonds @ 2.5%)
05/05/2000		4900.000	TECHNOLOGY SOLUTIONS CO	\$5.875U		\$28,296.54			\$490.96 COMM CALC (Bonds @ 2.5%)
05/05/2000	5000.000	RECV	TECHNOLOGY SOLUTIONS CO		\$29,065.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	15000.000	RECV	TECHNOLOGY SOLUTIONS CO		\$87,195.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	TEKELEC		\$176,250.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	US WEST INC NEW		\$72,938.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	WALT DISNEY CO		\$395,630.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	WALT DISNEY CO		\$197,815.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	WALT DISNEY CO GO.COM		\$145,000.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	12000.000	RECV	WELLS FARGO & CO NEW		\$478,500.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	WORLD ACCESS INC		\$161,880.00				\$0.00 VALUED PER STMT TRANS
05/05/2000	118500.000	RECV	WORLDPORT COMMUNICATIONS INC		\$322,201.50				\$0.00 VALUED PER STMT TRANS
05/08/2000		193474.000	MCI WORLDCOM INC						\$0.00 WORLDCOM INC
05/08/2000	10850.000	RECV	VIACOM INC B NON VTG		\$591,325.00				\$0.00 VALUED PER STMT TRANS
05/08/2000	193474.000		WORLDCOM INC						\$0.00 MCI WORLDCOM INC
05/10/2000		2000.000	CBS CORP						\$0.00 VIACOM INC B NON VTG
05/10/2000	2170.000		VIACOM INC B NON VTG						\$0.00 CBS CORP
05/11/2000	1000.000	RECV	3COM CORP		\$42,688.00				\$0.00 VALUED PER STMT TRANS
05/11/2000	800.000	RECV	AMERICA ONLINE INC		\$42,950.40				\$0.00 VALUED PER STMT TRANS
05/11/2000	600.000	RECV	CITIGROUP INC		\$35,362.80				\$0.00 VALUED PER STMT TRANS
05/11/2000	1025.000	RECV	GLOBAL CROSSING LTD		\$32,992.70				\$0.00 VALUED PER STMT TRANS
05/11/2000	RECV SHORT	10.000	MCI WORLDCOM INC 200101 46.625 150 SHS PUT						\$0.00 NOT VALUED PER STMT
05/11/2000	800.000	RECV	QUALCOMM INC		\$79,450.40				\$0.00 VALUED PER STMT TRANS

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
05/11/2000	200.000		QUALCOMM INC 200201 195 CALL	\$17.625 U	\$354,431.88				\$1,931.88 COMM CALC (Bonds @ 2.5%)
05/11/2000	3000.000	RECV	STAR TELECOMMUNICATIONS		\$11,250.00				\$0.00 VALUED PER STMT TRANS
05/11/2000	542.000	RECV	VIACOM INC B NON VTG		\$28,150.39				\$0.00 VALUED PER STMT TRANS
05/11/2000	500.000	RECV	WALT DISNEY CO HOLDING CO		\$20,562.50				\$0.00 VALUED PER STMT TRANS
05/11/2000	500.000	RECV	WIND RIVER SYSTEMS INC		\$18,344.00				\$0.00 VALUED PER STMT TRANS
05/11/2000	3000.000	RECV	WORLDCOM INC		\$126,939.00				\$0.00 VALUED PER STMT TRANS
05/11/2000	2000.000	RECV	WORLDPORT COMMUNICATIONS INC		\$4,620.00				\$0.00 VALUED PER STMT TRANS
05/11/2000	3000.000	RECV	WORLDPORT COMMUNICATIONS INC		\$6,930.00				\$0.00 VALUED PER STMT TRANS
05/12/2000	10000.000	RECV	AMER EXPRESS CO						\$0.00 NOT VALUED, STOCK SPLIT
05/12/2000	RECV SHORT	10.000	MCI WORLDCOM INC 200101 40 150 SHS PUT						\$0.00 NOT VALUED PER STMT
05/15/2000	RECV SHORT	50.000	GLOBAL CROSSING LTD 200201 35 PUT			\$58,750.00			\$0.00 VALUED PER STMT TRANS
05/15/2000	RECV SHORT	20.000	MCI WORLDCOM INC 200201 43.375 150 SHS PUT			\$18,250.00			\$0.00 VALUED PER STMT TRANS
05/16/2000		200.000	MCI WRLDCM INC 200101 53.375 150 SHS CALL	\$3.000 U		\$88,826.75			\$1,173.25 COMM CALC (Bonds @ 2.5%)
05/16/2000		24500.000	WORLDPORT COMMUNICATIONS INC	\$2.290 U		\$54,873.62			\$1,231.38 COMM CALC (Bonds @ 2.5%)
05/16/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$2.290 U		\$1,119.96			\$25.04 COMM CALC (Bonds @ 2.5%)
05/17/2000		20000.000	QUALCOMM INC	\$98.687 U		\$1,971,679.70			\$2,070.30 COMM CALC (Bonds @ 2.5%)
05/17/2000		19500.000	WORLDPORT COMMUNICATIONS INC	\$2.280		\$43,479.01			\$980.99 COMM CALC (Bonds @ 2.5%)
05/17/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$2.290		\$1,119.96			\$25.04 COMM CALC (Bonds @ 2.5%)
05/17/2000		5000.000	WORLDPORT COMMUNICATIONS INC	\$2.250		\$10,999.62			\$250.38 COMM CALC (Bonds @ 2.5%)
05/17/2000		24500.000	WORLDPORT COMMUNICATIONS INC	\$2.280 U		\$54,633.13			\$1,226.87 COMM CALC (Bonds @ 2.5%)
05/17/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$2.280 U		\$1,114.96			\$25.04 COMM CALC (Bonds @ 2.5%)
05/18/2000		5000.000	WORLDPORT COMMUNICATIONS INC	\$2.250 U		\$10,995.12			\$254.88 COMM CALC (Bonds @ 2.5%)
05/18/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$2.250 U		\$1,099.96			\$25.04 COMM CALC (Bonds @ 2.5%)
05/18/2000		1800.000	WORLDPORT COMMUNICATIONS INC	\$2.200 U		\$3,869.86			\$90.14 COMM CALC (Bonds @ 2.5%)
05/18/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$2.210 U		\$1,079.96			\$25.04 COMM CALC (Bonds @ 2.5%)
05/18/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$2.200 U		\$1,074.96			\$25.04 COMM CALC (Bonds @ 2.5%)
05/18/2000		1000.000	WORLDPORT COMMUNICATIONS INC	\$2.200 U		\$2,149.92			\$50.08 COMM CALC (Bonds @ 2.5%)
05/18/2000		10000.000	WORLDPORT COMMUNICATIONS INC	\$2.200 U		\$21,499.26			\$500.74 COMM CALC (Bonds @ 2.5%)
05/22/2000	100.000		QUALCOMM INC 200201 195 CALL	\$13.375 U	\$134,725.98				\$975.98 COMM CALC (Bonds @ 2.5%)
05/22/2000	RECV SHORT	20.000	WORLDCOM INC 200201 40 150 SHS PUT			\$16,750.00			\$0.00 VALUED PER STMT TRANS
05/23/2000	200.000		AMERICA ONLINE INC DEL 200101 55 PUT	\$10.250 U	\$206,449.33				\$1,449.33 COMM CALC (Bonds @ 2.5%)
05/23/2000	200.000		AMERICA ONLINE INC DEL 200201 27.5 PUT	\$2.437 U	\$49,692.24				\$942.24 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
05/23/2000	100.000		CISCO SYS INC 200201 50 PUT	\$12.500U	\$125,866.40			\$866.40	COMM CALC (Bonds @ 2.5%)
05/23/2000	255.000		FEDERAL HOME LN MTG 200101 45 PUT	\$5.463U	\$140,723.58			\$1,411.98	COMM CALC (Bonds @ 2.5%)
05/23/2000	300.000		GLOBAL CROSSING LTD 200201 30 PUT	\$10.958U	\$330,925.38			\$2,176.38	COMM CALC (Bonds @ 2.5%)
05/23/2000	300.000		INTL BUSINESS MACH 200201 80 PUT	\$7.250U	\$219,311.43			\$1,811.43	COMM CALC (Bonds @ 2.5%)
05/23/2000	300.000		INTL BUSINESS MACH 200201 90 PUT	\$10.375U	\$313,368.14			\$2,118.14	COMM CALC (Bonds @ 2.5%)
05/23/2000	200.000		LUCENT TECHNOLOGIES INC 200201 45 PUT	\$6.625U	\$133,712.15			\$1,212.15	COMM CALC (Bonds @ 2.5%)
05/24/2000		2600.000	CORUS BANKSHARES INC	\$25.125U		\$64,606.18		\$718.82	COMM CALC (Bonds @ 2.5%)
05/24/2000		100.000	CORUS BANKSHARES INC	\$25.375U		\$2,510.03		\$27.47	COMM CALC (Bonds @ 2.5%)
05/24/2000		200.000	CORUS BANKSHARES INC	\$25.250U		\$4,995.05		\$54.95	COMM CALC (Bonds @ 2.5%)
05/24/2000		100.000	CORUS BANKSHARES INC	\$25.125U		\$2,485.02		\$27.48	COMM CALC (Bonds @ 2.5%)
05/24/2000		3800.000	GLOBAL CROSSING LTD	\$28.875U		\$109,336.84		\$388.16	COMM CALC (Bonds @ 2.5%)
05/24/2000		6200.000	GLOBAL CROSSING LTD	\$28.875U		\$178,399.03		\$625.97	COMM CALC (Bonds @ 2.5%)
05/24/2000		3000.000	INTL BUSINESS MACH	\$106.250U		\$318,284.87		\$465.13	COMM CALC (Bonds @ 2.5%)
05/24/2000		100.000	MAIL.COM INC CL A	\$6.750U		\$658.47		\$16.53	COMM CALC (Bonds @ 2.5%)
05/24/2000		1000.000	MAIL.COM INC CL A	\$6.750U		\$6,629.77		\$120.23	COMM CALC (Bonds @ 2.5%)
05/24/2000		1000.000	MAIL.COM INC CL A	\$6.750U		\$6,629.77		\$120.23	COMM CALC (Bonds @ 2.5%)
05/24/2000		700.000	MAIL.COM INC CL A	\$6.750U		\$4,640.84		\$84.16	COMM CALC (Bonds @ 2.5%)
05/24/2000		1500.000	MAIL.COM INC CL A	\$6.750U		\$9,944.66		\$180.34	COMM CALC (Bonds @ 2.5%)
05/24/2000		500.000	MAIL.COM INC CL A	\$6.750U		\$3,314.88		\$60.12	COMM CALC (Bonds @ 2.5%)
05/24/2000		9900.000	QUALCOMM INC	\$89.187U		\$881,932.31		\$1,023.94	COMM CALC (Bonds @ 2.5%)
05/24/2000		100.000	QUALCOMM INC	\$89.250U		\$8,914.70		\$10.30	COMM CALC (Bonds @ 2.5%)
05/24/2000		19200.000	WORLDPORT COMMUNICATIONS INC	\$2.245U		\$42,138.06		\$965.94	COMM CALC (Bonds @ 2.5%)
05/24/2000		5000.000	WORLDPORT COMMUNICATIONS INC	\$2.245U		\$10,974.62		\$250.38	COMM CALC (Bonds @ 2.5%)
05/26/2000	200.000		AMERICA ONLINE INC DEL 200101 50 PUT	\$7.625U	\$153,777.57			\$1,277.57	COMM CALC (Bonds @ 2.5%)
05/26/2000	200.000		FEDERAL HOME LN MTG 200201 40 PUT	\$5.250U	\$106,122.18			\$1,122.18	COMM CALC (Bonds @ 2.5%)
05/26/2000	200.000		FEDERAL HOME LN MTG 200201 50 PUT	\$10.187U	\$205,195.24			\$1,445.24	COMM CALC (Bonds @ 2.5%)
05/30/2000	200.000		BANK ONE CORP 200201 30 PUT	\$4.750U	\$96,089.46			\$1,089.46	COMM CALC (Bonds @ 2.5%)
05/30/2000	100.000		CISCO SYS INC 200201 60 PUT	\$16.500U	\$165,997.26			\$997.26	COMM CALC (Bonds @ 2.5%)
05/30/2000	100.000		COMPAQ COMPUTER CORP 200101 25 PUT	\$3.500U	\$35,594.86			\$594.86	COMM CALC (Bonds @ 2.5%)
05/30/2000	200.000		COMPAQ COMPUTER CORP 200201 30 PUT	\$8.125U	\$163,810.29			\$1,310.29	COMM CALC (Bonds @ 2.5%)
05/30/2000	200.000		ENZON INC 200011 35 PUT	\$13.375U	\$269,153.80			\$1,653.80	COMM CALC (Bonds @ 2.5%)
05/30/2000	100.000		MCI WORLDCOM INC 200101 33.375 150 SHS PUT	\$3.375U	\$51,248.09			\$623.09	COMM CALC (Bonds @ 2.5%)



## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
05/30/2000	400.000		MCI WORLDCOM INC 200201 35 PUT	\$5.875 U	\$237,189.89				\$2,189.89 COMM CALC (Bonds @ 2.5%)
05/30/2000	100.000		MCI WORLDCOM INC 200201 40 150 SHS PUT	\$8.625 U	\$86,989.64				\$739.64 COMM CALC (Bonds @ 2.5%)
05/30/2000		6300.000	METAL MANAGEMENT INC	\$1.312 U		\$7,948.97			\$319.78 COMM CALC (Bonds @ 2.5%)
05/30/2000		2500.000	METAL MANAGEMENT INC	\$1.312 U		\$3,156.14			\$125.11 COMM CALC (Bonds @ 2.5%)
05/30/2000		1200.000	METAL MANAGEMENT INC	\$1.312 U		\$1,514.94			\$60.06 COMM CALC (Bonds @ 2.5%)
05/30/2000	400.000		PSINET INC 200201 30 PUT	\$12.468 U	\$501,802.76				\$3,050.76 COMM CALC (Bonds @ 2.5%)
05/30/2000	100.000		QUALCOMM INC 200201 195 CALL	\$7.000 U	\$70,606.27				\$606.27 COMM CALC (Bonds @ 2.5%)
05/30/2000	100.000		QUALCOMM INC 200201 195 CALL	\$6.125 U	\$61,851.78				\$601.78 COMM CALC (Bonds @ 2.5%)
05/31/2000		2950.000	3COM CORP	\$38.562 U		\$113,456.08			\$303.30 COMM CALC (Bonds @ 2.5%)
05/31/2000		500.000	3COM CORP	\$38.562 U		\$19,230.60			\$50.65 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	3COM CORP	\$38.562 U		\$3,846.12			\$10.13 COMM CALC (Bonds @ 2.5%)
05/31/2000		300.000	3COM CORP	\$38.562 U		\$11,538.36			\$30.39 COMM CALC (Bonds @ 2.5%)
05/31/2000		200.000	3COM CORP	\$38.562 U		\$7,692.24			\$20.26 COMM CALC (Bonds @ 2.5%)
05/31/2000		500.000	3COM CORP	\$38.562 U		\$19,230.60			\$50.65 COMM CALC (Bonds @ 2.5%)
05/31/2000		1000.000	3COM CORP	\$38.562 U		\$38,461.21			\$101.29 COMM CALC (Bonds @ 2.5%)
05/31/2000		2500.000	3COM CORP	\$38.562 U		\$96,153.03			\$253.22 COMM CALC (Bonds @ 2.5%)
05/31/2000		4000.000	AMERICA ONLINE INC DEL	\$49.687 U		\$198,338.87			\$411.13 COMM CALC (Bonds @ 2.5%)
05/31/2000		5000.000	CISCO SYS INC	\$54.312 U		\$270,923.94			\$638.56 COMM CALC (Bonds @ 2.5%)
05/31/2000		1600.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$6,079.79			\$120.21 COMM CALC (Bonds @ 2.5%)
05/31/2000		1500.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$5,699.80			\$112.70 COMM CALC (Bonds @ 2.5%)
05/31/2000		300.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$1,139.96			\$22.54 COMM CALC (Bonds @ 2.5%)
05/31/2000		1500.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$5,699.80			\$112.70 COMM CALC (Bonds @ 2.5%)
05/31/2000		1100.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$4,179.85			\$82.65 COMM CALC (Bonds @ 2.5%)
05/31/2000		1700.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$6,459.78			\$127.72 COMM CALC (Bonds @ 2.5%)
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$3,799.87			\$75.13 COMM CALC (Bonds @ 2.5%)
05/31/2000		1200.000	E.SPIRE COMMUNICATIONS INC	\$3.750 U		\$4,409.85			\$90.15 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.750 U		\$367.48			\$0.00
05/31/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$3.750 U		\$1,832.93			\$42.07 COMM CALC (Bonds @ 2.5%)
05/31/2000		4700.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$17,859.39			\$353.11 COMM CALC (Bonds @ 2.5%)
05/31/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$7,599.74			\$150.26 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$379.98			\$0.00
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$3,799.87			\$75.13 COMM CALC (Bonds @ 2.5%)
05/31/2000		1500.000	E.SPIRE COMMUNICATIONS INC	\$3.875 U		\$5,699.80			\$112.70 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
05/31/2000		200.000	E.SPIRE COMMUNICATIONS INC	\$3.875U		\$759.97			\$15.03 COMM CALC (Bonds @ 2.5%)
05/31/2000		400.000	E.SPIRE COMMUNICATIONS INC	\$3.875U		\$1,519.94			\$30.06 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.875U		\$379.98			\$0.00
05/31/2000		2900.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$10,657.13			\$217.87 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$367.48			\$0.00
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$3,674.87			\$75.13 COMM CALC (Bonds @ 2.5%)
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$3,674.87			\$75.13 COMM CALC (Bonds @ 2.5%)
05/31/2000		300.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$1,102.46			\$22.54 COMM CALC (Bonds @ 2.5%)
05/31/2000		400.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$1,469.95			\$30.05 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$367.48			\$0.00
05/31/2000		300.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$1,102.46			\$22.54 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$367.48			\$0.00
05/31/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$1,837.43			\$37.57 COMM CALC (Bonds @ 2.5%)
05/31/2000		3800.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$13,964.52			\$285.48 COMM CALC (Bonds @ 2.5%)
05/31/2000		200.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$734.97			\$15.03 COMM CALC (Bonds @ 2.5%)
05/31/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$1,837.43			\$37.57 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$367.48			\$0.00
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$3,674.87			\$75.13 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$367.48			\$0.00
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$367.48			\$0.00
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$3,674.87			\$75.13 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$367.48			\$0.00
05/31/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$367.48			\$0.00
05/31/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$3.750U		\$3,674.87			\$75.13 COMM CALC (Bonds @ 2.5%)
05/31/2000		300.000	ELOYALTY CORP	\$14.250U		\$4,225.35			\$49.65 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	ELOYALTY CORP	\$14.437U		\$1,428.70			\$15.05 COMM CALC (Bonds @ 2.5%)
05/31/2000		1100.000	MAIL.COM INC CL A	\$5.562U		\$6,059.04			\$59.71 COMM CALC (Bonds @ 2.5%)
05/31/2000	200.000		MCI WORLDCOM INC 200101 46.625 150 SHS PUT	\$10.500U	\$316,778.58				\$1,778.58 COMM CALC (Bonds @ 2.5%)
05/31/2000	80.000		MCI WORLDCOM INC 200101 46.625 150 SHS PUT	\$10.750	\$129,709.62				\$709.62 COMM CALC (Bonds @ 2.5%)
05/31/2000		10900.000	NOVELL INC	\$8.187U		\$88,582.27			\$661.48 COMM CALC (Bonds @ 2.5%)
05/31/2000		1000.000	NOVELL INC	\$8.218U		\$8,158.47			\$60.33 COMM CALC (Bonds @ 2.5%)
05/31/2000		100.000	NOVELL INC	\$8.218U		\$815.85			\$0.00

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
05/31/2000		300.000	NOVELL INC	\$8.218U		\$2,447.54		\$18.10	COMM CALC (Bonds @ 2.5%)
05/31/2000		200.000	NOVELL INC	\$8.218U		\$1,631.69		\$12.07	COMM CALC (Bonds @ 2.5%)
05/31/2000		500.000	NOVELL INC	\$8.187U		\$4,063.61		\$30.14	COMM CALC (Bonds @ 2.5%)
05/31/2000		1000.000	NOVELL INC	\$8.187U		\$8,127.22		\$60.28	COMM CALC (Bonds @ 2.5%)
05/31/2000		300.000	NOVELL INC	\$8.187U		\$2,438.16		\$18.09	COMM CALC (Bonds @ 2.5%)
05/31/2000		500.000	NOVELL INC	\$8.187U		\$4,063.61		\$30.14	COMM CALC (Bonds @ 2.5%)
05/31/2000		200.000	NOVELL INC	\$8.187U		\$1,625.44		\$12.06	COMM CALC (Bonds @ 2.5%)
05/31/2000		2100.000	PSINET INC	\$24.250U		\$50,761.30		\$163.70	COMM CALC (Bonds @ 2.5%)
05/31/2000		1000.000	PSINET INC	\$24.312U		\$24,236.68		\$75.82	COMM CALC (Bonds @ 2.5%)
05/31/2000		1500.000	PSINET INC	\$24.250U		\$36,261.28		\$113.72	COMM CALC (Bonds @ 2.5%)
05/31/2000		6000.000	STARWOOD HOTELS & RESORTS WORLDWIDE INC	\$27.000		\$161,240.10		\$759.90	COMM CALC (Bonds @ 2.5%)
05/31/2000		1000.000	SYMYX TECHNOLOGIES INC	\$26.750U		\$26,594.60		\$155.40	COMM CALC (Bonds @ 2.5%)
05/31/2000		1000.000	SYMYX TECHNOLOGIES INC	\$26.750U		\$26,599.10		\$150.90	COMM CALC (Bonds @ 2.5%)
05/31/2000		2000.000	SYMYX TECHNOLOGIES INC	\$26.750		\$53,198.21		\$301.79	COMM CALC (Bonds @ 2.5%)
06/01/2000		5054.000	ALLSTATE CORP	\$26.937U		\$135,627.69		\$514.44	COMM CALC (Bonds @ 2.5%)
06/01/2000		10000.000	AMERICA ONLINE INC DEL	\$49.025U		\$489,485.15		\$770.85	COMM CALC (Bonds @ 2.5%)
06/01/2000		1600.000	ELOYALTY CORP	\$13.187U		\$21,014.79		\$85.21	COMM CALC (Bonds @ 2.5%)
06/01/2000		500.000	ELOYALTY CORP	\$13.187U		\$6,568.53		\$25.22	COMM CALC (Bonds @ 2.5%)
06/01/2000		100.000	ELOYALTY CORP	\$13.187U		\$1,313.70		\$0.00	
06/01/2000		100.000	ELOYALTY CORP	\$13.187U		\$1,313.70		\$0.00	
06/01/2000		100.000	ELOYALTY CORP	\$13.187U		\$1,313.70		\$0.00	
06/01/2000		100.000	ELOYALTY CORP	\$13.187U		\$1,313.70		\$0.00	
06/01/2000		100.000	ELOYALTY CORP	\$13.187U		\$1,313.70		\$0.00	
06/01/2000		300.000	ELOYALTY CORP	\$13.187U		\$3,941.11		\$15.14	COMM CALC (Bonds @ 2.5%)
06/01/2000		600.000	ELOYALTY CORP	\$13.187U		\$7,882.23		\$30.27	COMM CALC (Bonds @ 2.5%)
06/01/2000		1100.000	ELOYALTY CORP	\$13.187U		\$14,450.76		\$55.49	COMM CALC (Bonds @ 2.5%)
06/01/2000		523.000	GLOBALSTAR TELECOMM	\$9.375U		\$4,859.23		\$43.90	COMM CALC (Bonds @ 2.5%)
06/01/2000		1000.000	GLOBALSTAR TELECOMM	\$9.375U		\$9,299.68		\$75.32	COMM CALC (Bonds @ 2.5%)
06/01/2000		1000.000	GLOBALSTAR TELECOMM	\$9.375U		\$9,299.68		\$75.32	COMM CALC (Bonds @ 2.5%)
06/01/2000		4000.000	GLOBALSTAR TELECOMM	\$9.375U		\$37,198.75		\$301.25	COMM CALC (Bonds @ 2.5%)
06/01/2000		600.000	GLOBALSTAR TELECOMM	\$9.375U		\$5,579.81		\$45.19	COMM CALC (Bonds @ 2.5%)
06/01/2000		200.000	GLOBALSTAR TELECOMM	\$9.000U		\$1,784.94		\$15.06	COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
06/01/2000		1000.000	GLOBALSTAR TELECOMM	\$9.000U		\$8,924.70			\$75.30 COMM CALC (Bonds @ 2.5%)
06/01/2000		677.000	GLOBALSTAR TELECOMM	\$8.812U		\$5,915.08			\$50.98 COMM CALC (Bonds @ 2.5%)
06/01/2000		1000.000	GLOBALSTAR TELECOMM	\$8.812U		\$8,737.20			\$75.30 COMM CALC (Bonds @ 2.5%)
06/01/2000		9400.000	QUALCOMM INC	\$68.250U		\$640,584.11			\$965.89 COMM CALC (Bonds @ 2.5%)
06/01/2000		500.000	QUALCOMM INC	\$68.250U		\$34,073.86			\$51.14 COMM CALC (Bonds @ 2.5%)
06/01/2000		100.000	QUALCOMM INC	\$68.250U		\$6,814.77			\$10.23 COMM CALC (Bonds @ 2.5%)
06/01/2000		10000.000	QUALCOMM INC	\$66.562U		\$664,852.81			\$772.19 COMM CALC (Bonds @ 2.5%)
06/12/2000	5000.000		CHASE MANHATTAN CORP NEW						\$0.00 STOCK DIVIDEND
07/05/2000			QWEST COMMUNICATIONS INTL INC			\$17.18			\$0.00 CIL
07/05/2000	1729.000		QWEST COMMUNICATIONS INTL INC						\$0.00 US WEST INC NEW
07/05/2000		1000.000	US WEST INC NEW						\$0.00 QWEST COMMUNICATIONS
07/28/2000	1483.000		PALM INC						\$0.00 STOCK DIVIDEND SPINOFF
07/31/2000	800.000		VERTICALNET INC	\$57.062U	\$45,811.15				\$161.15 COMM CALC (Bonds @ 2.5%)
07/31/2000	100.000		VERTICALNET INC	\$57.054U	\$5,725.04				\$19.58 COMM CALC (Bonds @ 2.5%)
07/31/2000	200.000		VERTICALNET INC	\$56.765U	\$11,392.29				\$39.17 COMM CALC (Bonds @ 2.5%)
07/31/2000	200.000		VERTICALNET INC	\$56.765U	\$11,392.29				\$39.17 COMM CALC (Bonds @ 2.5%)
07/31/2000	100.000		VERTICALNET INC	\$56.765U	\$5,696.14				\$19.58 COMM CALC (Bonds @ 2.5%)
07/31/2000	100.000		VERTICALNET INC	\$56.812U	\$5,700.83				\$19.58 COMM CALC (Bonds @ 2.5%)
07/31/2000	500.000		VERTICALNET INC	\$56.640U	\$28,418.21				\$97.91 COMM CALC (Bonds @ 2.5%)
08/01/2000	2900.000		VERTICALNET INC	\$49.437U	\$144,098.25				\$729.50 COMM CALC (Bonds @ 2.5%)
08/01/2000	100.000		VERTICALNET INC	\$49.375U	\$4,962.50				\$25.00 COMM CALC (Bonds @ 2.5%)
08/07/2000			PALM INC			\$8.09			\$0.00 CIL
08/11/2000	11.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT						\$0.00 ASSIGNED
08/14/2000	2.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT						\$0.00 ASSIGNED
08/14/2000	64.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT						\$0.00 ASSIGNED
08/15/2000	6.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT						\$0.00 ASSIGNED
08/15/2000	1650.000		WORLDCOM INC	\$53.375	\$89,009.16				\$940.41 COMM CALC (Bonds @ 2.5%)
08/16/2000	9600.000		WORLDCOM INC	\$56.625	\$547,846.85				\$4,246.85 COMM CALC (Bonds @ 2.5%)
08/16/2000	300.000		WORLDCOM INC	\$56.625	\$17,120.07				\$132.57 COMM CALC (Bonds @ 2.5%)
08/17/2000	200.000		PSINET INC	\$14.437	\$2,922.00				\$34.50 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
08/17/2000	1000.000		PSINET INC	\$14.437	\$14,587.50				\$150.00 COMM CALC (Bonds @ 2.5%)
08/17/2000	900.000		WORLDCOM INC	\$56.625	\$51,627.03				\$664.53 COMM CALC (Bonds @ 2.5%)
08/17/2000		4500.000	WORLDPORT COMMUNICATIONS INC	\$3.343 U		\$14,771.87			\$275.23 COMM CALC (Bonds @ 2.5%)
08/17/2000		500.000	WORLDPORT COMMUNICATIONS INC	\$3.343 U		\$1,641.82			\$30.08 COMM CALC (Bonds @ 2.5%)
08/22/2000			US WEST COMMUNICATIONS GROUP			\$5.00			\$0.00 CIL
08/24/2000	25.000		MCI WORLDCOM INC 200101 50 150 SHS PUT						\$0.00 ASSIGNED
08/24/2000	78.000		NOVELL INC 200201 30 PUT						\$0.00 ASSIGNED
08/28/2000	6833.000		CITIGROUP INC						\$0.00 STOCK DIVIDEND
08/28/2000	200.000		CITIGROUP INC						\$0.00 STOCK DIVIDEND
08/28/2000	5.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT						\$0.00 ASSIGNED
08/28/2000	7800.000		NOVELL INC	\$30.000	\$236,277.81				\$2,277.81 COMM CALC (Bonds @ 2.5%)
08/28/2000	3750.000		WORLDCOM INC	\$50.000	\$189,190.83				\$1,690.83 COMM CALC (Bonds @ 2.5%)
08/31/2000	750.000		WORLDCOM INC	\$56.625	\$42,805.64				\$336.89 COMM CALC (Bonds @ 2.5%)
09/05/2000	800.000	RECV	ABGENIX INC		\$58,150.40				\$0.00 VALUED PER STMT TRANS;
09/08/2000			CITIGROUP INC			\$18.44			\$0.00 CIL
09/08/2000	4.000		WORLDCOM INC 200101 46.625 150 SHS PUT						\$0.00 ASSIGNED
09/11/2000	800.000		MICROSTRATEGY INC CL A	\$33.500 U	\$26,983.06				\$183.06 COMM CALC (Bonds @ 2.5%)
09/11/2000	1300.000		MICROSTRATEGY INC CL A	\$33.500 U	\$43,840.06				\$290.06 COMM CALC (Bonds @ 2.5%)
09/11/2000	900.000		MICROSTRATEGY INC CL A	\$33.500 U	\$30,350.88				\$200.88 COMM CALC (Bonds @ 2.5%)
09/11/2000	900.000		VENTRO CORP	\$18.250 U	\$16,564.50				\$139.50 COMM CALC (Bonds @ 2.5%)
09/11/2000	300.000		VENTRO CORP	\$18.187 U	\$5,501.25				\$45.00 COMM CALC (Bonds @ 2.5%)
09/11/2000	100.000		VENTRO CORP	\$18.250 U	\$1,840.00				\$15.00 COMM CALC (Bonds @ 2.5%)
09/11/2000	100.000		VENTRO CORP	\$18.250 U	\$1,840.00				\$15.00 COMM CALC (Bonds @ 2.5%)
09/11/2000	3500.000		VENTRO CORP	\$19.000 U	\$67,025.00				\$525.00 COMM CALC (Bonds @ 2.5%)
09/11/2000	100.000		VENTRO CORP	\$18.750 U	\$1,890.00				\$15.00 COMM CALC (Bonds @ 2.5%)
09/12/2000	600.000		WORLDCOM INC	\$46.625	\$28,468.10				\$493.10 COMM CALC (Bonds @ 2.5%)
09/13/2000	19.000		MCI WORLDCOM INC 200101 50 150 SHS PUT						\$0.00 ASSIGNED
09/14/2000	800.000	RECV	ABGENIX INC		\$67,600.00				\$0.00 VALUED PER STMT TRANS
09/15/2000	2850.000		WORLDCOM INC	\$50.000	\$143,247.29				\$747.29 COMM CALC (Bonds @ 2.5%)
09/19/2000	13.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT						\$0.00 ASSIGNED
09/20/2000	500.000		PSINET INC	\$13.375 U	\$6,742.00				\$54.50 COMM CALC (Bonds @ 2.5%)
09/21/2000	1950.000		WORLDCOM INC	\$53.375	\$105,139.61				\$1,058.36 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
09/22/2000		300.000	ABGENIX INC	\$79.812U		\$23,702.25		\$241.50	COMM CALC (Bonds @ 2.5%)
09/22/2000	700.000		ATRIX LABS INC	\$14.062U	\$9,997.15			\$153.40	COMM CALC (Bonds @ 2.5%)
09/22/2000	300.000		ATRIX LABS INC	\$14.062U	\$4,282.56			\$63.81	COMM CALC (Bonds @ 2.5%)
09/22/2000	5.000		MCI WORLDCOM INC 200101 50 150 SHS PUT					\$0.00	ASSIGNED
09/22/2000	21.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT					\$0.00	ASSIGNED
09/26/2000	3150.000		WORLDCOM INC	\$53.375	\$168,939.48			\$808.23	COMM CALC (Bonds @ 2.5%)
09/26/2000	750.000		WORLDCOM INC	\$50.000	\$37,691.40			\$191.40	COMM CALC (Bonds @ 2.5%)
09/27/2000	8.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT					\$0.00	ASSIGNED
09/27/2000	13.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT					\$0.00	ASSIGNED
09/28/2000		8500.000	E.SPIRE COMMUNICATIONS INC	\$3.218U		\$26,431.38		\$928.42	COMM CALC (Bonds @ 2.5%)
09/28/2000		2100.000	E.SPIRE COMMUNICATIONS INC	\$3.218U		\$6,531.30		\$228.18	COMM CALC (Bonds @ 2.5%)
09/28/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.218U		\$311.01		\$10.87	COMM CALC (Bonds @ 2.5%)
09/28/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$3.218U		\$1,555.07		\$54.33	COMM CALC (Bonds @ 2.5%)
09/28/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.218U		\$311.01		\$10.87	COMM CALC (Bonds @ 2.5%)
09/28/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.218U		\$311.01		\$10.87	COMM CALC (Bonds @ 2.5%)
09/28/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$3.218U		\$3,110.14		\$108.66	COMM CALC (Bonds @ 2.5%)
09/28/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$3.218U		\$311.01		\$10.87	COMM CALC (Bonds @ 2.5%)
09/28/2000	300.000		GLOBAL CROSSING LTD 200201 30 PUT	\$8.375U	\$253,171.84			\$1,921.84	COMM CALC (Bonds @ 2.5%)
09/28/2000	300.000		GLOBAL CROSSING LTD 200201 40 PUT	\$15.000U	\$452,572.07			\$2,572.07	COMM CALC (Bonds @ 2.5%)
09/28/2000	100.000		LEVEL 3 COMMUNICATIONS 200201 70 PUT	\$15.625U	\$157,306.29			\$1,056.29	COMM CALC (Bonds @ 2.5%)
09/28/2000	200.000		PSINET INC 200201 40 PUT					\$0.00	ASSIGNED
09/28/2000	200.000		QUALCOMM INC 200201 75 PUT	\$20.375U	\$409,611.81			\$2,111.81	COMM CALC (Bonds @ 2.5%)
09/28/2000	100.000		QUALCOMM INC 200201 80 PUT	\$23.750U	\$238,846.26			\$1,346.26	COMM CALC (Bonds @ 2.5%)
09/28/2000	6.000		WORLDCOM INC 200101 46.625 150 SHS PUT					\$0.00	ASSIGNED
09/29/2000		1700.000	E.SPIRE COMMUNICATIONS INC	\$2.906U		\$4,782.96		\$157.58	COMM CALC (Bonds @ 2.5%)
09/29/2000		5500.000	E.SPIRE COMMUNICATIONS INC	\$2.968U		\$15,832.58		\$495.82	COMM CALC (Bonds @ 2.5%)
09/29/2000		4500.000	E.SPIRE COMMUNICATIONS INC	\$2.968U		\$12,953.93		\$405.67	COMM CALC (Bonds @ 2.5%)
09/29/2000		5500.000	E.SPIRE COMMUNICATIONS INC	\$2.968U		\$15,832.58		\$495.82	COMM CALC (Bonds @ 2.5%)
09/29/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.968U		\$2,878.65		\$90.15	COMM CALC (Bonds @ 2.5%)
09/29/2000		700.000	E.SPIRE COMMUNICATIONS INC	\$2.937U		\$1,993.18		\$63.07	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$2.906U		\$281.62		\$0.00	

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
09/29/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.968U		\$2,878.65		\$90.15	COMM CALC (Bonds @ 2.5%)
09/29/2000		600.000	VENTRO CORP	\$10.812U		\$6,392.78		\$94.72	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.875U		\$1,072.46		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		500.000	VENTRO CORP	\$10.875U		\$5,362.31		\$75.19	COMM CALC (Bonds @ 2.5%)
09/29/2000		1000.000	VENTRO CORP	\$10.875U		\$10,724.63		\$150.37	COMM CALC (Bonds @ 2.5%)
09/29/2000		200.000	VENTRO CORP	\$10.875U		\$2,144.92		\$30.08	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.875U		\$1,072.46		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.812U		\$1,066.21		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.875U		\$1,072.46		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.875U		\$1,072.46		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.812U		\$1,066.21		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.875U		\$1,072.46		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.875U		\$1,072.46		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.812U		\$1,066.21		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.812U		\$1,066.21		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.812U		\$1,066.21		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		1000.000	VENTRO CORP	\$10.812U		\$10,662.13		\$150.37	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VENTRO CORP	\$10.812U		\$1,066.21		\$15.04	COMM CALC (Bonds @ 2.5%)
09/29/2000		500.000	VENTRO CORP	\$10.812U		\$5,331.06		\$75.19	COMM CALC (Bonds @ 2.5%)
09/29/2000		900.000	VERTICALNET INC	\$31.250U		\$27,984.56		\$140.44	COMM CALC (Bonds @ 2.5%)
09/29/2000		700.000	VERTICALNET INC	\$31.375U		\$21,856.76		\$105.74	COMM CALC (Bonds @ 2.5%)
09/29/2000		100.000	VERTICALNET INC	\$31.312U		\$3,116.14		\$15.11	COMM CALC (Bonds @ 2.5%)
09/29/2000		600.000	VERTICALNET INC	\$31.312U		\$18,696.87		\$90.63	COMM CALC (Bonds @ 2.5%)
09/29/2000		900.000	VERTICALNET INC	\$31.312U		\$28,045.31		\$135.94	COMM CALC (Bonds @ 2.5%)
09/29/2000		200.000	VERTICALNET INC	\$31.312U		\$6,232.29		\$30.21	COMM CALC (Bonds @ 2.5%)
09/29/2000		800.000	VERTICALNET INC	\$31.312U		\$24,929.16		\$120.84	COMM CALC (Bonds @ 2.5%)
09/29/2000		800.000	VERTICALNET INC	\$31.312U		\$24,929.16		\$120.84	COMM CALC (Bonds @ 2.5%)
09/29/2000	1950.000		WORLDCOM INC	\$56.625	\$110,715.75			\$297.00	COMM CALC (Bonds @ 2.5%)
09/29/2000	1200.000		WORLDCOM INC	\$56.625	\$68,010.00			\$60.00	COMM CALC (Bonds @ 2.5%)
10/02/2000		1000.000	EASTMAN KODAK CO	\$40.937U		\$40,831.63		\$105.87	COMM CALC (Bonds @ 2.5%)
10/02/2000		30000.000	GLOBALSTAR TELECOMM	\$9.105		\$271,648.90		\$1,513.10	COMM CALC (Bonds @ 2.5%)
10/02/2000	20000.000		PSINET INC	\$40.000	\$801,204.50			\$1,204.50	COMM CALC (Bonds @ 2.5%)
10/02/2000		1000.000	WALT DISNEY CO GO.COM	\$10.500U		\$10,485.15		\$14.85	COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
10/02/2000		9000.000	WALT DISNEY CO GO.COM	\$10.250U		\$91,436.92			\$813.08 COMM CALC (Bonds @ 2.5%)
10/09/2000	11.000		PSINET INC 200201 35 PUT						\$0.00 ASSIGNED
10/10/2000	1.000		MCI WORLDCOM INC 200101 50 150 SHS PUT						\$0.00 ASSIGNED
10/10/2000	500.000		PSINET INC	\$7.562U	\$3,835.75				\$54.50 COMM CALC (Bonds @ 2.5%)
10/11/2000	25.000		NOVELL INC 200201 30 PUT						\$0.00 ASSIGNED
10/12/2000	1100.000		PSINET INC	\$35.000	\$38,614.50				\$114.50 COMM CALC (Bonds @ 2.5%)
10/12/2000	150.000		WORLDCOM INC	\$50.000	\$7,587.65				\$87.65 COMM CALC (Bonds @ 2.5%)
10/13/2000	2500.000		NOVELL INC	\$30.000	\$75,560.29				\$560.29 COMM CALC (Bonds @ 2.5%)
10/13/2000	10000.000		ORACLE CORP						\$0.00 STOCK DIVIDEND
10/16/2000		400.000	ABGENIX INC	\$74.000U		\$29,544.52			\$55.48 COMM CALC (Bonds @ 2.5%)
10/16/2000		5000.000	CHASE MANHATTAN CORP NEW	\$40.000U		\$199,238.83			\$761.17 COMM CALC (Bonds @ 2.5%)
10/16/2000	100.000		CISCO SYS INC 200201 50 PUT	\$9.875U	\$99,530.53				\$780.53 COMM CALC (Bonds @ 2.5%)
10/16/2000		5100.000	E.SPIRE COMMUNICATIONS INC	\$2.312U		\$11,435.04			\$358.71 COMM CALC (Bonds @ 2.5%)
10/16/2000	300.000		ENERGY CONVERSION DEVICES	\$32.000U	\$9,654.49				\$54.49 COMM CALC (Bonds @ 2.5%)
10/16/2000	500.000		GLOBAL CROSSING LTD	\$21.625U	\$10,867.00				\$54.50 COMM CALC (Bonds @ 2.5%)
10/16/2000		130.000	INTL BUSINESS MACH 200301 160 CALL	\$13.125U		\$169,406.60			\$1,218.40 COMM CALC (Bonds @ 2.5%)
10/16/2000		10000.000	KROGER COMPANY	\$21.437U		\$213,363.35			\$1,011.65 COMM CALC (Bonds @ 2.5%)
10/16/2000		2500.000	NOVELL INC	\$8.062U		\$19,901.07			\$255.18 COMM CALC (Bonds @ 2.5%)
10/16/2000	100.000		QUALCOMM INC 200201 195 CALL	\$4.500U	\$45,621.04				\$621.04 COMM CALC (Bonds @ 2.5%)
10/16/2000		100.000	QUALCOMM INC 200301 100 CALL	\$21.750U		\$216,323.73			\$1,176.27 COMM CALC (Bonds @ 2.5%)
10/16/2000		67.000	QWEST COMMUNICATIONS INTL INC 200301 55 CA	\$10.625U		\$70,548.06			\$639.44 COMM CALC (Bonds @ 2.5%)
10/16/2000		1000.000	SEARS ROEBUCK	\$33.520		\$33,164.83			\$355.17 COMM CALC (Bonds @ 2.5%)
10/16/2000		1000.000	WORLDCOM INC 200301 25 CALL	\$8.250U		\$818,925.17			\$6,074.83 COMM CALC (Bonds @ 2.5%)
10/17/2000		10000.000	BANK ONE CORP	\$31.750U		\$316,484.91			\$1,015.09 COMM CALC (Bonds @ 2.5%)
10/17/2000		4000.000	BOSTON PROPERTIES INC	\$39.750U		\$158,220.44			\$779.56 COMM CALC (Bonds @ 2.5%)
10/17/2000		7000.000	CITIGROUP INC	\$46.750		\$326,184.59			\$1,065.41 COMM CALC (Bonds @ 2.5%)
10/17/2000		4000.000	COLONIAL PROPERTIES TR	\$24.628U		\$97,737.79			\$774.61 COMM CALC (Bonds @ 2.5%)
10/17/2000		44000.000	E.SPIRE COMMUNICATIONS INC	\$2.379U		\$102,921.21			\$1,767.99 COMM CALC (Bonds @ 2.5%)
10/17/2000		3000.000	INTL BUSINESS MACH	\$104.500U		\$312,735.05			\$764.95 COMM CALC (Bonds @ 2.5%)
10/17/2000		20000.000	KROGER COMPANY	\$20.062U		\$399,732.12			\$1,517.88 COMM CALC (Bonds @ 2.5%)
10/17/2000		4000.000	MANUFACTURED HOME COMMUNITIES INC	\$24.750U		\$98,223.49			\$776.51 COMM CALC (Bonds @ 2.5%)
10/17/2000		4000.000	SL GREEN REALTY CORP	\$26.476U		\$105,102.95			\$803.45 COMM CALC (Bonds @ 2.5%)



## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
10/17/2000		3000.000	VIACOM INC B NON VTG	\$46.937U		\$140,053.30		\$759.20	COMM CALC (Bonds @ 2.5%)
10/17/2000		22424.000	WORLDCOM INC	\$23.832U		\$532,161.99		\$2,264.72	COMM CALC (Bonds @ 2.5%)
10/17/2000	300.000		WORLDCOM INC 200301 25 CALL	\$9.625U	\$290,254.50			\$1,504.50	COMM CALC (Bonds @ 2.5%)
10/17/2000		300.000	WORLDCOM INC 200301 50 CALL	\$3.875U		\$114,741.62		\$1,508.38	COMM CALC (Bonds @ 2.5%)
10/18/2000		5000.000	BANK ONE CORP	\$32.875U		\$163,615.02		\$759.98	COMM CALC (Bonds @ 2.5%)
10/18/2000		4400.000	E.SPIRE COMMUNICATIONS INC	\$2.125U		\$9,063.14		\$286.86	COMM CALC (Bonds @ 2.5%)
10/18/2000		200.000	E.SPIRE COMMUNICATIONS INC	\$2.187U		\$424.66		\$12.84	COMM CALC (Bonds @ 2.5%)
10/18/2000		1400.000	E.SPIRE COMMUNICATIONS INC	\$2.218U		\$3,016.40		\$89.92	COMM CALC (Bonds @ 2.5%)
10/18/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.218U		\$2,154.57		\$64.23	COMM CALC (Bonds @ 2.5%)
10/18/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.125U		\$2,060.82		\$64.18	COMM CALC (Bonds @ 2.5%)
10/18/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.125U		\$2,060.82		\$64.18	COMM CALC (Bonds @ 2.5%)
10/18/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.125U		\$2,060.82		\$64.18	COMM CALC (Bonds @ 2.5%)
10/18/2000		8000.000	E.SPIRE COMMUNICATIONS INC	\$2.250U		\$17,486.60		\$513.40	COMM CALC (Bonds @ 2.5%)
10/18/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$2.281U		\$4,434.49		\$127.91	COMM CALC (Bonds @ 2.5%)
10/18/2000		4000.000	E.SPIRE COMMUNICATIONS INC	\$2.250U		\$8,743.30		\$256.70	COMM CALC (Bonds @ 2.5%)
10/18/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$2.250U		\$4,371.65		\$128.35	COMM CALC (Bonds @ 2.5%)
10/18/2000		4000.000	E.SPIRE COMMUNICATIONS INC	\$2.250U		\$8,743.30		\$256.70	COMM CALC (Bonds @ 2.5%)
10/18/2000		1200.000	KROGER COMPANY	\$21.250U		\$25,434.65		\$65.35	COMM CALC (Bonds @ 2.5%)
10/18/2000		8000.000	MANAGED MUNI PORTFOLIO II INC	\$9.812U		\$77,692.88		\$807.12	COMM CALC (Bonds @ 2.5%)
10/18/2000		7800.000	NOVELL INC	\$7.968U		\$61,369.67		\$786.97	COMM CALC (Bonds @ 2.5%)
10/18/2000		4500.000	STAR TELECOMMUNICATIONS	\$1.187U		\$5,166.72		\$177.03	COMM CALC (Bonds @ 2.5%)
10/18/2000		500.000	STAR TELECOMMUNICATIONS	\$1.187U		\$574.58		\$19.17	COMM CALC (Bonds @ 2.5%)
10/18/2000		600.000	STAR TELECOMMUNICATIONS	\$1.187U		\$689.49		\$23.01	COMM CALC (Bonds @ 2.5%)
10/18/2000		3100.000	STAR TELECOMMUNICATIONS	\$1.187U		\$3,562.39		\$118.86	COMM CALC (Bonds @ 2.5%)
10/18/2000		8200.000	STAR TELECOMMUNICATIONS	\$1.187U		\$9,422.51		\$314.99	COMM CALC (Bonds @ 2.5%)
10/18/2000		500.000	STAR TELECOMMUNICATIONS	\$1.187U		\$574.58		\$19.17	COMM CALC (Bonds @ 2.5%)
10/18/2000		2100.000	STAR TELECOMMUNICATIONS	\$1.125U		\$2,281.99		\$80.51	COMM CALC (Bonds @ 2.5%)
10/18/2000		500.000	STAR TELECOMMUNICATIONS	\$1.125U		\$543.33		\$19.17	COMM CALC (Bonds @ 2.5%)
10/18/2000		2700.000	TECHNOLOGY SOLUTIONS CO	\$2.187U		\$5,709.61		\$196.64	COMM CALC (Bonds @ 2.5%)
10/18/2000		500.000	TECHNOLOGY SOLUTIONS CO	\$2.187U		\$1,058.16		\$35.59	COMM CALC (Bonds @ 2.5%)
10/18/2000		1700.000	TECHNOLOGY SOLUTIONS CO	\$2.187U		\$3,597.75		\$121.00	COMM CALC (Bonds @ 2.5%)
10/18/2000		100.000	TECHNOLOGY SOLUTIONS CO	\$2.187U		\$211.63		\$0.00	
10/18/2000		10000.000	WORLD ACCESS INC	\$3.843U		\$37,657.32		\$779.68	COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
10/20/2000		20250.000	GLOBAL CROSSING LTD	\$23.125 U		\$466,742.39			\$1,538.86 COMM CALC (BONDS @ 2.5%)
10/20/2000		5.000	TERAYON COMMUNICATIONS 200011 20 CALL	\$3.375 U		\$1,582.93			\$104.57 COMM CALC (Bonds @ 2.5%)
10/20/2000	900.000		WORLDCOM INC	\$46.625	\$42,371.05				\$408.55 COMM CALC (Bonds @ 2.5%)
10/20/2000	400.000		WORLDCOM INC 200301 25 CALL	\$11.500 U	\$463,191.57				\$3,191.57 COMM CALC (Bonds @ 2.5%)
10/20/2000		400.000	WORLDCOM INC 200301 50 CALL	\$5.000		\$197,729.68			\$2,270.32 COMM CALC (BONDS @ 2.5%)
10/23/2000	200.000		LEHMAN BROS HOLDINGS INC						\$0.00 STOCK DIVIDEND
10/24/2000	400.000		TERAYON COMMUNICATIONS SYS	\$19.062 U	\$7,823.60				\$198.60 COMM CALC (Bonds @ 2.5%)
10/24/2000	100.000		TERAYON COMMUNICATIONS SYS	\$19.000 U	\$1,948.53				\$48.53 COMM CALC (Bonds @ 2.5%)
10/30/2000	500.000		INFOSPACE INC	\$20.687 U	\$10,601.83				\$258.08 COMM CALC (Bonds @ 2.5%)
11/01/2000	108.000		PSINET INC 200201 35 PUT						\$0.00 ASSIGNED
11/02/2000	7.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
11/02/2000	91.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
11/02/2000	3.000		NOVELL INC 200201 30 PUT						\$0.00 ASSIGNED
11/03/2000		5.000	ABGENIX INC 200101 100 CALL	\$10.375 U		\$5,072.47			\$115.03 COMM CALC (Bonds @ 2.5%)
11/03/2000	10800.000		PSINET INC	\$35.000	\$378,760.50				\$760.50 COMM CALC (Bonds @ 2.5%)
11/03/2000		500.000	WORLDCOM INC 200301 25 CALL	\$5.000 U		\$247,663.82			\$2,336.18 COMM CALC (Bonds @ 2.5%)
11/06/2000	700.000		GLOBALSTAR TELECOMM	\$30.000	\$21,067.50				\$67.50 COMM CALC (Bonds @ 2.5%)
11/06/2000	9100.000		GLOBALSTAR TELECOMM	\$30.000	\$273,819.00				\$819.00 COMM CALC (Bonds @ 2.5%)
11/06/2000	25.000		GLOBALSTAR TELECOMM 200201 25 PUT						\$0.00 ASSIGNED
11/06/2000	300.000		NOVELL INC	\$30.000	\$9,039.49				\$39.49 COMM CALC (Bonds @ 2.5%)
11/06/2000		483.000	PALM INC	\$55.062 U		\$26,294.30			\$300.89 COMM CALC (Bonds @ 2.5%)
11/06/2000		1000.000	WORLDCOM INC	\$19.812 U		\$19,707.33			\$105.17 COMM CALC (Bonds @ 2.5%)
11/06/2000		2000.000	WORLDCOM INC	\$19.812 U		\$39,423.67			\$201.33 COMM CALC (Bonds @ 2.5%)
11/06/2000		1000.000	WORLDCOM INC	\$19.500 U		\$19,399.35			\$100.65 COMM CALC (Bonds @ 2.5%)
11/06/2000		1000.000	WORLDCOM INC	\$19.562 U		\$19,461.84			\$100.66 COMM CALC (Bonds @ 2.5%)
11/06/2000		1000.000	WORLDCOM INC	\$19.500 U		\$19,399.35			\$100.65 COMM CALC (Bonds @ 2.5%)
11/06/2000		100.000	WORLDCOM INC	\$19.500 U		\$1,939.93			\$10.07 COMM CALC (Bonds @ 2.5%)
11/06/2000		13900.000	WORLDCOM INC	\$19.312 U		\$267,044.80			\$1,398.95 COMM CALC (Bonds @ 2.5%)
11/06/2000		9300.000	WORLDCOM INC	\$19.000 U		\$175,764.11			\$935.89 COMM CALC (Bonds @ 2.5%)
11/06/2000		500.000	WORLDCOM INC	\$19.062 U		\$9,480.93			\$50.32 COMM CALC (Bonds @ 2.5%)
11/06/2000		200.000	WORLDCOM INC	\$19.062 U		\$3,792.37			\$20.13 COMM CALC (Bonds @ 2.5%)
11/06/2000		20000.000	WORLDCOM INC	\$18.875 U		\$375,487.41			\$2,012.59 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
11/06/2000		4500.000	WORLDCOM INC	\$18.875 U		\$84,480.16		\$457.34	COMM CALC (Bonds @ 2.5%)
11/07/2000		2800.000	E.SPIRE COMMUNICATIONS INC	\$2.375 U		\$6,492.67		\$157.33	COMM CALC (Bonds @ 2.5%)
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.406 U		\$2,350.67		\$55.53	COMM CALC (Bonds @ 2.5%)
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.406 U		\$2,351.66		\$54.54	COMM CALC (Bonds @ 2.5%)
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.406 U		\$2,351.66		\$54.54	COMM CALC (Bonds @ 2.5%)
11/07/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$2.406 U		\$235.17		\$0.00	
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.406 U		\$2,351.66		\$54.54	COMM CALC (Bonds @ 2.5%)
11/07/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$2.406 U		\$235.17		\$0.00	
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.406 U		\$2,351.66		\$54.54	COMM CALC (Bonds @ 2.5%)
11/07/2000		1800.000	E.SPIRE COMMUNICATIONS INC	\$2.375 U		\$4,176.75		\$98.25	COMM CALC (Bonds @ 2.5%)
11/07/2000		200.000	E.SPIRE COMMUNICATIONS INC	\$2.375 U		\$464.08		\$10.92	COMM CALC (Bonds @ 2.5%)
11/07/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$2.312 U		\$1,128.96		\$27.29	COMM CALC (Bonds @ 2.5%)
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.312 U		\$2,257.92		\$54.58	COMM CALC (Bonds @ 2.5%)
11/07/2000		900.000	E.SPIRE COMMUNICATIONS INC	\$2.312 U		\$2,032.13		\$49.12	COMM CALC (Bonds @ 2.5%)
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.312 U		\$2,257.92		\$54.58	COMM CALC (Bonds @ 2.5%)
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.312 U		\$2,257.92		\$54.58	COMM CALC (Bonds @ 2.5%)
11/07/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$2.312 U		\$2,257.92		\$54.58	COMM CALC (Bonds @ 2.5%)
11/07/2000		4600.000	E.SPIRE COMMUNICATIONS INC	\$2.312 U		\$10,386.44		\$251.06	COMM CALC (Bonds @ 2.5%)
11/07/2000		2500.000	E.SPIRE COMMUNICATIONS INC	\$2.250 U		\$5,488.56		\$136.44	COMM CALC (Bonds @ 2.5%)
11/07/2000		1700.000	E.SPIRE COMMUNICATIONS INC	\$2.281 U		\$3,785.35		\$92.69	COMM CALC (Bonds @ 2.5%)
11/07/2000		1600.000	E.SPIRE COMMUNICATIONS INC	\$2.281 U		\$3,562.67		\$87.25	COMM CALC (Bonds @ 2.5%)
11/07/2000		4200.000	E.SPIRE COMMUNICATIONS INC	\$2.156 U		\$8,827.04		\$229.00	COMM CALC (Bonds @ 2.5%)
11/07/2000		1000.000	ENZON INC	\$74.125 U		\$74,008.02		\$116.98	COMM CALC (Bonds @ 2.5%)
11/07/2000		500.000	ENZON INC	\$74.500 U		\$37,193.75		\$56.25	COMM CALC (Bonds @ 2.5%)
11/07/2000		1000.000	ENZON INC	\$74.375 U		\$74,262.52		\$112.48	COMM CALC (Bonds @ 2.5%)
11/07/2000		100.000	ENZON INC	\$74.125 U		\$7,401.25		\$11.25	COMM CALC (Bonds @ 2.5%)
11/07/2000		1000.000	ENZON INC	\$74.250 U		\$74,137.52		\$112.48	COMM CALC (Bonds @ 2.5%)
11/07/2000		300.000	ENZON INC	\$74.250 U		\$22,241.25		\$33.75	COMM CALC (Bonds @ 2.5%)
11/07/2000		1000.000	ENZON INC	\$74.125 U		\$74,012.52		\$112.48	COMM CALC (Bonds @ 2.5%)
11/07/2000		100.000	ENZON INC	\$74.125 U		\$7,401.25		\$11.25	COMM CALC (Bonds @ 2.5%)
11/07/2000		2000.000	ENZON INC	\$74.125 U		\$148,025.05		\$224.95	COMM CALC (Bonds @ 2.5%)
11/07/2000	25.000		GLOBAL CROSSING LTD 200101 40 PUT					\$0.00	ASSIGNED
11/07/2000		10000.000	WALT DISNEY CO	\$36.750 U		\$366,483.25		\$1,016.75	COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
11/08/2000	2500.000		GLOBALSTAR TELECOMM	\$25.000	\$62,629.50				\$129.50 COMM CALC (Bonds @ 2.5%)
11/08/2000	31.000		MCI WORLDCOM INC 200101 50 150 SHS PUT						\$0.00 ASSIGNED
11/08/2000	29.000		PSINET INC 200201 35 PUT						\$0.00 ASSIGNED
11/09/2000	2500.000		GLOBAL CROSSING LTD	\$40.000	\$100,129.50				\$129.50 COMM CALC (Bonds @ 2.5%)
11/09/2000	44.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT						\$0.00 ASSIGNED
11/09/2000		500.000	PALM INC	\$63.250 U		\$31,444.44			\$180.56 COMM CALC (Bonds @ 2.5%)
11/09/2000	35.000		PSINET INC 200201 35 PUT						\$0.00 ASSIGNED
11/10/2000	25.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
11/10/2000	2900.000		PSINET INC	\$35.000	\$101,649.50				\$149.50 COMM CALC (Bonds @ 2.5%)
11/10/2000	4650.000		WORLDCOM INC	\$50.000	\$232,969.50				\$469.50 COMM CALC (Bonds @ 2.5%)
11/10/2000	20.000		WORLDCOM INC 200201 40 150 SHS PUT						\$0.00 ASSIGNED
11/10/2000		700.000	WORLDCOM INC 200301 20 CALL	\$5.375 U		\$372,950.12			\$3,299.88 COMM CALC (Bonds @ 2.5%)
11/10/2000	700.000		WORLDCOM INC 200301 50 CALL	\$1.375 U	\$98,704.58				\$2,454.58 COMM CALC (Bonds @ 2.5%)
11/13/2000	64.000		GLOBALSTAR TELECOMM 200201 25 PUT						\$0.00 ASSIGNED
11/13/2000	42.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
11/13/2000	3500.000		PSINET INC	\$35.000	\$122,854.50				\$354.50 COMM CALC (Bonds @ 2.5%)
11/13/2000	6600.000		WORLDCOM INC	\$53.375	\$353,104.50				\$829.50 COMM CALC (Bonds @ 2.5%)
11/14/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$1.875 U		\$184.49			\$0.00
11/14/2000		5000.000	E.SPIRE COMMUNICATIONS INC	\$1.875 U		\$9,224.68			\$150.32 COMM CALC (Bonds @ 2.5%)
11/14/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$1.875 U		\$3,689.87			\$60.13 COMM CALC (Bonds @ 2.5%)
11/14/2000		2900.000	E.SPIRE COMMUNICATIONS INC	\$1.750 U		\$4,987.83			\$87.17 COMM CALC (Bonds @ 2.5%)
11/14/2000		4000.000	E.SPIRE COMMUNICATIONS INC	\$1.875 U		\$7,375.25			\$124.75 COMM CALC (Bonds @ 2.5%)
11/14/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$1.875 U		\$1,844.93			\$30.07 COMM CALC (Bonds @ 2.5%)
11/14/2000		4600.000	E.SPIRE COMMUNICATIONS INC	\$1.875 U		\$8,486.71			\$138.29 COMM CALC (Bonds @ 2.5%)
11/14/2000		400.000	E.SPIRE COMMUNICATIONS INC	\$1.875 U		\$737.97			\$12.03 COMM CALC (Bonds @ 2.5%)
11/14/2000		6100.000	E.SPIRE COMMUNICATIONS INC	\$1.750 U		\$10,491.64			\$183.36 COMM CALC (Bonds @ 2.5%)
11/14/2000		1500.000	E.SPIRE COMMUNICATIONS INC	\$1.781 U		\$2,626.79			\$45.01 COMM CALC (Bonds @ 2.5%)
11/14/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$1.781 U		\$175.12			\$0.00
11/14/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$1.781 U		\$3,502.38			\$60.02 COMM CALC (Bonds @ 2.5%)
11/14/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$1.781 U		\$175.12			\$0.00
11/14/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$1.781 U		\$175.12			\$0.00
11/14/2000		5000.000	E.SPIRE COMMUNICATIONS INC	\$1.750 U		\$8,599.70			\$150.30 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
11/14/2000		2500.000	E.SPIRE COMMUNICATIONS INC	\$1.750U		\$4,299.85		\$75.15	COMM CALC (Bonds @ 2.5%)
11/14/2000		3000.000	E.SPIRE COMMUNICATIONS INC	\$1.750U		\$5,159.82		\$90.18	COMM CALC (Bonds @ 2.5%)
11/14/2000		4000.000	E.SPIRE COMMUNICATIONS INC	\$1.750U		\$6,879.76		\$120.24	COMM CALC (Bonds @ 2.5%)
11/14/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$1.750U		\$171.99		\$0.00	
11/14/2000		500.000	E.SPIRE COMMUNICATIONS INC	\$1.750U		\$859.97		\$15.03	COMM CALC (Bonds @ 2.5%)
11/14/2000	2500.000		GLOBALSTAR TELECOMM	\$30.000	\$75,129.50			\$129.50	COMM CALC (Bonds @ 2.5%)
11/14/2000	15.000		GLOBALSTAR TELECOMM 200201 35 PUT					\$0.00	ASSIGNED
11/14/2000		3000.000	MICROSTRATEGY INC CL A	\$22.250U		\$66,443.27		\$306.73	COMM CALC (Bonds @ 2.5%)
11/14/2000		600.000	WORLDCOM INC	\$16.562U		\$9,882.67		\$54.83	COMM CALC (Bonds @ 2.5%)
11/14/2000	3000.000		WORLDCOM INC	\$40.000	\$120,743.60			\$743.60	COMM CALC (Bonds @ 2.5%)
11/14/2000	600.000		WORLDCOM INC 200301 25 CALL	\$3.625U	\$220,023.18			\$2,523.18	COMM CALC (Bonds @ 2.5%)
11/15/2000		10000.000	AMERICA ONLINE INC DEL	\$51.110U		\$510,336.46		\$771.54	COMM CALC (Bonds @ 2.5%)
11/15/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$1.703U		\$1,668.57		\$34.53	COMM CALC (Bonds @ 2.5%)
11/15/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$1.718U		\$168.87		\$0.00	
11/15/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$1.718U		\$168.87		\$0.00	
11/15/2000		1200.000	E.SPIRE COMMUNICATIONS INC	\$1.703U		\$2,007.68		\$36.04	COMM CALC (Bonds @ 2.5%)
11/15/2000		600.000	E.SPIRE COMMUNICATIONS INC	\$1.703U		\$1,003.84		\$18.02	COMM CALC (Bonds @ 2.5%)
11/15/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$1.687U		\$165.74		\$0.00	
11/15/2000		800.000	E.SPIRE COMMUNICATIONS INC	\$1.687U		\$1,325.95		\$24.05	COMM CALC (Bonds @ 2.5%)
11/15/2000		100.000	E.SPIRE COMMUNICATIONS INC	\$1.687U		\$165.74		\$0.00	
11/15/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$1.687U		\$1,657.44		\$30.06	COMM CALC (Bonds @ 2.5%)
11/15/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$1.687U		\$3,314.88		\$60.12	COMM CALC (Bonds @ 2.5%)
11/15/2000		1000.000	E.SPIRE COMMUNICATIONS INC	\$1.687U		\$1,657.44		\$30.06	COMM CALC (Bonds @ 2.5%)
11/15/2000		5000.000	E.SPIRE COMMUNICATIONS INC	\$1.656U		\$8,130.97		\$150.03	COMM CALC (Bonds @ 2.5%)
11/15/2000		2500.000	E.SPIRE COMMUNICATIONS INC	\$1.656U		\$4,065.49		\$75.01	COMM CALC (Bonds @ 2.5%)
11/15/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$1.656U		\$3,252.38		\$60.02	COMM CALC (Bonds @ 2.5%)
11/15/2000		2000.000	E.SPIRE COMMUNICATIONS INC	\$1.656U		\$3,252.38		\$60.02	COMM CALC (Bonds @ 2.5%)
11/15/2000		5500.000	E.SPIRE COMMUNICATIONS INC	\$1.656U		\$8,944.07		\$165.03	COMM CALC (Bonds @ 2.5%)
11/15/2000		10000.000	E.SPIRE COMMUNICATIONS INC	\$1.531U		\$15,011.98		\$300.02	COMM CALC (Bonds @ 2.5%)
11/15/2000		5000.000	E.SPIRE COMMUNICATIONS INC	\$1.531U		\$7,505.99		\$150.01	COMM CALC (Bonds @ 2.5%)
11/15/2000		10000.000	E.SPIRE COMMUNICATIONS INC	\$1.531U		\$15,011.98		\$300.02	COMM CALC (Bonds @ 2.5%)
11/15/2000		20000.000	E.SPIRE COMMUNICATIONS INC	\$1.562U		\$30,648.95		\$601.05	COMM CALC (Bonds @ 2.5%)
11/15/2000		5000.000	FIRST UNION CORP	\$30.437U		\$151,427.92		\$759.58	COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
11/15/2000		2500.000	GLOBAL CROSSING LTD	\$17.437U		\$43,337.79			\$255.96 COMM CALC (Bonds @ 2.5%)
11/15/2000	6400.000		GLOBALSTAR TELECOMM	\$25.000	\$160,772.50				\$772.50 COMM CALC (Bonds @ 2.5%)
11/15/2000	4200.000		GLOBALSTAR TELECOMM	\$30.000	\$126,420.00				\$420.00 COMM CALC (Bonds @ 2.5%)
11/15/2000		1000.000	GLOBALSTAR TELECOMM	\$2.281U		\$2,246.67			\$34.53 COMM CALC (Bonds @ 2.5%)
11/15/2000		21300.000	GLOBALSTAR TELECOMM	\$2.250U		\$47,284.40			\$640.60 COMM CALC (Bonds @ 2.5%)
11/15/2000	10.000		MCI WORLDCOM INC 200101 50 150 SHS PUT						\$0.00 ASSIGNED
11/15/2000	2.000		MCI WORLDCOM INC 200101 56.625 150 SHS PUT						\$0.00 ASSIGNED
11/15/2000		4000.000	MERCK & CO	\$92.062U		\$367,433.22			\$816.78 COMM CALC (Bonds @ 2.5%)
11/15/2000		1100.000	PSINET INC	\$1.750U		\$1,887.43			\$37.57 COMM CALC (Bonds @ 2.5%)
11/15/2000		2200.000	PSINET INC	\$1.750U		\$3,783.87			\$66.13 COMM CALC (Bonds @ 2.5%)
11/15/2000		2000.000	PSINET INC	\$1.750U		\$3,439.88			\$60.12 COMM CALC (Bonds @ 2.5%)
11/15/2000		8000.000	PSINET INC	\$1.750U		\$13,759.53			\$240.47 COMM CALC (Bonds @ 2.5%)
11/15/2000		500.000	PSINET INC	\$1.750U		\$859.97			\$15.03 COMM CALC (Bonds @ 2.5%)
11/15/2000		1000.000	PSINET INC	\$1.750U		\$1,719.94			\$30.06 COMM CALC (Bonds @ 2.5%)
11/15/2000		8800.000	PSINET INC	\$1.750U		\$15,135.48			\$264.52 COMM CALC (Bonds @ 2.5%)
11/15/2000		1400.000	PSINET INC	\$1.750U		\$2,407.91			\$42.09 COMM CALC (Bonds @ 2.5%)
11/16/2000		1000.000	3COM CORP	\$14.812U		\$14,570.20			\$242.30 COMM CALC (Bonds @ 2.5%)
11/16/2000		300.000	ABGENIX INC	\$67.812U		\$20,278.57			\$65.18 COMM CALC (Bonds @ 2.5%)
11/16/2000		5000.000	AMER EXPRESS CO	\$57.500U		\$286,735.91			\$764.09 COMM CALC (Bonds @ 2.5%)
11/16/2000		5000.000	CHASE MANHATTAN CORP NEW	\$41.812U		\$208,301.03			\$761.47 COMM CALC (Bonds @ 2.5%)
11/16/2000	1500.000		GLOBALSTAR TELECOMM	\$35.000	\$52,862.88				\$362.88 COMM CALC (Bonds @ 2.5%)
11/16/2000	3.000		MCI WORLDCOM INC 200101 50 150 SHS PUT						\$0.00 ASSIGNED
11/16/2000	49.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT						\$0.00 ASSIGNED
11/16/2000		1200.000	PSINET INC	\$1.718U		\$1,990.90			\$71.66 COMM CALC (Bonds @ 2.5%)
11/16/2000	10.000		PSINET INC 200201 35 PUT						\$0.00 ASSIGNED
11/16/2000		5000.000	WALT DISNEY CO	\$30.750U		\$152,990.37			\$759.63 COMM CALC (Bonds @ 2.5%)
11/16/2000		500.000	WALT DISNEY CO HOLDING CO	\$30.500U		\$15,194.99			\$55.01 COMM CALC (Bonds @ 2.5%)
11/17/2000	1500.000		WORLDCOM INC	\$50.000	\$75,532.35				\$532.35 COMM CALC (Bonds @ 2.5%)
11/17/2000	300.000		WORLDCOM INC	\$56.625	\$17,092.98				\$105.48 COMM CALC (Bonds @ 2.5%)
11/20/2000		525.000	GLOBAL CROSSING LTD	\$15.875U		\$8,183.60			\$150.78 COMM CALC (Bonds @ 2.5%)
11/20/2000	4.000		MCI WORLDCOM INC 200101 50 150 SHS PUT						\$0.00 ASSIGNED
11/20/2000	2.000		NOVELL INC 200201 30 PUT						\$0.00 ASSIGNED

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
11/20/2000	53.000		NOVELL INC 200201 30 PUT						\$0.00 ASSIGNED
11/20/2000	1000.000		PSINET INC	\$35.000	\$35,297.59				\$297.59 COMM CALC (Bonds @ 2.5%)
11/20/2000	5.000		TERAYON COMMUNICATIONS 200011 20 CALL						\$0.00 EXPIRED
11/20/2000		242.000	VIACOM INC B NON VTG	\$55.062 U		\$13,151.63			\$173.50 COMM CALC (Bonds @ 2.5%)
11/20/2000	7350.000		WORLDCOM INC	\$53.375	\$394,204.10				\$1,897.85 COMM CALC (Bonds @ 2.5%)
11/20/2000	450.000		WORLDCOM INC	\$50.000	\$22,615.92				\$115.92 COMM CALC (Bonds @ 2.5%)
11/20/2000		1000.000	WORLDCOM INC	\$16.500 U		\$16,260.05			\$239.95 COMM CALC (Bonds @ 2.5%)
11/21/2000		2000.000	WORLDCOM INC	\$16.000 U		\$31,576.16			\$423.84 COMM CALC (Bonds @ 2.5%)
11/22/2000	5300.000		NOVELL INC	\$30.000	\$159,799.50				\$799.50 COMM CALC (Bonds @ 2.5%)
11/22/2000	200.000		NOVELL INC	\$30.000	\$6,030.00				\$30.00 COMM CALC (Bonds @ 2.5%)
11/22/2000	100.000		QUALCOMM INC 200201 100 PUT	\$29.250 U	\$293,914.39				\$1,414.39 COMM CALC (Bonds @ 2.5%)
11/22/2000	67.000		QWEST COMMUNICATIONS INTL INC 200301 55 CA	\$8.250 U	\$55,855.28				\$580.28 COMM CALC (Bonds @ 2.5%)
11/22/2000	600.000		WORLDCOM INC	\$50.000	\$30,258.66				\$258.66 COMM CALC (Bonds @ 2.5%)
11/24/2000	5.000		ABGENIX INC 200101 100 CALL	\$1.437 U	\$771.44				\$52.69 COMM CALC (Bonds @ 2.5%)
11/24/2000		5.000	ABGENIX INC 200104 80 CALL	\$7.750 U		\$3,788.85			\$86.15 COMM CALC (Bonds @ 2.5%)
11/24/2000		5000.000	COMERICA INC	\$48.675 U		\$242,612.38			\$762.62 COMM CALC (Bonds @ 2.5%)
11/24/2000		800.000	ENZON INC	\$57.125 U		\$45,533.97			\$166.03 COMM CALC (Bonds @ 2.5%)
11/24/2000		500.000	ENZON INC	\$57.125 U		\$28,461.54			\$100.96 COMM CALC (Bonds @ 2.5%)
11/24/2000		500.000	ENZON INC	\$57.125 U		\$28,461.54			\$100.96 COMM CALC (Bonds @ 2.5%)
11/24/2000		1500.000	ENZON INC	\$57.125 U		\$85,384.64			\$302.86 COMM CALC (Bonds @ 2.5%)
11/24/2000		100.000	ENZON INC	\$57.125 U		\$5,692.30			\$20.20 COMM CALC (Bonds @ 2.5%)
11/24/2000		300.000	ENZON INC	\$57.125 U		\$17,076.92			\$60.58 COMM CALC (Bonds @ 2.5%)
11/24/2000		100.000	ENZON INC	\$57.250 U		\$5,704.80			\$20.20 COMM CALC (Bonds @ 2.5%)
11/24/2000		200.000	ENZON INC	\$57.250 U		\$11,409.61			\$40.39 COMM CALC (Bonds @ 2.5%)
11/24/2000		5.000	QUALCOMM INC 200201 150 CALL	\$10.000		\$4,902.37			\$97.63 COMM CALC (Bonds @ 2.5%)
11/24/2000		6729.000	QWEST COMMUNICATIONS INTL INC	\$40.562 U		\$272,123.98			\$821.08 COMM CALC (Bonds @ 2.5%)
11/24/2000		9000.000	WORLDCOM INC	\$15.125 U		\$135,215.96			\$909.04 COMM CALC (Bonds @ 2.5%)
11/24/2000		1000.000	WORLDCOM INC	\$15.125 U		\$15,024.49			\$100.51 COMM CALC (Bonds @ 2.5%)
11/27/2000		300.000	ORACLE CORP	\$23.812 U		\$7,109.01			\$34.74 COMM CALC (Bonds @ 2.5%)
11/27/2000		3300.000	ORACLE CORP	\$23.812 U		\$78,248.63			\$332.62 COMM CALC (Bonds @ 2.5%)
11/27/2000		1000.000	ORACLE CORP	\$23.812 U		\$23,711.70			\$100.80 COMM CALC (Bonds @ 2.5%)
11/27/2000		700.000	ORACLE CORP	\$23.812 U		\$16,598.19			\$70.56 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
11/27/2000		1400.000	ORACLE CORP	\$23.812U		\$33,196.38			\$141.12 COMM CALC (Bonds @ 2.5%)
11/27/2000		400.000	ORACLE CORP	\$23.812U		\$9,484.68			\$40.32 COMM CALC (Bonds @ 2.5%)
11/27/2000		1400.000	ORACLE CORP	\$23.812U		\$33,196.38			\$141.12 COMM CALC (Bonds @ 2.5%)
11/27/2000		100.000	ORACLE CORP	\$23.875U		\$2,377.42			\$10.08 COMM CALC (Bonds @ 2.5%)
11/27/2000		300.000	ORACLE CORP	\$23.812U		\$7,113.51			\$30.24 COMM CALC (Bonds @ 2.5%)
11/27/2000		100.000	ORACLE CORP	\$23.812U		\$2,371.17			\$10.08 COMM CALC (Bonds @ 2.5%)
11/27/2000		1000.000	ORACLE CORP	\$23.812		\$23,711.70			\$100.80 COMM CALC (Bonds @ 2.5%)
11/29/2000	2.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
11/29/2000		10000.000	WORLDCOM INC	\$15.625		\$155,240.29			\$1,009.71 COMM CALC (Bonds @ 2.5%)
11/30/2000	10.000		WORLDCOM INC 200101 40 150 SHS PUT						\$0.00 ASSIGNED
12/01/2000	200.000		GLOBAL CROSSING LTD	\$35.000	\$7,054.50				\$54.50 COMM CALC (Bonds @ 2.5%)
12/01/2000	24.000		GLOBAL CROSSING LTD 200101 40 PUT						\$0.00 ASSIGNED
12/01/2000	7.000		NOVELL INC 200201 30 PUT						\$0.00 ASSIGNED
12/01/2000	5.000		QUALCOMM INC 200201 150 CALL	\$10.250	\$5,223.54				\$98.54 COMM CALC (Bonds @ 2.5%)
12/01/2000		5.000	QUALCOMM INC 200301 140 CALL	\$20.375		\$10,044.60			\$142.90 COMM CALC (Bonds @ 2.5%)
12/04/2000		5000.000	CITIGROUP INC	\$49.062U		\$244,549.82			\$762.68 COMM CALC (Bonds @ 2.5%)
12/04/2000		500.000	GLOBAL CROSSING LTD	\$12.437U		\$6,097.71			\$121.04 COMM CALC (Bonds @ 2.5%)
12/04/2000		300.000	QUALCOMM INC	\$80.500U		\$23,942.23			\$207.77 COMM CALC (Bonds @ 2.5%)
12/04/2000		5000.000	VIACOM INC B NON VTG	\$50.937U		\$253,924.51			\$762.99 COMM CALC (Bonds @ 2.5%)
12/04/2000		300.000	VIACOM INC B NON VTG	\$51.000U		\$15,112.26			\$187.74 COMM CALC (Bonds @ 2.5%)
12/04/2000		700.000	WORLDCOM INC	\$15.812U		\$10,993.88			\$74.87 COMM CALC (Bonds @ 2.5%)
12/04/2000		3600.000	WORLDCOM INC	\$15.812U		\$56,563.10			\$361.90 COMM CALC (Bonds @ 2.5%)
12/04/2000		500.000	WORLDCOM INC	\$15.875U		\$7,887.23			\$50.27 COMM CALC (Bonds @ 2.5%)
12/04/2000		200.000	WORLDCOM INC	\$15.812U		\$3,142.39			\$20.11 COMM CALC (Bonds @ 2.5%)
12/04/2000		1500.000	WORLDCOM INC	\$15.812U		\$23,567.95			\$150.80 COMM CALC (Bonds @ 2.5%)
12/04/2000		1500.000	WORLDCOM INC	\$15.812U		\$23,567.95			\$150.80 COMM CALC (Bonds @ 2.5%)
12/04/2000		2000.000	WORLDCOM INC	\$15.875U		\$31,548.94			\$201.06 COMM CALC (Bonds @ 2.5%)
12/04/2000		10000.000	WORLDCOM INC	\$15.750U		\$156,494.75			\$1,005.25 COMM CALC (Bonds @ 2.5%)
12/04/2000	1500.000		WORLDCOM INC	\$40.000	\$60,154.50				\$154.50 COMM CALC (Bonds @ 2.5%)
12/05/2000		300.000	AMERICA ONLINE INC	\$41.060U		\$12,183.23			\$134.77 COMM CALC (Bonds @ 2.5%)
12/05/2000	2400.000		GLOBAL CROSSING LTD	\$40.000	\$96,526.33				\$526.33 COMM CALC (Bonds @ 2.5%)
12/05/2000	15.000		GLOBAL CROSSING LTD 200201 35 PUT	\$22.875	\$34,889.52				\$577.02 COMM CALC (Bonds @ 2.5%)
12/05/2000	700.000		NOVELL INC	\$30.000	\$21,213.77				\$213.77 COMM CALC (Bonds @ 2.5%)



## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
12/05/2000		1500.000	WORLDCOM INC	\$14.937U		\$22,251.00			\$155.25 COMM CALC (Bonds @ 2.5%)
12/06/2000	19.000		GLOBAL CROSSING LTD 200101 40 PUT						\$0.00 ASSIGNED
12/08/2000	1900.000		GLOBAL CROSSING LTD	\$40.000	\$76,289.50				\$289.50 COMM CALC (Bonds @ 2.5%)
12/08/2000	1.000		GLOBAL CROSSING LTD 200101 40 PUT						\$0.00 ASSIGNED
12/08/2000	43.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT						\$0.00 ASSIGNED
12/08/2000	5000.000	RECV	MSH ENTERTAINMENT CORP		\$125.00				\$0.00 VALUED PER STMT TRANS;
12/08/2000	3600.000		ORACLE CORP	\$30.562U	\$110,569.50				\$544.50 COMM CALC (Bonds @ 2.5%)
12/08/2000	1400.000		ORACLE CORP	\$30.500U	\$42,910.00				\$210.00 COMM CALC (Bonds @ 2.5%)
12/08/2000	2000.000	RECV	PSINET INC		\$1,938.00				\$0.00 VALUED PER STMT TRANS;
12/08/2000	2000.000	RECV	WORLDCOM INC		\$30,376.00				\$0.00 VALUED PER STMT TRANS;
12/11/2000	100.000		QUALCOMM INC 200301 100 CALL	\$44.125U	\$443,323.44				\$2,073.44 COMM CALC (Bonds @ 2.5%)
12/11/2000		100.000	QUALCOMM INC 200301 130 CALL	\$35.000U		\$348,240.55			\$1,759.45 COMM CALC (Bonds @ 2.5%)
12/12/2000	100.000		GLOBAL CROSSING LTD	\$40.000	\$4,039.49				\$39.49 COMM CALC (Bonds @ 2.5%)
12/12/2000	6450.000		WORLDCOM INC	\$53.375	\$345,240.75				\$972.00 COMM CALC (Bonds @ 2.5%)
12/13/2000	16.000		GLOBALSTAR TELECOMM 200201 15 PUT						\$0.00 ASSIGNED
12/14/2000	5.000		GLOBAL CROSSING LTD 200101 40 PUT						\$0.00 ASSIGNED
12/14/2000	11.000		GLOBAL CROSSING LTD 200101 40 PUT						\$0.00 ASSIGNED
12/15/2000	1600.000		GLOBALSTAR TELECOMM	\$15.000	\$24,325.06				\$325.06 COMM CALC (Bonds @ 2.5%)
12/18/2000	500.000		GLOBAL CROSSING LTD	\$40.000	\$20,140.75				\$140.75 COMM CALC (Bonds @ 2.5%)
12/18/2000	1100.000		GLOBAL CROSSING LTD	\$40.000	\$44,299.76				\$299.76 COMM CALC (Bonds @ 2.5%)
12/18/2000	20.000		NOVELL INC 200201 30 PUT						\$0.00 ASSIGNED
12/20/2000	6.000		GLOBAL CROSSING LTD 200101 40 PUT						\$0.00 ASSIGNED
12/20/2000	2000.000		NOVELL INC	\$30.000	\$60,484.04				\$484.04 COMM CALC (Bonds @ 2.5%)
12/21/2000	30.000		INTL BUSINESS MACH 200301 160 CALL	\$6.000	\$18,308.64				\$308.64 COMM CALC (Bonds @ 2.5%)
12/22/2000	600.000		GLOBAL CROSSING LTD	\$40.000	\$24,453.32				\$453.32 COMM CALC (Bonds @ 2.5%)
12/22/2000	3.000		GLOBAL CROSSING LTD 200101 40 PUT						\$0.00 ASSIGNED
12/26/2000		6200.000	GLOBAL CROSSING LTD	\$13.625U		\$83,723.68			\$751.32 COMM CALC (Bonds @ 2.5%)
12/26/2000		3000.000	INTL BUSINESS MACH	\$85.500U		\$256,186.95			\$313.05 COMM CALC (Bonds @ 2.5%)
12/26/2000		5000.000	ORACLE CORP	\$28.875U		\$143,615.68			\$759.32 COMM CALC (Bonds @ 2.5%)
12/27/2000	300.000		GLOBAL CROSSING LTD	\$40.000	\$12,259.73				\$259.73 COMM CALC (Bonds @ 2.5%)
12/27/2000		10000.000	WORLDCOM INC	\$15.000U		\$149,240.50			\$759.50 COMM CALC (Bonds @ 2.5%)
12/27/2000		200.000	WORLDCOM INC	\$15.000U		\$2,984.90			\$15.10 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
12/27/2000		100.000	WORLDCOM INC	\$15.000U		\$1,492.45		\$0.00	
12/27/2000		600.000	WORLDCOM INC	\$15.000U		\$8,954.70		\$45.30	COMM CALC (Bonds @ 2.5%)
12/28/2000	6.000		GLOBAL CROSSING LTD 200101 40 PUT					\$0.00	ASSIGNED
12/29/2000		500.000	ATRIX LABS INC	\$16.062U		\$7,806.46		\$224.79	COMM CALC (Bonds @ 2.5%)
12/29/2000		500.000	INFOSPACE INC	\$6.562U		\$3,125.17		\$156.08	COMM CALC (Bonds @ 2.5%)
12/29/2000	500.000		KVH INDUSTRIES INC	\$5.562U	\$2,924.81			\$143.56	COMM CALC (Bonds @ 2.5%)
12/29/2000	5.000		NOVELL INC 200201 30 PUT					\$0.00	ASSIGNED
12/29/2000		500.000	TERAYON COMMUNICATIONS SYS	\$3.843U		\$1,817.31		\$104.59	COMM CALC (Bonds @ 2.5%)
12/29/2000	300.000		WIND RIVER SYSTEM INC	\$34.250U	\$10,510.49			\$235.49	COMM CALC (Bonds @ 2.5%)
01/02/2001	600.000		GLOBAL CROSSING LTD	\$40.000	\$24,453.32			\$453.32	COMM CALC (Bonds @ 2.5%)
01/03/2001	22.000		AT&T CORP 200201 50 PUT					\$0.00	ASSIGNED
01/03/2001		5000.000	CHASE MANHATTAN CORP NEW					\$0.00	JP MORGAN CHASE & CO
01/03/2001	5000.000		JP MORGAN CHASE & CO					\$0.00	CHASE MANHATTAN CORP
01/03/2001	500.000		NOVELL INC	\$30.000	\$15,326.79			\$326.79	COMM CALC (Bonds @ 2.5%)
01/04/2001		6000.000	MERCK & CO	\$93.500U		\$560,076.80		\$923.20	COMM CALC (Bonds @ 2.5%)
01/08/2001	2200.000		AT&T CORP	\$50.000	\$110,615.98			\$615.98	COMM CALC (Bonds @ 2.5%)
01/10/2001	32.000		GLOBALSTAR TELECOMM 200201 35 PUT					\$0.00	ASSIGNED
01/10/2001	2.000		NOVELL INC 200201 30 PUT					\$0.00	ASSIGNED
01/10/2001	7.000		PSINET INC 200201 35 PUT					\$0.00	ASSIGNED
01/11/2001	300.000		WORLDCOM INC 200301 20 CALL	\$8.625	\$260,696.39			\$1,946.39	COMM CALC (Bonds @ 2.5%)
01/11/2001		300.000	WORLDCOM INC 200301 35 CALL	\$4.500U		\$133,453.97		\$1,546.03	COMM CALC (Bonds @ 2.5%)
01/12/2001	3200.000		GLOBALSTAR TELECOMM	\$35.000	\$112,664.16			\$664.16	COMM CALC (Bonds @ 2.5%)
01/12/2001	6.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT					\$0.00	ASSIGNED
01/12/2001	200.000		NOVELL INC	\$30.000	\$6,088.93			\$88.93	COMM CALC (Bonds @ 2.5%)
01/12/2001	700.000		PSINET INC	\$35.000	\$24,759.68			\$259.68	COMM CALC (Bonds @ 2.5%)
01/12/2001	400.000		WORLDCOM INC 200301 20 CALL	\$9.250U	\$372,631.55			\$2,631.55	COMM CALC (Bonds @ 2.5%)
01/12/2001		400.000	WORLDCOM INC 200301 35 CALL	\$5.000U		\$197,917.94		\$2,082.06	COMM CALC (Bonds @ 2.5%)
01/16/2001		500.000	AMERICA ONLINE INC					\$0.00	AOL TIME WARNER
01/16/2001	500.000		AOL TIME WARNER INC					\$0.00	AMERICA ONLINE INC
01/17/2001	50.000		GLOBALSTAR TELECOMM 200201 25 PUT					\$0.00	ASSIGNED
01/17/2001	186.000		GLOBALSTAR TELECOMM 200201 25 PUT					\$0.00	ASSIGNED
01/17/2001	900.000		WORLDCOM INC	\$53.375	\$48,401.87			\$364.37	COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
01/18/2001	62.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
01/18/2001	105.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
01/19/2001	5000.000		GLOBALSTAR TELECOMM	\$25.000	\$125,504.50				\$504.50 COMM CALC (Bonds @ 2.5%)
01/19/2001	18600.000		GLOBALSTAR TELECOMM	\$25.000	\$466,860.00				\$1,860.00 COMM CALC (Bonds @ 2.5%)
01/19/2001	500.000		KVH INDUSTRIES INC	\$9.125 U	\$4,677.37				\$114.87 COMM CALC (Bonds @ 2.5%)
01/22/2001	6200.000		GLOBALSTAR TELECOMM	\$30.000	\$186,624.50				\$624.50 COMM CALC (Bonds @ 2.5%)
01/22/2001	10500.000		GLOBALSTAR TELECOMM	\$30.000	\$316,050.00				\$1,050.00 COMM CALC (Bonds @ 2.5%)
01/22/2001	2.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
01/22/2001	500.000		LONDON PAC GROUP LTD SPON ADR	\$10.000 U	\$5,119.79				\$119.79 COMM CALC (Bonds @ 2.5%)
01/22/2001	500.000		LONDON PAC GROUP LTD SPON ADR	\$10.000 U	\$5,119.79				\$119.79 COMM CALC (Bonds @ 2.5%)
01/22/2001	2.000		MCI WORLDCOM INC 200101 50 150 SHS PUT						\$0.00 ASSIGNED
01/23/2001	48.000		GLOBALSTAR TELECOMM 200201 25 PUT						\$0.00 ASSIGNED
01/23/2001	18.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
01/23/2001	8.000		GLOBALSTAR TELECOMM 200201 35 PUT						\$0.00 ASSIGNED
01/23/2001	19.000		GLOBALSTAR TELECOMM 200201 35 PUT						\$0.00 ASSIGNED
01/24/2001	200.000		GLOBALSTAR TELECOMM	\$30.000	\$6,081.26				\$81.26 COMM CALC (Bonds @ 2.5%)
01/24/2001	149.000		GLOBALSTAR TELECOMM 200201 25 PUT						\$0.00 ASSIGNED
01/24/2001	30.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
01/24/2001	21.000		GLOBALSTAR TELECOMM 200201 35 PUT						\$0.00 ASSIGNED
01/24/2001	54.000		GLOBALSTAR TELECOMM 200201 35 PUT						\$0.00 ASSIGNED
01/24/2001	300.000		WORLDCOM INC	\$50.000	\$15,154.83				\$154.83 COMM CALC (Bonds @ 2.5%)
01/25/2001	4800.000		GLOBALSTAR TELECOMM	\$25.000	\$120,768.00				\$768.00 COMM CALC (Bonds @ 2.5%)
01/25/2001	1800.000		GLOBALSTAR TELECOMM	\$30.000	\$54,292.50				\$292.50 COMM CALC (Bonds @ 2.5%)
01/25/2001	1900.000		GLOBALSTAR TELECOMM	\$35.000	\$66,804.00				\$304.00 COMM CALC (Bonds @ 2.5%)
01/25/2001	800.000		GLOBALSTAR TELECOMM	\$35.000	\$28,128.00				\$128.00 COMM CALC (Bonds @ 2.5%)
01/26/2001	300.000		AMER SUPERCONDUCTOR CORP	\$24.937 U	\$7,580.24				\$98.99 COMM CALC (Bonds @ 2.5%)
01/26/2001	14900.000		GLOBALSTAR TELECOMM	\$25.000	\$373,994.50				\$1,494.50 COMM CALC (Bonds @ 2.5%)
01/26/2001	3000.000		GLOBALSTAR TELECOMM	\$30.000	\$90,300.00				\$300.00 COMM CALC (Bonds @ 2.5%)
01/26/2001	2100.000		GLOBALSTAR TELECOMM	\$35.000	\$73,710.00				\$210.00 COMM CALC (Bonds @ 2.5%)
01/26/2001	5400.000		GLOBALSTAR TELECOMM	\$35.000	\$189,810.00				\$810.00 COMM CALC (Bonds @ 2.5%)
01/29/2001		100.000	ABGENIX INC	\$51.500 U		\$5,089.88			\$60.12 COMM CALC (Bonds @ 2.5%)
01/29/2001	300.000		FOUNDRY NETWORKS INC	\$20.937 U	\$6,372.93				\$91.68 COMM CALC (Bonds @ 2.5%)
01/29/2001	500.000		XCELERA INC	\$11.750 U	\$5,973.66				\$98.66 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
01/31/2001	23.000		GLOBALSTAR TELECOMM 200201 25 PUT						\$0.00 ASSIGNED
01/31/2001	25.000		GLOBALSTAR TELECOMM 200201 25 PUT						\$0.00 ASSIGNED
01/31/2001	17.000		GLOBALSTAR TELECOMM 200201 35 PUT						\$0.00 ASSIGNED
01/31/2001	24.000		GLOBALSTAR TELECOMM 200201 35 PUT						\$0.00 ASSIGNED
02/01/2001		10.000	FOUNDRY NETWORKS INC 200103 17.5 PUT	\$1.250 U		\$1,162.71			\$87.29 COMM CALC (Bonds @ 2.5%)
02/01/2001	2.000		GLOBALSTAR TELECOMM 200201 25 PUT						\$0.00 ASSIGNED
02/01/2001	6.000		GLOBALSTAR TELECOMM 200201 25 PUT						\$0.00 ASSIGNED
02/01/2001	4.000		GLOBALSTAR TELECOMM 200201 35 PUT						\$0.00 ASSIGNED
02/02/2001		10.000	AMER SUPERCONDUCTOR CORP 200103 17.5 PUT	\$1.375 U		\$1,286.19			\$88.81 COMM CALC (Bonds @ 2.5%)
02/02/2001	2300.000		GLOBALSTAR TELECOMM	\$25.000	\$57,864.45				\$364.45 COMM CALC (Bonds @ 2.5%)
02/02/2001	2500.000		GLOBALSTAR TELECOMM	\$25.000	\$62,891.25				\$391.25 COMM CALC (Bonds @ 2.5%)
02/02/2001	1700.000		GLOBALSTAR TELECOMM	\$35.000	\$59,766.05				\$266.05 COMM CALC (Bonds @ 2.5%)
02/02/2001	2400.000		GLOBALSTAR TELECOMM	\$35.000	\$84,375.38				\$375.38 COMM CALC (Bonds @ 2.5%)
02/02/2001	2.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
02/02/2001		10.000	POWER ONE INC 200103 35 PUT	\$2.000 U		\$1,903.55			\$96.45 COMM CALC (Bonds @ 2.5%)
02/05/2001	200.000		GLOBALSTAR TELECOMM	\$25.000	\$5,059.62				\$59.62 COMM CALC (Bonds @ 2.5%)
02/05/2001	600.000		GLOBALSTAR TELECOMM	\$25.000	\$15,165.36				\$165.36 COMM CALC (Bonds @ 2.5%)
02/05/2001	400.000		GLOBALSTAR TELECOMM	\$35.000	\$14,110.24				\$110.24 COMM CALC (Bonds @ 2.5%)
02/06/2001	25.000		AT&T CORP 200201 50 PUT						\$0.00 ASSIGNED
02/06/2001	200.000		GLOBALSTAR TELECOMM	\$30.000	\$6,158.01				\$158.01 COMM CALC (Bonds @ 2.5%)
02/07/2001	10.000		GLOBALSTAR TELECOMM 200201 25 PUT						\$0.00 ASSIGNED
02/07/2001	10.000		GLOBALSTAR TELECOMM 200201 25 PUT						\$0.00 ASSIGNED
02/07/2001	6.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
02/07/2001	2.000		GLOBALSTAR TELECOMM 200201 35 PUT						\$0.00 ASSIGNED
02/08/2001	2500.000		AT&T CORP	\$50.000	\$125,738.73				\$738.73 COMM CALC (Bonds @ 2.5%)
02/09/2001	1000.000		GLOBALSTAR TELECOMM	\$25.000	\$25,190.90				\$190.90 COMM CALC (Bonds @ 2.5%)
02/09/2001	1000.000		GLOBALSTAR TELECOMM	\$25.000	\$25,186.40				\$186.40 COMM CALC (Bonds @ 2.5%)
02/09/2001	600.000		GLOBALSTAR TELECOMM	\$30.000	\$18,111.84				\$111.84 COMM CALC (Bonds @ 2.5%)
02/09/2001	200.000		GLOBALSTAR TELECOMM	\$35.000	\$7,037.32				\$37.32 COMM CALC (Bonds @ 2.5%)
02/12/2001	10.000		AMER SUPERCONDUCTOR CORP 200103 17.5 PUT	\$2.500 U	\$2,602.49				\$102.49 COMM CALC (Bonds @ 2.5%)
02/12/2001		10.000	AMER SUPERCONDUCTOR CORP 200107 15 PUT	\$2.625 U		\$2,521.37			\$103.63 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
02/12/2001	10.000		FOUNDRY NETWORKS INC 200103 17.5 PUT	\$3.750U	\$3,863.03				\$113.03 COMM CALC (Bonds @ 2.5%)
02/12/2001		10.000	FOUNDRY NETWORKS INC 200109 15 PUT	\$4.750U		\$4,628.38			\$121.62 COMM CALC (Bonds @ 2.5%)
02/13/2001	5.000		POWER ONE INC 200103 35 PUT	\$10.250U	\$5,223.54				\$98.54 COMM CALC (Bonds @ 2.5%)
02/14/2001		300.000	AMER SUPERCONDUCTOR CORP	\$16.750U		\$4,941.70			\$83.30 COMM CALC (Bonds @ 2.5%)
02/14/2001		300.000	ENERGY CONVERSION DEVICES	\$23.625U		\$6,991.24			\$96.26 COMM CALC (Bonds @ 2.5%)
02/14/2001		300.000	FOUNDRY NETWORKS INC	\$16.062U		\$4,737.24			\$81.51 COMM CALC (Bonds @ 2.5%)
02/14/2001	2.000		GLOBALSTAR TELECOMM 200201 25 PUT						\$0.00 ASSIGNED
02/14/2001	10.000		GLOBALSTAR TELECOMM 200201 30 PUT						\$0.00 ASSIGNED
02/14/2001	13.000		MCI WORLDCOM INC 200201 53.375 150 SHS PUT						\$0.00 ASSIGNED
02/14/2001		500.000	XCELERA INC	\$6.750U		\$3,293.73			\$81.27 COMM CALC (Bonds @ 2.5%)
02/15/2001		500.000	KVH INDUSTRIES INC	\$7.750U		\$3,820.37			\$54.63 COMM CALC (Bonds @ 2.5%)
02/15/2001		500.000	LONDON PAC GROUP LTD SPON ADR	\$9.620U		\$4,755.33			\$54.67 COMM CALC (Bonds @ 2.5%)
02/16/2001	200.000		GLOBALSTAR TELECOMM	\$25.000	\$5,104.72				\$104.72 COMM CALC (Bonds @ 2.5%)
02/16/2001	1000.000		GLOBALSTAR TELECOMM	\$30.000	\$30,501.09				\$501.09 COMM CALC (Bonds @ 2.5%)
02/16/2001	1950.000		WORLDCOM INC	\$53.375	\$105,139.61				\$1,058.36 COMM CALC (Bonds @ 2.5%)
02/20/2001	25.000		AT&T CORP 200201 50 PUT						\$0.00 ASSIGNED
02/20/2001	5.000		NOVELL INC 200201 30 PUT						\$0.00 ASSIGNED
02/22/2001	2500.000		AT&T CORP	\$50.000	\$125,129.50				\$129.50 COMM CALC (Bonds @ 2.5%)
02/22/2001	500.000		NOVELL INC	\$30.000	\$15,181.76				\$181.76 COMM CALC (Bonds @ 2.5%)
02/26/2001	5.000		POWER ONE INC 200103 35 PUT	\$16.250U	\$8,229.61				\$104.61 COMM CALC (Bonds @ 2.5%)
02/26/2001	100.000		QUALCOMM INC 200301 130 CALL	\$8.000	\$80,784.16				\$784.16 COMM CALC (Bonds @ 2.5%)
02/26/2001	5.000		QUALCOMM INC 200301 140 CALL	\$6.500U	\$3,343.46				\$93.46 COMM CALC (Bonds @ 2.5%)
02/27/2001		7200.000	AT&T CORP	\$20.300U		\$145,070.62			\$1,089.38 COMM CALC (Bonds @ 2.5%)
02/27/2001	5.000		FOUNDRY NETWORKS INC 200109 15 PUT	\$5.625U	\$2,937.92				\$125.42 COMM CALC (Bonds @ 2.5%)
02/27/2001		10.000	GLOBAL CROSSING LTD 200201 10 PUT	\$1.550U		\$1,459.05			\$90.95 COMM CALC (Bonds @ 2.5%)
02/27/2001	23.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
02/27/2001		5350.000	WORLDCOM INC	\$15.687U		\$83,385.83			\$542.30 COMM CALC (Bonds @ 2.5%)
02/27/2001		600.000	WORLDCOM INC	\$15.687U		\$9,352.18			\$60.32 COMM CALC (Bonds @ 2.5%)
02/27/2001		1000.000	WORLDCOM INC	\$15.687U		\$15,586.97			\$100.53 COMM CALC (Bonds @ 2.5%)
02/27/2001		600.000	WORLDCOM INC	\$15.687U		\$9,352.18			\$60.32 COMM CALC (Bonds @ 2.5%)
02/27/2001		1000.000	WORLDCOM INC	\$15.687U		\$15,586.97			\$100.53 COMM CALC (Bonds @ 2.5%)
02/27/2001		1000.000	WORLDCOM INC	\$15.687U		\$15,586.97			\$100.53 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
02/27/2001		2000.000	WORLDCOM INC	\$16.375 U		\$32,548.90		\$201.10	COMM CALC (Bonds @ 2.5%)
02/27/2001		1000.000	WORLDCOM INC	\$16.375 U		\$16,274.45		\$100.55	COMM CALC (Bonds @ 2.5%)
02/27/2001		1000.000	WORLDCOM INC	\$16.375 U		\$16,274.45		\$100.55	COMM CALC (Bonds @ 2.5%)
02/27/2001		1000.000	WORLDCOM INC	\$16.375 U		\$16,274.45		\$100.55	COMM CALC (Bonds @ 2.5%)
02/27/2001		1000.000	WORLDCOM INC	\$16.375 U		\$16,274.45		\$100.55	COMM CALC (Bonds @ 2.5%)
02/27/2001		1000.000	WORLDCOM INC	\$16.375		\$16,274.45		\$100.55	COMM CALC (Bonds @ 2.5%)
02/27/2001		1000.000	WORLDCOM INC	\$16.375 U		\$16,274.45		\$100.55	COMM CALC (Bonds @ 2.5%)
02/27/2001		1000.000	WORLDCOM INC	\$16.375 U		\$16,274.45		\$100.55	COMM CALC (Bonds @ 2.5%)
02/27/2001		1000.000	WORLDCOM INC	\$16.375 U		\$16,274.45		\$100.55	COMM CALC (Bonds @ 2.5%)
02/28/2001		300.000	CITIGROUP INC	\$47.660 U		\$14,120.50		\$177.50	COMM CALC (Bonds @ 2.5%)
02/28/2001		500.000	GLOBAL CROSSING LTD	\$15.150 U		\$7,442.37		\$132.63	COMM CALC (Bonds @ 2.5%)
02/28/2001		500.000	LONDON PAC GROUP LTD SPON ADR	\$7.850 U		\$3,808.32		\$116.68	COMM CALC (Bonds @ 2.5%)
02/28/2001		500.000	PALM INC	\$21.625 U		\$10,625.51		\$186.99	COMM CALC (Bonds @ 2.5%)
02/28/2001		500.000	QUALCOMM INC	\$55.750 U		\$27,794.57		\$80.43	COMM CALC (Bonds @ 2.5%)
02/28/2001		4100.000	QUALCOMM INC	\$55.000 U		\$224,877.48		\$622.52	COMM CALC (Bonds @ 2.5%)
02/28/2001		400.000	QUALCOMM INC	\$55.000 U		\$21,939.26		\$60.74	COMM CALC (Bonds @ 2.5%)
02/28/2001		2500.000	STAR TELECOMMUNICATIONS	\$0.312 U		\$718.37		\$62.88	COMM CALC (Bonds @ 2.5%)
02/28/2001		500.000	STAR TELECOMMUNICATIONS	\$0.312 U		\$144.59		\$11.66	COMM CALC (Bonds @ 2.5%)
02/28/2001		300.000	WIND RIVER SYSTEM INC	\$25.625 U		\$7,572.28		\$115.22	COMM CALC (Bonds @ 2.5%)
02/28/2001		300.000	WIND RIVER SYSTEMS INC	\$25.875 U		\$7,653.33		\$109.17	COMM CALC (Bonds @ 2.5%)
02/28/2001		200.000	WIND RIVER SYSTEMS INC	\$25.875 U		\$5,105.20		\$69.80	COMM CALC (Bonds @ 2.5%)
02/28/2001		1000.000	WORLDCOM INC	\$16.437 U		\$16,198.04		\$239.46	COMM CALC (Bonds @ 2.5%)
03/01/2001		500.000	ATRIX LABS INC	\$20.250 U		\$10,070.16		\$54.84	COMM CALC (Bonds @ 2.5%)
03/01/2001	2300.000		GLOBAL CROSSING LTD	\$35.000	\$81,070.83			\$570.83	COMM CALC (Bonds @ 2.5%)
03/01/2001	50.000		INTL BUSINESS MACH 200301 160 CALL	\$7.600 U	\$38,485.02			\$485.02	COMM CALC (Bonds @ 2.5%)
03/01/2001		1000.000	PSINET INC	\$1.468 U		\$1,374.20		\$94.60	COMM CALC (Bonds @ 2.5%)
03/02/2001		5460.000	KIMBERLY CLARK CORP	\$71.000 U		\$386,823.57		\$836.43	COMM CALC (Bonds @ 2.5%)
03/02/2001		1000.000	QUALCOMM INC	\$56.062 U		\$55,956.13		\$106.37	COMM CALC (Bonds @ 2.5%)
03/05/2001	2.000		GLOBALSTAR TELECOMM 200201 15 PUT					\$0.00	ASSIGNED
03/05/2001		5000.000	INTL BUSINESS MACH	\$99.738 U		\$497,920.87		\$771.13	COMM CALC (Bonds @ 2.5%)
03/05/2001		4000.000	QUALCOMM INC	\$53.937 U		\$214,938.30		\$811.70	COMM CALC (Bonds @ 2.5%)
03/06/2001		500.000	WORLDCOM INC	\$15.500 U		\$7,670.24		\$79.76	COMM CALC (Bonds @ 2.5%)
03/06/2001		300.000	WORLDCOM INC	\$15.625 U		\$4,642.34		\$45.16	COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
03/06/2001		2000.000	WORLDCOM INC	\$15.500U		\$30,698.96		\$301.04	COMM CALC (Bonds @ 2.5%)
03/06/2001		1000.000	WORLDCOM INC	\$15.500U		\$15,349.48		\$150.52	COMM CALC (Bonds @ 2.5%)
03/06/2001		1000.000	WORLDCOM INC	\$15.500U		\$15,349.48		\$150.52	COMM CALC (Bonds @ 2.5%)
03/06/2001		1000.000	WORLDCOM INC	\$15.500U		\$15,349.48		\$150.52	COMM CALC (Bonds @ 2.5%)
03/06/2001		900.000	WORLDCOM INC	\$15.500U		\$13,814.53		\$135.47	COMM CALC (Bonds @ 2.5%)
03/06/2001		1000.000	WORLDCOM INC	\$15.500U		\$15,349.48		\$150.52	COMM CALC (Bonds @ 2.5%)
03/06/2001		400.000	WORLDCOM INC	\$15.500U		\$6,139.79		\$60.21	COMM CALC (Bonds @ 2.5%)
03/06/2001		1000.000	WORLDCOM INC	\$15.500U		\$15,349.48		\$150.52	COMM CALC (Bonds @ 2.5%)
03/06/2001		3200.000	WORLDCOM INC	\$15.500U		\$49,118.34		\$481.66	COMM CALC (Bonds @ 2.5%)
03/06/2001		7700.000	WORLDCOM INC	\$15.500U		\$118,191.02		\$1,158.98	COMM CALC (Bonds @ 2.5%)
03/07/2001		5.000	GENESYS INC 200110 12.5 PUT	\$1.875U		\$882.10		\$55.40	COMM CALC (Bonds @ 2.5%)
03/07/2001	200.000		GLOBALSTAR TELECOMM	\$15.000	\$3,113.24			\$113.24	COMM CALC (Bonds @ 2.5%)
03/07/2001	300.000		GLOBALSTAR TELECOMM 200201 15 PUT					\$0.00	ASSIGNED
03/07/2001	4.000		GLOBALSTAR TELECOMM 200201 35 PUT					\$0.00	ASSIGNED
03/08/2001		5.000	NEWFOCUS INC 200110 20 CALL	\$7.000U		\$3,417.03		\$82.97	COMM CALC (Bonds @ 2.5%)
03/09/2001	30000.000		GLOBALSTAR TELECOMM	\$15.000	\$451,804.50			\$1,804.50	COMM CALC (Bonds @ 2.5%)
03/09/2001	400.000		GLOBALSTAR TELECOMM	\$35.000	\$14,024.00			\$24.00	COMM CALC (Bonds @ 2.5%)
03/09/2001	300.000		NEWFOCUS INC	\$19.125U	\$5,887.93			\$150.43	COMM CALC (Bonds @ 2.5%)
03/09/2001	200.000		NEWFOCUS INC	\$19.125U	\$3,922.28			\$97.28	COMM CALC (Bonds @ 2.5%)
03/14/2001	24.000		GLOBALSTAR TELECOMM 200201 15 PUT					\$0.00	ASSIGNED
03/14/2001	9.000		WORLDCOM INC 200201 43.375 150 SHS PUT					\$0.00	ASSIGNED
03/15/2001	5.000		ABGENIX INC 200104 80 CALL	\$0.062U	\$46.57			\$15.32	COMM CALC (Bonds @ 2.5%)
03/15/2001		5.000	ABGENIX INC 200110 45 CALL	\$2.062U		\$974.71		\$56.54	COMM CALC (Bonds @ 2.5%)
03/15/2001		5000.000	CITIGROUP INC	\$46.600U		\$231,240.64		\$1,759.36	COMM CALC (Bonds @ 2.5%)
03/16/2001	2400.000		GLOBALSTAR TELECOMM	\$15.000	\$36,388.76			\$388.76	COMM CALC (Bonds @ 2.5%)
03/16/2001	1350.000		WORLDCOM INC	\$43.375U	\$59,003.34			\$447.09	COMM CALC (Bonds @ 2.5%)
03/19/2001		850.000	WORLDCOM INC	\$16.500U		\$13,806.38		\$218.62	COMM CALC (Bonds @ 2.5%)
03/23/2001		5000.000	AMER EXPRESS CO	\$37.210U		\$185,289.29		\$760.71	COMM CALC (Bonds @ 2.5%)
03/23/2001		5000.000	CITIGROUP INC	\$44.100U		\$219,738.15		\$761.85	COMM CALC (Bonds @ 2.5%)
03/23/2001		100.000	CITIGROUP INC	\$44.200U		\$4,304.78		\$115.22	COMM CALC (Bonds @ 2.5%)
03/23/2001		2000.000	GLOBALSTAR TELECOMM	\$0.687U		\$1,350.45		\$24.55	COMM CALC (Bonds @ 2.5%)
03/23/2001		2000.000	GLOBALSTAR TELECOMM	\$0.687U		\$1,354.95		\$20.05	COMM CALC (Bonds @ 2.5%)
03/23/2001		6000.000	GLOBALSTAR TELECOMM	\$0.656U		\$3,877.36		\$59.84	COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
03/23/2001		3800.000	GLOBALSTAR TELECOMM	\$0.656U		\$2,455.66		\$37.90	COMM CALC (Bonds @ 2.5%)
03/23/2001		300.000	GLOBALSTAR TELECOMM	\$0.656U		\$193.87		\$0.00	
03/23/2001		700.000	GLOBALSTAR TELECOMM	\$0.656U		\$452.36		\$0.00	
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$0.656U		\$646.22		\$0.00	
03/23/2001		100.000	GLOBALSTAR TELECOMM	\$0.656U		\$64.62		\$0.00	
03/23/2001		100.000	GLOBALSTAR TELECOMM	\$0.656U		\$64.62		\$0.00	
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$0.656U		\$646.22		\$0.00	
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$0.656U		\$646.22		\$0.00	
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$0.656U		\$646.22		\$0.00	
03/23/2001		300.000	GLOBALSTAR TELECOMM	\$0.656U		\$193.87		\$0.00	
03/23/2001		400.000	GLOBALSTAR TELECOMM	\$0.656U		\$258.49		\$0.00	
03/23/2001		300.000	GLOBALSTAR TELECOMM	\$0.656U		\$193.87		\$0.00	
03/23/2001		1100.000	GLOBALSTAR TELECOMM	\$0.656U		\$710.85		\$10.97	COMM CALC (Bonds @ 2.5%)
03/23/2001		4700.000	GLOBALSTAR TELECOMM	\$0.656U		\$3,037.27		\$46.87	COMM CALC (Bonds @ 2.5%)
03/23/2001		100.000	GLOBALSTAR TELECOMM	\$0.656U		\$64.62		\$0.00	
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$0.656U		\$646.22		\$0.00	
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$0.656U		\$646.22		\$0.00	
03/23/2001		100.000	GLOBALSTAR TELECOMM	\$0.656U		\$64.62		\$0.00	
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$0.656U		\$646.22		\$0.00	
03/23/2001		1000.000	GLOBALSTAR TELECOMM	\$0.656U		\$646.22		\$0.00	
03/23/2001		2000.000	PSINET INC	\$0.187U		\$328.84		\$46.16	COMM CALC (Bonds @ 2.5%)
03/23/2001		650.000	WORLDCOM INC	\$17.937U		\$11,484.76		\$174.62	COMM CALC (Bonds @ 2.5%)
03/27/2001	12.000		GLOBALSTAR TELECOMM 200201 15 PUT					\$0.00	ASSIGNED
03/28/2001	25.000		GLOBALSTAR TELECOMM 200201 15 PUT					\$0.00	ASSIGNED
03/29/2001	1200.000		GLOBALSTAR TELECOMM	\$15.000	\$18,238.86			\$238.86	COMM CALC (Bonds @ 2.5%)
03/30/2001	2500.000		GLOBALSTAR TELECOMM	\$15.000	\$37,934.07			\$434.07	COMM CALC (Bonds @ 2.5%)
04/02/2001		3800.000	GLOBAL CROSSING LTD	\$13.970U		\$52,543.11		\$542.89	COMM CALC (Bonds @ 2.5%)
04/04/2001	10.000		AMER SUPERCONDUCTOR CORP 200107 15 PUT	\$4.875U	\$5,007.36			\$132.36	COMM CALC (Bonds @ 2.5%)
04/04/2001	9.000		AT&T CORP 200201 50 PUT					\$0.00	ASSIGNED
04/04/2001	1.000		GLOBALSTAR TELECOMM 200201 15 PUT					\$0.00	ASSIGNED
04/04/2001	200.000		WORLDCOM INC 200301 25 CALL	\$3.750U	\$76,024.03			\$1,024.03	COMM CALC (Bonds @ 2.5%)
04/05/2001	5.000		FOUNDRY NETWORKS INC 200109 15 PUT	\$9.250U	\$4,724.67			\$99.67	COMM CALC (Bonds @ 2.5%)



## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
04/05/2001	5.000		GENESYS INC 200110 12.5 PUT	\$2.812U	\$1,472.05				\$65.80 COMM CALC (Bonds @ 2.5%)
04/05/2001	10.000		GLOBAL CROSSING LTD 200201 10 PUT	\$3.400U	\$3,527.67				\$127.67 COMM CALC (Bonds @ 2.5%)
04/05/2001	22.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
04/05/2001	5.000		GLOBALSTAR TELECOMM 200201 15 PUT						\$0.00 ASSIGNED
04/05/2001	10.000		WIND RIVER SYSTEM INC 200104 20 CALL	\$1.000U	\$1,090.82				\$90.82 COMM CALC (Bonds @ 2.5%)
04/06/2001		200.000	AOL TIME WARNER INC	\$34.000		\$6,745.27			\$54.73 COMM CALC (Bonds @ 2.5%)
04/06/2001	900.000		AT&T CORP	\$50.000	\$45,389.30				\$389.30 COMM CALC (Bonds @ 2.5%)
04/06/2001		100.000	CITIGROUP INC	\$43.280U		\$4,258.92			\$69.08 COMM CALC (Bonds @ 2.5%)
04/06/2001		300.000	CITIGROUP INC	\$43.550U		\$12,871.28			\$193.72 COMM CALC (Bonds @ 2.5%)
04/06/2001	100.000		GLOBALSTAR TELECOMM	\$15.000	\$1,554.49				\$54.49 COMM CALC (Bonds @ 2.5%)
04/06/2001	1.000		GLOBALSTAR TELECOMM 200201 15 PUT						\$0.00 ASSIGNED
04/06/2001	5.000		GLOBALSTAR TELECOMM 200201 15 PUT						\$0.00 ASSIGNED
04/06/2001		500.000	NEWFOCUS INC	\$10.300U		\$5,037.34			\$112.66 COMM CALC (Bonds @ 2.5%)
04/06/2001		200.000	QUALCOMM INC	\$46.250U		\$9,083.57			\$166.43 COMM CALC (Bonds @ 2.5%)
04/06/2001		10000.000	WORLDCOM INC	\$17.437		\$173,564.68			\$810.32 COMM CALC (Bonds @ 2.5%)
04/06/2001		500.000	WORLDCOM INC	\$17.437U		\$8,552.66			\$166.09 COMM CALC (Bonds @ 2.5%)
04/09/2001	2200.000		GLOBAL CROSSING LTD	\$35.000	\$77,553.80				\$553.80 COMM CALC (Bonds @ 2.5%)
04/09/2001	10.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
04/09/2001	500.000		GLOBALSTAR TELECOMM	\$15.000	\$7,621.08				\$121.08 COMM CALC (Bonds @ 2.5%)
04/09/2001		4000.000	WELLS FARGO & CO NEW	\$45.200U		\$179,989.47			\$810.53 COMM CALC (Bonds @ 2.5%)
04/10/2001	13.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
04/10/2001	500.000		GLOBALSTAR TELECOMM	\$15.000	\$7,708.18				\$208.18 COMM CALC (Bonds @ 2.5%)
04/10/2001	100.000		GLOBALSTAR TELECOMM	\$15.000	\$1,540.74				\$40.74 COMM CALC (Bonds @ 2.5%)
04/11/2001	1000.000		GLOBAL CROSSING LTD	\$35.000	\$35,356.20				\$356.20 COMM CALC (Bonds @ 2.5%)
04/11/2001		1000.000	GLOBAL CROSSING LTD	\$9.700U		\$9,504.10			\$195.90 COMM CALC (Bonds @ 2.5%)
04/11/2001		500.000	KVH INDUSTRIES INC	\$6.531U		\$3,162.76			\$102.84 COMM CALC (Bonds @ 2.5%)
04/11/2001		200.000	QUALCOMM INC	\$44.937U		\$8,853.93			\$133.57 COMM CALC (Bonds @ 2.5%)
04/12/2001	1300.000		GLOBAL CROSSING LTD	\$35.000	\$46,181.52				\$681.52 COMM CALC (Bonds @ 2.5%)
04/12/2001	9.000		GLOBALSTAR TELECOMM 200201 15 PUT						\$0.00 ASSIGNED
04/12/2001	5.000		NEWFOCUS INC 200110 20 CALL	\$1.950	\$1,065.02				\$90.02 COMM CALC (Bonds @ 2.5%)
04/12/2001		10.000	WIND RIVER SYSTEM INC 200104 20 CALL	\$3.100U		\$2,992.35			\$107.65 COMM CALC (Bonds @ 2.5%)
04/17/2001	900.000		GLOBALSTAR TELECOMM	\$15.000	\$13,842.47				\$342.47 COMM CALC (Bonds @ 2.5%)
04/20/2001	500.000		LEXICON GENETICS INC	\$7.250U	\$3,724.40				\$99.40 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
04/23/2001		5.000	LEXICON GENETICS INC 200105 7.5 CALL	\$1.650U		\$779.23		\$45.77	COMM CALC (Bonds @ 2.5%)
04/24/2001	2000.000		JDS UNIPHASE CORP	\$25.180U	\$50,846.73			\$486.73	COMM CALC (Bonds @ 2.5%)
04/24/2001	2000.000		SUN MICROSYSTEMS INC	\$19.940U	\$40,335.31			\$455.31	COMM CALC (Bonds @ 2.5%)
05/02/2001	5.000		WORLDCOM INC 200201 43.375 150 SHS PUT					\$0.00	ASSIGNED
05/04/2001	750.000		WORLDCOM INC	\$43.375	\$33,079.50			\$548.25	COMM CALC (Bonds @ 2.5%)
05/10/2001	8.000		GLOBAL CROSSING LTD 200201 35 PUT					\$0.00	ASSIGNED
05/10/2001	4.000		GLOBAL CROSSING LTD 200201 35 PUT					\$0.00	ASSIGNED
05/14/2001	800.000		GLOBAL CROSSING LTD	\$35.000	\$28,336.31			\$336.31	COMM CALC (Bonds @ 2.5%)
05/14/2001	400.000		GLOBAL CROSSING LTD	\$35.000	\$14,196.41			\$196.41	COMM CALC (Bonds @ 2.5%)
05/16/2001	10.000		GLOBAL CROSSING LTD 200201 35 PUT					\$0.00	ASSIGNED
05/16/2001	6.000		GLOBAL CROSSING LTD 200201 35 PUT					\$0.00	ASSIGNED
05/18/2001	1000.000		GLOBAL CROSSING LTD	\$35.000	\$35,385.51			\$385.51	COMM CALC (Bonds @ 2.5%)
05/18/2001	600.000		GLOBAL CROSSING LTD	\$35.000	\$21,332.41			\$332.41	COMM CALC (Bonds @ 2.5%)
05/21/2001	5.000		LEXICON GENETICS INC 200105 7.5 CALL					\$0.00	ASSIGNED
05/23/2001	500.000		INFOSPACE INC	\$4.220U	\$2,177.80			\$67.80	COMM CALC (Bonds @ 2.5%)
05/23/2001		500.000	LEXICON GENETICS INC	\$7.500		\$3,585.31		\$164.69	COMM CALC (Bonds @ 2.5%)
05/29/2001	12.000		AT&T CORP 200201 50 PUT					\$0.00	ASSIGNED
05/30/2001	28.000		AT&T CORP 200201 50 PUT					\$0.00	ASSIGNED
05/30/2001	28.000		AT&T CORP 200201 50 PUT					\$0.00	ASSIGNED
05/31/2001	1200.000		AT&T CORP	\$50.000	\$60,484.63			\$484.63	COMM CALC (Bonds @ 2.5%)
05/31/2001	6.000		WORLDCOM INC 200201 43.375 150 SHS PUT					\$0.00	ASSIGNED
06/01/2001	2800.000		AT&T CORP	\$50.000	\$140,778.11			\$778.11	COMM CALC (Bonds @ 2.5%)
06/01/2001	2800.000		AT&T CORP	\$50.000	\$140,773.64			\$773.64	COMM CALC (Bonds @ 2.5%)
06/01/2001	20.000		AT&T CORP 200201 50 PUT					\$0.00	ASSIGNED
06/04/2001	900.000		WORLDCOM INC	\$43.375	\$39,463.86			\$426.36	COMM CALC (Bonds @ 2.5%)
06/06/2001	10.000		AT&T CORP 200201 50 PUT					\$0.00	ASSIGNED
06/06/2001	9.000		GLOBAL CROSSING LTD 200201 35 PUT					\$0.00	ASSIGNED
06/07/2001	8.000		AT&T CORP 200201 50 PUT					\$0.00	ASSIGNED
06/08/2001	900.000		GLOBAL CROSSING LTD	\$35.000	\$32,057.69			\$557.69	COMM CALC (Bonds @ 2.5%)
06/08/2001	3200.000		WORLDCOM INC GA NEW MCI GROUP					\$0.00	STOCK DIVIDEND
06/08/2001	80.000		WORLDCOM INC GA NEW MCI GROUP					\$0.00	STOCK DIVIDEND
06/11/2001	800.000		AT&T CORP	\$50.000	\$40,393.25			\$393.25	COMM CALC (Bonds @ 2.5%)
06/11/2001		12.000	WORLDCOM INC GA NEW MCI GROUP					\$0.00	STOCK DIVIDEND

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
06/11/2001		300.000	WORLDCOM INC-WORLDCOM GROUP	\$18.220U		\$5,331.96			\$134.04 COMM CALC (Bonds @ 2.5%)
06/12/2001	16.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
06/13/2001	9.000		AT&T CORP 200201 50 PUT						\$0.00 ASSIGNED
06/13/2001	25.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
06/13/2001		500.000	INFOSPACE INC	\$2.830U		\$1,805.43			\$390.43 COMM CALC (Bonds @ 2.5%)
06/14/2001	1600.000		GLOBAL CROSSING LTD	\$35.000	\$56,488.85				\$488.85 COMM CALC (Bonds @ 2.5%)
06/15/2001	900.000		AT&T CORP	\$50.000	\$45,421.36				\$421.36 COMM CALC (Bonds @ 2.5%)
06/15/2001	2500.000		GLOBAL CROSSING LTD	\$35.000	\$88,154.93				\$654.93 COMM CALC (Bonds @ 2.5%)
06/19/2001	2000.000		AT&T CORP	\$50.000	\$100,678.65				\$678.65 COMM CALC (Bonds @ 2.5%)
06/19/2001	1000.000		AT&T CORP	\$50.000	\$50,436.13				\$436.13 COMM CALC (Bonds @ 2.5%)
06/20/2001	50.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
06/20/2001	3.000		GLOBAL CROSSING LTD 200201 35 PUT	\$28.400	\$8,559.49				\$39.49 COMM CALC (Bonds @ 2.5%)
06/22/2001		300.000	AOL TIME WARNER INC	\$49.540		\$14,807.01			\$54.99 COMM CALC (Bonds @ 2.5%)
06/22/2001	5000.000		GLOBAL CROSSING LTD	\$35.000	\$176,030.74				\$1,030.74 COMM CALC (Bonds @ 2.5%)
06/22/2001		1200.000	WORLDCOM INC-WORLDCOM GROUP	\$15.010U		\$17,708.51			\$303.49 COMM CALC (Bonds @ 2.5%)
06/25/2001	500.000		GLOBAL CROSSING LTD	\$6.950U	\$3,588.18				\$113.18 COMM CALC (Bonds @ 2.5%)
06/26/2001	100.000		WORLDCOM INC 200301 35 CALL	\$0.400U	\$4,249.84				\$249.84 COMM CALC (Bonds @ 2.5%)
06/27/2001	25.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
06/28/2001		17000.000	GLOBALSTAR TELECOMM	\$0.340U		\$5,630.80			\$149.20 COMM CALC (Bonds @ 2.5%)
06/28/2001		7900.000	HUMANA INC	\$9.700U		\$75,911.94			\$718.06 COMM CALC (Bonds @ 2.5%)
06/28/2001		3200.000	HUMANA INC	\$9.680U		\$30,686.96			\$289.04 COMM CALC (Bonds @ 2.5%)
06/28/2001		10000.000	WORLDCOM INC	\$14.150U		\$140,590.78			\$909.22 COMM CALC (Bonds @ 2.5%)
06/28/2001		10000.000	WORLDCOM INC	\$14.010U		\$139,195.33			\$904.67 COMM CALC (Bonds @ 2.5%)
06/29/2001	2500.000		GLOBAL CROSSING LTD	\$35.000	\$88,154.93				\$654.93 COMM CALC (Bonds @ 2.5%)
07/02/2001	500.000		AVANEX CORP	\$7.690U	\$3,961.54				\$116.54 COMM CALC (Bonds @ 2.5%)
07/09/2001	3990.000		AT&T WIRELESS SERVICES INC						\$0.00 STOCK DIVIDEND
07/16/2001			AT&T WIRELESS SERVICES INC			\$5.26			\$0.00 CIL
07/18/2001		500.000	AVANEX CORP	\$7.500U		\$3,585.31			\$164.69 COMM CALC (Bonds @ 2.5%)
07/18/2001	1.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
07/18/2001	4.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
07/19/2001	4.000		GLOBAL CROSSING LTD 200201 35 PUT						\$0.00 ASSIGNED
07/20/2001	400.000		GLOBAL CROSSING LTD	\$35.000	\$14,188.96				\$188.96 COMM CALC (Bonds @ 2.5%)
07/20/2001	100.000		GLOBAL CROSSING LTD	\$35.000	\$3,546.11				\$46.11 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
07/23/2001	400.000		GLOBAL CROSSING LTD	\$35.000	\$14,299.75				\$299.75 COMM CALC (Bonds @ 2.5%)
07/25/2001	500.000		LONDON PAC GROUP LTD SPON ADR	\$5.330U	\$2,762.78				\$97.78 COMM CALC (Bonds @ 2.5%)
07/25/2001	200.000		WORLDCOM INC 200301 35 CALL	\$0.350U	\$7,375.02				\$375.02 COMM CALC (Bonds @ 2.5%)
07/27/2001		792.000	SHIRE PHARMA GROUP PLC ADR	\$49.500U		\$38,865.49			\$338.51 COMM CALC (Bonds @ 2.5%)
07/27/2001		250.000	SHIRE PHARMA GROUP PLC ADR	\$49.500U		\$12,269.55			\$105.45 COMM CALC (Bonds @ 2.5%)
07/27/2001		1000.000	SPRINT CORP	\$21.462U		\$21,160.44			\$301.56 COMM CALC (Bonds @ 2.5%)
07/27/2001		10900.000	WORLDCOM INC	\$13.460U		\$145,069.60			\$1,644.40 COMM CALC (Bonds @ 2.5%)
07/27/2001		2500.000	WORLDCOM INC	\$13.460U		\$33,273.87			\$376.13 COMM CALC (Bonds @ 2.5%)
07/27/2001		2500.000	WORLDCOM INC	\$13.460U		\$33,273.87			\$376.13 COMM CALC (Bonds @ 2.5%)
07/27/2001		1000.000	WORLDCOM INC	\$13.460U		\$13,309.55			\$150.45 COMM CALC (Bonds @ 2.5%)
07/27/2001		3000.000	WORLDCOM INC	\$13.460U		\$39,928.65			\$451.35 COMM CALC (Bonds @ 2.5%)
07/27/2001		100.000	WORLDCOM INC	\$13.460U		\$1,330.95			\$15.05 COMM CALC (Bonds @ 2.5%)
07/30/2001	4.000		AT&T CORP 200201 50 PUT						\$0.00 ASSIGNED
08/01/2001	400.000		AT&T CORP	\$39.970	\$16,318.04				\$329.88 COMM CALC (Bonds @ 2.5%)
08/01/2001	128.000		AT&T WIRELESS SERVICES INC	\$31.250	\$4,107.91				\$107.91 COMM CALC (Bonds @ 2.5%)
08/13/2001		68.000	WORLDCOM INC GA NEW MCI GROUP	\$11.980U		\$756.81			\$57.83 COMM CALC (Bonds @ 2.5%)
08/13/2001		500.000	WORLDCOM INC-WORLDCOM GROUP	\$13.980U		\$6,821.74			\$168.26 COMM CALC (Bonds @ 2.5%)
08/16/2001		10000.000	GLOBALSTAR TELECOMM	\$0.330U		\$3,190.30			\$109.70 COMM CALC (Bonds @ 2.5%)
08/16/2001		10000.000	GLOBALSTAR TELECOMM	\$0.330U		\$3,193.89			\$106.11 COMM CALC (Bonds @ 2.5%)
08/16/2001		10000.000	GLOBALSTAR TELECOMM	\$0.330U		\$3,193.89			\$106.11 COMM CALC (Bonds @ 2.5%)
08/16/2001		900.000	GLOBALSTAR TELECOMM	\$0.330U		\$287.45			\$0.00
08/16/2001		9100.000	GLOBALSTAR TELECOMM	\$0.310U		\$2,724.44			\$96.56 COMM CALC (Bonds @ 2.5%)
08/16/2001		1000.000	NOVELL INC	\$4.790U		\$4,765.34			\$24.66 COMM CALC (Bonds @ 2.5%)
08/16/2001		2000.000	NOVELL INC	\$4.790U		\$9,539.68			\$40.32 COMM CALC (Bonds @ 2.5%)
08/16/2001		1000.000	NOVELL INC	\$4.790U		\$4,769.84			\$20.16 COMM CALC (Bonds @ 2.5%)
08/16/2001		3700.000	NOVELL INC	\$4.760U		\$17,537.41			\$74.59 COMM CALC (Bonds @ 2.5%)
08/16/2001		200.000	NOVELL INC	\$4.760U		\$947.96			\$0.00
08/16/2001		200.000	NOVELL INC	\$4.760U		\$947.96			\$0.00
08/16/2001		400.000	NOVELL INC	\$4.760U		\$1,895.93			\$0.00
08/16/2001		1000.000	NOVELL INC	\$4.760U		\$4,739.84			\$20.16 COMM CALC (Bonds @ 2.5%)
08/16/2001		200.000	NOVELL INC	\$4.760U		\$947.96			\$0.00
08/22/2001	100.000		WORLDCOM INC 200301 35 CALL	\$0.300U	\$3,197.82				\$197.82 COMM CALC (Bonds @ 2.5%)
08/24/2001		2500.000	AMER EXPRESS CO	\$37.400U		\$92,818.75			\$681.25 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
08/24/2001		2000.000	CITIGROUP INC	\$47.700U		\$94,735.95			\$664.05 COMM CALC (Bonds @ 2.5%)
08/24/2001		2000.000	JP MORGAN CHASE & CO	\$41.100U		\$81,587.43			\$612.57 COMM CALC (Bonds @ 2.5%)
08/24/2001		2000.000	VIACOM INC B NON VTG	\$46.510U		\$92,365.22			\$654.78 COMM CALC (Bonds @ 2.5%)
08/24/2001		9000.000	WORLDCOM INC	\$13.450U		\$120,366.46			\$683.54 COMM CALC (Bonds @ 2.5%)
08/24/2001		1000.000	WORLDCOM INC	\$13.450U		\$13,374.55			\$75.45 COMM CALC (Bonds @ 2.5%)
09/07/2001	20.000		INTL BUSINESS MACH 200301 160 CALL	\$1.500U	\$3,176.06				\$176.06 COMM CALC (Bonds @ 2.5%)
09/11/2001		2800.000	AT&T CORP	\$18.350U		\$50,848.24			\$531.76 COMM CALC (Bonds @ 2.5%)
09/11/2001		4118.000	AT&T WIRELESS SERVICES INC	\$13.370U		\$54,447.59			\$610.07 COMM CALC (Bonds @ 2.5%)
09/11/2001		2000.000	INTL BUSINESS MACH	\$98.300U		\$195,541.30			\$1,058.70 COMM CALC (Bonds @ 2.5%)
09/12/2001		2500.000	AMER EXPRESS CO	\$34.530U		\$85,671.73			\$653.27 COMM CALC (Bonds @ 2.5%)
09/12/2001		1000.000	VIACOM INC B NON VTG	\$37.870U		\$37,471.11			\$398.89 COMM CALC (Bonds @ 2.5%)
09/24/2001	30.000		INTL BUSINESS MACH 200301 160 CALL	\$1.450	\$4,553.48				\$203.48 COMM CALC (Bonds @ 2.5%)
09/26/2001		3333.000	CITIGROUP INC	\$35.000U		\$115,844.09			\$810.91 COMM CALC (Bonds @ 2.5%)
09/26/2001		2000.000	INTL BUSINESS MACH	\$90.000		\$179,006.04			\$993.96 COMM CALC (Bonds @ 2.5%)
09/27/2001		500.000	LONDON PAC GROUP LTD SPON ADR	\$2.750U		\$1,300.45			\$74.55 COMM CALC (Bonds @ 2.5%)
10/22/2001	5.000		ABGENIX INC 200110 45 CALL						\$0.00 EXPIRED
01/23/2002	300.000		WORLDCOM INC 200301 35 CALL	\$0.050	\$1,673.00				\$173.00 COMM CALC (Bonds @ 2.5%)
01/31/2002		100.000	ABGENIX INC	\$23.430		\$2,288.47			\$54.53 COMM CALC (Bonds @ 2.5%)
01/31/2002	50.000		WORLDCOM INC 200202 10 CALL	\$0.500U	\$2,710.42				\$210.42 COMM CALC (Bonds @ 2.5%)
02/08/2002		2000.000	AMER ELECTRIC POWER CO	\$41.373U		\$82,132.81			\$613.19 COMM CALC (Bonds @ 2.5%)
02/08/2002		1000.000	CONS EDISON CO	\$40.910U		\$40,467.72			\$442.28 COMM CALC (Bonds @ 2.5%)
02/08/2002	1000.000	RECV	E-LOAN INC		\$1,320.00				\$0.00 VALUED PER STMT TRANS
02/08/2002		60700.000	GLOBALSTAR TELECOMM	\$0.147U		\$8,472.11			\$450.79 COMM CALC (Bonds @ 2.5%)
02/08/2002	6000.000	RECV	GLOBALSTAR TELECOMM		\$846.00				\$0.00 VALUED PER STMT TRANS
02/08/2002		1100.000	JDS UNIPHASE CORP	\$6.150U		\$6,604.38			\$160.62 COMM CALC (Bonds @ 2.5%)
02/08/2002		900.000	JDS UNIPHASE CORP	\$6.140U		\$5,398.20			\$127.80 COMM CALC (Bonds @ 2.5%)
02/08/2002	5000.000	RECV	PSINET INC		\$5.00				\$0.00 VALUED PER STMT TRANS
02/08/2002	387.000	RECV	WALT DISNEY CO		\$9,063.54				\$0.00 VALUED PER STMT TRANS
02/08/2002		1000.000	WORLDCOM INC	\$7.080U		\$6,956.05			\$123.95 COMM CALC (Bonds @ 2.5%)
02/08/2002		500.000	WORLDCOM INC	\$7.020U		\$3,450.59			\$59.41 COMM CALC (Bonds @ 2.5%)
02/08/2002		1500.000	WORLDCOM INC	\$7.020U		\$10,351.79			\$178.21 COMM CALC (Bonds @ 2.5%)
02/08/2002		500.000	WORLDCOM INC	\$7.000U		\$3,440.59			\$59.41 COMM CALC (Bonds @ 2.5%)
02/08/2002		1000.000	WORLDCOM INC	\$7.000U		\$6,881.19			\$118.81 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
02/08/2002		500.000	WORLDCOM INC	\$7.000U		\$3,440.59			\$59.41 COMM CALC (Bonds @ 2.5%)
02/08/2002		500.000	WORLDCOM INC	\$7.000U		\$3,440.59			\$59.41 COMM CALC (Bonds @ 2.5%)
02/08/2002		500.000	WORLDCOM INC	\$7.000U		\$3,440.59			\$59.41 COMM CALC (Bonds @ 2.5%)
02/08/2002		400.000	WORLDCOM INC	\$7.000U		\$2,752.47			\$47.53 COMM CALC (Bonds @ 2.5%)
02/08/2002		1000.000	WORLDCOM INC	\$7.000U		\$6,881.19			\$118.81 COMM CALC (Bonds @ 2.5%)
02/08/2002		100.000	WORLDCOM INC	\$7.000U		\$688.11			\$11.89 COMM CALC (Bonds @ 2.5%)
02/08/2002		100.000	WORLDCOM INC	\$7.000U		\$688.11			\$11.89 COMM CALC (Bonds @ 2.5%)
02/08/2002		1300.000	WORLDCOM INC	\$7.000U		\$8,945.55			\$154.45 COMM CALC (Bonds @ 2.5%)
02/08/2002		1700.000	WORLDCOM INC	\$7.000U		\$11,698.03			\$201.97 COMM CALC (Bonds @ 2.5%)
02/08/2002		1000.000	WORLDCOM INC	\$7.000U		\$6,881.19			\$118.81 COMM CALC (Bonds @ 2.5%)
02/08/2002		1000.000	WORLDCOM INC	\$7.000		\$6,881.19			\$118.81 COMM CALC (Bonds @ 2.5%)
02/08/2002		1000.000	WORLDCOM INC	\$7.000U		\$6,881.19			\$118.81 COMM CALC (Bonds @ 2.5%)
02/08/2002		1000.000	WORLDCOM INC	\$7.000		\$6,881.19			\$118.81 COMM CALC (Bonds @ 2.5%)
02/08/2002		800.000	WORLDCOM INC	\$7.000U		\$5,504.95			\$95.05 COMM CALC (Bonds @ 2.5%)
02/08/2002		4600.000	WORLDCOM INC	\$7.000U		\$31,653.49			\$546.51 COMM CALC (Bonds @ 2.5%)
02/08/2002	10000.000	RECV	WORLDCOM INC		\$81,800.00				\$0.00 VALUED PER STMT TRANS
02/08/2002		100.000	WORLDCOM INC GA NEW MCI GROUP	\$11.010U		\$1,078.80			\$22.20 COMM CALC (Bonds @ 2.5%)
02/08/2002		3100.000	WORLDCOM INC GA NEW MCI GROUP	\$11.010U		\$33,582.49			\$548.51 COMM CALC (Bonds @ 2.5%)
02/08/2002	400.000	RECV	WORLDCOM INC GA NEW MCI GROUP		\$3,176.00				\$0.00 VALUED PER STMT TRANS
02/11/2002		10000.000	AT&T CORP	\$15.454U		\$153,258.47			\$1,285.53 COMM CALC (Bonds @ 2.5%)
02/11/2002		3140.000	SPRINT CORP	\$12.690U		\$39,336.71			\$509.89 COMM CALC (Bonds @ 2.5%)
02/11/2002		2070.000	SPRINT CORP PCS GROUP	\$9.980U		\$20,315.02			\$343.58 COMM CALC (Bonds @ 2.5%)
02/19/2002		50.000	WORLDCOM INC 200202 10 CALL						\$0.00 EXPIRED
03/01/2002		50.000	ABGENIX INC	\$23.160		\$1,102.74			\$55.26 COMM CALC (Bonds @ 2.5%)
03/11/2002		100.000	ABGENIX INC	\$20.750		\$2,019.72			\$55.28 COMM CALC (Bonds @ 2.5%)
03/21/2002	50.000		ORACLE SYSTEMS CORP 200204 12.5 CALL	\$1.050	\$5,694.18				\$444.18 COMM CALC (Bonds @ 2.5%)
03/22/2002	50.000		AMDOCS LTD 200204 30 CALL	\$0.700U	\$3,808.94				\$308.94 COMM CALC (Bonds @ 2.5%)
03/22/2002	50.000		ORACLE SYSTEMS CORP 200204 12.5 CALL	\$0.750U	\$4,112.69				\$362.69 COMM CALC (Bonds @ 2.5%)
03/26/2002	40.000		AMDOCS LTD 200204 25 CALL	\$1.700U	\$7,067.19				\$267.19 COMM CALC (Bonds @ 2.5%)
04/01/2002	16.000		ASM LITHOGRAPHY 200204 25 CALL	\$1.300U	\$2,275.06				\$195.06 COMM CALC (Bonds @ 2.5%)
04/03/2002		18.000	ORACLE SYSTEMS CORP 200204 12.5 CALL	\$0.550		\$871.31			\$118.69 COMM CALC (Bonds @ 2.5%)
04/04/2002		10.000	AMDOCS LTD 200204 30 CALL	\$0.050U		\$35.30			\$14.70 COMM CALC (Bonds @ 2.5%)
04/04/2002		40.000	AMDOCS LTD 200204 30 CALL	\$0.100U		\$362.22			\$37.78 COMM CALC (Bonds @ 2.5%)

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
04/04/2002		16.000	ASM LITHOGRAPHY 200204 25 CALL	\$0.750U		\$1,087.36			\$112.64 COMM CALC (Bonds @ 2.5%)
04/04/2002		82.000	ORACLE SYSTEMS CORP 200204 12.5 CALL	\$0.550U		\$4,211.83			\$298.17 COMM CALC (Bonds @ 2.5%)
04/05/2002		35.000	AMDOCS LTD 200204 25 CALL	\$0.900		\$3,114.03			\$35.97 COMM CALC (Bonds @ 2.5%)
04/05/2002		5.000	AMDOCS LTD 200204 25 CALL	\$0.650		\$320.62			\$0.00 CLOSE
04/09/2002		100.000	ABGENIX INC	\$16.990		\$1,643.70			\$55.30 COMM CALC (Bonds @ 2.5%)
04/09/2002		2200.000	GLOBAL CROSSING LTD	\$0.096		\$182.02			\$29.18 COMM CALC (Bonds @ 2.5%)
04/10/2002		500.000	GLOBAL CROSSING LTD	\$0.096		\$36.25			\$11.75 COMM CALC (Bonds @ 2.5%)
04/16/2002	4000.000		AOL TIME WARNER INC	\$19.800U	\$79,897.40				\$697.40 COMM CALC (Bonds @ 2.5%)
04/16/2002		1000.000	INTL BUSINESS MACH	\$83.600U		\$83,030.69			\$569.31 COMM CALC (Bonds @ 2.5%)
04/17/2002		100.000	ABGENIX INC	\$16.050U		\$1,566.38			\$38.62 COMM CALC (Bonds @ 2.5%)
04/17/2002		50.000	ABGENIX INC	\$16.140		\$790.30			\$16.70 COMM CALC (Bonds @ 2.5%)
04/17/2002		55.000	QUALCOMM INC	\$35.900		\$1,919.20			\$55.30 COMM CALC (Bonds @ 2.5%)
04/30/2002	IN ACCT	705.000	ALLTEL CORP	\$49.500		\$34,897.50			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	1000.000	AMEREN CORP	\$41.760		\$41,760.00			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	4000.000	AOL TIME WARNER INC	\$19.020		\$76,080.00			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	750.000	CINERGY CORP	\$35.530		\$26,647.50			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	1000.000	E-LOAN INC	\$1.230		\$1,230.00			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	25000.000	E.SPIRE COMMUNICATIONS INC	\$0.001		\$25.00			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	3000.000	ENZON INC	\$37.240		\$111,720.00			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	5000.000	FIRST PACIFIC NETWORKS INC						\$0.00 NOT VALUED PER 04/30/02
04/30/2002	IN ACCT	524.000	FIRSTENERGY CORP	\$33.300		\$17,449.20			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	17500.000	GLOBAL CROSSING LTD	\$0.057		\$997.50			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	6000.000	GLOBALSTAR TELECOMM	\$0.137		\$822.00			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002		3000.000	JP MORGAN CHASE & CO	\$34.850U		\$103,743.65			\$806.35 COMM CALC (Bonds @ 2.5%)
04/30/2002		400.000	LEHMAN BROS HOLDINGS INC	\$56.500U		\$22,306.23			\$293.77 COMM CALC (Bonds @ 2.5%)
04/30/2002	IN ACCT	5000.000	MID-AMER WASTE SYS INC						\$0.00 NOT VALUED PER 04/30/02
04/30/2002	IN ACCT	5000.000	MSH ENTERTAINMENT CORP	\$0.010		\$50.00			\$0.00 VALUED PER 4/30/02 PORT
04/30/2002	IN ACCT	10000.000	ORACLE CORP	\$10.040		\$100,400.00			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	55400.000	PSINET INC	\$0.001		\$55.40			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	45.000	QUALCOMM INC	\$30.160		\$1,357.20			\$0.00 VALUED PER 4/30/02 PORT
04/30/2002	IN ACCT	600.000	ROHN INDUSTRIES INC	\$1.259		\$755.40			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	2000.000	SUN MICROSYSTEMS INC	\$8.180		\$16,360.00			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	2020.000	VIACOM INC B NON VTG	\$47.100		\$95,142.00			\$0.00 VALUED PER 04/30/02 PORT

## Trade Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	ACCR INT	COMMISSION	COMMENTS
04/30/2002	IN ACCT	387.000	WALT DISNEY CO	\$23.180		\$8,970.66			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	8000.000	WELLS FARGO & CO NEW	\$51.150		\$409,200.00			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	20000.000	WORLDCOM INC	\$2.479		\$49,580.00			\$0.00 VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	400.000	WORLDCOM INC GA NEW MCI GROUP	\$3.751		\$1,500.40			\$0.00 VALUED PER 04/30/02 PORT
2067									
<b>NET TRADING RESULT:</b>									
					<b>(\$8,709,253.20)</b>	<b>\$519,975.19</b>			



## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
08/29/1997			BEGINNING CASH BALANCE		\$1,360,375.43		
08/29/1997	927.000	OPEN	ALLSTATE CORP	\$73.063		\$67,729.40	VALUED PER 08/29/97 PORT
08/29/1997	2000.000	OPEN	AMER ELECTRIC POWER CO	\$43.688		\$87,376.00	VALUED PER 08/29/97 PORT
08/29/1997	5000.000	OPEN	AMER EXPRESS CO	\$77.750		\$388,750.00	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	AMERICA ONLINE INC DEL	\$64.500		\$64,500.00	VALUED PER 08/29/97 PORT
08/29/1997	3765.000	OPEN	CASINO AMER INC	\$2.750		\$10,353.75	VALUED PER 08/29/97 PORT
08/29/1997	1500.000	OPEN	CHASE MANHATTAN CORP NEW	\$111.188		\$166,782.00	VALUED PER 08/29/97 PORT
08/29/1997	750.000	OPEN	CINERGY CORP	\$33.063		\$24,797.25	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	CONS EDISON	\$30.625		\$30,625.00	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	EASTMAN KODAK CO	\$65.375		\$65,375.00	VALUED PER 08/29/97 PORT
08/29/1997	5000.000	OPEN	FIRST PACIFIC NETWORKS INC	\$0.020		\$100.00	VALUED PER 08/29/97 PORT
08/29/1997	11100.000	OPEN	HUMANA INC	\$23.563		\$261,549.30	VALUED PER 08/29/97 PORT
08/29/1997	6000.000	OPEN	INTL BUSINESS MACH	\$101.375		\$608,250.00	VALUED PER 08/29/97 PORT
08/29/1997	10000.000	OPEN	KMART CORP	\$13.875		\$138,750.00	VALUED PER 08/29/97 PORT
08/29/1997	5460.000	OPEN	KIMBERLY CLARK CORP	\$47.438		\$259,011.48	VALUED PER 08/29/97 PORT
08/29/1997	10600.000	OPEN	KROGER COMPANY	\$30.125		\$319,325.00	VALUED PER 08/29/97 PORT
08/29/1997	200.000	OPEN	LEHMAN BROS HOLDINGS INC	\$43.750		\$8,750.00	VALUED PER 08/29/97 PORT
08/29/1997	3000.000	OPEN	MERCK & CO	\$91.813		\$275,439.00	VALUED PER 08/29/97 PORT
08/29/1997	5000.000	OPEN	MID-AMER WASTE SYS INC	\$0.010		\$50.00	VALUED PER 08/29/97 PORT
08/29/1997	12000.000	OPEN	PLATINUM TECHNOLOGY INC	\$23.750		\$285,000.00	VALUED PER 08/29/97 PORT
08/29/1997	2000.000	OPEN	PSINET INC	\$8.188		\$16,376.00	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	ROBERTS PHARMACEUTICAL CORP	\$11.188		\$11,188.00	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	SEARS ROEBUCK	\$56.750		\$56,750.00	VALUED PER 08/29/97 PORT
08/29/1997	2070.000	OPEN	SPRINT CORP	\$47.000		\$97,290.00	VALUED PER 08/29/97 PORT
08/29/1997	4000.000	OPEN	SUNBEAM CORP	\$43.875		\$175,500.00	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	US WEST COMMUNICATIONS GROUP	\$35.813		\$35,813.00	VALUED PER 08/29/97 PORT
08/29/1997	600.000	OPEN	UNR INDUSTRIES INC	\$4.625		\$2,775.00	VALUED PER 08/29/97 PORT
08/29/1997	1000.000	OPEN	WALT DISNEY CO	\$76.813		\$76,813.00	VALUED PER 08/29/97 PORT
08/29/1997	1200.000	OPEN	WELLS FARGO & CO	\$254.250		\$305,100.00	VALUED PER 08/29/97 PORT
08/29/1997	21600.000	OPEN	WORLDCOM INC	\$29.938		\$646,660.80	VALUED PER 08/29/97 PORT
08/29/1997	3950.000	OPEN	3COM CORP	\$49.938		\$197,255.10	VALUED PER 08/29/97 PORT
08/29/1997	689.000	OPEN	360 COMMUNICATIONS CO	\$18.375		\$12,660.37	VALUED PER 08/29/97 PORT
08/29/1997	8000.000	OPEN	MANAGED MUNI PORTFOLIO II INC	\$11.688		\$93,504.00	VALUED PER 08/29/97 PORT

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
08/29/1997	100000.000	OPEN	COOK CO ILL GENL OBLIG 5% 111598	\$101.186		\$101,186.00	VALUED PER 08/29/97 PORT
08/29/1997	30.000	OPEN	WORLDCOM INC 199709 22.5 CALL	\$7.625		\$22,875.00	VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	50.000	NCR CORP NEW 199710 35 PUT	\$1.906	\$9,531.25		VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	20.000	MERCK & CO 199801 90 PUT	\$5.625	\$11,250.00		VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	50.000	AMERICA ONLINE INC DEL 199801 65 PUT	\$8.562	\$42,812.50		VALUED PER 08/29/97 PORT
08/29/1997	OPEN SHORT	30.000	3COM CORP 199804 55 PUT	\$10.625	\$31,875.00		VALUED PER 08/29/97 PORT
09/10/1997			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
09/10/1997			INTL BUSINESS MACH			\$1,200.00	DIVIDEND
09/15/1997			CONS EDISON			\$525.00	DIVIDEND
09/15/1997			SUNBEAM CORP			\$40.00	DIVIDEND
09/22/1997			LOAN INTEREST CHARGED		\$9,579.61		
09/26/1997			MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND
09/29/1997			SPRINT CORP			\$517.50	DIVIDEND
10/01/1997			ALLSTATE CORP			\$222.48	DIVIDEND
10/01/1997			EASTMAN KODAK CO			\$440.00	DIVIDEND
10/01/1997			MERCK & CO			\$1,350.00	DIVIDEND
10/01/1997			SEARS ROEBUCK			\$230.00	DIVIDEND
10/02/1997			KIMBERLY CLARK CORP			\$1,310.40	DIVIDEND
10/22/1997			LOAN INTEREST CHARGED		\$9,443.08		
10/30/1997			CHASE MANHATTAN CORP NEW			\$930.00	DIVIDEND
10/30/1997			MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND
11/03/1997			US WEST COMMUNICATIONS GROUP			\$535.00	DIVIDEND
11/07/1997			CHECK PAID		\$50,000.00		
11/10/1997			AMER EXPRESS CO			\$1,125.00	DIVIDEND
11/17/1997			CINERGY CORP			\$337.50	DIVIDEND
11/17/1997			COOK CO ILL GENL OBLIG 5% 111598			\$2,500.00	INTEREST
11/20/1997			WELLS FARGO & CO			\$1,560.00	DIVIDEND
11/21/1997			WALT DISNEY CO			\$132.50	DIVIDEND
11/24/1997			LOAN INTEREST CHARGED		\$10,624.81		
11/26/1997			LEHMAN BROS HOLDINGS INC			\$12.00	DIVIDEND
11/26/1997			MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND
12/10/1997			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
12/10/1997			INTL BUSINESS MACH			\$1,200.00	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
12/15/1997			CONS EDISON			\$525.00	DIVIDEND
12/15/1997			SUNBEAM CORP			\$40.00	DIVIDEND
12/26/1997			MANAGED MUNI PORTFOLIO II INC			\$1,280.00	DIVIDEND STG
12/26/1997			MANAGED MUNI PORTFOLIO II INC			\$80.00	DIVIDEND LTG
12/29/1997			SPRINT CORP			\$517.50	DIVIDEND
12/29/1997			UNR INDUSTRIES INC			\$60.00	DIVIDEND
12/31/1997			LOAN INTEREST CHARGED		\$14,875.46		
01/02/1998			ALLSTATE CORP			\$222.48	DIVIDEND
01/02/1998			EASTMAN KODAK CO			\$440.00	DIVIDEND
01/02/1998			MERCK & CO			\$1,350.00	DIVIDEND
01/02/1998			SEARS ROEBUCK			\$230.00	DIVIDEND
01/05/1998			KIMBERLY CLARK CORP			\$1,310.40	DIVIDEND
01/22/1998			LOAN INTEREST CHARGED		\$8,374.24		
01/29/1998			MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND
02/02/1998			CHASE MANHATTAN CORP NEW			\$930.00	DIVIDEND
02/02/1998			US WEST COMMUNICATIONS GROUP			\$535.00	DIVIDEND
02/10/1998			AMER EXPRESS CO			\$1,125.00	DIVIDEND
02/17/1998			CINERGY CORP			\$337.50	DIVIDEND
02/20/1998			WALT DISNEY CO			\$132.50	DIVIDEND
02/20/1998			WELLS FARGO & CO			\$1,560.00	DIVIDEND
02/23/1998			LOAN INTEREST CHARGED		\$12,852.04		
02/26/1998			LEHMAN BROS HOLDINGS INC			\$15.00	DIVIDEND
02/26/1998			MANAGED MUNI PORTFOLIO II INC			\$448.00	DIVIDEND
03/10/1998			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
03/10/1998			INTL BUSINESS MACH			\$1,200.00	DIVIDEND
03/16/1998			CONS EDISON CO			\$530.00	DIVIDEND
03/16/1998			SUNBEAM CORP			\$40.00	DIVIDEND
03/23/1998			LOAN INTEREST CHARGED		\$11,501.69		
03/27/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
03/30/1998			SPRINT CORP			\$517.50	DIVIDEND
04/01/1998			ALLSTATE CORP			\$250.29	DIVIDEND
04/01/1998			EASTMAN KODAK CO			\$440.00	DIVIDEND
04/01/1998			MERCK & CO			\$1,350.00	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
04/01/1998			SEARS ROEBUCK			\$230.00	DIVIDEND
04/02/1998			KIMBERLY CLARK CORP			\$1,365.00	DIVIDEND
04/22/1998			LOAN INTEREST CHARGED		\$12,413.30		
04/24/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
04/29/1998			CHASE MANHATTAN CORP NEW			\$1,080.00	DIVIDEND
05/01/1998			US WEST COMMUNICATIONS GROUP			\$535.00	DIVIDEND
05/08/1998			AMER EXPRESS CO			\$1,125.00	DIVIDEND
05/15/1998			CINERGY CORP			\$337.50	DIVIDEND
05/15/1998			COOK CO ILL GENL OBLIG 5% 111598			\$2,500.00	INTEREST
05/20/1998			WELLS FARGO & CO			\$1,560.00	DIVIDEND
05/22/1998			LOAN INTEREST CHARGED		\$11,679.72		
05/22/1998			WALT DISNEY CO			\$157.50	DIVIDEND
05/28/1998			LEHMAN BROS HOLDINGS INC			\$15.00	DIVIDEND
05/28/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
06/10/1998			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
06/10/1998			INTL BUSINESS MACH			\$1,320.00	DIVIDEND
06/12/1998			AMERICA ONLINE INC DEL			\$1.25	DIVIDEND
06/15/1998			CONS EDISON CO			\$530.00	DIVIDEND
06/16/1998			SUNBEAM CORP			\$40.00	DIVIDEND
06/22/1998			LOAN INTEREST CHARGED		\$12,779.14		
06/25/1998			RMA SERVICES FEE		\$45.00		
06/26/1998			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
06/29/1998			SPRINT CORP			\$517.50	DIVIDEND
07/01/1998			ALLSTATE CORP			\$250.29	DIVIDEND
07/01/1998			EASTMAN KODAK CO			\$440.00	DIVIDEND
07/01/1998			MERCK & CO			\$1,350.00	DIVIDEND
07/01/1998			SEARS ROEBUCK			\$230.00	DIVIDEND
07/02/1998			KIMBERLY CLARK CORP			\$1,365.00	DIVIDEND
07/22/1998			LOAN INTEREST CHARGED		\$15,596.68		
07/30/1998			CHASE MANHATTAN CORP NEW			\$1,080.00	DIVIDEND
07/30/1998			MANAGED MUNI PORTFOLIO II INC			\$384.00	DIVIDEND
08/03/1998			US WEST INC NEW			\$535.00	DIVIDEND
08/10/1998			AMER EXPRESS CO			\$1,125.00	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
08/17/1998			CINERGY CORP			\$337.50	DIVIDEND
08/20/1998			WELLS FARGO & CO			\$1,560.00	DIVIDEND
08/21/1998			WALT DISNEY CO			\$204.75	DIVIDEND
08/24/1998			LOAN INTEREST CHARGED		\$15,306.10		
08/28/1998			MANAGED MUNI PORTFOLIO II INC			\$384.00	DIVIDEND
08/28/1998			LEHMAN BROS HOLDINGS INC			\$15.00	DIVIDEND
09/10/1998			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
09/10/1998			INTL BUSINESS MACH			\$1,320.00	DIVIDEND
09/15/1998			CONS EDISON CO			\$530.00	DIVIDEND
09/22/1998			LOAN INTEREST CHARGED		\$13,249.25		
09/25/1998			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
09/29/1998			SPRINT CORP			\$517.50	DIVIDEND
10/01/1998			ALLSTATE CORP			\$250.29	DIVIDEND
10/01/1998			EASTMAN KODAK CO			\$440.00	DIVIDEND
10/01/1998			MERCK & CO			\$1,620.00	DIVIDEND
10/01/1998			SEARS ROEBUCK			\$230.00	DIVIDEND
10/02/1998			KIMBERLY CLARK CORP			\$1,365.00	DIVIDEND
10/05/1998			ALLTEL CORP			\$147.61	DIVIDEND
10/22/1998			LOAN INTEREST CHARGED		\$13,982.45		
10/29/1998			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
11/02/1998			CHASE MANHATTAN CORP NEW			\$1,800.00	DIVIDEND
11/02/1998			US WEST INC NEW			\$535.00	DIVIDEND
11/10/1998			AMER EXPRESS CO			\$1,125.00	DIVIDEND
11/16/1998			CINERGY CORP			\$337.50	DIVIDEND
11/16/1998			COOK CO ILL GENL OBLIG 5% 111598			\$2,500.00	INTEREST
11/20/1998			WALT DISNEY CO			\$204.75	DIVIDEND
11/20/1998			WELLS FARGO & CO			\$1,560.00	DIVIDEND
11/23/1998			LOAN INTEREST CHARGED		\$14,593.05		
11/25/1998			CITIGROUP INC			\$540.00	DIVIDEND
11/27/1998			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
11/27/1998			LEHMAN BROS HOLDINGS INC			\$15.00	DIVIDEND
12/01/1998			BEGINNING CASH BALANCE			\$50.00	
12/04/1998			TO XY-98765		\$32,656.25		

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
12/10/1998			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
12/10/1998			INTL BUSINESS MACH			\$1,320.00	DIVIDEND
12/15/1998			CONS EDISON CO			\$530.00	DIVIDEND
12/24/1998			MANAGED MUNI PORTFOLIO II INC			\$1,416.00	DIVIDEND STG
12/28/1998			SPRINT CORP			\$517.50	DIVIDEND
12/30/1998			PERSONAL CHECK DEPOSITED			\$19,000.00	
12/31/1998			LOAN INTEREST CHARGED		\$16,272.18		
12/31/1998			LOAN INTEREST CHARGED		\$1.72		
01/04/1999			ALLSTATE CORP			\$250.29	DIVIDEND
01/04/1999			ALLTEL CORP			\$155.25	DIVIDEND
01/04/1999			EASTMAN KODAK CO			\$440.00	DIVIDEND
01/04/1999			MERCK & CO			\$1,620.00	DIVIDEND
01/04/1999			SEARS ROEBUCK			\$230.00	DIVIDEND
01/05/1999			KIMBERLY CLARK CORP			\$1,365.00	DIVIDEND
01/22/1999			LOAN INTEREST CHARGED		\$8,493.72		
01/22/1999			LOAN INTEREST CHARGED		\$36.18		
01/28/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
02/01/1999			CHASE MANHATTAN CORP NEW			\$1,800.00	DIVIDEND
02/01/1999			US WEST INC NEW			\$535.00	DIVIDEND
02/08/1999			PERSONAL CHECK DEPOSITED			\$20,934.33	
02/10/1999			AMER EXPRESS CO			\$1,125.00	DIVIDEND
02/16/1999			CINERGY CORP			\$337.50	DIVIDEND
02/19/1999			RMA MONEY MKT PORTFOLIO			\$20.47	DIVIDEND
02/22/1999			LOAN INTEREST CHARGED		\$14,114.88		
02/22/1999			LOAN INTEREST CHARGED		\$37.65		
02/25/1999			CITIGROUP INC			\$540.00	DIVIDEND
02/25/1999			LEHMAN BROS HOLDINGS INC			\$18.00	DIVIDEND
03/01/1999			WELLS FARGO & CO NEW			\$2,220.00	DIVIDEND
03/10/1999			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
03/10/1999			INTL BUSINESS MACH			\$1,320.00	DIVIDEND
03/10/1999	DELV	1500.000	3COM CORP			\$36,937.50	VALUED PER STMT TRANS
03/15/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
03/15/1999			CONS EDISON CO			\$535.00	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
03/19/1999			RMA MONEY MKT PORTFOLIO			\$65.88	DIVIDEND
03/22/1999			LOAN INTEREST CHARGED		\$15,750.86		
03/22/1999			LOAN INTEREST CHARGED		\$8.56		
03/22/1999			LOAN INTEREST CHARGED		\$76.35		
03/26/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
03/30/1999			SPRINT CORP			\$517.50	DIVIDEND
03/30/1999	500.000	RECV	WALT DISNEY CO HOLDING CO			\$16,406.50	VALUED PER STMT TRANS
03/30/1999	400.000	RECV	CITIGROUP INC			\$25,650.00	VALUED PER STMT TRANS
03/30/1999	2000.000	RECV	MCI WORLDCOM INC			\$184,250.00	VALUED PER STMT TRANS
04/01/1999			ALLSTATE CORP			\$278.10	DIVIDEND
04/01/1999			EASTMAN KODAK CO			\$440.00	DIVIDEND
04/01/1999			MERCK & CO			\$1,620.00	DIVIDEND
04/01/1999			SEARS ROEBUCK			\$230.00	DIVIDEND
04/05/1999			KIMBERLY CLARK CORP			\$1,419.60	DIVIDEND
04/05/1999			ALLTEL CORP			\$155.25	DIVIDEND
04/09/1999			CHECK XY-98765		\$4,000.00		
04/13/1999			FROM XY-98765			\$1,347,185.72	
04/21/1999			CHECK PAID		\$1,700,000.00		
04/22/1999			LOAN INTEREST CHARGED		\$12,443.62		
04/22/1999			LOAN INTEREST CHARGED		\$163.97		
04/22/1999			LOAN INTEREST CHARGED		\$180.39		
04/23/1999			CHECK PAID		\$136,000.00		
04/29/1999			CHASE MANHATTAN CORP NEW			\$2,050.00	DIVIDEND
04/29/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND
05/03/1999			US WEST INC NEW			\$535.00	DIVIDEND
05/03/1999			FRONTIER CORP			\$25.00	DIVIDEND
05/10/1999			AMER EXPRESS CO			\$1,125.00	DIVIDEND
05/17/1999			CINERGY CORP			\$337.50	DIVIDEND
05/24/1999			LOAN INTEREST CHARGED		\$16,650.12		
05/24/1999			LOAN INTEREST CHARGED		\$476.19		
05/24/1999			LOAN INTEREST CHARGED		\$26.74		
05/27/1999			CITIGROUP INC			\$1,050.00	DIVIDEND
05/27/1999			MANAGED MUNI PORTFOLIO II INC			\$392.00	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
05/27/1999			CITIGROUP INC			\$84.00	DIVIDEND
06/01/1999			LEHMAN BROS HOLDINGS INC			\$18.00	DIVIDEND
06/01/1999			WELLS FARGO & CO NEW			\$2,400.00	DIVIDEND
06/09/1999			CHECK PAID		\$45,000.00		
06/10/1999			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
06/10/1999			INTL BUSINESS MACH			\$1,440.00	DIVIDEND
06/15/1999			CONS EDISON CO			\$535.00	DIVIDEND
06/18/1999			CHECK PAID		\$50,000.00		
06/22/1999			CHECK PAID		\$5,000.00		
06/22/1999			LOAN INTEREST CHARGED		\$11,809.79		
06/22/1999			LOAN INTEREST CHARGED		\$457.15		
06/22/1999			LOAN INTEREST CHARGED		\$9.73		
06/23/1999			PAINE WEBBER CASHFUND			\$76.11	DIVIDEND
06/24/1999			LOAN INTEREST CHARGED		\$8,008.73		AS OF 9/22/98
06/24/1999			LOAN INTEREST CHARGED		\$12,671.59		AS OF 10/22/98
06/24/1999			LOAN INTEREST CHARGED		\$12,971.60		AS OF 11/22/98
06/24/1999			LOAN INTEREST CHARGED			\$13,249.25	CXL INT
06/24/1999			LOAN INTEREST CHARGED			\$13,982.45	CXL INT
06/24/1999			LOAN INTEREST CHARGED			\$14,593.05	CXL INT
06/25/1999			RMA SERVICES FEE		\$45.00		
06/25/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
06/29/1999			SPRINT CORP			\$517.50	DIVIDEND
07/01/1999			ALLSTATE CORP			\$758.10	DIVIDEND
07/01/1999			EASTMAN KODAK CO			\$440.00	DIVIDEND
07/01/1999			MERCK & CO			\$1,620.00	DIVIDEND
07/01/1999			SEARS ROEBUCK			\$230.00	DIVIDEND
07/02/1999			KIMBERLY CLARK CORP			\$1,419.60	DIVIDEND
07/06/1999			ALLTEL CORP			\$155.25	DIVIDEND
07/07/1999			CHECK XY-98765		\$5,000.00		
07/20/1999			COMPAQ COMPUTER CORP			\$200.00	DIVIDEND
07/22/1999			LOAN INTEREST CHARGED		\$15,377.13		
07/22/1999			LOAN INTEREST CHARGED		\$604.07		
07/28/1999			PAINE WEBBER CASHFUND			\$121.95	DIVIDEND



## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
07/29/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
08/02/1999			CHASE MANHATTAN CORP NEW			\$2,050.00	DIVIDEND
08/02/1999			US WEST INC NEW			\$750.00	DIVIDEND
08/02/1999			FRONTIER CORP			\$25.00	DIVIDEND
08/09/1999			CHECK PAID		\$355,000.00		
08/10/1999			AMER EXPRESS CO			\$1,125.00	DIVIDEND
08/10/1999			PAINE WEBBER CASHFUND			\$34.12	DIVIDEND
08/16/1999			CINERGY CORP			\$337.50	DIVIDEND
08/16/1999			PAINE WEBBER CASHFUND			\$7.95	DIVIDEND
08/23/1999			LOAN INTEREST CHARGED		\$16,072.17		
08/23/1999			LOAN INTEREST CHARGED		\$737.58		
08/23/1999			PAINE WEBBER CASHFUND			\$1.05	DIVIDEND
08/27/1999			CITIGROUP INC			\$1,400.00	DIVIDEND
08/27/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
08/27/1999			CITIGROUP INC			\$84.00	DIVIDEND
08/30/1999			LEHMAN BROS HOLDINGS INC			\$18.00	DIVIDEND
09/02/1999			WELLS FARGO & CO NEW			\$2,400.00	DIVIDEND
09/10/1999			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
09/10/1999			INTL BUSINESS MACH			\$1,440.00	DIVIDEND
09/15/1999			CONS EDISON CO			\$535.00	DIVIDEND
09/22/1999			CHECK PAID		\$25,000.00		
09/22/1999			LOAN INTEREST CHARGED		\$15,082.58		
09/22/1999			LOAN INTEREST CHARGED		\$785.33		
09/22/1999			PAINE WEBBER CASHFUND			\$9.34	DIVIDEND
09/24/1999			CHECK PAID		\$2,500.00		
09/24/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
09/29/1999			SPRINT CORP			\$517.50	DIVIDEND
09/30/1999			PAINE WEBBER CASHFUND			\$13.34	DIVIDEND
10/01/1999			ALLSTATE CORP			\$758.10	DIVIDEND
10/01/1999			EASTMAN KODAK CO			\$440.00	DIVIDEND
10/01/1999			MERCK & CO			\$1,740.00	DIVIDEND
10/01/1999			SEARS ROEBUCK			\$230.00	DIVIDEND
10/04/1999			ALLTEL CORP			\$155.25	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
10/04/1999			KIMBERLY CLARK CORP			\$1,419.60	DIVIDEND
10/20/1999			COMPAQ COMPUTER CORP			\$200.00	DIVIDEND
10/22/1999			LOAN INTEREST CHARGED		\$11,220.75		
10/22/1999			LOAN INTEREST CHARGED		\$724.44		
10/22/1999			LOAN INTEREST CHARGED		\$45.48		
10/25/1999			CHECK PAID		\$67,559.00		
10/26/1999			CHECK PAID		\$2,345.00		
10/28/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
11/01/1999			CHASE MANHATTAN CORP NEW			\$2,050.00	DIVIDEND
11/01/1999			US WEST INC NEW			\$535.00	DIVIDEND
11/10/1999			AMER EXPRESS CO			\$1,125.00	DIVIDEND
11/15/1999			CINERGY CORP			\$337.50	DIVIDEND
11/22/1999			LOAN INTEREST CHARGED		\$9,109.23		
11/22/1999			LOAN INTEREST CHARGED		\$753.98		
11/22/1999			LOAN INTEREST CHARGED		\$139.29		
11/24/1999			CITIGROUP INC			\$1,400.00	DIVIDEND
11/24/1999			CITIGROUP INC			\$84.00	DIVIDEND
11/26/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
11/29/1999			LEHMAN BROS HOLDINGS INC			\$18.00	DIVIDEND
12/01/1999			WELLS FARGO & CO NEW			\$2,400.00	DIVIDEND
12/10/1999			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
12/10/1999			INTL BUSINESS MACH			\$1,440.00	DIVIDEND
12/15/1999			CONS EDISON CO			\$535.00	DIVIDEND
12/17/1999			WALT DISNEY CO			\$2,100.00	DIVIDEND
12/17/1999			WALT DISNEY CO HOLDING CO			\$105.00	DIVIDEND
12/28/1999			RMA SERVICES FEE		\$85.00		
12/29/1999			SPRINT CORP			\$517.50	DIVIDEND
12/30/1999			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
12/31/1999			LOAN INTEREST CHARGED		\$8,001.44		
12/31/1999			LOAN INTEREST CHARGED		\$1,064.17		
12/31/1999			LOAN INTEREST CHARGED		\$305.87		
01/03/2000			ALLSTATE CORP			\$758.10	DIVIDEND
01/03/2000			ALLTEL CORP			\$162.88	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
01/03/2000			EASTMAN KODAK CO			\$440.00	DIVIDEND
01/03/2000			MERCK & CO			\$1,740.00	DIVIDEND
01/03/2000			SEARS ROEBUCK			\$230.00	DIVIDEND
01/04/2000			KIMBERLY CLARK CORP			\$1,419.60	DIVIDEND
01/05/2000			PERSONAL CHECK DEPOSITED			\$10,000.00	
01/20/2000			COMPAQ COMPUTER CORP			\$500.00	DIVIDEND
01/24/2000			LOAN INTEREST CHARGED		\$1,792.08		
01/24/2000			LOAN INTEREST CHARGED		\$500.95		
01/24/2000			LOAN INTEREST CHARGED		\$238.76		
01/28/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
01/28/2000			CHASE MANHATTAN CORP NEW			\$2,050.00	DIVIDEND
02/01/2000			US WEST INC NEW			\$535.00	DIVIDEND
02/10/2000			AMER EXPRESS CO			\$1,125.00	DIVIDEND
02/15/2000			CINERGY CORP			\$337.50	DIVIDEND
02/15/2000			LOAN INTEREST CHARGED		\$615.60		
02/20/2000			LOAN INTEREST CHARGED		\$661.25		
02/22/2000			LOAN INTEREST CHARGED		\$396.57		
02/25/2000			CITIGROUP INC			\$1,600.00	DIVIDEND
02/25/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
02/25/2000			CITIGROUP INC			\$96.00	DIVIDEND
02/28/2000			LEHMAN BROS HOLDINGS INC			\$22.00	DIVIDEND
03/01/2000			WELLS FARGO & CO NEW			\$2,640.00	DIVIDEND
03/02/2000			ECI TELECOM LTD ISRAEL			\$7.50	DIVIDEND
03/10/2000			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
03/10/2000			INTL BUSINESS MACH			\$1,800.00	DIVIDEND
03/15/2000			CONS EDISON CO			\$545.00	DIVIDEND
03/22/2000			LOAN INTEREST CHARGED		\$40.17		
03/22/2000			LOAN INTEREST CHARGED		\$455.72		
03/22/2000			LOAN INTEREST CHARGED		\$695.30		
03/29/2000			ACCOUNT TRANSFER FEE		\$50.00		
03/29/2000			DEBIT CASH BALANCE			\$50.00	
03/29/2000			CREDIT CASH BALANCE		\$56,370.75		
03/29/2000	DELV	5000.000	ELOYALTY CORP			\$125,625.00	VALUED PER STMT TRANS

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
03/29/2000		DELV 4000.000	SYMYX TECHNOLOGIES INC			\$162,000.00	VALUED PER STMT TRANS
03/29/2000		DELV 129500.000	WORLDPORT COMMUNICATIONS INC			\$394,975.00	VALUED PER STMT TRANS
03/29/2000		DELV 200.000	MCI WORLDCOM INC 200101 53.375 CALL			\$88,750.00	VALUED PER STMT TRANS
03/29/2000		DELV 5054.000	ALLSTATE CORP			\$114,346.75	VALUED PER STMT TRANS
03/29/2000		DELV 1000.000	AMAZON.COM INC			\$66,250.00	VALUED PER STMT TRANS
03/29/2000		DELV 509.000	ALLTEL CORP			\$32,703.25	VALUED PER STMT TRANS
03/29/2000		DELV 2000.000	AMER ELECTRIC POWER CO			\$59,750.00	VALUED PER STMT TRANS
03/29/2000		DELV 5000.000	AMER EXPRESS CO			\$758,125.00	VALUED PER STMT TRANS
03/29/2000		DELV 1000.000	CONS EDISON CO			\$29,000.00	VALUED PER STMT TRANS
03/29/2000		DELV 5000.000	CHASE MANHATTAN CORP NEW			\$451,875.00	VALUED PER STMT TRANS
03/29/2000		DELV 25000.000	COMPAQ COMPUTER CORP			\$701,575.00	VALUED PER STMT TRANS
03/29/2000		DELV 750.000	CINERGY CORP			\$15,750.00	VALUED PER STMT TRANS
03/29/2000		DELV 10000.000	CBS CORP			\$591,250.00	VALUED PER STMT TRANS
03/29/2000		DELV 10000.000	WALT DISNEY CO			\$408,130.00	VALUED PER STMT TRANS
03/29/2000		DELV 10000.000	WALT DISNEY CO GO.COM			\$208,130.00	VALUED PER STMT TRANS
03/29/2000		DELV 1000.000	EASTMAN KODAK CO			\$54,813.00	VALUED PER STMT TRANS
03/29/2000		DELV 100000.000	E.SPIRE COMMUNICATIONS INC			\$1,043,800.00	VALUED PER STMT TRANS
03/29/2000		DELV 10000.000	ENZON INC			\$409,380.00	VALUED PER STMT TRANS
03/29/2000		DELV 15000.000	GLOBALSTAR TELECOMM			\$208,125.00	VALUED PER STMT TRANS
03/29/2000		DELV 10000.000	GLOBAL CROSSING LTD			\$430,630.00	VALUED PER STMT TRANS
03/29/2000		DELV 10000.000	CITIGROUP INC			\$607,500.00	VALUED PER STMT TRANS
03/29/2000		DELV 11100.000	HUMANA INC			\$83,250.00	VALUED PER STMT TRANS
03/29/2000		DELV 15000.000	INTL BUSINESS MACH			\$1,792,500.00	VALUED PER STMT TRANS
03/29/2000		DELV 5460.000	KIMBERLY CLARK CORP			\$290,062.50	VALUED PER STMT TRANS
03/29/2000		DELV 31200.000	KROGER COMPANY			\$551,865.60	VALUED PER STMT TRANS
03/29/2000		DELV 200.000	LEHMAN BROS HOLDINGS INC			\$20,250.00	VALUED PER STMT TRANS
03/29/2000		DELV 5000.000	LIGHTPATH TECHNOLOGIES INC CL A			\$234,065.00	VALUED PER STMT TRANS
03/29/2000		DELV 8000.000	MANAGED MUNI PORTFOLIO II INC			\$74,000.00	VALUED PER STMT TRANS
03/29/2000		DELV 20000.000	AMERICA ONLINE INC DEL			\$1,357,500.00	VALUED PER STMT TRANS
03/29/2000		DELV 10000.000	METAL MANAGEMENT INC			\$22,500.00	VALUED PER STMT TRANS
03/29/2000		DELV 8000.000	MAIL.COM INC CL A			\$145,000.00	VALUED PER STMT TRANS
03/29/2000		DELV 6000.000	MERCK & CO			\$385,500.00	VALUED PER STMT TRANS
03/29/2000		DELV 5000.000	MID-AMER WASTE SYS INC			\$5.00	VALUED PER STMT TRANS

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
03/29/2000		DELV 53400.000	MCI WORLDCOM INC			\$2,216,100.00	VALUED PER STMT TRANS
03/29/2000		DELV 5000.000	FIRST PACIFIC NETWORKS INC			\$15.00	VALUED PER STMT TRANS
03/29/2000		DELV 5000.000	NOVELL INC			\$144,375.00	VALUED PER STMT TRANS
03/29/2000		DELV 10000.000	ORACLE CORP			\$825,000.00	VALUED PER STMT TRANS
03/29/2000		DELV 20000.000	PSINET INC			\$757,500.00	VALUED PER STMT TRANS
03/29/2000		DELV 600.000	ROHN INDUSTRIES INC			\$2,100.00	VALUED PER STMT TRANS
03/29/2000		DELV 1000.000	SEARS ROEBUCK			\$29,750.00	VALUED PER STMT TRANS
03/29/2000		DELV 1042.000	SHIRE PHARMA GROUP PLC ADR			\$52,100.00	VALUED PER STMT TRANS
03/29/2000		DELV 2070.000	SPRINT CORP PCS GROUP			\$134,291.25	VALUED PER STMT TRANS
03/29/2000		DELV 5000.000	TEKELEC			\$222,190.00	VALUED PER STMT TRANS
03/29/2000		DELV 8050.000	3COM CORP			\$508,663.40	VALUED PER STMT TRANS
03/29/2000		DELV 5000.000	TECHNOLOGY SOLUTIONS CO			\$45,000.00	VALUED PER STMT TRANS
03/29/2000		DELV 4140.000	SPRINT CORP			\$246,330.00	VALUED PER STMT TRANS
03/29/2000		DELV 1000.000	US WEST INC NEW			\$74,375.00	VALUED PER STMT TRANS
03/29/2000		DELV 12000.000	WELLS FARGO & CO NEW			\$473,256.00	VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	GLOBAL CROSSING LTD 200201 35 PUT		\$140,000.00		VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	GLOBAL CROSSING LTD 200201 40 PUT		\$288,750.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200101 40 PUT		\$76,250.00		VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	FEDERAL HOME LN MTG 200101 45 PUT		\$166,875.00		VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	INTL BUSINESS MACH 200201 80 PUT		\$159,375.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	COMPAQ COMPUTER CORP 200101 25 PUT		\$35,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	CITIGROUP INC 200201 45 PUT		\$78,750.00		VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 15 PUT		\$310,000.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	QUALCOMM INC 200201 100 PUT		\$160,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	LUCENT TECHNOLOGIES INC 200201 45 PUT		\$101,250.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AT&T CORP 200201 50 PUT		\$112,500.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	QUALCOMM INC 200201 80 PUT		\$90,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	FEDERAL HOME LN MTG 200201 40 PUT		\$101,250.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	FEDERAL HOME LN MTG 200201 50 PUT		\$198,750.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	CHASE MANHATTAN CORP NEW 200101 60 PUT		\$20,625.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	CHASE MANHATTAN CORP NEW 200101 70 PUT		\$41,875.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	WALT DISNEY CO 200101 30 PUT		\$11,250.00		VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	INTL BUSINESS MACH 200201 90 PUT		\$234,375.00		VALUED PER STMT TRANS

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
03/29/2000	200.000	DELV SHRT	WALT DISNEY CO 200101 25 PUT		\$11,250.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	QUALCOMM INC 200201 75 PUT		\$147,500.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	CISCO SYS INC 200201 27.5 PUT		\$25,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	COMPAQ COMPUTER CORP 200201 30 PUT		\$153,750.00		VALUED PER STMT TRANS
03/29/2000	1500.000	DELV SHRT	MCI WORLDCOM INC 200201 40 PUT		\$1,190,625.00		VALUED PER STMT TRANS
03/29/2000	1000.000	DELV SHRT	MCI WORLDCOM INC 200201 43.375 PUT		\$975,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	MCI WORLDCOM INC 200201 53.375 PUT		\$325,000.00		VALUED PER STMT TRANS
03/29/2000	80.000	DELV SHRT	CITIGROUP INC 200101 26.625 PUT		\$1,500.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	CITIGROUP INC 200101 40 PUT		\$11,250.00		VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	QUALCOMM INC 200101 22.5 PUT		\$20,000.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 33.375 PUT		\$31,875.00		VALUED PER STMT TRANS
03/29/2000	600.000	DELV SHRT	MCI WORLDCOM INC 200101 40 PUT		\$356,250.00		VALUED PER STMT TRANS
03/29/2000	300.000	DELV SHRT	MCI WORLDCOM INC 200101 46.625 PUT		\$273,750.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 50 PUT		\$113,750.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200101 56.625 PUT		\$163,750.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	NOVELL INC 200201 30 PUT		\$170,000.00		VALUED PER STMT TRANS
03/29/2000	600.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 25 PUT		\$915,000.00		VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 30 PUT		\$775,000.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	GLOBALSTAR TELECOMM 200201 35 PUT		\$472,500.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200201 27.5 PUT		\$30,000.00		VALUED PER STMT TRANS
03/29/2000	600.000	DELV SHRT	GLOBAL CROSSING LTD 200201 30 PUT		\$288,750.00		VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 25 PUT		\$15,000.00		VALUED PER STMT TRANS
03/29/2000	400.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 40 PUT		\$73,750.00		VALUED PER STMT TRANS
03/29/2000	420.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 30 PUT		\$27,562.50		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	MCI WORLDCOM INC 200201 40 PUT		\$78,750.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 55 PUT		\$113,750.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	AMERICA ONLINE INC DEL 200101 50 PUT		\$83,750.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	PSINET INC 200004 15 PUT		\$1,250.00		VALUED PER STMT TRANS
03/29/2000	200.000	DELV SHRT	PSINET INC 200004 17.5 PUT		\$5,000.00		VALUED PER STMT TRANS
03/29/2000	450.000	DELV SHRT	PSINET INC 200004 20 PUT		\$11,250.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200004 25 PUT		\$2,500.00		VALUED PER STMT TRANS
03/29/2000	100.000	DELV SHRT	GLOBAL CROSSING LTD 200004 30 PUT		\$1,875.00		VALUED PER STMT TRANS
03/30/2000			SPRINT CORP			\$517.50	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
03/30/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
03/30/2000			ACCOUNT TRANSFER FEE		\$50.00		
03/30/2000			DEBIT CASH BALANCE			\$50.00	
03/30/2000			DEBIT CASH BALANCE			\$58,270.25	
03/30/2000	DELV	2000.000	WORLDPORT COMMUNICATIONS INC			\$6,250.00	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	CBS CORP			\$28,750.00	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	WALT DISNEY CO HOLDING CO			\$20,125.00	VALUED PER STMT TRANS
03/30/2000	DELV	1025.000	GLOBAL CROSSING LTD			\$44,395.82	VALUED PER STMT TRANS
03/30/2000	DELV	600.000	CITIGROUP INC			\$35,700.00	VALUED PER STMT TRANS
03/30/2000	DELV	800.000	AMERICA ONLINE INC			\$51,900.00	VALUED PER STMT TRANS
03/30/2000	DELV	800.000	QUALCOMM INC			\$116,187.20	VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	STAR TELECOMMUNICATIONS			\$17,952.00	VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	MCI WORLDCOM INC			\$127,689.00	VALUED PER STMT TRANS
03/30/2000	DELV	1000.000	3COM CORP			\$58,813.00	VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	WORLDPORT COMMUNICATIONS INC			\$9,375.00	VALUED PER STMT TRANS
03/30/2000	50.000	DELV SHRT	GLOBAL CROSSING LTD 200201 35 PUT		\$35,000.00		VALUED PER STMT TRANS
03/30/2000	20.000	DELV SHRT	MCI WORLDCOM INC 200201 40 PUT 150 SHS		\$15,875.00		VALUED PER STMT TRANS
03/30/2000	20.000	DELV SHRT	MCI WORLDCOM INC 200201 43.375 PUT 150 SHS		\$19,500.00		VALUED PER STMT TRANS
03/30/2000	10.000	DELV SHRT	MCI WORLDCOM INC 200101 40 PUT 150 SHS		\$5,937.50		VALUED PER STMT TRANS
03/30/2000	10.000	DELV SHRT	MCI WORLDCOM INC 200101 46.625 PUT 150 SHS		\$9,125.00		VALUED PER STMT TRANS
03/30/2000			ACCOUNT TRANSFER FEE		\$50.00		
03/30/2000			DEBIT CASH BALANCE			\$50.00	
03/30/2000			DEBIT CASH BALANCE			\$125,326.17	
03/30/2000	DELV	5000.000	CYCOMM INTL INC NEW			\$11,875.00	VALUED PER STMT TRANS
03/30/2000	DELV	5000.000	MSH ENTERTAINMENT CORP			\$1,150.00	VALUED PER STMT TRANS
03/30/2000	DELV	3000.000	WORLDPORT COMMUNICATIONS INC			\$9,375.00	VALUED PER STMT TRANS
03/30/2000	DELV	1000.000	WORLD WIDE WIRELESS COMM INC			\$4,844.00	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	ACACIA RESH CORP			\$21,531.50	VALUED PER STMT TRANS
03/30/2000	DELV	200.000	BROADWING INC			\$6,725.00	VALUED PER STMT TRANS
03/30/2000	DELV	300.000	EAGLE WIRELESS INTL INC			\$3,543.90	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	E DIGITAL CORP			\$5,078.00	VALUED PER STMT TRANS
03/30/2000	DELV	200.000	ECI TELECOM LTD ISRAEL			\$6,212.60	VALUED PER STMT TRANS
03/30/2000	DELV	500.000	GLOBALSTAR TELECOMM			\$6,875.00	VALUED PER STMT TRANS

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
03/30/2000		DELV 500.000	IRVINE SENSORS CORP			\$4,129.00	VALUED PER STMT TRANS
03/30/2000		DELV 1000.000	MAGAININ PHARMACEUTICALS INC			\$4,375.00	VALUED PER STMT TRANS
03/30/2000		DELV 500.000	MEDAREX INC			\$22,125.00	VALUED PER STMT TRANS
03/30/2000		DELV 500.000	NEXELL THERAPEUTICS INC			\$2,094.00	VALUED PER STMT TRANS
03/30/2000		DELV 500.000	NET PERCEPTIONS INC			\$18,750.00	VALUED PER STMT TRANS
03/30/2000		DELV 200.000	NEW ERA OF NETWORKS INC			\$7,800.00	VALUED PER STMT TRANS
03/30/2000		DELV 200.000	PARADYNE NETWORKS INC			\$5,575.00	VALUED PER STMT TRANS
03/30/2000		DELV 2000.000	PSINET INC			\$68,126.00	VALUED PER STMT TRANS
03/30/2000		DELV 3000.000	STAR TELECOMMUNICATIONS			\$17,952.00	VALUED PER STMT TRANS
03/30/2000		DELV 100.000	SYMYX TECHNOLOGIES INC			\$4,387.50	VALUED PER STMT TRANS
03/30/2000		DELV 500.000	WIND RIVER SYSTEM INC			\$20,937.50	VALUED PER STMT TRANS
03/30/2000		5.000 DELV SHRT	GLOBALSTAR TELECOMM 200201 40 CALL		\$4,062.50		VALUED PER STMT TRANS
03/30/2000		10.000 DELV SHRT	PSINET INC 200007 50 CALL		\$4,062.50		VALUED PER STMT TRANS
03/30/2000		5.000 DELV SHRT	NET PERCEPTIONS INC 200008 65 CALL		\$1,594.75		VALUED PER STMT TRANS
03/30/2000		5.000 DELV SHRT	GLOBALSTAR TELECOMM 200201 10 PUT		\$2,218.75		VALUED PER STMT TRANS
03/30/2000		5.000 DELV SHRT	NEW ERA OF NETWORKS INC 200007 45 PUT		\$6,250.00		VALUED PER STMT TRANS
03/30/2000		5.000 DELV SHRT	NEW ERA OF NETWORKS INC 200007 40 PUT		\$4,750.00		VALUED PER STMT TRANS
03/30/2000		10.000 DELV SHRT	NET PERCEPTIONS INC 200008 25 PUT		\$3,437.50		VALUED PER STMT TRANS
03/30/2000		5.000 DELV SHRT	NET PERCEPTIONS INC 200008 35 PUT		\$4,375.00		VALUED PER STMT TRANS
04/03/2000			ALLSTATE CORP			\$859.18	DIVIDEND
04/03/2000			ALLTEL CORP			\$162.88	DIVIDEND
04/03/2000			EASTMAN KODAK CO			\$440.00	DIVIDEND
04/03/2000			MERCK & CO			\$1,740.00	DIVIDEND
04/03/2000			SEARS ROEBUCK			\$230.00	DIVIDEND
04/04/2000			CREDIT CASH BALANCE		\$917.50		
04/04/2000			KIMBERLY CLARK CORP			\$1,474.20	DIVIDEND
04/10/2000			CREDIT CASH BALANCE		\$4,906.26		
04/24/2000			LOAN INTEREST CHARGED		\$129.93		
04/24/2000			LOAN INTEREST CHARGED		\$258.79		
04/26/2000			PAINE WEBBER CASHFUND			\$4.21	DIVIDEND
04/27/2000			CREDIT CASH BALANCE		\$406.57		
05/05/2000			TO XY-98765		\$2,040,351.00		
05/05/2000			DEBIT CASH BALANCE		\$7,401,308.40		



## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
05/05/2000	30000.000	RECV	GLOBALSTAR TELECOMM			\$301,890.00	VALUED PER STMT TRANS
05/05/2000	118500.000	RECV	WORLDPORT COMMUNICATIONS INC			\$322,201.50	VALUED PER STMT TRANS
05/05/2000	5054.000	RECV	ALLSTATE CORP			\$115,610.25	VALUED PER STMT TRANS
05/05/2000	509.000	RECV	ALLTEL CORP			\$33,053.44	VALUED PER STMT TRANS
05/05/2000	196.000	RECV	ALLTEL CORP			\$12,727.84	VALUED PER STMT TRANS
05/05/2000	2000.000	RECV	AMER ELECTRIC POWER CO			\$69,250.00	VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	AMEREN CORP			\$35,500.00	VALUED PER STMT TRANS
05/05/2000	15000.000	RECV	BANK ONE CORP			\$435,945.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	BOSTON PROPERTIES INC			\$144,500.00	VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	CONS EDISON CO			\$34,000.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	CHASE MANHATTAN CORP NEW			\$360,940.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	CHASE MANHATTAN CORP NEW			\$360,940.00	VALUED PER STMT TRANS
05/05/2000	750.000	RECV	CINERGY CORP			\$19,500.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	CISCO SYS INC			\$338,750.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	COLONIAL PROPERTIES TR			\$101,752.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	COMERICA INC			\$202,500.00	VALUED PER STMT TRANS
05/05/2000	3000.000	RECV	CORUS BANKSHARES INC			\$75,189.00	VALUED PER STMT TRANS
05/05/2000	2000.000	RECV	CBS CORP			\$116,874.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	WALT DISNEY CO			\$395,630.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	WALT DISNEY CO			\$197,815.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	WALT DISNEY CO GO.COM			\$145,000.00	VALUED PER STMT TRANS
05/05/2000	524.000	RECV	FIRSTENERGY CORP			\$12,543.51	VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	EASTMAN KODAK CO			\$54,563.00	VALUED PER STMT TRANS
05/05/2000	4300.000	RECV	ELOYALTY CORP			\$86,000.00	VALUED PER STMT TRANS
05/05/2000	15000.000	RECV	ELOYALTY CORP			\$300,000.00	VALUED PER STMT TRANS
05/05/2000	100000.000	RECV	E.SPIRE COMMUNICATIONS INC			\$493,800.00	VALUED PER STMT TRANS
05/05/2000	216800.000	RECV	E.SPIRE COMMUNICATIONS INC			\$1,070,558.40	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	ENZON INC			\$357,500.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	ENZON INC			\$143,000.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	FIRST UNION CORP			\$156,250.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	GLOBALSTAR TELECOMM			\$201,260.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	GLOBAL CROSSING LTD			\$695,000.00	VALUED PER STMT TRANS
05/05/2000	10250.000	RECV	GLOBAL CROSSING LTD			\$356,187.50	VALUED PER STMT TRANS

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
05/05/2000	10000.000	RECV	CITIGROUP INC			\$595,630.00	VALUED PER STMT TRANS
05/05/2000	10500.000	RECV	CITIGROUP INC			\$625,411.50	VALUED PER STMT TRANS
05/05/2000	11100.000	RECV	HUMANA INC			\$73,537.50	VALUED PER STMT TRANS
05/05/2000	15000.000	RECV	INTL BUSINESS MACH			\$1,618,125.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	INTL BUSINESS MACH			\$431,500.00	VALUED PER STMT TRANS
05/05/2000	5460.000	RECV	KIMBERLY CLARK CORP			\$321,801.48	VALUED PER STMT TRANS
05/05/2000	31200.000	RECV	KROGER COMPANY			\$610,365.60	VALUED PER STMT TRANS
05/05/2000	200.000	RECV	LEHMAN BROS HOLDINGS INC			\$16,412.60	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	LIGHTPATH TECHNOLOGIES INC CL A			\$142,500.00	VALUED PER STMT TRANS
05/05/2000	8000.000	RECV	MANAGED MUNI PORTFOLIO II INC			\$74,000.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	AMERICA ONLINE INC DEL			\$1,137,500.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	AMERICA ONLINE INC DEL			\$227,500.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	METAL MANAGEMENT INC			\$16,560.00	VALUED PER STMT TRANS
05/05/2000	8000.000	RECV	MAIL.COM INC CL A			\$55,752.00	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	MANUFACTURED HOME COMMUNITIES INC			\$101,500.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	MERCK & CO			\$692,500.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	MID-AMER WASTE SYS INC				NOT VALUED PER STMT TRANS
05/05/2000	53400.000	RECV	MCI WORLDCOM INC			\$2,289,525.00	VALUED PER STMT TRANS
05/05/2000	140074.000	RECV	MCI WORLDCOM INC			\$6,005,672.75	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	FIRST PACIFIC NETWORKS INC			\$20.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	NOVELL INC			\$55,000.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	NOVELL INC			\$110,000.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	NORTHEAST OPTIC NETWORK INC			\$468,750.00	VALUED PER STMT TRANS
05/05/2000	10000.000	RECV	ORACLE CORP			\$768,130.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	PSINET INC			\$450,000.00	VALUED PER STMT TRANS
05/05/2000	20000.000	RECV	PSINET INC			\$450,000.00	VALUED PER STMT TRANS
05/05/2000	60000.000	RECV	QUALCOMM INC			\$6,585,000.00	VALUED PER STMT TRANS
05/05/2000	5000.000	RECV	QWEST COMMUNICATIONS INTL INC			\$224,375.00	VALUED PER STMT TRANS
05/05/2000	600.000	RECV	ROHN INDUSTRIES INC			\$2,062.80	VALUED PER STMT TRANS
05/05/2000	4000.000	RECV	SL GREEN REALTY CORP			\$104,000.00	VALUED PER STMT TRANS
05/05/2000	1000.000	RECV	SEARS ROEBUCK			\$37,813.00	VALUED PER STMT TRANS
05/05/2000	1042.000	RECV	SHIRE PHARMA GROUP PLC ADR			\$47,280.75	VALUED PER STMT TRANS
05/05/2000	2070.000	RECV	SPRINT CORP PCS GROUP			\$123,423.75	VALUED PER STMT TRANS

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
05/05/2000		20000.000	RECV	STAR TELECOMMUNICATIONS		\$88,760.00	VALUED PER STMT TRANS
05/05/2000		6000.000	RECV	STARWOOD HOTELS & RESORTS WORLDWIDE INC		\$176,250.00	VALUED PER STMT TRANS
05/05/2000		5000.000	RECV	TEKELEC		\$176,250.00	VALUED PER STMT TRANS
05/05/2000		8050.000	RECV	3COM CORP		\$347,156.25	VALUED PER STMT TRANS
05/05/2000		4000.000	RECV	SYMYX TECHNOLOGIES INC		\$112,500.00	VALUED PER STMT TRANS
05/05/2000		5000.000	RECV	TECHNOLOGY SOLUTIONS CO		\$29,065.00	VALUED PER STMT TRANS
05/05/2000		15000.000	RECV	TECHNOLOGY SOLUTIONS CO		\$87,195.00	VALUED PER STMT TRANS
05/05/2000		4140.000	RECV	SPRINT CORP		\$250,730.82	VALUED PER STMT TRANS
05/05/2000		1000.000	RECV	US WEST INC NEW		\$72,938.00	VALUED PER STMT TRANS
05/05/2000		12000.000	RECV	WELLS FARGO & CO NEW		\$478,500.00	VALUED PER STMT TRANS
05/05/2000		10000.000	RECV	WORLD ACCESS INC		\$161,880.00	VALUED PER STMT TRANS
05/05/2000		200.000	RECV	MCI WORLDCOM INC 200101 53.375 CALL		\$78,750.00	VALUED PER STMT TRANS
05/05/2000	RECV SHORT	600.000		QUALCOMM INC 200201 195 CALL	\$1,350,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000		GLOBAL CROSSING LTD 200201 35 PUT	\$227,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	300.000		GLOBAL CROSSING LTD 200201 40 PUT	\$438,750.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000		GLOBAL CROSSING LTD 200101 40 PUT	\$116,250.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	255.000		FEDERAL HOME LN MTG 200101 45 PUT	\$176,906.25		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	300.000		INTL BUSINESS MACH 200201 80 PUT	\$202,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000		MCI WORLDCOM INC 200201 35 PUT	\$197,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000		COMPAQ COMPUTER CORP 200101 25 PUT	\$32,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000		LEVEL 3 COMMUNICATIONS 200201 70 PUT	\$167,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000		GLOBALSTAR TELECOMM 200201 15 PUT	\$385,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000		QUALCOMM INC 200201 100 PUT	\$265,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000		LUCENT TECHNOLOGIES INC 200201 45 PUT	\$118,750.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000		AT&T CORP 200201 50 PUT	\$285,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000		QUALCOMM INC 200201 80 PUT	\$161,250.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000		PSINET INC 200201 40 PUT	\$425,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000		FEDERAL HOME LN MTG 200201 40 PUT	\$123,750.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000		FEDERAL HOME LN MTG 200201 50 PUT	\$235,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	300.000		INTL BUSINESS MACH 200201 90 PUT	\$300,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000		QUALCOMM INC 200201 75 PUT	\$280,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000		COMPAQ COMPUTER CORP 200201 30 PUT	\$156,250.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	1000.000		MCI WORLDCOM INC 200201 43.375 PUT	\$875,000.00		VALUED PER STMT TRANS

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
05/05/2000	RECV SHORT	200.000	MCI WORLDCOM INC 200201 53.375 PUT		\$297,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	CISCO SYS INC 200201 50 PUT		\$165,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	CISCO SYS INC 200201 60 PUT		\$121,250.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000	PSINET INC 200201 30 PUT		\$550,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	PSINET INC 200201 35 PUT		\$347,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 33.375 PUT		\$25,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	280.000	MCI WORLDCOM INC 200101 46.625 PUT		\$227,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 50 PUT		\$105,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200101 56.625 PUT		\$153,750.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	NOVELL INC 200201 30 PUT		\$392,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	600.000	GLOBALSTAR TELECOMM 200201 25 PUT		\$1,095,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	400.000	GLOBALSTAR TELECOMM 200201 30 PUT		\$910,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	GLOBALSTAR TELECOMM 200201 35 PUT		\$545,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	BANK ONE CORP 200201 30 PUT		\$135,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	AMERICA ONLINE INC DEL 200201 27.5 PUT		\$37,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	600.000	GLOBAL CROSSING LTD 200201 30 PUT		\$510,000.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	100.000	MCI WORLDCOM INC 200201 40 PUT		\$69,375.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	AMERICA ONLINE INC DEL 200101 55 PUT		\$167,500.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	AMERICA ONLINE INC DEL 200101 50 PUT		\$118,750.00		VALUED PER STMT TRANS
05/05/2000	RECV SHORT	200.000	ENZON INC 200011 35 PUT		\$192,500.00		VALUED PER STMT TRANS
05/05/2000		1000.000 DELV SHRT	MCI WORLDCOM INC 200201 43.375 PUT		\$875,000.00		VALUED PER STMT TRANS
05/05/2000		5000.000 RECV	AMER EXPRESS CO			\$730,625.00	VALUED PER STMT TRANS
05/08/2000			FROM XY-98765			\$2,142.79	
05/08/2000		10850.000 RECV	VIACOM INC B NON VTG			\$591,325.00	VALUED PER STMT TRANS
05/09/2000			FROM XY-98765			\$880,681.23	
05/11/2000			DEBIT CASH BALANCE		\$81,671.91		
05/11/2000			CREDIT CASH BALANCE			\$107.31	
05/11/2000		2000.000 RECV	WORLDPORT COMMUNICATIONS INC			\$4,620.00	VALUED PER STMT TRANS
05/11/2000		500.000 RECV	WALT DISNEY CO HOLDING CO			\$20,562.50	VALUED PER STMT TRANS
05/11/2000		1025.000 RECV	GLOBAL CROSSING LTD			\$32,992.70	VALUED PER STMT TRANS
05/11/2000		600.000 RECV	CITIGROUP INC			\$35,362.80	VALUED PER STMT TRANS
05/11/2000		800.000 RECV	AMERICA ONLINE INC			\$42,950.40	VALUED PER STMT TRANS
05/11/2000		800.000 RECV	QUALCOMM INC			\$79,450.40	VALUED PER STMT TRANS

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
05/11/2000	3000.000	RECV	STAR TELECOMMUNICATIONS			\$11,250.00	VALUED PER STMT TRANS
05/11/2000	1000.000	RECV	3COM CORP			\$42,688.00	VALUED PER STMT TRANS
05/11/2000	542.000	RECV	VIACOM INC B NON VTG			\$28,150.39	VALUED PER STMT TRANS
05/11/2000	500.000	RECV	WIND RIVER SYSTEMS INC			\$18,344.00	VALUED PER STMT TRANS
05/11/2000	3000.000	RECV	WORLDCOM INC			\$126,939.00	VALUED PER STMT TRANS
05/11/2000	3000.000	RECV	WORLDPORT COMMUNICATIONS INC			\$6,930.00	VALUED PER STMT TRANS
05/11/2000	RECV SHORT	10.000	MCI WORLDCOM INC 200101 46.625 PUT 150 SHS				NOT VALUED PER STMT TRANS
05/12/2000	10000.000	RECV	AMER EXPRESS CO				NOT VALUED, STOCK SPLIT SHARES
05/12/2000	RECV SHORT	10.000	MCI WORLDCOM INC 200101 40 PUT 150 SHS				NOT VALUED PER STMT TRANS
05/15/2000			CREDIT CASH BALANCE			\$2,400.00	
05/15/2000	RECV SHORT	50.000	GLOBAL CROSSING LTD 200201 35 PUT		\$58,750.00		VALUED PER STMT TRANS
05/15/2000	RECV SHORT	20.000	MCI WORLDCOM INC 200201 43.375 PUT 150 SHS		\$18,250.00		VALUED PER STMT TRANS
05/16/2000			FROM XY-98765			\$337.50	
05/18/2000			CREDIT CASH BALANCE			\$1,924.55	
05/19/2000			CREDIT CASH BALANCE			\$28.08	
05/22/2000			LOAN INTEREST CHARGED		\$24,652.99		
05/22/2000			LOAN INTEREST CHARGED		\$243.12		
05/22/2000	RECV SHORT	20.000	WORLDCOM INC 200201 40 PUT 150 SHS		\$16,750.00		VALUED PER STMT TRANS
05/23/2000			TO XY-98765		\$2,301.65		
05/24/2000			PAINE WEBBER CASHFUND			\$3.77	DIVIDEND
05/26/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
05/30/2000			LEHMAN BROS HOLDINGS INC			\$22.00	DIVIDEND
05/31/2000			CREDIT CASH BALANCE			\$1,680.00	
05/31/2000			CREDIT CASH BALANCE			\$96.00	
06/01/2000			FIRSTENERGY CORP			\$196.50	DIVIDEND
06/01/2000			WELLS FARGO & CO NEW			\$2,640.00	DIVIDEND
06/09/2000			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
06/12/2000			INTL BUSINESS MACH			\$2,470.00	DIVIDEND
06/15/2000			CONS EDISON CO			\$545.00	DIVIDEND
06/15/2000			FIRST UNION CORP			\$2,400.00	DIVIDEND
06/22/2000			LOAN INTEREST CHARGED		\$35,244.50		
06/22/2000			LOAN INTEREST CHARGED		\$540.91		
06/22/2000			FM XY-98765			\$33,350.00	

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
06/29/2000			AMEREN CORP			\$635.00	DIVIDEND
06/29/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
06/29/2000			SPRINT CORP			\$517.50	DIVIDEND
06/30/2000			PAINE WEBBER CASHFUND			\$4.96	DIVIDEND
07/03/2000			BANK ONE CORP			\$6,300.00	DIVIDEND
07/03/2000			COMERICA INC			\$2,000.00	DIVIDEND
07/03/2000			ALLSTATE CORP			\$859.18	DIVIDEND
07/03/2000			ALLTEL CORP			\$225.60	DIVIDEND
07/03/2000			EASTMAN KODAK CO			\$440.00	DIVIDEND
07/03/2000			MERCK & CO			\$2,900.00	DIVIDEND
07/03/2000			SEARS ROEBUCK			\$230.00	DIVIDEND
07/06/2000			KIMBERLY CLARK CORP			\$1,474.20	DIVIDEND
07/14/2000			MANUFACTURED HOME COMMUNITIES INC			\$1,660.00	DIVIDEND
07/17/2000			SL GREEN REALTY CORP			\$1,450.00	DIVIDEND
07/24/2000			LOAN INTEREST CHARGED		\$30,768.35		
07/24/2000			LOAN INTEREST CHARGED		\$453.11		
07/26/2000			PAINE WEBBER CASHFUND			\$4.20	DIVIDEND
07/28/2000			BOSTON PROPERTIES INC			\$2,120.00	DIVIDEND
07/28/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
07/28/2000			CHASE MANHATTAN CORP NEW			\$4,800.00	DIVIDEND
08/04/2000			COLONIAL PROPERTIES TR			\$2,400.00	DIVIDEND
08/09/2000			TO XY-98765		\$3,000.00		
08/10/2000			AMER EXPRESS CO			\$1,200.00	DIVIDEND
08/15/2000			CINERGY CORP			\$337.50	DIVIDEND
08/21/2000			TO XY-98765		\$10,000.00		
08/22/2000			LOAN INTEREST CHARGED		\$34,040.82		
08/22/2000			LOAN INTEREST CHARGED		\$474.19		
08/23/2000			PAINE WEBBER CASHFUND			\$4.20	DIVIDEND
08/25/2000			CITIGROUP INC			\$3,826.67	DIVIDEND
08/25/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
08/25/2000			CITIGROUP INC			\$112.00	DIVIDEND
08/30/2000			LEHMAN BROS HOLDINGS INC			\$22.00	DIVIDEND
09/01/2000			FIRSTENERGY CORP			\$196.50	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
09/01/2000			WELLS FARGO & CO NEW			\$2,640.00	DIVIDEND
09/05/2000	800.000	RECV	ABGENIX INC			\$58,150.40	VALUED PER STMT TRANS; FM XY-98765
09/08/2000			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
09/11/2000			INTL BUSINESS MACH			\$2,080.00	DIVIDEND
09/13/2000			TO XY-98765		\$20,000.00		
09/14/2000			DEBIT CASH BALANCE		\$50.00		
09/14/2000	800.000	RECV	ABGENIX INC			\$67,600.00	VALUED PER STMT TRANS
09/15/2000			CONS EDISON CO			\$545.00	DIVIDEND
09/15/2000			FIRST UNION CORP			\$2,400.00	DIVIDEND
09/18/2000			TO XY-98765		\$17,000.00		
09/20/2000			PAINE WEBBER CASHFUND			\$4.35	DIVIDEND
09/22/2000			LOAN INTEREST CHARGED		\$41,857.90		
09/22/2000			LOAN INTEREST CHARGED		\$548.82		
09/22/2000			LOAN INTEREST CHARGED		\$3.78		
09/27/2000			PAINE WEBBER CASHFUND			\$0.60	DIVIDEND
09/28/2000			AMEREN CORP			\$635.00	DIVIDEND
09/28/2000			MANAGED MUNI PORTFOLIO II INC			\$400.00	DIVIDEND
09/28/2000			SPRINT CORP			\$517.50	DIVIDEND
10/02/2000			BANK ONE CORP			\$3,150.00	DIVIDEND
10/02/2000			COMERICA INC			\$2,000.00	DIVIDEND
10/02/2000			EASTMAN KODAK CO			\$440.00	DIVIDEND
10/02/2000			MERCK & CO			\$3,400.00	DIVIDEND
10/02/2000			SEARS ROEBUCK			\$230.00	DIVIDEND
10/03/2000			ALLTEL CORP			\$225.60	DIVIDEND
10/03/2000			KIMBERLY CLARK CORP			\$1,474.20	DIVIDEND
10/10/2000			PAINE WEBBER CASHFUND			\$8.40	DIVIDEND
10/13/2000			MANUFACTURED HOME COMMUNITIES INC			\$1,660.00	DIVIDEND
10/16/2000			SL GREEN REALTY CORP			\$1,450.00	DIVIDEND
10/23/2000			LOAN INTEREST CHARGED		\$48,742.11		
10/23/2000			LOAN INTEREST CHARGED		\$889.51		
10/23/2000			LOAN INTEREST CHARGED		\$0.50		
10/25/2000			PAINE WEBBER CASHFUND			\$10.55	DIVIDEND
10/27/2000			BOSTON PROPERTIES INC			\$2,120.00	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
10/30/2000			CHASE MANHATTAN CORP NEW			\$4,800.00	DIVIDEND
10/30/2000			PAINE WEBBER CASHFUND			\$0.60	DIVIDEND
11/10/2000			AMER EXPRESS CO			\$1,200.00	DIVIDEND
11/15/2000			CINERGY CORP			\$337.50	DIVIDEND
11/16/2000			FM XY-98765			\$10,000.00	
11/16/2000			FM XY-98765			\$2,700.00	
11/20/2000			LOAN INTEREST CHARGED		\$74.40		
11/22/2000			LOAN INTEREST CHARGED		\$23,157.54		
11/22/2000			CITIGROUP INC			\$2,846.62	DIVIDEND
11/22/2000			LOAN INTEREST CHARGED		\$611.30		
11/22/2000			CITIGROUP INC			\$112.00	DIVIDEND
11/29/2000			LEHMAN BROS HOLDINGS INC			\$22.00	DIVIDEND
12/01/2000			FIRSTENERGY CORP			\$196.50	DIVIDEND
12/01/2000			WELLS FARGO & CO NEW			\$2,880.00	DIVIDEND
12/08/2000			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
12/08/2000			FM XY-98765		\$21,016.05		
12/08/2000	5000.000	RECV	MSH ENTERTAINMENT CORP			\$125.00	VALUED PER STMT TRANS; FM XY-98765
12/08/2000	2000.000	RECV	PSINET INC			\$1,938.00	VALUED PER STMT TRANS; FM XY-98765
12/08/2000	2000.000	RECV	WORLDCOM INC			\$30,376.00	VALUED PER STMT TRANS; FM XY-98765
12/11/2000			INTL BUSINESS MACH			\$1,690.00	DIVIDEND
12/15/2000			CONS EDISON CO			\$545.00	DIVIDEND
12/22/2000			LOCAL CHECK			\$9,000.00	
12/22/2000			RMA MONEY MKT PORTFOLIO			\$47.00	DIVIDEND
12/28/2000			SPRINT CORP			\$517.50	DIVIDEND
12/28/2000			AMEREN CORP			\$635.00	DIVIDEND
12/29/2000			LOAN INTEREST CHARGED		\$15,226.17		
12/29/2000			LOAN INTEREST CHARGED		\$131.02		
01/02/2001			MERCK & CO			\$2,040.00	DIVIDEND
01/02/2001			RMA MONEY MKT PORTFOLIO			\$31.37	DIVIDEND
01/03/2001			ALLTEL CORP			\$232.65	DIVIDEND
01/03/2001			KIMBERLY CLARK CORP			\$1,474.20	DIVIDEND
01/05/2001			TO XY-98765		\$211.00		
01/19/2001			RMA MONEY MKT PORTFOLIO			\$56.97	DIVIDEND



## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
01/26/2001			LOAN INTEREST CHARGED		\$5,740.30		
01/26/2001			LOAN INTEREST CHARGED		\$71.85		
01/31/2001			JP MORGAN CHASE & CO			\$1,600.00	DIVIDEND
02/09/2001			AMER EXPRESS CO			\$800.00	DIVIDEND
02/15/2001			CINERGY CORP			\$337.50	DIVIDEND
02/16/2001			RMA MONEY MKT PORTFOLIO			\$56.60	DIVIDEND
02/22/2001			LOAN INTEREST CHARGED		\$22,668.75		
02/22/2001			LOAN INTEREST CHARGED		\$181.76		
02/23/2001			CITIGROUP INC			\$2,146.62	DIVIDEND
02/23/2001			CITIGROUP INC			\$112.00	DIVIDEND
02/27/2001			LEHMAN BROS HOLDINGS INC			\$28.00	DIVIDEND
03/01/2001			FIRSTENERGY CORP			\$196.50	DIVIDEND
03/01/2001			WELLS FARGO & CO NEW			\$2,880.00	DIVIDEND
03/07/2001			PAINE WEBBER CASHFUND			\$2.51	DIVIDEND
03/09/2001			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
03/12/2001			INTL BUSINESS MACH			\$1,300.00	DIVIDEND
03/13/2001			PAINE WEBBER CASHFUND			\$1.31	DIVIDEND
03/15/2001			CONS EDISON CO			\$550.00	DIVIDEND
03/20/2001			LOCAL CHECK			\$8,000.00	
03/21/2001			FROM XY-98765			\$51,330.00	
03/22/2001			LOAN INTEREST CHARGED		\$16,724.98		
03/22/2001			LOCAL CHECK DEPOSITED			\$5,000.00	
03/22/2001			LOAN INTEREST CHARGED		\$10.19		
03/23/2001			RMA MONEY MKT PORTFOLIO			\$26.80	DIVIDEND
03/28/2001			PAINE WEBBER CASHFUND			\$0.51	DIVIDEND
03/29/2001			AMEREN CORP			\$635.00	DIVIDEND
03/29/2001			SPRINT CORP			\$517.50	DIVIDEND
04/03/2001			ALLTEL CORP			\$232.65	DIVIDEND
04/03/2001			PAINE WEBBER CASHFUND			\$2.57	DIVIDEND
04/10/2001			LOCAL CHECK			\$5,000.00	
04/20/2001			RMA MONEY MKT PORTFOLIO			\$6.18	DIVIDEND
04/24/2001			LOAN INTEREST CHARGED		\$11,945.05		
04/24/2001			LOAN INTEREST CHARGED		\$0.96		

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
04/25/2001			PAIN WEBBER CASHFUND			\$2.54	DIVIDEND
04/27/2001			JP MORGAN CHASE & CO			\$1,700.00	DIVIDEND
05/03/2001			TO XY-98765		\$44.22		
05/10/2001			AMER EXPRESS CO			\$400.00	DIVIDEND
05/15/2001			CINERGY CORP			\$337.50	DIVIDEND
05/18/2001			RMA MONEY MKT PORTFOLIO			\$26.86	DIVIDEND
05/22/2001			LOAN INTEREST CHARGED		\$10,746.66		
05/22/2001			LOAN INTEREST CHARGED		\$41.33		
05/23/2001			PAIN WEBBER CASHFUND			\$5.40	DIVIDEND
05/25/2001			RMA SERVICES FEE		\$45.00		
05/25/2001			CITIGROUP INC			\$746.62	DIVIDEND
05/25/2001			RMA SERVICES FEE		\$85.00		
05/30/2001			LEHMAN BROS HOLDINGS INC			\$28.00	DIVIDEND
06/01/2001			FIRSTENERGY CORP			\$196.50	DIVIDEND
06/01/2001			WELLS FARGO & CO NEW			\$1,920.00	DIVIDEND
06/08/2001			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
06/11/2001			INTL BUSINESS MACH			\$700.00	DIVIDEND
06/15/2001			CONS EDISON CO			\$550.00	DIVIDEND
06/20/2001			LOCAL CHECK			\$10,000.00	
06/22/2001			LOAN INTEREST CHARGED		\$12,231.02		
06/22/2001			LOAN INTEREST CHARGED		\$370.21		
06/27/2001			PAIN WEBBER CASHFUND			\$12.11	DIVIDEND
06/28/2001			AMEREN CORP			\$635.00	DIVIDEND
06/28/2001			SPRINT CORP			\$517.50	DIVIDEND
07/02/2001			PAIN WEBBER CASHFUND			\$0.78	DIVIDEND
07/03/2001			ALLTEL CORP			\$232.65	DIVIDEND
07/16/2001			FROM XY-98765			\$8,985.00	
07/23/2001			LOAN INTEREST CHARGED		\$12,819.47		
07/23/2001			LOAN INTEREST CHARGED		\$225.17		
07/23/2001			LOAN INTEREST CHARGED		\$10.75		
07/25/2001			PAIN WEBBER CASHFUND			\$0.45	DIVIDEND
07/26/2001			PAIN WEBBER CASHFUND			\$0.09	DIVIDEND
07/30/2001			JP MORGAN CHASE & CO			\$1,700.00	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
08/01/2001			AT&T CORP			\$465.00	DIVIDEND
08/10/2001			AMER EXPRESS CO			\$400.00	DIVIDEND
08/13/2001			FROM XY-98765			\$20,000.00	
08/15/2001			CINERGY CORP			\$337.50	DIVIDEND
08/15/2001			LOCAL CHECK			\$5,000.00	
08/22/2001			LOAN INTEREST CHARGED		\$11,386.46		
08/22/2001			LOAN INTEREST CHARGED		\$205.72		
08/22/2001			LOAN INTEREST CHARGED		\$12.69		
08/24/2001			CITIGROUP INC			\$853.28	DIVIDEND
08/30/2001			LEHMAN BROS HOLDINGS INC			\$28.00	DIVIDEND
09/04/2001			FIRSTENERGY CORP			\$196.50	DIVIDEND
09/04/2001			WELLS FARGO & CO NEW			\$2,080.00	DIVIDEND
09/07/2001			LONDON PAC GROUP LTD SPON ADR			\$44.00	DIVIDEND
09/10/2001			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
09/10/2001			INTL BUSINESS MACH			\$700.00	DIVIDEND
09/17/2001			CONS EDISON CO			\$550.00	DIVIDEND
09/18/2001			LOCAL CHECK			\$2,384.00	
09/24/2001			LOAN INTEREST CHARGED		\$8,334.09		
09/24/2001			LOAN INTEREST CHARGED		\$144.46		
09/24/2001			LOAN INTEREST CHARGED		\$13.97		
09/26/2001			LOCAL CHECK			\$3,701.00	
09/27/2001			AMEREN CORP			\$635.00	DIVIDEND
09/27/2001			SPRINT CORP			\$392.50	DIVIDEND
10/03/2001			ALLTEL CORP			\$232.65	DIVIDEND
10/03/2001			LOCAL CHECK			\$1,274.00	
10/03/2001			LOCAL CHECK DEPOSITED			\$555.00	
10/15/2001			WORLDCOM INC GA NEW MCI GROUP			\$1,920.00	DIVIDEND
10/22/2001			LOAN INTEREST CHARGED		\$5,383.79		
10/22/2001			LOAN INTEREST CHARGED		\$92.06		
10/22/2001			LOAN INTEREST CHARGED		\$2.93		
10/30/2001			JP MORGAN CHASE & CO			\$1,020.00	DIVIDEND
11/01/2001			AT&T CORP			\$375.00	DIVIDEND
11/15/2001			CINERGY CORP			\$337.50	DIVIDEND

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
11/23/2001			LOAN INTEREST CHARGED		\$4,902.44		
11/23/2001			LOAN INTEREST CHARGED		\$84.96		
11/29/2001			LEHMAN BROS HOLDINGS INC			\$28.00	DIVIDEND
12/03/2001			FIRSTENERGY CORP			\$146.30	DIVIDEND
12/03/2001			WELLS FARGO & CO NEW			\$2,080.00	DIVIDEND
12/07/2001			FIRSTENERGY CORP			\$50.20	DIVIDEND
12/10/2001			AMER ELECTRIC POWER CO			\$1,200.00	DIVIDEND
12/10/2001			INTL BUSINESS MACH			\$140.00	DIVIDEND
12/17/2001			CONS EDISON CO			\$550.00	DIVIDEND
12/28/2001			SPRINT CORP			\$392.50	DIVIDEND
12/28/2001			AMEREN CORP			\$635.00	DIVIDEND
12/31/2001			LOAN INTEREST CHARGED		\$5,891.05		
12/31/2001			LOAN INTEREST CHARGED		\$106.38		
01/03/2002			ALLTEL CORP			\$239.70	DIVIDEND
01/15/2002			WORLDCOM INC GA NEW MCI GROUP			\$1,920.00	DIVIDEND
01/22/2002			LOAN INTEREST CHARGED		\$2,955.97		
01/22/2002			LOAN INTEREST CHARGED		\$55.03		
01/29/2002			LOCAL CHECK			\$500.00	
01/30/2002			JP MORGAN CHASE & CO			\$1,020.00	DIVIDEND
02/01/2002			AT&T CORP			\$375.00	DIVIDEND
02/06/2002			FEDERAL FUNDS DEPOSITED			\$2,600.00	
02/06/2002			FEDERAL FUNDS DEPOSITED			\$3,500.00	
02/07/2002			FROM XY-98765			\$20,300.00	
02/08/2002	1000.000	RECV	E-LOAN INC			\$1,320.00	VALUED PER STMT TRANS
02/08/2002	387.000	RECV	WALT DISNEY CO			\$9,063.54	VALUED PER STMT TRANS
02/08/2002	6000.000	RECV	GLOBALSTAR TELECOMM			\$846.00	VALUED PER STMT TRANS
02/08/2002	5000.000	RECV	PSINET INC			\$5.00	VALUED PER STMT TRANS
02/08/2002	10000.000	RECV	WORLDCOM INC			\$81,800.00	VALUED PER STMT TRANS
02/08/2002	400.000	RECV	WORLDCOM INC GA NEW MCI GROUP			\$3,176.00	VALUED PER STMT TRANS
02/13/2002			CHECK XY-98765		\$3,000.00		
02/15/2002			CINERGY CORP			\$337.50	DIVIDEND
02/22/2002			LOAN INTEREST CHARGED		\$3,484.64		
02/22/2002			LOAN INTEREST CHARGED		\$63.58		

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
02/26/2002			TO XY-98765		\$255.11		
02/27/2002			LEHMAN BROS HOLDINGS INC			\$36.00	DIVIDEND
03/01/2002			FIRSTENERGY CORP			\$196.50	DIVIDEND
03/01/2002			WELLS FARGO & CO NEW			\$2,080.00	DIVIDEND
03/11/2002			INTL BUSINESS MACH			\$140.00	DIVIDEND
03/22/2002			LOAN INTEREST CHARGED		\$2,194.52		
03/22/2002			LOAN INTEREST CHARGED		\$44.12		
03/25/2002			FEDERAL FUNDS DEPOSITED			\$12,715.00	
04/01/2002			AMEREN CORP			\$635.00	DIVIDEND
04/03/2002			ALLTEL CORP			\$239.70	DIVIDEND
04/15/2002			WORLDCOM INC GA NEW MCI GROUP			\$240.00	DIVIDEND
04/22/2002			LOAN INTEREST CHARGED		\$2,430.38		
04/22/2002			LOAN INTEREST CHARGED		\$30.34		
04/22/2002			LOAN INTEREST CHARGED		\$25.76		
04/29/2002			JP MORGAN CHASE & CO			\$1,020.00	DIVIDEND
04/30/2002	IN ACCT	705.000	ALLTEL CORP	\$49.500		\$34,897.50	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	1000.000	AMEREN CORP	\$41.760		\$41,760.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	4000.000	AOL TIME WARNER INC	\$19.020		\$76,080.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	750.000	CINERGY CORP	\$35.530		\$26,647.50	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	25000.000	E.SPIRE COMMUNICATIONS INC	\$0.001		\$25.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	1000.000	E-LOAN INC	\$1.230		\$1,230.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	3000.000	ENZON INC	\$37.240		\$111,720.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	5000.000	FIRST PACIFIC NETWORKS INC				NOT VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	524.000	FIRSTENERGY CORP	\$33.300		\$17,449.20	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	17500.000	GLOBAL CROSSING LTD	\$0.057		\$997.50	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	6000.000	GLOBALSTAR TELECOMM	\$0.137		\$822.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	5000.000	MID-AMER WASTE SYS INC				NOT VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	10000.000	ORACLE CORP	\$10.040		\$100,400.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	55400.000	PSINET INC	\$0.001		\$55.40	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	600.000	ROHN INDUSTRIES INC	\$1.259		\$755.40	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	2000.000	SUN MICROSYSTEMS INC	\$8.180		\$16,360.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	2020.000	VIACOM INC B NON VTG	\$47.100		\$95,142.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	387.000	WALT DISNEY CO	\$23.180		\$8,970.66	VALUED PER 04/30/02 PORT

## Cash Transactions Chronology

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	BOT/REC	SLD/DEL	DESCRIPTION	PRICE	DEBIT	CREDIT	COMMENTS
04/30/2002	IN ACCT	8000.000	WELLS FARGO & CO NEW	\$51.150		\$409,200.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	20000.000	WORLDCOM INC	\$2.479		\$49,580.00	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	400.000	WORLDCOM INC GA NEW MCI GROUP	\$3.751		\$1,500.40	VALUED PER 04/30/02 PORT
04/30/2002	IN ACCT	5000.000	MSH ENTERTAINMENT CORP	\$0.010		\$50.00	VALUED PER 4/30/02 PORT
04/30/2002	IN ACCT	45.000	QUALCOMM INC	\$30.160		\$1,357.20	VALUED PER 4/30/02 PORT

962

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
02/19/1999	RMA MONEY MKT PORTFOLIO	DIVIDEND	\$20.47	
03/19/1999	RMA MONEY MKT PORTFOLIO	DIVIDEND	\$65.88	
06/23/1999	PAINE WEBBER CASHFUND	DIVIDEND	\$76.11	
07/28/1999	PAINE WEBBER CASHFUND	DIVIDEND	\$121.95	
08/10/1999	PAINE WEBBER CASHFUND	DIVIDEND	\$34.12	
08/16/1999	PAINE WEBBER CASHFUND	DIVIDEND	\$7.95	
08/23/1999	PAINE WEBBER CASHFUND	DIVIDEND	\$1.05	
09/22/1999	PAINE WEBBER CASHFUND	DIVIDEND	\$9.34	
09/30/1999	PAINE WEBBER CASHFUND	DIVIDEND	\$13.34	
04/26/2000	PAINE WEBBER CASHFUND	DIVIDEND	\$4.21	
05/24/2000	PAINE WEBBER CASHFUND	DIVIDEND	\$3.77	
06/30/2000	PAINE WEBBER CASHFUND	DIVIDEND	\$4.96	
07/26/2000	PAINE WEBBER CASHFUND	DIVIDEND	\$4.20	
08/23/2000	PAINE WEBBER CASHFUND	DIVIDEND	\$4.20	
09/20/2000	PAINE WEBBER CASHFUND	DIVIDEND	\$4.35	
09/27/2000	PAINE WEBBER CASHFUND	DIVIDEND	\$0.60	
10/10/2000	PAINE WEBBER CASHFUND	DIVIDEND	\$8.40	
10/25/2000	PAINE WEBBER CASHFUND	DIVIDEND	\$10.55	
10/30/2000	PAINE WEBBER CASHFUND	DIVIDEND	\$0.60	
12/22/2000	RMA MONEY MKT PORTFOLIO	DIVIDEND	\$47.00	
01/02/2001	RMA MONEY MKT PORTFOLIO	DIVIDEND	\$31.37	
01/19/2001	RMA MONEY MKT PORTFOLIO	DIVIDEND	\$56.97	
02/16/2001	RMA MONEY MKT PORTFOLIO	DIVIDEND	\$56.60	
03/07/2001	PAINE WEBBER CASHFUND	DIVIDEND	\$2.51	
03/13/2001	PAINE WEBBER CASHFUND	DIVIDEND	\$1.31	
03/23/2001	RMA MONEY MKT PORTFOLIO	DIVIDEND	\$26.80	
03/28/2001	PAINE WEBBER CASHFUND	DIVIDEND	\$0.51	
04/03/2001	PAINE WEBBER CASHFUND	DIVIDEND	\$2.57	
04/20/2001	RMA MONEY MKT PORTFOLIO	DIVIDEND	\$6.18	
04/25/2001	PAINE WEBBER CASHFUND	DIVIDEND	\$2.54	
05/18/2001	RMA MONEY MKT PORTFOLIO	DIVIDEND	\$26.86	
05/23/2001	PAINE WEBBER CASHFUND	DIVIDEND	\$5.40	
06/27/2001	PAINE WEBBER CASHFUND	DIVIDEND	\$12.11	
07/02/2001	PAINE WEBBER CASHFUND	DIVIDEND	\$0.78	
07/25/2001	PAINE WEBBER CASHFUND	DIVIDEND	\$0.45	

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
07/26/2001	PAINE WEBBER CASHFUND	DIVIDEND	\$0.09	
				<b>\$676.10</b>
10/05/1998	ALLTEL CORP	DIVIDEND	\$147.61	
01/04/1999	ALLTEL CORP	DIVIDEND	\$155.25	
04/05/1999	ALLTEL CORP	DIVIDEND	\$155.25	
07/06/1999	ALLTEL CORP	DIVIDEND	\$155.25	
10/04/1999	ALLTEL CORP	DIVIDEND	\$155.25	
01/03/2000	ALLTEL CORP	DIVIDEND	\$162.88	
04/03/2000	ALLTEL CORP	DIVIDEND	\$162.88	
07/03/2000	ALLTEL CORP	DIVIDEND	\$225.60	
10/03/2000	ALLTEL CORP	DIVIDEND	\$225.60	
01/03/2001	ALLTEL CORP	DIVIDEND	\$232.65	
04/03/2001	ALLTEL CORP	DIVIDEND	\$232.65	
07/03/2001	ALLTEL CORP	DIVIDEND	\$232.65	
10/03/2001	ALLTEL CORP	DIVIDEND	\$232.65	
01/03/2002	ALLTEL CORP	DIVIDEND	\$239.70	
04/03/2002	ALLTEL CORP	DIVIDEND	\$239.70	
				<b>\$2,955.57</b>
10/01/1997	ALLSTATE CORP	DIVIDEND	\$222.48	
01/02/1998	ALLSTATE CORP	DIVIDEND	\$222.48	
04/01/1998	ALLSTATE CORP	DIVIDEND	\$250.29	
07/01/1998	ALLSTATE CORP	DIVIDEND	\$250.29	
10/01/1998	ALLSTATE CORP	DIVIDEND	\$250.29	
01/04/1999	ALLSTATE CORP	DIVIDEND	\$250.29	
04/01/1999	ALLSTATE CORP	DIVIDEND	\$278.10	
07/01/1999	ALLSTATE CORP	DIVIDEND	\$758.10	
10/01/1999	ALLSTATE CORP	DIVIDEND	\$758.10	
01/03/2000	ALLSTATE CORP	DIVIDEND	\$758.10	
04/03/2000	ALLSTATE CORP	DIVIDEND	\$859.18	
07/03/2000	ALLSTATE CORP	DIVIDEND	\$859.18	
				<b>\$5,716.88</b>



## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
09/10/1997	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
12/10/1997	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
03/10/1998	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
06/10/1998	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
09/10/1998	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
12/10/1998	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
03/10/1999	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
06/10/1999	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
09/10/1999	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
12/10/1999	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
03/10/2000	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
06/09/2000	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
09/08/2000	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
12/08/2000	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
03/09/2001	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
06/08/2001	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
09/10/2001	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
12/10/2001	AMER ELECTRIC POWER CO	DIVIDEND	\$1,200.00	
				<b>\$21,600.00</b>
11/10/1997	AMER EXPRESS CO	DIVIDEND	\$1,125.00	
02/10/1998	AMER EXPRESS CO	DIVIDEND	\$1,125.00	
05/08/1998	AMER EXPRESS CO	DIVIDEND	\$1,125.00	
08/10/1998	AMER EXPRESS CO	DIVIDEND	\$1,125.00	
11/10/1998	AMER EXPRESS CO	DIVIDEND	\$1,125.00	
02/10/1999	AMER EXPRESS CO	DIVIDEND	\$1,125.00	
05/10/1999	AMER EXPRESS CO	DIVIDEND	\$1,125.00	
08/10/1999	AMER EXPRESS CO	DIVIDEND	\$1,125.00	
11/10/1999	AMER EXPRESS CO	DIVIDEND	\$1,125.00	
02/10/2000	AMER EXPRESS CO	DIVIDEND	\$1,125.00	
08/10/2000	AMER EXPRESS CO	DIVIDEND	\$1,200.00	
11/10/2000	AMER EXPRESS CO	DIVIDEND	\$1,200.00	
02/09/2001	AMER EXPRESS CO	DIVIDEND	\$800.00	
05/10/2001	AMER EXPRESS CO	DIVIDEND	\$400.00	
08/10/2001	AMER EXPRESS CO	DIVIDEND	\$400.00	

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
				<b>\$15,250.00</b>
06/29/2000	AMEREN CORP	DIVIDEND	\$635.00	
09/28/2000	AMEREN CORP	DIVIDEND	\$635.00	
12/28/2000	AMEREN CORP	DIVIDEND	\$635.00	
03/29/2001	AMEREN CORP	DIVIDEND	\$635.00	
06/28/2001	AMEREN CORP	DIVIDEND	\$635.00	
09/27/2001	AMEREN CORP	DIVIDEND	\$635.00	
12/28/2001	AMEREN CORP	DIVIDEND	\$635.00	
04/01/2002	AMEREN CORP	DIVIDEND	\$635.00	
				<b>\$5,080.00</b>
06/12/1998	AMERICA ONLINE INC DEL	DIVIDEND	\$1.25	
				<b>\$1.25</b>
08/01/2001	AT&T CORP	DIVIDEND	\$465.00	
11/01/2001	AT&T CORP	DIVIDEND	\$375.00	
02/01/2002	AT&T CORP	DIVIDEND	\$375.00	
				<b>\$1,215.00</b>
07/03/2000	BANK ONE CORP	DIVIDEND	\$6,300.00	
10/02/2000	BANK ONE CORP	DIVIDEND	\$3,150.00	
				<b>\$9,450.00</b>
07/28/2000	BOSTON PROPERTIES INC	DIVIDEND	\$2,120.00	
10/27/2000	BOSTON PROPERTIES INC	DIVIDEND	\$2,120.00	
				<b>\$4,240.00</b>
10/30/1997	CHASE MANHATTAN CORP NEW	DIVIDEND	\$930.00	
02/02/1998	CHASE MANHATTAN CORP NEW	DIVIDEND	\$930.00	
04/29/1998	CHASE MANHATTAN CORP NEW	DIVIDEND	\$1,080.00	
07/30/1998	CHASE MANHATTAN CORP NEW	DIVIDEND	\$1,080.00	
11/02/1998	CHASE MANHATTAN CORP NEW	DIVIDEND	\$1,800.00	
02/01/1999	CHASE MANHATTAN CORP NEW	DIVIDEND	\$1,800.00	
04/29/1999	CHASE MANHATTAN CORP NEW	DIVIDEND	\$2,050.00	
08/02/1999	CHASE MANHATTAN CORP NEW	DIVIDEND	\$2,050.00	
11/01/1999	CHASE MANHATTAN CORP NEW	DIVIDEND	\$2,050.00	
01/28/2000	CHASE MANHATTAN CORP NEW	DIVIDEND	\$2,050.00	

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
07/28/2000	CHASE MANHATTAN CORP NEW	DIVIDEND	\$4,800.00	
10/30/2000	CHASE MANHATTAN CORP NEW	DIVIDEND	\$4,800.00	
01/31/2001	JP MORGAN CHASE & CO	DIVIDEND	\$1,600.00	
04/27/2001	JP MORGAN CHASE & CO	DIVIDEND	\$1,700.00	
07/30/2001	JP MORGAN CHASE & CO	DIVIDEND	\$1,700.00	
10/30/2001	JP MORGAN CHASE & CO	DIVIDEND	\$1,020.00	
01/30/2002	JP MORGAN CHASE & CO	DIVIDEND	\$1,020.00	
04/29/2002	JP MORGAN CHASE & CO	DIVIDEND	\$1,020.00	
				<b>\$33,480.00</b>
11/17/1997	CINERGY CORP	DIVIDEND	\$337.50	
02/17/1998	CINERGY CORP	DIVIDEND	\$337.50	
05/15/1998	CINERGY CORP	DIVIDEND	\$337.50	
08/17/1998	CINERGY CORP	DIVIDEND	\$337.50	
11/16/1998	CINERGY CORP	DIVIDEND	\$337.50	
02/16/1999	CINERGY CORP	DIVIDEND	\$337.50	
05/17/1999	CINERGY CORP	DIVIDEND	\$337.50	
08/16/1999	CINERGY CORP	DIVIDEND	\$337.50	
11/15/1999	CINERGY CORP	DIVIDEND	\$337.50	
02/15/2000	CINERGY CORP	DIVIDEND	\$337.50	
08/15/2000	CINERGY CORP	DIVIDEND	\$337.50	
11/15/2000	CINERGY CORP	DIVIDEND	\$337.50	
02/15/2001	CINERGY CORP	DIVIDEND	\$337.50	
05/15/2001	CINERGY CORP	DIVIDEND	\$337.50	
08/15/2001	CINERGY CORP	DIVIDEND	\$337.50	
11/15/2001	CINERGY CORP	DIVIDEND	\$337.50	
02/15/2002	CINERGY CORP	DIVIDEND	\$337.50	
				<b>\$5,737.50</b>
08/04/2000	COLONIAL PROPERTIES TR	DIVIDEND	\$2,400.00	
				<b>\$2,400.00</b>

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
07/03/2000	COMERICA INC	DIVIDEND	\$2,000.00	
10/02/2000	COMERICA INC	DIVIDEND	\$2,000.00	
				<b>\$4,000.00</b>
07/20/1999	COMPAQ COMPUTER CORP	DIVIDEND	\$200.00	
10/20/1999	COMPAQ COMPUTER CORP	DIVIDEND	\$200.00	
01/20/2000	COMPAQ COMPUTER CORP	DIVIDEND	\$500.00	
				<b>\$900.00</b>
09/15/1997	CONS EDISON	DIVIDEND	\$525.00	
12/15/1997	CONS EDISON	DIVIDEND	\$525.00	
03/16/1998	CONS EDISON CO	DIVIDEND	\$530.00	
06/15/1998	CONS EDISON CO	DIVIDEND	\$530.00	
09/15/1998	CONS EDISON CO	DIVIDEND	\$530.00	
12/15/1998	CONS EDISON CO	DIVIDEND	\$530.00	
03/15/1999	CONS EDISON CO	DIVIDEND	\$535.00	
06/15/1999	CONS EDISON CO	DIVIDEND	\$535.00	
09/15/1999	CONS EDISON CO	DIVIDEND	\$535.00	
12/15/1999	CONS EDISON CO	DIVIDEND	\$535.00	
03/15/2000	CONS EDISON CO	DIVIDEND	\$545.00	
06/15/2000	CONS EDISON CO	DIVIDEND	\$545.00	
09/15/2000	CONS EDISON CO	DIVIDEND	\$545.00	
12/15/2000	CONS EDISON CO	DIVIDEND	\$545.00	
03/15/2001	CONS EDISON CO	DIVIDEND	\$550.00	
06/15/2001	CONS EDISON CO	DIVIDEND	\$550.00	
09/17/2001	CONS EDISON CO	DIVIDEND	\$550.00	
12/17/2001	CONS EDISON CO	DIVIDEND	\$550.00	
				<b>\$9,690.00</b>
11/17/1997	COOK CO ILL GENL OBLIG 5%	INTEREST	\$2,500.00	
05/15/1998	COOK CO ILL GENL OBLIG 5%	INTEREST	\$2,500.00	
11/16/1998	COOK CO ILL GENL OBLIG 5%	INTEREST	\$2,500.00	
				<b>\$7,500.00</b>

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
10/01/1997	EASTMAN KODAK CO	DIVIDEND	\$440.00	
01/02/1998	EASTMAN KODAK CO	DIVIDEND	\$440.00	
04/01/1998	EASTMAN KODAK CO	DIVIDEND	\$440.00	
07/01/1998	EASTMAN KODAK CO	DIVIDEND	\$440.00	
10/01/1998	EASTMAN KODAK CO	DIVIDEND	\$440.00	
01/04/1999	EASTMAN KODAK CO	DIVIDEND	\$440.00	
04/01/1999	EASTMAN KODAK CO	DIVIDEND	\$440.00	
07/01/1999	EASTMAN KODAK CO	DIVIDEND	\$440.00	
10/01/1999	EASTMAN KODAK CO	DIVIDEND	\$440.00	
01/03/2000	EASTMAN KODAK CO	DIVIDEND	\$440.00	
04/03/2000	EASTMAN KODAK CO	DIVIDEND	\$440.00	
07/03/2000	EASTMAN KODAK CO	DIVIDEND	\$440.00	
10/02/2000	EASTMAN KODAK CO	DIVIDEND	\$440.00	
				<b>\$5,720.00</b>
03/02/2000	ECI TELECOM LTD ISRAEL	DIVIDEND	\$7.50	
				<b>\$7.50</b>
06/15/2000	FIRST UNION CORP	DIVIDEND	\$2,400.00	
09/15/2000	FIRST UNION CORP	DIVIDEND	\$2,400.00	
				<b>\$4,800.00</b>
06/01/2000	FIRSTENERGY CORP	DIVIDEND	\$196.50	
09/01/2000	FIRSTENERGY CORP	DIVIDEND	\$196.50	
12/01/2000	FIRSTENERGY CORP	DIVIDEND	\$196.50	
03/01/2001	FIRSTENERGY CORP	DIVIDEND	\$196.50	
06/01/2001	FIRSTENERGY CORP	DIVIDEND	\$196.50	
09/04/2001	FIRSTENERGY CORP	DIVIDEND	\$196.50	
12/03/2001	FIRSTENERGY CORP	DIVIDEND	\$146.30	
12/07/2001	FIRSTENERGY CORP	DIVIDEND	\$50.20	
03/01/2002	FIRSTENERGY CORP	DIVIDEND	\$196.50	
				<b>\$1,572.00</b>

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
05/03/1999	FRONTIER CORP	DIVIDEND	\$25.00	
08/02/1999	FRONTIER CORP	DIVIDEND	\$25.00	
				<b>\$50.00</b>
09/10/1997	INTL BUSINESS MACH	DIVIDEND	\$1,200.00	
12/10/1997	INTL BUSINESS MACH	DIVIDEND	\$1,200.00	
03/10/1998	INTL BUSINESS MACH	DIVIDEND	\$1,200.00	
06/10/1998	INTL BUSINESS MACH	DIVIDEND	\$1,320.00	
09/10/1998	INTL BUSINESS MACH	DIVIDEND	\$1,320.00	
12/10/1998	INTL BUSINESS MACH	DIVIDEND	\$1,320.00	
03/10/1999	INTL BUSINESS MACH	DIVIDEND	\$1,320.00	
06/10/1999	INTL BUSINESS MACH	DIVIDEND	\$1,440.00	
09/10/1999	INTL BUSINESS MACH	DIVIDEND	\$1,440.00	
12/10/1999	INTL BUSINESS MACH	DIVIDEND	\$1,440.00	
03/10/2000	INTL BUSINESS MACH	DIVIDEND	\$1,800.00	
06/12/2000	INTL BUSINESS MACH	DIVIDEND	\$2,470.00	
09/11/2000	INTL BUSINESS MACH	DIVIDEND	\$2,080.00	
12/11/2000	INTL BUSINESS MACH	DIVIDEND	\$1,690.00	
03/12/2001	INTL BUSINESS MACH	DIVIDEND	\$1,300.00	
06/11/2001	INTL BUSINESS MACH	DIVIDEND	\$700.00	
09/10/2001	INTL BUSINESS MACH	DIVIDEND	\$700.00	
12/10/2001	INTL BUSINESS MACH	DIVIDEND	\$140.00	
03/11/2002	INTL BUSINESS MACH	DIVIDEND	\$140.00	
				<b>\$24,220.00</b>
10/02/1997	KIMBERLY CLARK CORP	DIVIDEND	\$1,310.40	
01/05/1998	KIMBERLY CLARK CORP	DIVIDEND	\$1,310.40	
04/02/1998	KIMBERLY CLARK CORP	DIVIDEND	\$1,365.00	
07/02/1998	KIMBERLY CLARK CORP	DIVIDEND	\$1,365.00	
10/02/1998	KIMBERLY CLARK CORP	DIVIDEND	\$1,365.00	
01/05/1999	KIMBERLY CLARK CORP	DIVIDEND	\$1,365.00	
04/05/1999	KIMBERLY CLARK CORP	DIVIDEND	\$1,419.60	
07/02/1999	KIMBERLY CLARK CORP	DIVIDEND	\$1,419.60	
10/04/1999	KIMBERLY CLARK CORP	DIVIDEND	\$1,419.60	
01/04/2000	KIMBERLY CLARK CORP	DIVIDEND	\$1,419.60	
04/04/2000	KIMBERLY CLARK CORP	DIVIDEND	\$1,474.20	

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
07/06/2000	KIMBERLY CLARK CORP	DIVIDEND	\$1,474.20	
10/03/2000	KIMBERLY CLARK CORP	DIVIDEND	\$1,474.20	
01/03/2001	KIMBERLY CLARK CORP	DIVIDEND	\$1,474.20	
				<b>\$19,656.00</b>
11/26/1997	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$12.00	
02/26/1998	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$15.00	
05/28/1998	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$15.00	
08/28/1998	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$15.00	
11/27/1998	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$15.00	
02/25/1999	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$18.00	
06/01/1999	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$18.00	
08/30/1999	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$18.00	
11/29/1999	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$18.00	
02/28/2000	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$22.00	
05/30/2000	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$22.00	
08/30/2000	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$22.00	
11/29/2000	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$22.00	
02/27/2001	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$28.00	
05/30/2001	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$28.00	
08/30/2001	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$28.00	
11/29/2001	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$28.00	
02/27/2002	LEHMAN BROS HOLDINGS INC	DIVIDEND	\$36.00	
				<b>\$380.00</b>
09/07/2001	LONDON PAC GROUP LTD SPON	DIVIDEND	\$44.00	
				<b>\$44.00</b>
09/26/1997	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$448.00	
10/30/1997	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$448.00	
11/26/1997	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$448.00	
12/26/1997	MANAGED MUNI PORTFOLIO II	DIVIDEND STG	\$1,280.00	
12/26/1997	MANAGED MUNI PORTFOLIO II	DIVIDEND LTG	\$80.00	
01/29/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$448.00	
02/26/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$448.00	
03/27/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
04/24/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
05/28/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
06/26/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
07/30/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$384.00	
08/28/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$384.00	
09/25/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$392.00	
10/29/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$392.00	
11/27/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$392.00	
12/24/1998	MANAGED MUNI PORTFOLIO II	DIVIDEND STG	\$1,416.00	
01/28/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$392.00	
03/15/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$392.00	
03/26/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$392.00	
04/29/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$392.00	
05/27/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$392.00	
06/25/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
07/29/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
08/27/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
09/24/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
10/28/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
11/26/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
12/30/1999	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
01/28/2000	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
02/25/2000	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
03/30/2000	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
05/26/2000	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
06/29/2000	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
07/28/2000	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
08/25/2000	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
09/28/2000	MANAGED MUNI PORTFOLIO II	DIVIDEND	\$400.00	
				<b>\$16,520.00</b>



## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
07/14/2000	MANUFACTURED HOME	DIVIDEND	\$1,660.00	
10/13/2000	MANUFACTURED HOME	DIVIDEND	\$1,660.00	
				<b>\$3,320.00</b>
10/01/1997	MERCK & CO	DIVIDEND	\$1,350.00	
01/02/1998	MERCK & CO	DIVIDEND	\$1,350.00	
04/01/1998	MERCK & CO	DIVIDEND	\$1,350.00	
07/01/1998	MERCK & CO	DIVIDEND	\$1,350.00	
10/01/1998	MERCK & CO	DIVIDEND	\$1,620.00	
01/04/1999	MERCK & CO	DIVIDEND	\$1,620.00	
04/01/1999	MERCK & CO	DIVIDEND	\$1,620.00	
07/01/1999	MERCK & CO	DIVIDEND	\$1,620.00	
10/01/1999	MERCK & CO	DIVIDEND	\$1,740.00	
01/03/2000	MERCK & CO	DIVIDEND	\$1,740.00	
04/03/2000	MERCK & CO	DIVIDEND	\$1,740.00	
07/03/2000	MERCK & CO	DIVIDEND	\$2,900.00	
10/02/2000	MERCK & CO	DIVIDEND	\$3,400.00	
01/02/2001	MERCK & CO	DIVIDEND	\$2,040.00	
				<b>\$25,440.00</b>
10/01/1997	SEARS ROEBUCK	DIVIDEND	\$230.00	
01/02/1998	SEARS ROEBUCK	DIVIDEND	\$230.00	
04/01/1998	SEARS ROEBUCK	DIVIDEND	\$230.00	
07/01/1998	SEARS ROEBUCK	DIVIDEND	\$230.00	
10/01/1998	SEARS ROEBUCK	DIVIDEND	\$230.00	
01/04/1999	SEARS ROEBUCK	DIVIDEND	\$230.00	
04/01/1999	SEARS ROEBUCK	DIVIDEND	\$230.00	
07/01/1999	SEARS ROEBUCK	DIVIDEND	\$230.00	
10/01/1999	SEARS ROEBUCK	DIVIDEND	\$230.00	
01/03/2000	SEARS ROEBUCK	DIVIDEND	\$230.00	
04/03/2000	SEARS ROEBUCK	DIVIDEND	\$230.00	
07/03/2000	SEARS ROEBUCK	DIVIDEND	\$230.00	
10/02/2000	SEARS ROEBUCK	DIVIDEND	\$230.00	
				<b>\$2,990.00</b>

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
07/17/2000	SL GREEN REALTY CORP	DIVIDEND	\$1,450.00	
10/16/2000	SL GREEN REALTY CORP	DIVIDEND	\$1,450.00	
				<b>\$2,900.00</b>
09/29/1997	SPRINT CORP	DIVIDEND	\$517.50	
12/29/1997	SPRINT CORP	DIVIDEND	\$517.50	
03/30/1998	SPRINT CORP	DIVIDEND	\$517.50	
06/29/1998	SPRINT CORP	DIVIDEND	\$517.50	
09/29/1998	SPRINT CORP	DIVIDEND	\$517.50	
12/28/1998	SPRINT CORP	DIVIDEND	\$517.50	
03/30/1999	SPRINT CORP	DIVIDEND	\$517.50	
06/29/1999	SPRINT CORP	DIVIDEND	\$517.50	
09/29/1999	SPRINT CORP	DIVIDEND	\$517.50	
12/29/1999	SPRINT CORP	DIVIDEND	\$517.50	
03/30/2000	SPRINT CORP	DIVIDEND	\$517.50	
06/29/2000	SPRINT CORP	DIVIDEND	\$517.50	
09/28/2000	SPRINT CORP	DIVIDEND	\$517.50	
12/28/2000	SPRINT CORP	DIVIDEND	\$517.50	
03/29/2001	SPRINT CORP	DIVIDEND	\$517.50	
06/28/2001	SPRINT CORP	DIVIDEND	\$517.50	
09/27/2001	SPRINT CORP	DIVIDEND	\$392.50	
12/28/2001	SPRINT CORP	DIVIDEND	\$392.50	
				<b>\$9,065.00</b>
09/15/1997	SUNBEAM CORP	DIVIDEND	\$40.00	
12/15/1997	SUNBEAM CORP	DIVIDEND	\$40.00	
03/16/1998	SUNBEAM CORP	DIVIDEND	\$40.00	
06/16/1998	SUNBEAM CORP	DIVIDEND	\$40.00	
				<b>\$160.00</b>
11/25/1998	CITIGROUP INC	DIVIDEND	\$540.00	
02/25/1999	CITIGROUP INC	DIVIDEND	\$540.00	
05/27/1999	CITIGROUP INC	DIVIDEND	\$1,050.00	
05/27/1999	CITIGROUP INC	DIVIDEND	\$84.00	
08/27/1999	CITIGROUP INC	DIVIDEND	\$1,400.00	
08/27/1999	CITIGROUP INC	DIVIDEND	\$84.00	
11/24/1999	CITIGROUP INC	DIVIDEND	\$1,400.00	

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
11/24/1999	CITIGROUP INC	DIVIDEND	\$84.00	
02/25/2000	CITIGROUP INC	DIVIDEND	\$1,600.00	
02/25/2000	CITIGROUP INC	DIVIDEND	\$96.00	
08/25/2000	CITIGROUP INC	DIVIDEND	\$3,826.67	
08/25/2000	CITIGROUP INC	DIVIDEND	\$112.00	
11/22/2000	CITIGROUP INC	DIVIDEND	\$2,846.62	
11/22/2000	CITIGROUP INC	DIVIDEND	\$112.00	
02/23/2001	CITIGROUP INC	DIVIDEND	\$2,146.62	
02/23/2001	CITIGROUP INC	DIVIDEND	\$112.00	
05/25/2001	CITIGROUP INC	DIVIDEND	\$746.62	
08/24/2001	CITIGROUP INC	DIVIDEND	\$853.28	
				<b>\$17,633.81</b>
12/29/1997	UNR INDUSTRIES INC	DIVIDEND	\$60.00	
				<b>\$60.00</b>
11/03/1997	US WEST COMMUNICATIONS	DIVIDEND	\$535.00	
02/02/1998	US WEST COMMUNICATIONS	DIVIDEND	\$535.00	
05/01/1998	US WEST COMMUNICATIONS	DIVIDEND	\$535.00	
08/03/1998	US WEST INC NEW	DIVIDEND	\$535.00	
11/02/1998	US WEST INC NEW	DIVIDEND	\$535.00	
02/01/1999	US WEST INC NEW	DIVIDEND	\$535.00	
05/03/1999	US WEST INC NEW	DIVIDEND	\$535.00	
08/02/1999	US WEST INC NEW	DIVIDEND	\$750.00	
11/01/1999	US WEST INC NEW	DIVIDEND	\$535.00	
02/01/2000	US WEST INC NEW	DIVIDEND	\$535.00	
				<b>\$5,565.00</b>
11/21/1997	WALT DISNEY CO	DIVIDEND	\$132.50	
02/20/1998	WALT DISNEY CO	DIVIDEND	\$132.50	
05/22/1998	WALT DISNEY CO	DIVIDEND	\$157.50	
08/21/1998	WALT DISNEY CO	DIVIDEND	\$204.75	
11/20/1998	WALT DISNEY CO	DIVIDEND	\$204.75	
12/17/1999	WALT DISNEY CO	DIVIDEND	\$2,100.00	
				<b>\$2,932.00</b>

## Income Summary

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

DATE	DESCRIPTION	DEBIT	CREDIT	TOTAL
12/17/1999	WALT DISNEY CO HOLDING CO DIVIDEND		\$105.00	
				<b>\$105.00</b>
11/20/1997	WELLS FARGO & CO DIVIDEND		\$1,560.00	
02/20/1998	WELLS FARGO & CO DIVIDEND		\$1,560.00	
05/20/1998	WELLS FARGO & CO DIVIDEND		\$1,560.00	
08/20/1998	WELLS FARGO & CO DIVIDEND		\$1,560.00	
11/20/1998	WELLS FARGO & CO DIVIDEND		\$1,560.00	
03/01/1999	WELLS FARGO & CO NEW DIVIDEND		\$2,220.00	
06/01/1999	WELLS FARGO & CO NEW DIVIDEND		\$2,400.00	
09/02/1999	WELLS FARGO & CO NEW DIVIDEND		\$2,400.00	
12/01/1999	WELLS FARGO & CO NEW DIVIDEND		\$2,400.00	
03/01/2000	WELLS FARGO & CO NEW DIVIDEND		\$2,640.00	
06/01/2000	WELLS FARGO & CO NEW DIVIDEND		\$2,640.00	
09/01/2000	WELLS FARGO & CO NEW DIVIDEND		\$2,640.00	
12/01/2000	WELLS FARGO & CO NEW DIVIDEND		\$2,880.00	
03/01/2001	WELLS FARGO & CO NEW DIVIDEND		\$2,880.00	
06/01/2001	WELLS FARGO & CO NEW DIVIDEND		\$1,920.00	
09/04/2001	WELLS FARGO & CO NEW DIVIDEND		\$2,080.00	
12/03/2001	WELLS FARGO & CO NEW DIVIDEND		\$2,080.00	
03/01/2002	WELLS FARGO & CO NEW DIVIDEND		\$2,080.00	
				<b>\$39,060.00</b>
10/15/2001	WORLDCOM INC GA NEW MCI DIVIDEND		\$1,920.00	
01/15/2002	WORLDCOM INC GA NEW MCI DIVIDEND		\$1,920.00	
04/15/2002	WORLDCOM INC GA NEW MCI DIVIDEND		\$240.00	
				<b>\$4,080.00</b>
			<b>TRADE DIVIDENDS AND INTEREST</b>	<b>\$315,496.51</b>
			<b>MONEY MARKET FUND INCOME</b>	<b>\$676.10</b>
			<b>TOTAL INCOME</b>	<b>\$316,172.61</b>

## Monthly Profit / (Loss)

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

Month End	Mkt Val Sec Revd	Deposits	Cash Tfr In	Mkt Val Sec Delv	Cash WithDrwn	Cash Tfr Out	Cum CR/DR	MonthEnd Equity	Month P & L	Cum P & L	Margin Interest	Income	Misc CR/DR
09/30/1997	\$4,818,790.70	(\$1,360,375.43)					\$3,458,415.27	\$3,798,548.81	\$340,133.54	\$340,133.54	( \$9,579.61)	\$3,930.50	
10/31/1997							\$3,458,415.27	\$3,567,132.42	( \$231,416.39)	\$108,717.15	( \$9,443.08)	\$4,930.88	
11/28/1997					( \$50,000.00)		\$3,408,415.27	\$3,596,710.34	\$79,577.92	\$188,295.07	( \$10,624.81)	\$6,650.00	
12/31/1997							\$3,408,415.27	\$3,528,078.27	( \$68,632.07)	\$119,663.00	( \$14,875.46)	\$4,902.50	
01/30/1998							\$3,408,415.27	\$3,777,822.11	\$249,743.84	\$369,406.84	( \$8,374.24)	\$4,000.88	
02/27/1998							\$3,408,415.27	\$4,234,568.82	\$456,746.71	\$826,153.55	( \$12,852.04)	\$5,083.00	
03/31/1998							\$3,408,415.27	\$4,594,547.72	\$359,978.90	\$1,186,132.45	( \$11,501.69)	\$3,887.50	
04/30/1998							\$3,408,415.27	\$4,551,409.14	( \$43,138.58)	\$1,142,993.87	( \$12,413.30)	\$5,115.29	
05/29/1998							\$3,408,415.27	\$4,511,741.09	( \$39,668.05)	\$1,103,325.82	( \$11,679.72)	\$6,630.00	
06/30/1998							\$3,408,415.27	\$4,494,025.76	( \$17,715.33)	\$1,085,610.49	( \$12,779.14)	\$4,008.75	( \$45.00)
07/31/1998							\$3,408,415.27	\$4,629,813.66	\$135,787.90	\$1,221,398.39	( \$15,596.68)	\$5,099.29	
08/31/1998							\$3,408,415.27	\$2,712,718.61	(\$1,917,095.05)	( \$695,696.66)	( \$15,306.10)	\$4,161.25	
09/30/1998							\$3,408,415.27	\$3,603,048.07	\$890,329.46	\$194,632.80	( \$13,249.25)	\$3,959.50	
10/30/1998							\$3,408,415.27	\$4,581,534.09	\$978,486.02	\$1,173,118.82	( \$13,982.45)	\$4,444.90	
11/30/1998							\$3,408,415.27	\$5,258,564.25	\$677,030.16	\$1,850,148.98	( \$14,593.05)	\$9,009.25	
12/31/1998		\$19,050.00				( \$32,656.25)	\$3,394,809.02	\$6,322,714.45	\$1,077,756.45	\$2,927,905.43	( \$16,273.90)	\$4,983.50	
01/31/1999							\$3,394,809.02	\$6,706,720.27	\$384,005.82	\$3,311,911.25	( \$8,529.90)	\$4,452.54	
02/26/1999		\$20,934.33					\$3,415,743.35	\$6,885,080.88	\$157,426.28	\$3,469,337.53	( \$14,152.53)	\$4,375.97	
03/31/1999	\$226,306.50			( \$36,937.50)			\$3,605,112.35	\$8,904,593.82	\$1,830,143.94	\$5,299,481.47	( \$15,835.77)	\$6,642.38	
04/30/1999			\$1,347,185.72		(\$1,840,000.00)		\$3,112,298.07	\$8,412,245.15	\$465.61	\$5,299,947.08	( \$12,787.98)	\$6,584.95	
05/28/1999							\$3,112,298.07	\$8,167,001.13	( \$245,244.02)	\$5,054,703.06	( \$17,153.05)	\$3,548.50	
06/30/1999					( \$100,000.00)		\$3,012,298.07	\$8,543,481.87	\$476,480.74	\$5,531,183.80	( \$4,103.84)	\$6,586.61	( \$45.00)
07/30/1999					( \$5,000.00)		\$3,007,298.07	\$7,816,567.51	( \$721,914.36)	\$4,809,269.44	( \$15,981.20)	\$5,344.90	
08/31/1999					( \$355,000.00)		\$2,652,298.07	\$6,265,367.47	(\$1,196,200.04)	\$3,613,069.40	( \$16,809.75)	\$6,232.62	
09/30/1999					( \$27,500.00)		\$2,624,798.07	\$5,887,538.53	( \$350,328.94)	\$3,262,740.46	( \$15,867.91)	\$6,515.18	
10/29/1999					( \$69,904.00)		\$2,554,894.07	\$8,248,501.28	\$2,430,866.75	\$5,693,607.21	( \$11,990.67)	\$5,342.95	
11/30/1999							\$2,554,894.07	\$9,785,798.58	\$1,537,297.30	\$7,230,904.51	( \$10,002.50)	\$5,949.50	
12/31/1999							\$2,554,894.07	10,514,770.70	\$728,972.12	\$7,959,876.63	( \$9,371.48)	\$8,697.50	( \$85.00)
01/31/2000		\$10,000.00					\$2,564,894.07	\$8,639,529.77	(\$1,885,240.93)	\$6,074,635.70	( \$2,531.79)	\$7,700.58	
02/29/2000							\$2,564,894.07	\$8,318,821.85	( \$320,707.92)	\$5,753,927.78	( \$1,673.42)	\$4,115.50	
03/31/2000			\$183,746.42	(\$9,111,623.77)	( \$56,370.75)		(\$6,419,354.03)	\$2,576.81	\$668,003.06	\$6,421,930.84	( \$1,191.19)	\$7,110.00	( \$150.00)
04/30/2000					( \$6,230.33)		(\$6,425,584.36)	( \$45,152.92)	( \$41,499.40)	\$6,380,431.44	( \$388.72)	\$4,910.47	
05/31/2000	23,261,263.18	\$6,235.94	\$883,161.52	\$875,000.00	( \$81,671.91)	(\$9,443,961.05)	\$9,074,443.32	10,422,814.70	(\$5,032,060.06)	\$1,348,371.38	( \$24,896.11)	\$430.73	
06/30/2000			\$33,350.00				\$9,107,793.32	13,418,939.39	\$2,962,774.69	\$4,311,146.07	( \$35,785.41)	\$11,004.00	
07/31/2000	\$58,150.40						\$9,165,943.72	11,129,630.17	(\$2,347,459.62)	\$1,963,686.45	( \$31,225.24)	\$24,872.33	
08/31/2000						( \$13,000.00)	\$9,152,943.72	12,220,530.82	\$1,103,900.65	\$3,067,587.10	( \$34,515.01)	\$8,298.17	
09/30/2000	\$67,600.00				( \$50.00)	( \$37,000.00)	\$9,183,493.72	\$9,532,830.97	(\$2,718,249.85)	\$349,337.25	( \$42,406.72)	\$10,614.00	
10/31/2000							\$9,183,493.72	\$5,004,105.90	(\$4,528,725.07)	(\$4,179,387.82)	( \$49,632.12)	\$20,969.35	
11/30/2000			\$12,700.00				\$9,196,193.72	\$1,913,776.64	(\$3,103,029.26)	(\$7,282,417.08)	( \$23,843.24)	\$4,518.12	
12/29/2000	\$32,439.00	\$9,000.00				( \$21,016.05)	\$9,216,616.67	\$1,805,759.59	( \$128,440.00)	(\$7,410,857.08)	( \$15,357.19)	\$7,711.00	
01/31/2001						( \$211.00)	\$9,216,405.67	\$3,384,321.32	\$1,578,772.73	(\$5,832,084.35)	( \$5,812.15)	\$5,435.19	

## Monthly Profit / (Loss)

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

Month End	Mkt Val Sec Rcvd	Deposits	Cash Tfr In	Mkt Val Sec Delv	Cash WithDrwn	Cash Tfr Out	Cum CR/DR	MonthEnd Equity	Month P & L	Cum P & L	Margin Interest	Income	Misc CR/DR	
02/28/2001							\$9,216,405.67	\$1,800,112.87	(\$1,584,208.45)	(\$7,416,292.80)	( \$22,850.51)	\$3,480.72		
03/30/2001		\$13,000.00	\$51,330.00				\$9,280,735.67	\$1,418,964.46	( \$445,478.41)	(\$7,861,771.21)	( \$16,735.17)	\$7,310.13		
04/30/2001		\$5,000.00					\$9,285,735.67	\$1,548,558.65	\$124,594.19	(\$7,737,177.02)	( \$11,946.01)	\$1,943.94		
05/31/2001						( \$44.22)	\$9,285,691.45	\$1,770,166.44	\$221,652.01	(\$7,515,525.01)	( \$10,787.99)	\$1,544.38	( \$130.00)	
06/30/2001		\$10,000.00					\$9,295,691.45	\$1,272,057.37	( \$508,109.07)	(\$8,023,634.08)	( \$12,601.23)	\$5,731.11		
07/31/2001			\$8,985.00				\$9,304,676.45	\$1,192,751.55	( \$88,290.82)	(\$8,111,924.90)	( \$13,055.39)	\$1,933.97		
08/31/2001		\$5,000.00	\$20,000.00				\$9,329,676.45	\$892,475.17	( \$325,276.38)	(\$8,437,201.28)	( \$11,604.87)	\$2,083.78		
09/28/2001		\$6,085.00					\$9,335,761.45	\$723,008.05	( \$175,552.12)	(\$8,612,753.40)	( \$8,492.52)	\$5,798.00		
10/31/2001		\$1,829.00					\$9,337,590.45	\$644,077.51	( \$80,759.54)	(\$8,693,512.94)	( \$5,478.78)	\$3,172.65		
11/30/2001							\$9,337,590.45	\$750,610.69	\$106,533.18	(\$8,586,979.76)	( \$4,987.40)	\$740.50		
12/31/2001							\$9,337,590.45	\$735,238.41	( \$15,372.28)	(\$8,602,352.04)	( \$5,997.43)	\$5,194.00		
01/31/2002		\$13,215.00					\$9,350,805.45	\$587,445.19	( \$161,008.22)	(\$8,763,360.26)	( \$3,011.00)	\$3,179.70		
02/28/2002	\$96,210.54	\$6,100.00	\$20,300.00		( \$3,000.00)	( \$255.11)	\$9,470,160.88	\$487,397.06	( \$219,403.56)	(\$8,982,763.82)	( \$3,548.22)	\$748.50		
03/31/2002							\$9,470,160.88	\$494,240.46	\$6,843.40	(\$8,975,920.42)	( \$2,238.64)	\$2,416.50		
04/30/2002							\$9,470,160.88	\$315,834.24	( \$178,406.22)	(\$9,154,326.64)	( \$2,486.48)	\$2,134.70		
							<b>\$28,560,760.32</b>	<b>( \$1,234,926.16)</b>	<b>\$2,560,758.66</b>	<b>\$-8,273,561.27</b>	<b>\$-2,594,726.99</b>	<b>\$-9,548,143.68</b>		
										<b>\$-9,154,326.64</b>	<b>\$-9,154,326.64</b>	<b>\$-760,791.05</b>	<b>\$316,172.61</b>	<b>\$-455.00</b>

NOTE: Deposits include Beginning Cash Balance and Credit Adjustments.  
 Cash Withdrawn includes Taxes Withheld and Debit Adjustments.

# Turnover

Portfolio Perspective Interactive Edition

**Case Name:** INVESTOR V. BROKERAGE FIRM

						Turnover			
						Entire Date Range		Annualized	
Account Number	Account Name	Date Range	Open Total	Avg. Market	Avg. Equity	By Equity	By Market	By Equity	By Market
AB-12345-COMB	JOHN Q. INVESTOR	8/29/1997 - 4/30/2002	\$ 37,738,814.01	\$ 7,028,397.22	\$ 4,719,322.64	8.00	5.37	1.71	1.15
AB-12345-COMB	JOHN Q. INVESTOR	8/29/1997 - 12/31/1997	\$ 1,062,326.24	\$ 5,193,581.10	\$ 3,622,617.46	0.29	0.20	0.88	0.61
AB-12345-COMB	JOHN Q. INVESTOR	1/1/1998 - 12/31/1998	\$ 1,823,545.73	\$ 6,446,800.12	\$ 4,439,375.65	0.41	0.28	0.41	0.28
AB-12345-COMB	JOHN Q. INVESTOR	1/1/1999 - 12/31/1999	\$ 13,755,555.19	\$ 10,483,620.67	\$ 8,011,472.27	1.72	1.31	1.72	1.31
AB-12345-COMB	JOHN Q. INVESTOR	1/1/2000 - 12/31/2000	\$ 15,061,755.08	\$ 10,078,380.09	\$ 6,863,680.31	2.19	1.49	2.19	1.49
AB-12345-COMB	JOHN Q. INVESTOR	1/1/2001 - 12/31/2001	\$ 5,930,065.89	\$ 3,593,775.70	\$ 1,344,361.87	4.41	1.65	4.41	1.65

## Turnover Calculations

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

Month End	Market Value	Cash value	Equity Value	Purchases	Market Value Turnover	Equity Value Turnover
09/30/1997	\$5,204,897.10	(\$1,406,348.29)	\$3,798,548.81			
10/31/1997	\$5,072,850.87	(\$1,505,718.45)	\$3,567,132.42			
11/28/1997	\$5,222,701.33	(\$1,625,990.99)	\$3,596,710.34			
12/31/1997	\$5,273,875.11	(\$1,745,796.84)	\$3,528,078.27			
<b>Year End Totals:</b>				<b>\$750,404.53</b>	<b>0.14</b>	<b>0.20</b>
01/30/1998	\$5,631,084.82	(\$1,853,262.71)	\$3,777,822.11			
02/27/1998	\$6,068,996.68	(\$1,834,427.86)	\$4,234,568.82			
03/31/1998	\$6,375,072.78	(\$1,780,525.06)	\$4,594,547.72			
04/30/1998	\$6,295,406.32	(\$1,743,997.18)	\$4,551,409.14			
05/29/1998	\$6,182,210.20	(\$1,670,469.11)	\$4,511,741.09			
06/30/1998	\$6,792,490.42	(\$2,298,464.66)	\$4,494,025.76			
07/31/1998	\$6,808,199.82	(\$2,178,386.16)	\$4,629,813.66			
08/31/1998	\$4,783,712.96	(\$2,070,994.35)	\$2,712,718.61			
09/30/1998	\$5,703,037.47	(\$2,099,989.40)	\$3,603,048.07			
10/30/1998	\$6,765,142.16	(\$2,183,608.07)	\$4,581,534.09			
11/30/1998	\$7,444,832.63	(\$2,186,268.38)	\$5,258,564.25			
12/31/1998	\$8,511,415.23	(\$2,188,700.78)	\$6,322,714.45			
<b>Year End Totals:</b>				<b>\$1,729,482.56</b>	<b>0.26</b>	<b>0.38</b>
01/31/1999	\$9,131,453.29	(\$2,424,733.02)	\$6,706,720.27			
02/26/1999	\$9,902,170.23	(\$3,017,089.35)	\$6,885,080.88			
03/31/1999	\$11,447,583.20	(\$2,542,989.38)	\$8,904,593.82			
04/30/1999	\$11,557,631.03	(\$3,145,385.88)	\$8,412,245.15			
05/28/1999	\$10,842,770.87	(\$2,675,769.74)	\$8,167,001.13			
06/30/1999	\$11,384,936.35	(\$2,841,454.48)	\$8,543,481.87			
07/30/1999	\$10,875,453.20	(\$3,058,885.69)	\$7,816,567.51			
08/31/1999	\$9,109,102.55	(\$2,843,735.08)	\$6,265,367.47			
09/30/1999	\$8,227,454.67	(\$2,339,916.14)	\$5,887,538.53			
10/29/1999	\$10,290,368.62	(\$2,041,867.34)	\$8,248,501.28			
11/30/1999	\$11,129,271.75	(\$1,343,473.17)	\$9,785,798.58			
12/31/1999	\$11,905,252.29	(\$1,390,481.59)	\$10,514,770.70			



## Turnover Calculations

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

Month End	Market Value	Cash value	Equity Value	Purchases	Market Value Turnover	Equity Value Turnover
<b>Year End Totals:</b>				<b>\$6,729,995.50</b>	<b>0.64</b>	<b>0.84</b>
01/31/2000	\$8,790,629.44	( \$151,099.67)	\$8,639,529.77			
02/29/2000	\$8,068,765.97	\$250,055.88	\$8,318,821.85			
03/31/2000	\$0.00	\$2,576.81	\$2,576.81			
04/30/2000	( \$314,794.80)	\$269,641.88	( \$45,152.92)			
05/31/2000	\$17,376,948.54	( \$6,954,133.84)	\$10,422,814.70			
06/30/2000	\$18,241,041.26	( \$4,822,101.87)	\$13,418,939.39			
07/31/2000	\$16,069,523.18	( \$4,939,893.01)	\$11,129,630.17			
08/31/2000	\$18,523,661.53	( \$6,303,130.71)	\$12,220,530.82			
09/30/2000	\$17,968,794.43	( \$8,435,963.46)	\$9,532,830.97			
10/31/2000	\$9,347,007.85	( \$4,342,901.95)	\$5,004,105.90			
11/30/2000	\$3,700,628.46	( \$1,786,851.82)	\$1,913,776.64			
12/29/2000	\$3,168,355.19	( \$1,362,595.60)	\$1,805,759.59			
<b>Year End Totals:</b>				<b>\$27,688,232.37</b>	<b>2.74</b>	<b>4.03</b>
01/31/2001	\$6,975,530.83	( \$3,591,209.51)	\$3,384,321.32			
02/28/2001	\$5,459,221.71	( \$3,659,108.84)	\$1,800,112.87			
03/30/2001	\$3,608,007.97	( \$2,189,043.51)	\$1,418,964.46			
04/30/2001	\$3,689,231.40	( \$2,140,672.75)	\$1,548,558.65			
05/31/2001	\$4,111,664.28	( \$2,341,497.84)	\$1,770,166.44			
06/30/2001	\$4,193,986.26	( \$2,921,928.89)	\$1,272,057.37			
07/31/2001	\$3,820,878.48	( \$2,628,126.93)	\$1,192,751.55			
08/31/2001	\$2,967,238.16	( \$2,074,762.99)	\$892,475.17			
09/28/2001	\$2,081,979.55	( \$1,358,971.50)	\$723,008.05			
10/31/2001	\$2,003,526.14	( \$1,359,448.63)	\$644,077.51			
11/30/2001	\$2,114,306.22	( \$1,363,695.53)	\$750,610.69			
12/31/2001	\$2,099,737.37	( \$1,364,498.96)	\$735,238.41			
<b>Year End Totals:</b>				<b>\$6,481,455.91</b>	<b>1.80</b>	<b>4.82</b>

## Turnover Calculations

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

Month End	Market Value	Cash value	Equity Value	Purchases	Market Value Turnover	Equity Value Turnover
01/31/2002	\$1,961,338.40	( \$1,373,893.21)	\$587,445.19			
02/28/2002	\$1,312,559.75	( \$825,162.69)	\$487,397.06			
03/31/2002	\$1,316,102.83	( \$821,862.37)	\$494,240.46			
04/30/2002	\$994,999.76	( \$679,165.52)	\$315,834.24			
<b>Year End Totals:</b>				<b>\$107,238.88</b>	<b>0.07</b>	<b>0.22</b>

**Average Annualized Market Value Turnover 1.32**  
**Average Annualized Equity Value Turnover 1.97**

# Cost Maintenance Factor

Portfolio Perspective Interactive Edition

**Case Name:** INVESTOR V. BROKERAGE FIRM

## Cost Maintenance Factor

Account	Account Name	Date Range	Commissions	Mrg.Int.&Fees	Avg. Mkt.Val.	Avg. Equity	Entire Date Range		Annualized	
							By Equity	By Market	By Equity	By Market
AB-12345-CO MB	JOHN Q. INVESTOR	8/29/1997 - 4/30/2002	\$ 519,975.19	\$ 761,246.05	7,028,397.22	\$ 4,719,322.64	27.15%	18.23%	5.82%	3.91%
AB-12345-CO MB	JOHN Q. INVESTOR	8/29/1997 - 12/31/1997	\$ 9,506.20	\$ 44,522.96	5,193,581.10	\$ 3,622,617.46	1.49%	1.04%	4.47%	3.12%
AB-12345-CO MB	JOHN Q. INVESTOR	1/1/1998 - 12/31/1998	\$ 28,555.64	\$ 158,646.56	6,446,800.12	\$ 4,439,375.65	4.22%	2.90%	4.22%	2.90%
AB-12345-CO MB	JOHN Q. INVESTOR	1/1/1999 - 12/31/1999	\$ 69,272.69	\$ 152,716.58	10,483,620.67	\$ 8,011,472.27	2.77%	2.12%	2.77%	2.12%
AB-12345-CO MB	JOHN Q. INVESTOR	1/1/2000 - 12/31/2000	\$ 313,768.71	\$ 263,596.16	10,078,380.09	\$ 6,863,680.31	8.41%	5.73%	8.41%	5.73%
AB-12345-CO MB	JOHN Q. INVESTOR	1/1/2001 - 12/31/2001	\$ 85,279.49	\$ 130,479.45	3,593,775.70	\$ 1,344,361.87	16.05%	6.00%	16.05%	6.00%
AB-12345-CO MB	JOHN Q. INVESTOR	1/1/2002 - 4/30/2002	\$ 13,592.48	\$ 11,284.34	1,396,250.19	\$ 471,229.24	5.28%	1.78%	15.84%	5.35%

## Cost Maintenance Factor

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

Month Ended	Market Value	Equity	Commissions	Margin Interest & Fees
09/30/1997	\$5,204,897.10	\$3,798,548.81	\$5,698.75	\$9,579.61
10/31/1997	\$5,072,850.87	\$3,567,132.42	\$2,517.50	\$9,443.08
11/28/1997	\$5,222,701.33	\$3,596,710.34	\$3,725.69	\$10,624.81
12/31/1997	\$5,273,875.11	\$3,528,078.27	\$2,767.58	\$14,875.46
01/30/1998	\$5,631,084.82	\$3,777,822.11	\$1,917.51	\$8,374.24
02/27/1998	\$6,068,996.68	\$4,234,568.82	\$396.11	\$12,852.04
03/31/1998	\$6,375,072.78	\$4,594,547.72	\$1,483.01	\$11,501.69
04/30/1998	\$6,295,406.32	\$4,551,409.14	\$4,180.36	\$12,413.30
05/29/1998	\$6,182,210.20	\$4,511,741.09	\$1,422.21	\$11,679.72
06/30/1998	\$6,792,490.42	\$4,494,025.76	\$6,680.16	\$12,824.14
07/31/1998	\$6,808,199.82	\$4,629,813.66	\$2,557.04	\$15,596.68
08/31/1998	\$4,783,712.96	\$2,712,718.61	\$1,941.14	\$15,306.10
09/30/1998	\$5,703,037.47	\$3,603,048.07	\$7,274.05	\$13,249.25
10/30/1998	\$6,765,142.16	\$4,581,534.09	\$581.12	\$13,982.45
11/30/1998	\$7,444,832.63	\$5,258,564.25	\$578.38	\$14,593.05
12/31/1998	\$8,511,415.23	\$6,322,714.45	\$1,667.11	\$16,273.90
01/31/1999	\$9,131,453.29	\$6,706,720.27	\$3,542.38	\$8,529.90
02/26/1999	\$9,902,170.23	\$6,885,080.88	\$9,715.67	\$14,152.53
03/31/1999	\$11,447,583.20	\$8,904,593.82	\$6,332.64	\$15,835.77
04/30/1999	\$11,557,631.03	\$8,412,245.15	\$4,972.79	\$12,787.98
05/28/1999	\$10,842,770.87	\$8,167,001.13	\$8,695.14	\$17,153.05
06/30/1999	\$11,384,936.35	\$8,543,481.87	\$12,811.26	\$4,148.84
07/30/1999	\$10,875,453.20	\$7,816,567.51	\$17,602.94	\$15,981.20
08/31/1999	\$9,109,102.55	\$6,265,367.47	\$7,197.56	\$16,809.75
09/30/1999	\$8,227,454.67	\$5,887,538.53	\$8,217.58	\$15,867.91
10/29/1999	\$10,290,368.62	\$8,248,501.28	\$12,607.48	\$11,990.67

## Cost Maintenance Factor

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

Month Ended	Market Value	Equity	Commissions	Margin Interest & Fees
11/30/1999	\$11,129,271.75	\$9,785,798.58	\$9,971.03	\$10,002.50
12/31/1999	\$11,905,252.29	\$10,514,770.70	\$21,945.23	\$9,456.48
01/31/2000	\$8,790,629.44	\$8,639,529.77	\$20,598.36	\$2,531.79
02/29/2000	\$8,068,765.97	\$8,318,821.85	\$11,836.53	\$1,673.42
03/31/2000	\$0.00	\$2,576.81	\$8,667.31	\$1,341.19
04/30/2000	\$-314,794.80	\$-45,152.92	\$1,232.60	\$388.72
05/31/2000	\$17,376,948.54	\$10,422,814.70	\$59,463.20	\$24,896.11
06/30/2000	\$18,241,041.26	\$13,418,939.39	\$4,053.69	\$35,785.41
07/31/2000	\$16,069,523.18	\$11,129,630.17	\$909.35	\$31,225.24
08/31/2000	\$18,523,661.53	\$12,220,530.82	\$11,534.20	\$34,515.01
09/30/2000	\$17,968,794.43	\$9,532,830.97	\$18,769.63	\$42,406.72
10/31/2000	\$9,347,007.85	\$5,004,105.90	\$47,460.22	\$49,632.12
11/30/2000	\$3,700,628.46	\$1,913,776.64	\$49,557.20	\$23,843.24
12/29/2000	\$3,168,355.19	\$1,805,759.59	\$17,782.64	\$15,357.19
01/31/2001	\$6,975,530.83	\$3,384,321.32	\$21,188.45	\$5,812.15
02/28/2001	\$5,459,221.71	\$1,800,112.87	\$12,638.17	\$22,850.51
03/30/2001	\$3,608,007.97	\$1,418,964.46	\$14,690.98	\$16,735.17
04/30/2001	\$3,689,231.40	\$1,548,558.65	\$8,931.77	\$11,946.01
05/31/2001	\$4,111,664.28	\$1,770,166.44	\$2,516.01	\$10,917.99
06/30/2001	\$4,193,986.26	\$1,272,057.37	\$11,550.29	\$12,601.23
07/31/2001	\$3,820,878.48	\$1,192,751.55	\$5,047.88	\$13,055.39
08/31/2001	\$2,967,238.16	\$892,475.17	\$4,831.71	\$11,604.87
09/28/2001	\$2,081,979.55	\$723,008.05	\$5,511.65	\$8,492.52
10/31/2001	\$2,003,526.14	\$644,077.51	\$0.00	\$5,478.78
11/30/2001	\$2,114,306.22	\$750,610.69	\$0.00	\$4,987.40
12/31/2001	\$2,099,737.37	\$735,238.41	\$0.00	\$5,997.43

### Cost Maintenance Factor

Account Name: JOHN Q. INVESTOR  
 Account Number: AB-12345-COMB

Month Ended	Market Value	Equity	Commissions	Margin Interest & Fees
01/31/2002	\$1,961,338.40	\$587,445.19	\$1,820.95	\$3,011.00
02/28/2002	\$1,312,559.75	\$487,397.06	\$6,885.75	\$3,548.22
03/31/2002	\$1,316,102.83	\$494,240.46	\$110.54	\$2,238.64
04/30/2002	\$994,999.76	\$315,834.24	\$3,386.69	\$2,486.48

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**Total Months: 56**                      **\$393,590,244.11**                      **\$264,282,067.93**                      **\$519,975.19**                      **\$761,246.05**  
**Avg Equity: \$4,719,322.64**

<u>Commission to Market Value Ratio</u>	<b>1.58</b>	%
<u>Commission to Equity Ratio</u>	<b>2.36</b>	%

<u>Cost to Market Value Ratio</u>	<b>3.90</b>	%
<u>Cost to Equity Ratio</u>	<b>5.81</b>	%

# Cumulative Profit / (Loss)

Portfolio Perspective Interactive Edition

INVESTOR V. BROKERAGE FIRM

Account(s) AB-12345-COMB

Sep, 1997 Thru Apr, 2002

Value

2002/04 Cumulative Profit/(Loss) - (\$9,154,326.64)



# Month End Equities

Portfolio Perspective Interactive Edition

INVESTOR V. BROKERAGE FIRM

Account(s) AB-12345-COMB

Sep, 1997 Thru Apr, 2002

Value

